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(54) Titre : ADDITIFS LUBRIFIANTS POLYMERES A BASE DE POLY-PHOSPHORE POUR LE TRAVAIL DES METAUX  
 (54) Title: POLYMERIC POLY-PHOSPHORUS LUBRICANT ADDITIVES FOR METAL WORKING

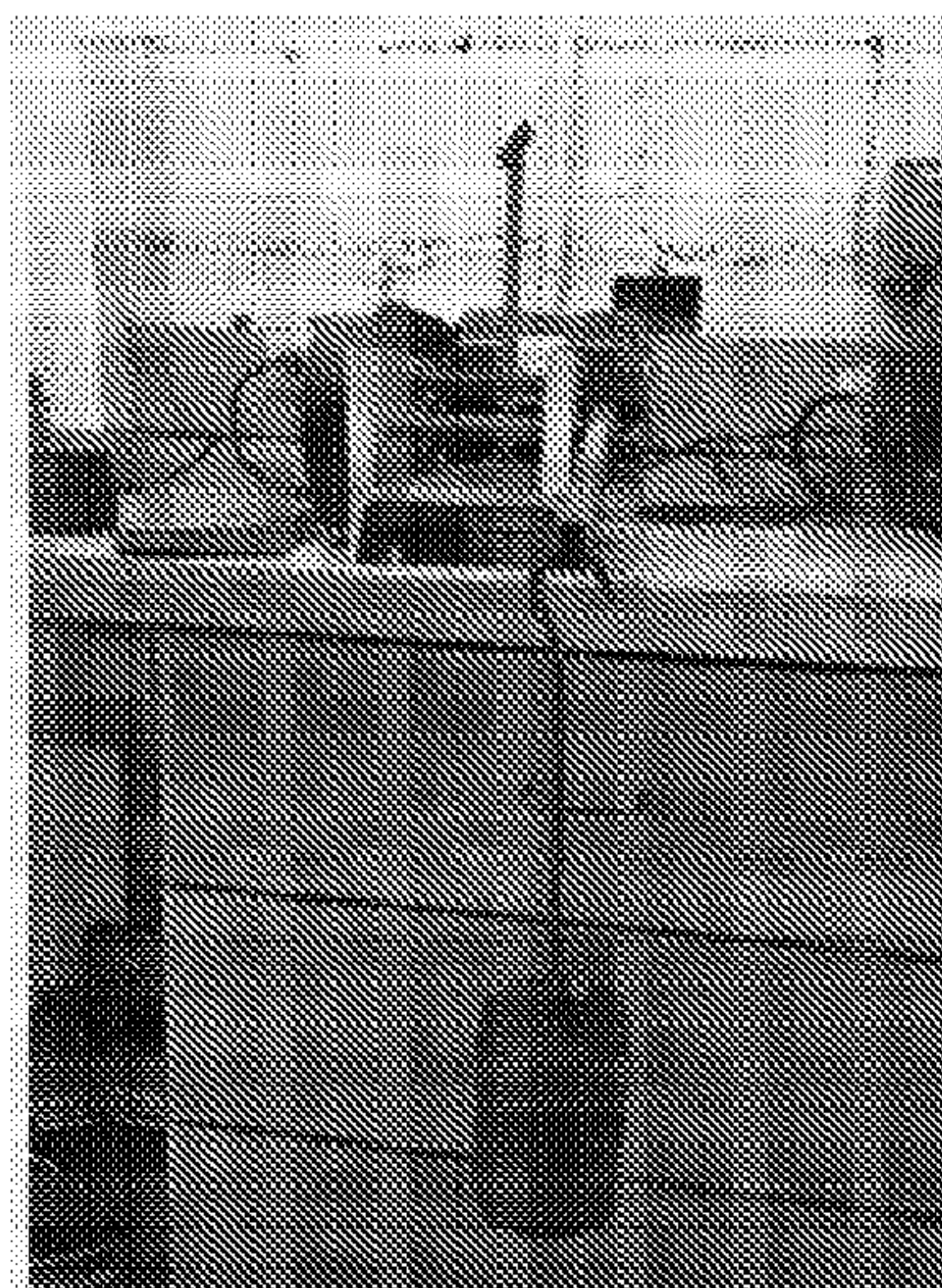
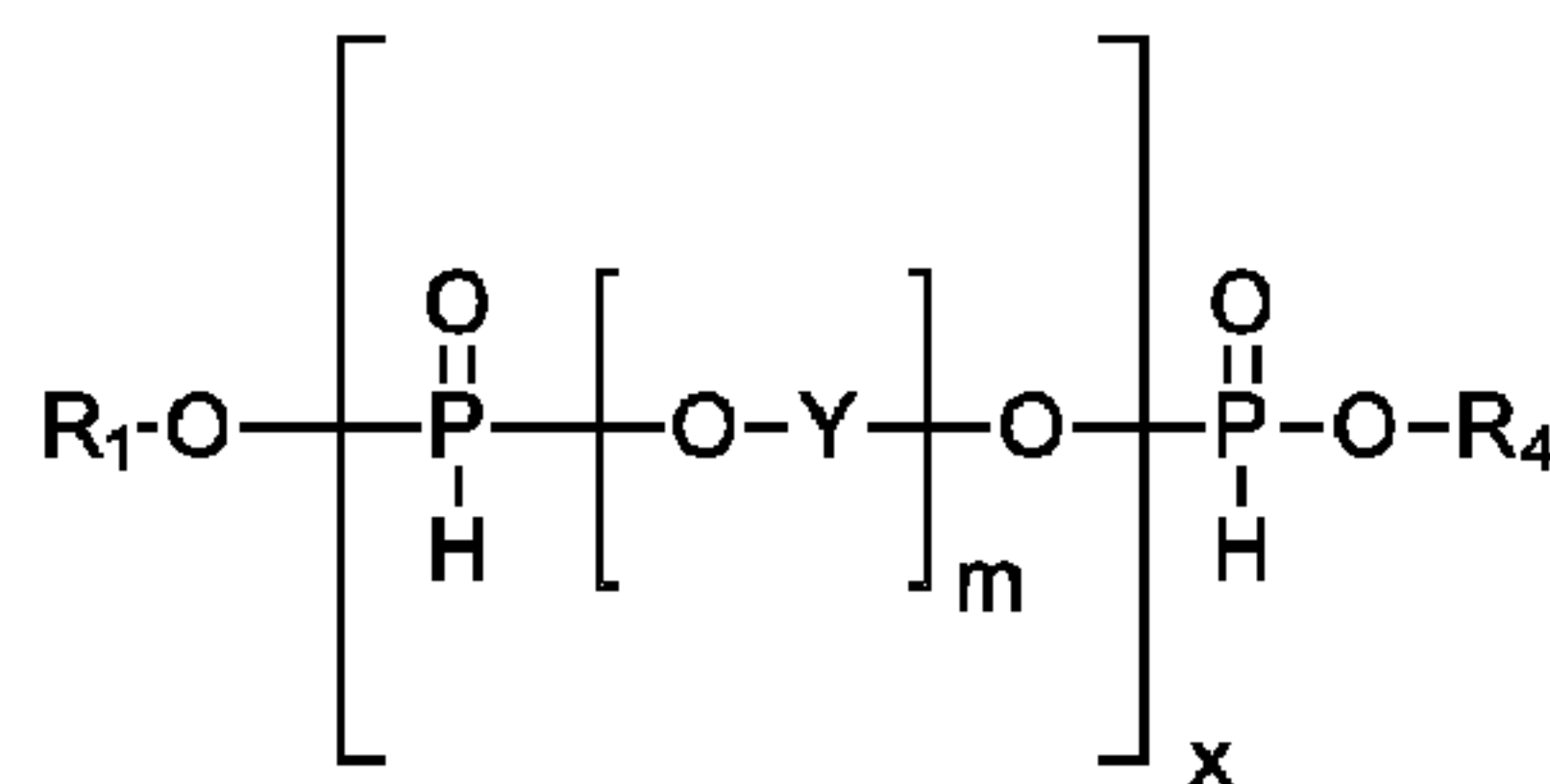


Figure 1

(57) **Abrégé/Abstract:**

A composition having a compound having the structure: wherein each R is an independently selected alkylphenol-free moiety that is a C<sub>1-20</sub> alkyl, C<sub>2-22</sub> alkenyl, C<sub>6-40</sub> cycloalkyl, C<sub>7-40</sub> cycloalkylene, C<sub>3-20</sub> methoxy alkyl glycol ether, C<sub>3-20</sub> alkyl glycol ether, or Y-OH

(57) **Abrégé(suite)/Abstract(continued):**

moiety; wherein each Y is an independently selected alkylphenol-free moiety that is a C<sub>2-40</sub> alkylene, C<sub>7-40</sub> cycloalkylene, or C<sub>3-40</sub> alkyl lactone moiety; wherein m is an integer ranging from 1 to 100; and wherein x is an integer ranging from 1 to 1000.

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## (54) Title: POLYMERIC POLY-PHOSPHORUS LUBRICANT ADDITIVES FOR METAL WORKING

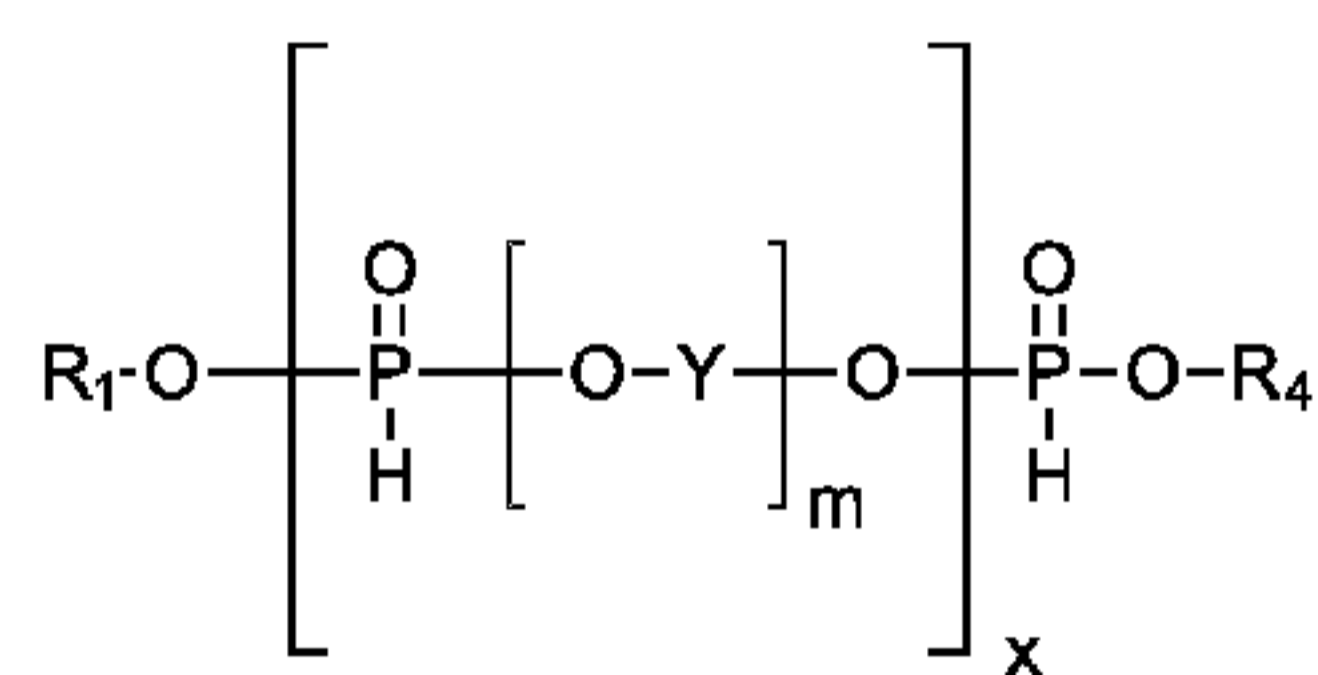
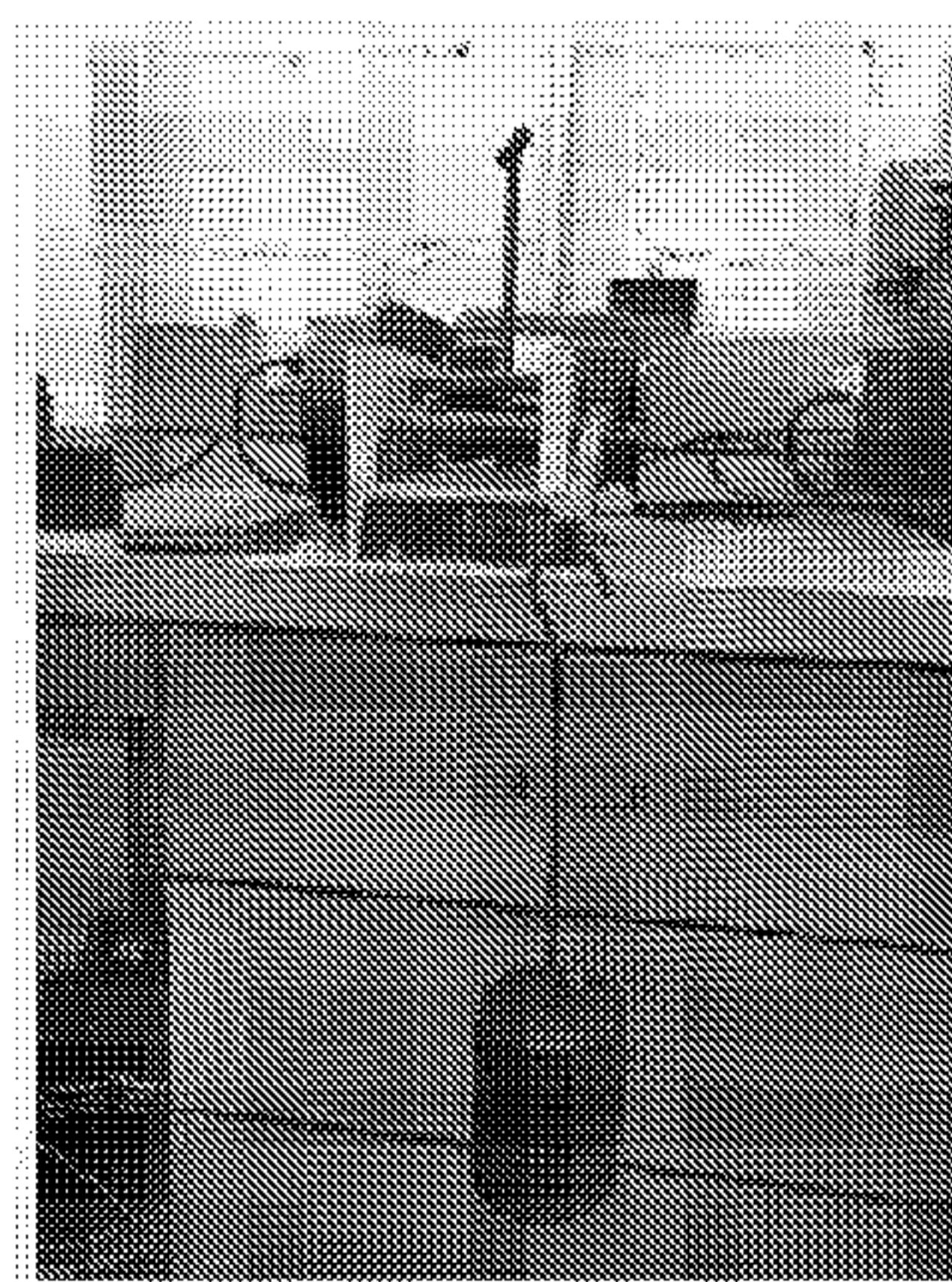
(57) Abstract: A composition having a compound having the structure: wherein each R is an independently selected alkylphenol-free moiety that is a C<sub>1-20</sub> alkyl, C<sub>2-22</sub> alkenyl, C<sub>6-40</sub> cycloalkyl, C<sub>7-40</sub> cycloalkylene, C<sub>3-20</sub> methoxy alkyl glycol ether, C<sub>3-20</sub> alkyl glycol ether, or Y-OH moiety; wherein each Y is an independently selected alkylphenol-free moiety that is a C<sub>2-40</sub> alkylene, C<sub>7-40</sub> cycloalkylene, or C<sub>3-40</sub> alkyl lactone moiety; wherein m is an integer ranging from 1 to 100; and wherein x is an integer ranging from 1 to 1000.

Figure 1

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## TITLE OF THE INVENTION

Polymeric Poly-Phosphorus Lubricant Additives for Metal Working

## CROSS REFERENCE TO RELATED APPLICATIONS

This nonprovisional patent application claims priority to the following-two U.S. patent applications:

- i) U.S. provisional patent application 62461084 titled, "Alkylphenol-Free Polymeric Thiophosphates for Metalworking Fluids," and
- ii) U.S. provisional patent application 62619351 titled, "Alkylphenol-Free Polymeric Phosphites for Metalworking Fluids."

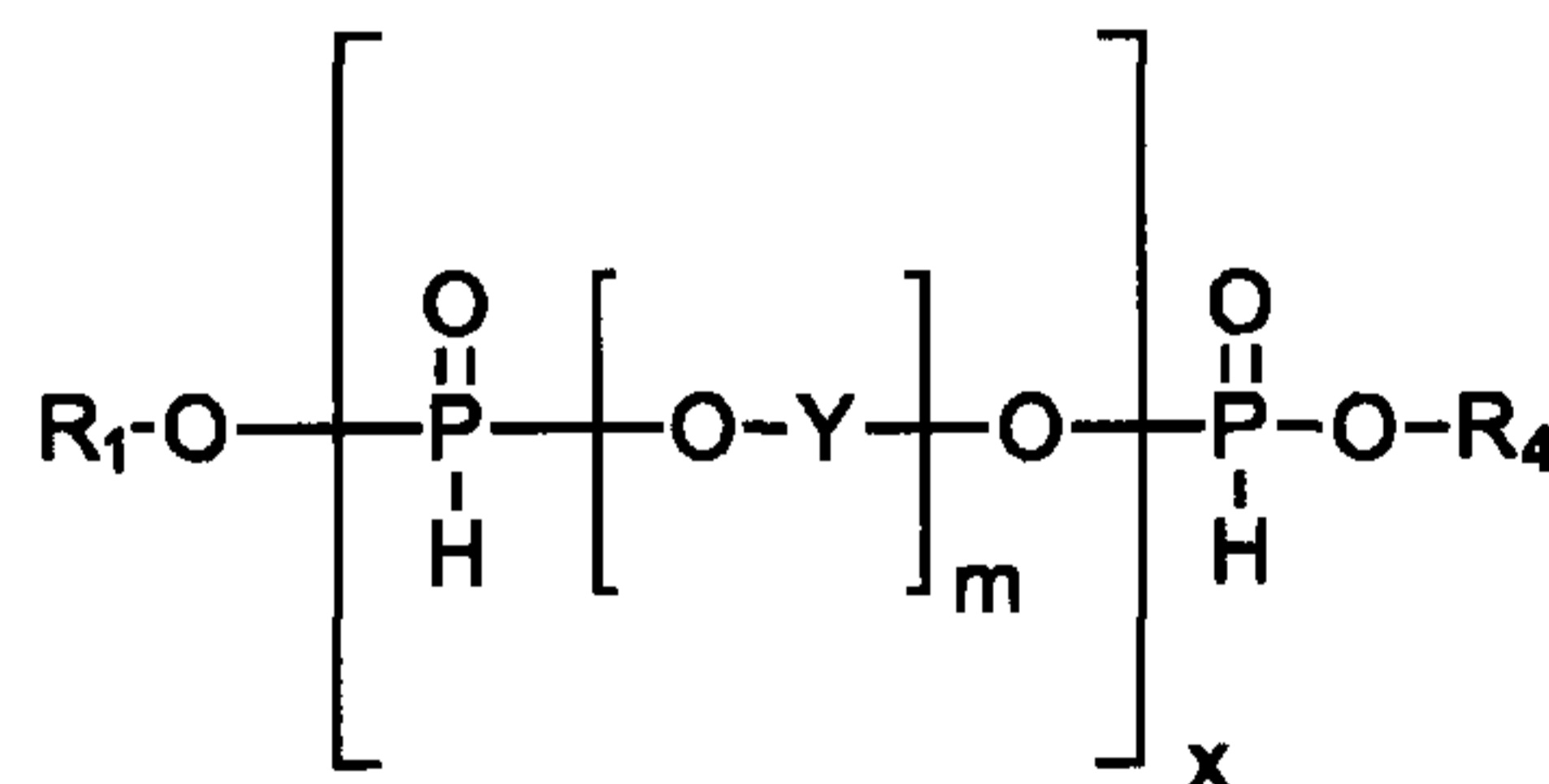
The subject matter of both provisional patent applications is hereby incorporated by reference.

## BACKGROUND OF THE INVENTION

Metalworking fluids are well known, and there is a need for improved metalworking fluids.

## BRIEF SUMMARY OF THE INVENTION

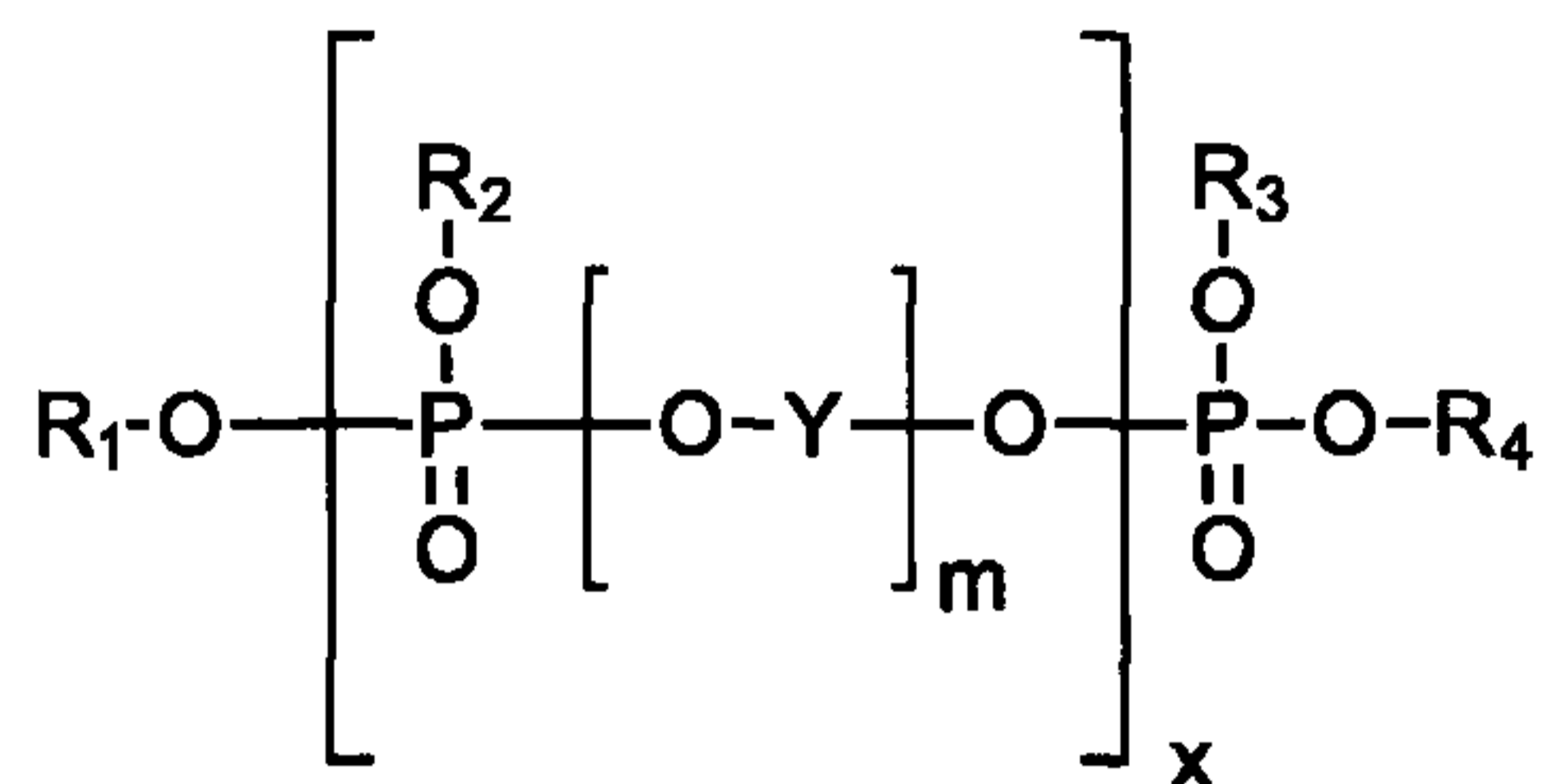
A composition having a compound having the structure:



wherein each R is an independently selected alkylphenol-free moiety that is a C<sub>1-20</sub> alkyl, C<sub>2-22</sub> alkenyl, C<sub>6-40</sub> cycloalkyl, C<sub>7-40</sub> cycloalkylene, C<sub>3-20</sub> methoxy alkyl glycol ether, C<sub>3-20</sub> alkyl glycol

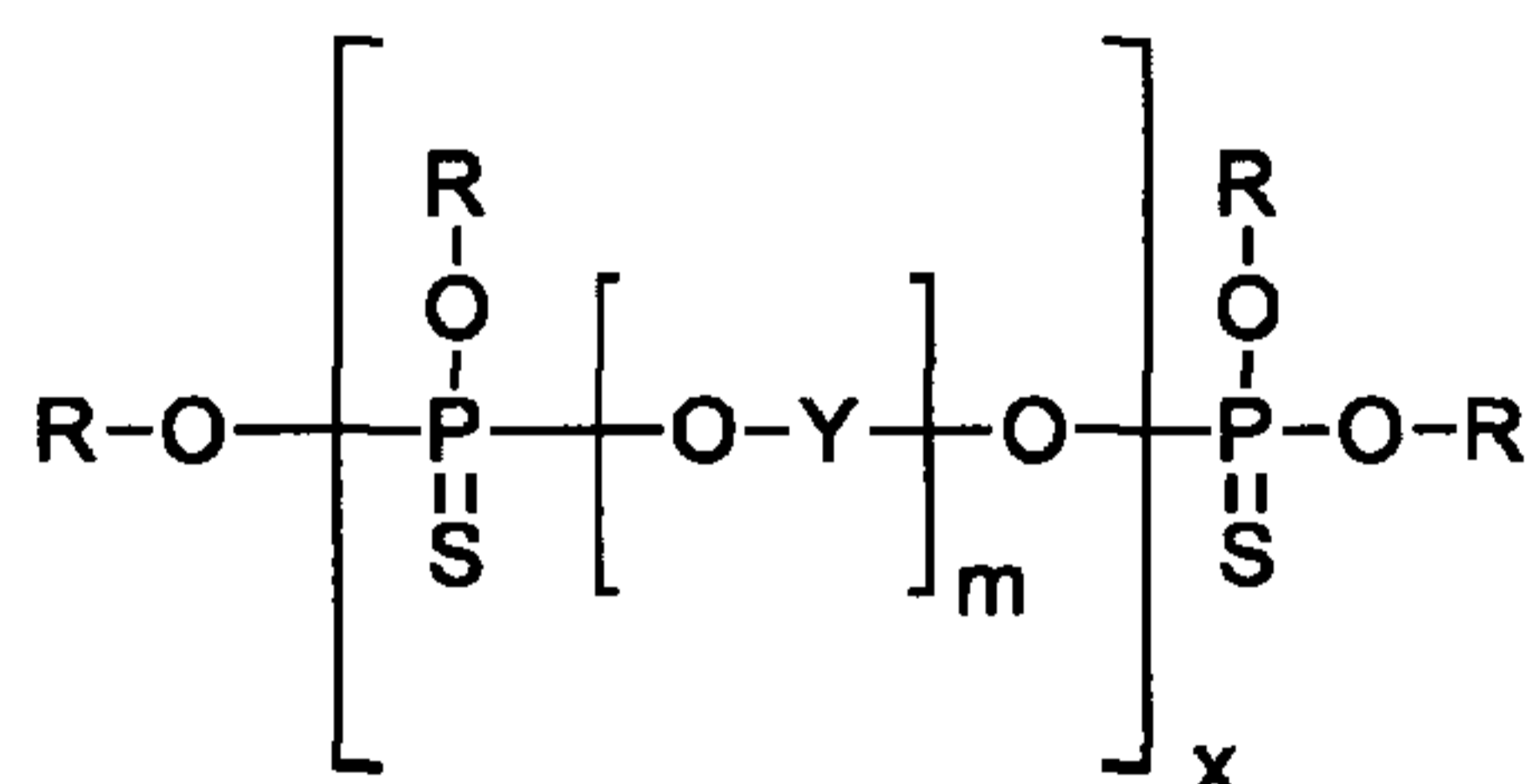
ether, or Y-OH moiety; wherein each Y is an independently selected alkylphenol-free moiety that is a C<sub>2-40</sub> alkylene, C<sub>7-40</sub> cycloalkylene, or C<sub>3-40</sub> alkyl lactone moiety; wherein m is an integer ranging from 1 to 100; and wherein x is an integer ranging from 1 to 1000.

A composition having a compound having the structure:



wherein each R is an independently selected alkylphenol-free moiety that is a C<sub>1-20</sub> alkyl, C<sub>2-22</sub> alkenyl, C<sub>6-40</sub> cycloalkyl, C<sub>7-40</sub> cycloalkylene, C<sub>3-20</sub> methoxy alkyl glycol ether, C<sub>3-20</sub> alkyl glycol ether, or Y-OH moiety; wherein each Y is an independently selected alkylphenol-free moiety that is a C<sub>2-40</sub> alkylene, C<sub>7-40</sub> cycloalkylene, or C<sub>3-40</sub> alkyl lactone moiety; wherein m is an integer ranging from 1 to 100; and wherein x is an integer ranging from 1 to 1000.

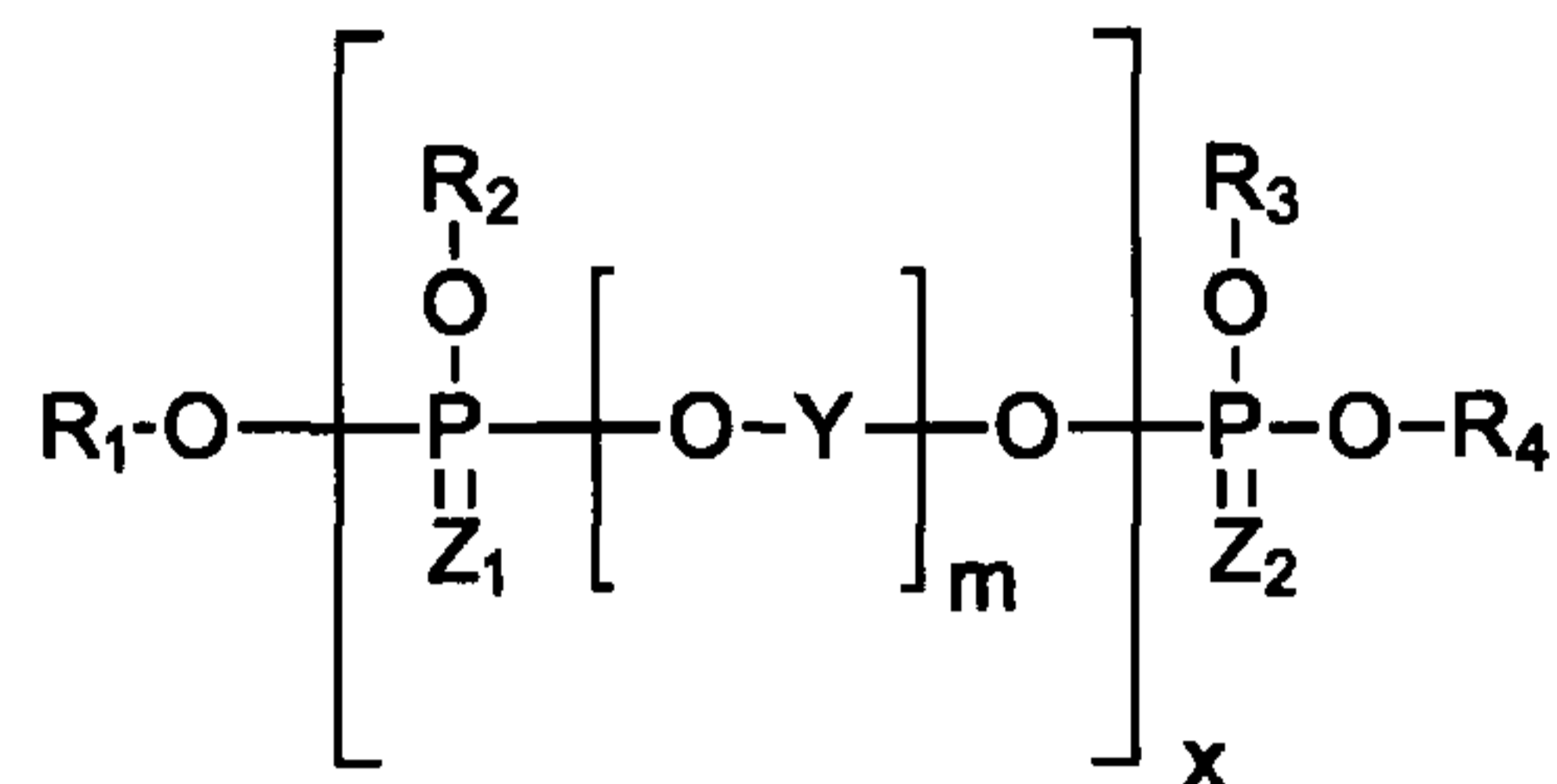
A composition having a compound having the structure:



wherein each R is an independently selected alkylphenol-free moiety that is a C<sub>1-20</sub> alkyl, C<sub>2-22</sub> alkenyl, C<sub>6-40</sub> cycloalkyl, C<sub>7-40</sub> cycloalkylene, C<sub>3-20</sub> methoxy alkyl glycol ether, C<sub>3-20</sub> alkyl glycol ether, or Y-OH moiety; wherein each Y is an independently selected alkylphenol-free moiety

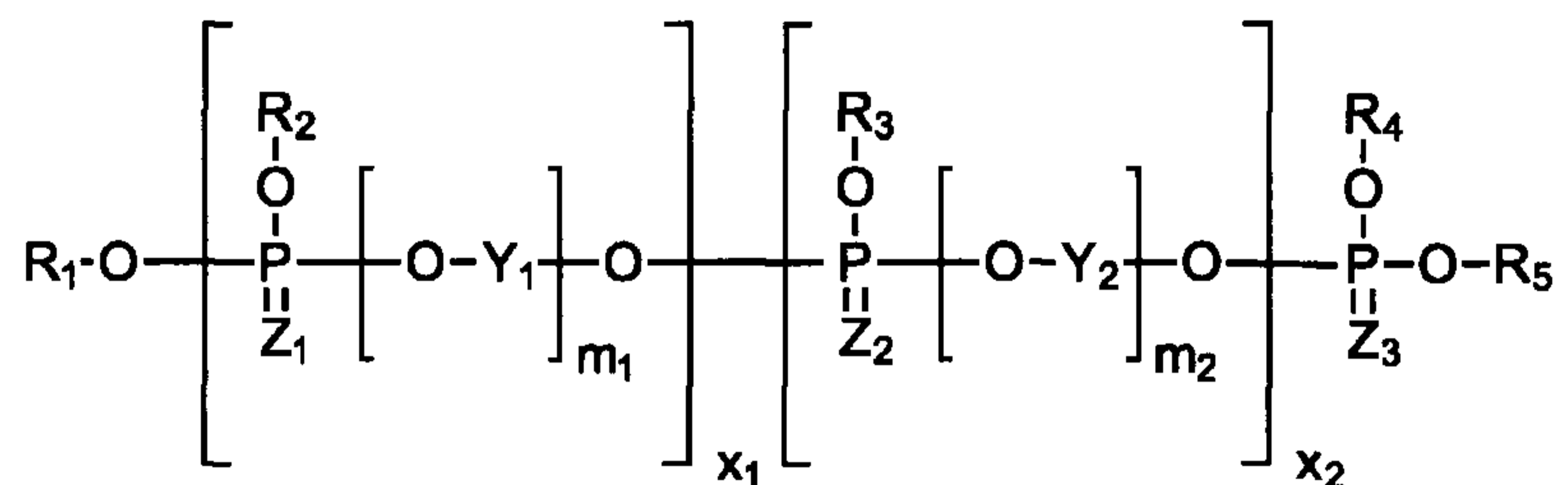
that is a C<sub>2-40</sub> alkylene, C<sub>7-40</sub> cycloalkylene, or C<sub>3-40</sub> alkyl lactone moiety; wherein m is an integer ranging from 1 to 100; and wherein x is an integer ranging from 1 to 1000.

A composition having a compound having the structure:



wherein each R is an independently selected alkylphenol-free moiety that is a C<sub>1-20</sub> alkyl, C<sub>2-22</sub> alkenyl, C<sub>6-40</sub> cycloalkyl, C<sub>7-40</sub> cycloalkylene, C<sub>3-20</sub> methoxy alkyl glycol ether, C<sub>3-20</sub> alkyl glycol ether, or Y-OH moiety; wherein each Y is an independently selected alkylphenol-free moiety that is a C<sub>2-40</sub> alkylene, C<sub>7-40</sub> cycloalkylene, or C<sub>3-40</sub> alkyl lactone moiety; wherein each Z is independently selected from the group consisting of S and O; wherein m is an integer ranging from 1 to 100; and wherein x is an integer ranging from 1 to 1000.

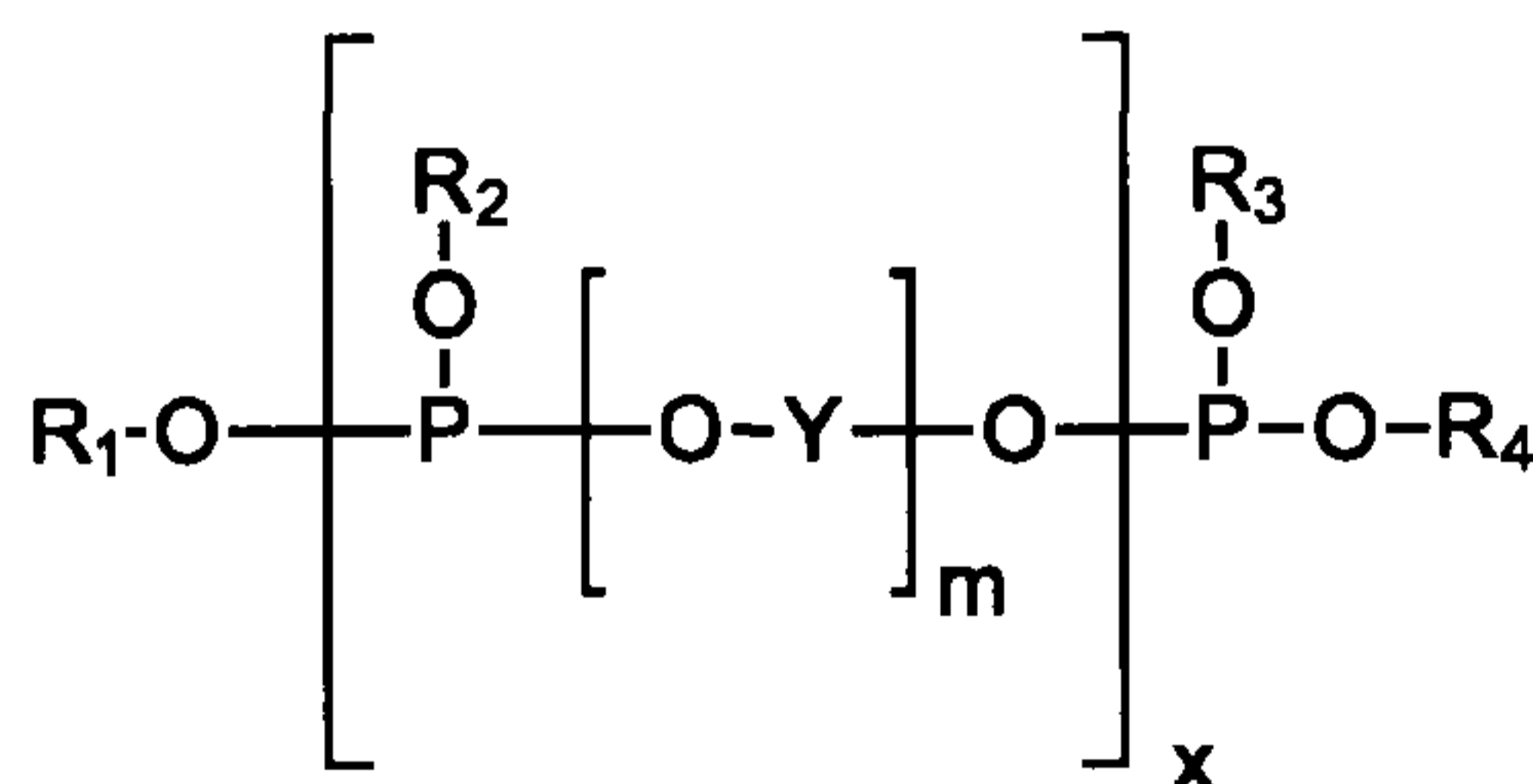
A composition having a compound having the structure:



wherein each R is an independently selected alkylphenol-free moiety that is a C<sub>1-20</sub> alkyl, C<sub>2-22</sub> alkenyl, C<sub>6-40</sub> cycloalkyl, C<sub>7-40</sub> cycloalkylene, C<sub>3-20</sub> methoxy alkyl glycol ether, C<sub>3-20</sub> alkyl glycol ether, or Y-OH moiety; wherein each Y is an independently selected alkylphenol-free moiety that is a C<sub>2-40</sub> alkylene, C<sub>7-40</sub> cycloalkylene, or C<sub>3-40</sub> alkyl lactone moiety; wherein each Z is

independently selected from the group consisting of S and O; wherein m is an integer ranging from 1 to 100; and wherein x is an integer ranging from 1 to 1000.

A method having the step of using the following compound as a metalworking fluid additive:



wherein each R is an independently selected moiety that is a C<sub>1-20</sub> alkyl, C<sub>2-22</sub> alkenyl, C<sub>6-40</sub> cycloalkyl, C<sub>7-40</sub> cycloalkylene, C<sub>3-20</sub> methoxy alkyl glycol ether, C<sub>3-20</sub> alkyl glycol ether, or Y-OH moiety; wherein each Y is an independently selected alkylphenol-free moiety that is a C<sub>2-40</sub> alkylene, C<sub>7-40</sub> cycloalkylene, or C<sub>3-40</sub> alkyl lactone moiety; wherein m is an integer ranging from 1 to 100; and wherein x is an integer ranging from 1 to 1000.

#### BRIEF DESCRIPTION OF THE SEVERAL VIEWS OF THE DRAWING

Figure 1 is a picture of a Timken testing apparatus.

Figure 2 is a graph showing Falex Pin and Vee Block test results.

Figure 3 is a graph showing Falex Pin and Vee Block test results.

Figure 4 is a graph showing Falex Pin and Vee Block test results.

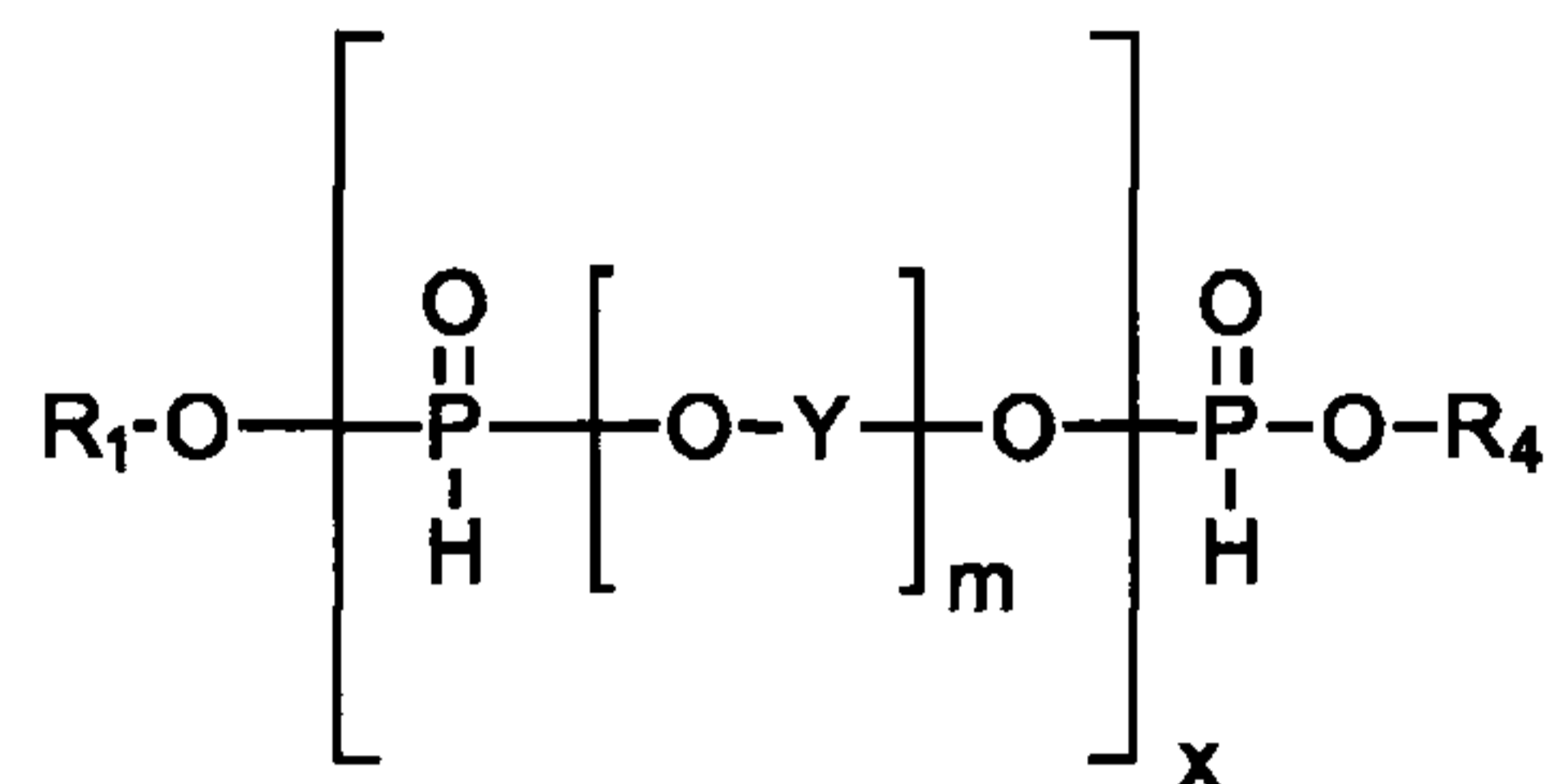
Figure 5 is a graph showing Falex Pin and Vee Block test results.

#### DETAILED DESCRIPTION OF THE INVENTION

Embodiments are directed to compounds that are useful as metalworking-fluid additives.



An embodiment is directed to polyhydrogen-phosphite compounds having the general structure:



wherein each R is an independently selected alkylphenol-free moiety that is a C<sub>1-20</sub> alkyl, C<sub>2-22</sub> alkenyl, C<sub>6-40</sub> cycloalkyl, C<sub>7-40</sub> cycloalkylene, C<sub>3-20</sub> methoxy alkyl glycol ether, C<sub>3-20</sub> alkyl glycol ether, or Y-OH moiety;

wherein each Y is an independently selected alkylphenol-free moiety that is a C<sub>2-40</sub> alkylene, C<sub>7-40</sub> cycloalkylene, or C<sub>3-40</sub> alkyl lactone moiety;

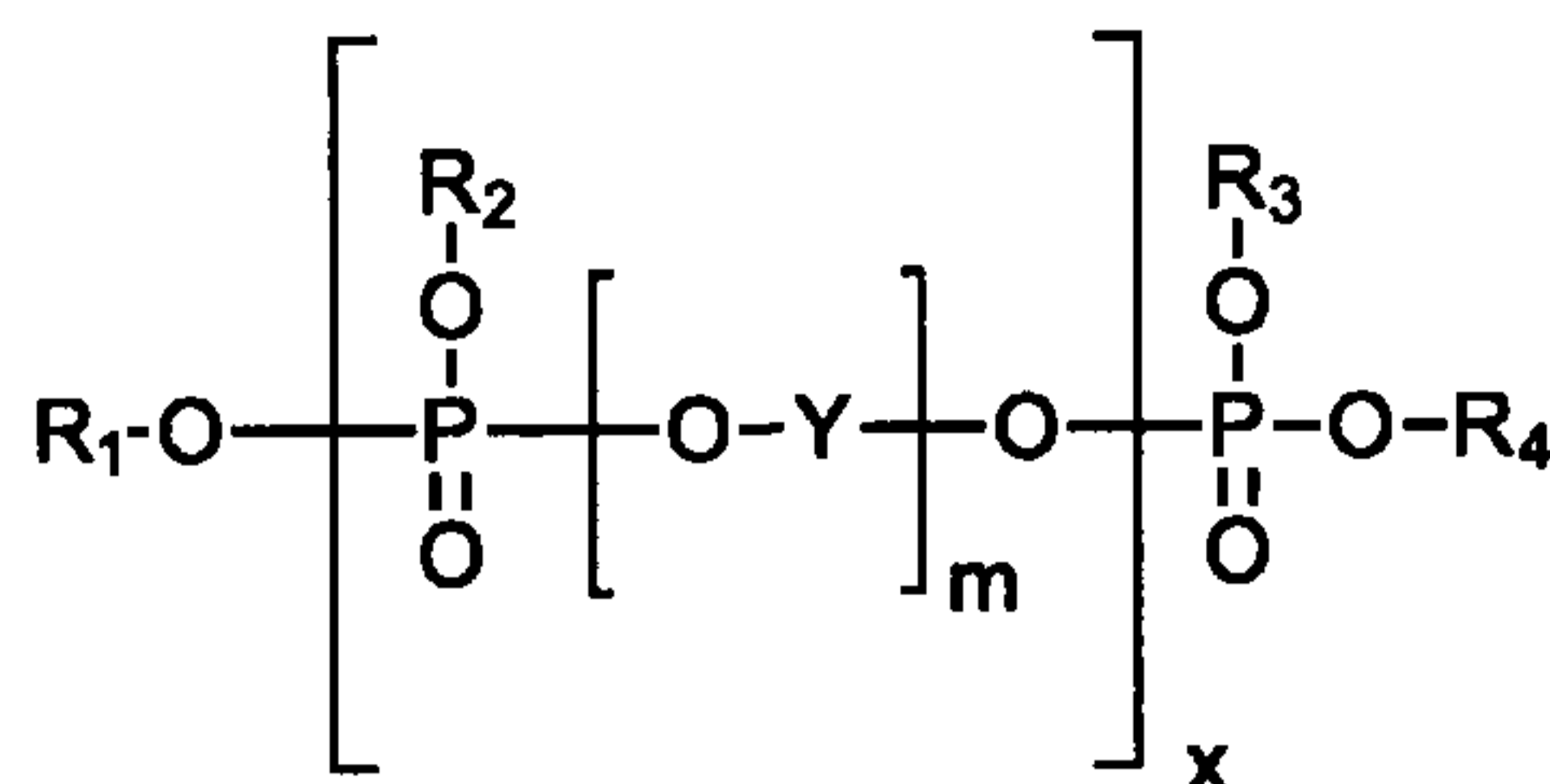
wherein m is an integer ranging from 1 to 100; and

wherein x is an integer ranging from 1 to 1000.

In some polyhydrogen-phosphite embodiments, each Y is an ethylene, propylene, or caprylactone moiety.

In some polyhydrogen-phosphite embodiments, the compound has a weight ranging from 1000 to 30000 Daltons. In some polyhydrogen-phosphite embodiments, the compound has a weight ranging from 400 to 30000 Daltons. In some polyhydrogen-phosphite embodiments, the compound has a weight ranging from 500 to 30000 Daltons.

An embodiment is directed to phosphate compounds having the general structure:



wherein each R is an independently selected alkylphenol-free moiety that is a C<sub>1-20</sub> alkyl, C<sub>2-22</sub> alkenyl, C<sub>6-40</sub> cycloalkyl, C<sub>7-40</sub> cycloalkylene, C<sub>3-20</sub> methoxy alkyl glycol ether, C<sub>3-20</sub> alkyl glycol ether, or Y-OH moiety;

wherein each Y is an independently selected alkylphenol-free moiety that is a C<sub>2-40</sub> alkylene, C<sub>7-40</sub> cycloalkylene, or C<sub>3-40</sub> alkyl lactone moiety;

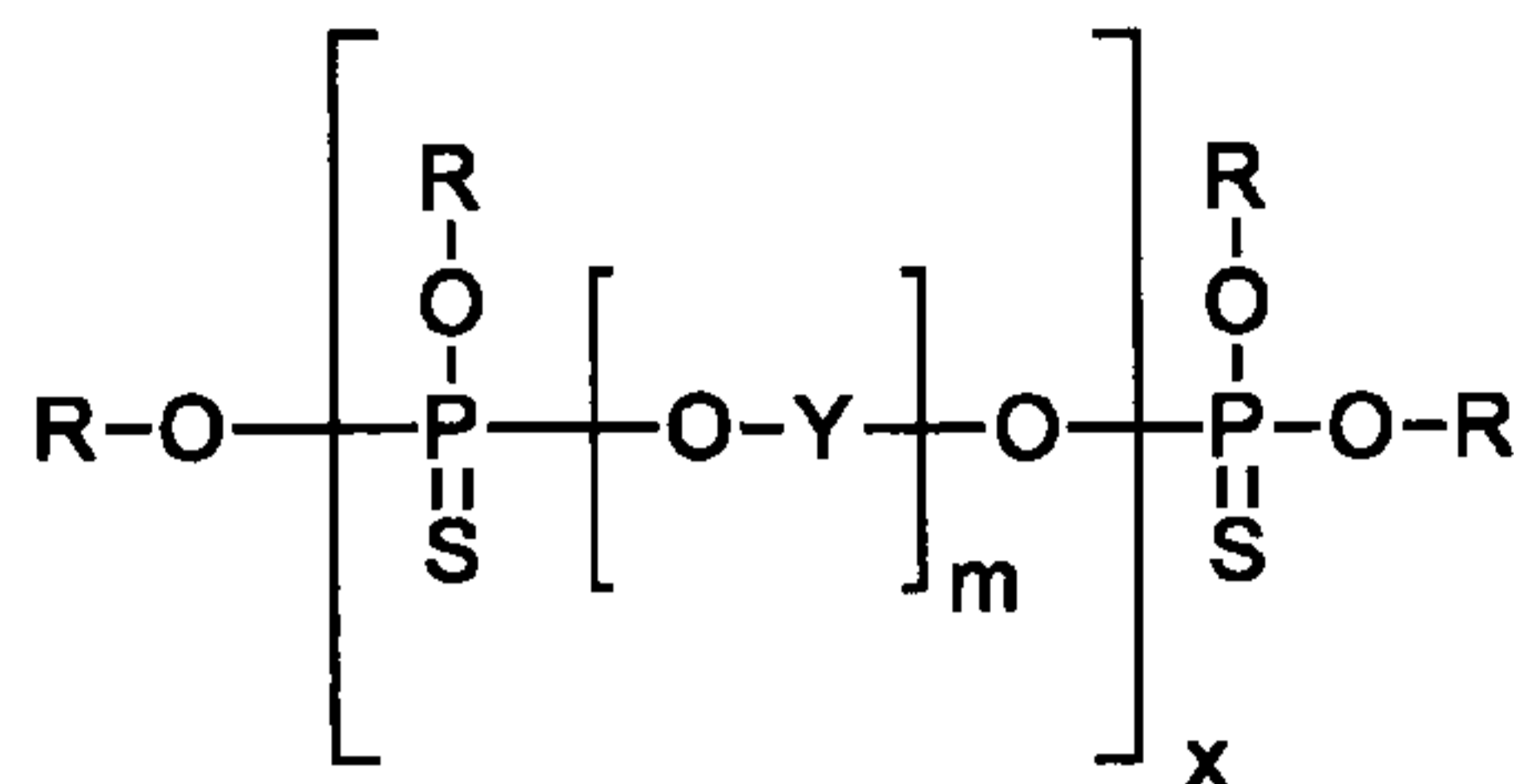
wherein m is an integer ranging from 1 to 100; and

wherein x is an integer ranging from 1 to 1000.

In some phosphate embodiments, each Y is an ethylene, propylene, or caprylactone moiety.

In some phosphate embodiments, the compound has a weight ranging from 1000 to 30000 Daltons. In some phosphate embodiments, the compound has a weight ranging from 400 to 30000 Daltons. In some phosphate embodiments, the compound has a weight ranging from 500 to 30000 Daltons.

An embodiment is directed to thiophosphate compounds having the general structure:



wherein each R is an independently selected alkylphenol-free moiety that is a C<sub>1-20</sub> alkyl, C<sub>2-22</sub> alkenyl, C<sub>6-40</sub> cycloalkyl, C<sub>7-40</sub> cycloalkylene, C<sub>3-20</sub> methoxy alkyl glycol ether, C<sub>3-20</sub> alkyl glycol ether, or Y-OH moiety;

wherein each Y is an independently selected alkylphenol-free moiety that is a C<sub>2-40</sub> alkylene, C<sub>7-40</sub> cycloalkylene, or C<sub>3-40</sub> alkyl lactone moiety;

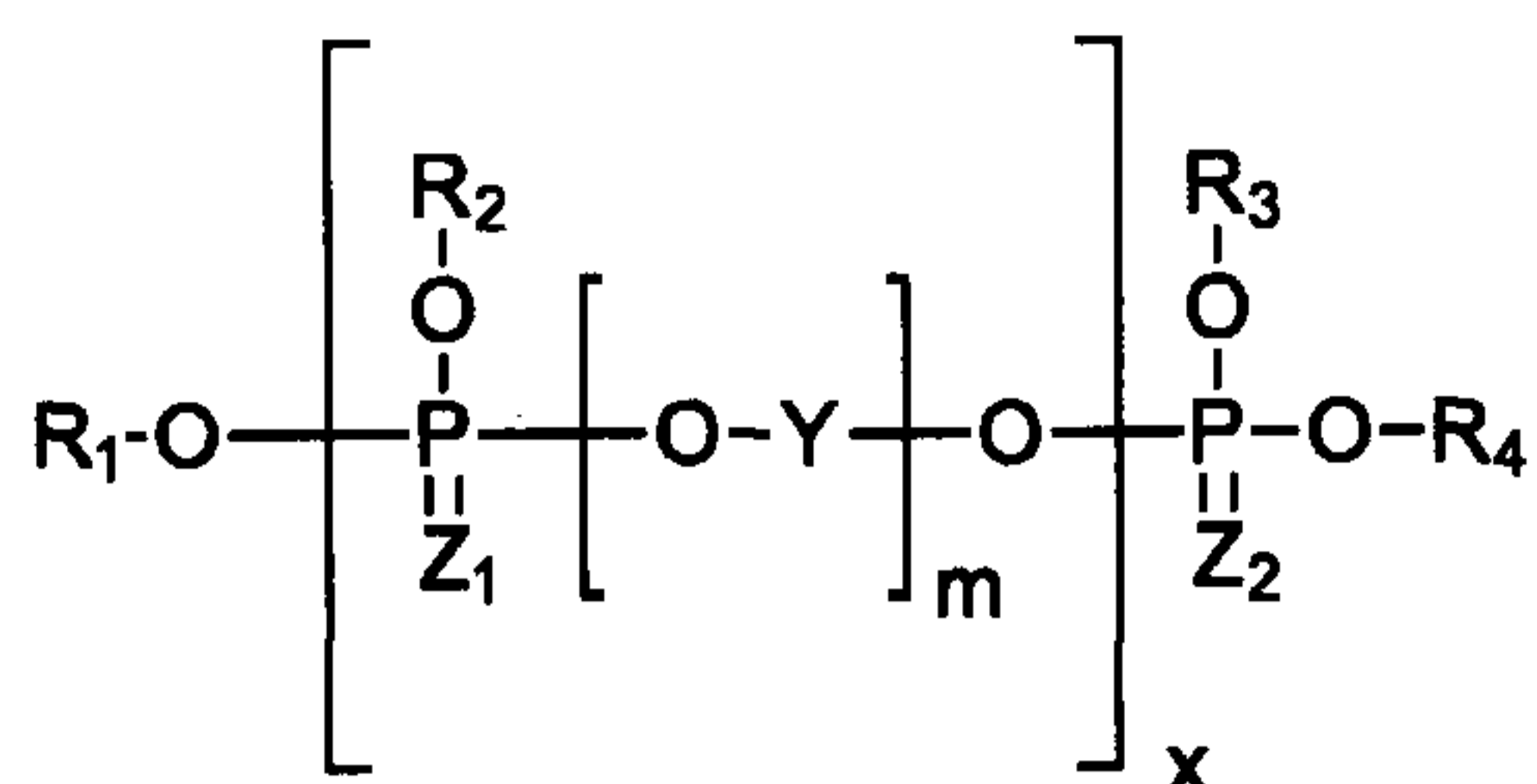
wherein m is an integer ranging from 1 to 100; and

wherein x is an integer ranging from 1 to 1000.

In some thiophosphate embodiments, each Y is an ethylene, propylene, or caprylactone moiety.

In some thiophosphate embodiments, the compound has a weight ranging from 1000 to 30000 Daltons. In some thiophosphate embodiments, the compound has a weight ranging from 400 to 30000 Daltons. In some thiophosphate embodiments, the compound has a weight ranging from 500 to 30000 Daltons.

An embodiment is directed to phosphorus-containing compounds having the general structure:



wherein each R is an independently selected alkylphenol-free moiety that is a C<sub>1-20</sub> alkyl, C<sub>2-22</sub> alkenyl, C<sub>6-40</sub> cycloalkyl, C<sub>7-40</sub> cycloalkylene, C<sub>3-20</sub> methoxy alkyl glycol ether, C<sub>3-20</sub> alkyl glycol ether, or Y-OH moiety;

wherein each Y is an independently selected alkylphenol-free moiety that is a C<sub>2-40</sub> alkylene, C<sub>7-40</sub> cycloalkylene, or C<sub>3-40</sub> alkyl lactone moiety;

wherein each Z is independently selected from the group consisting of S and O;

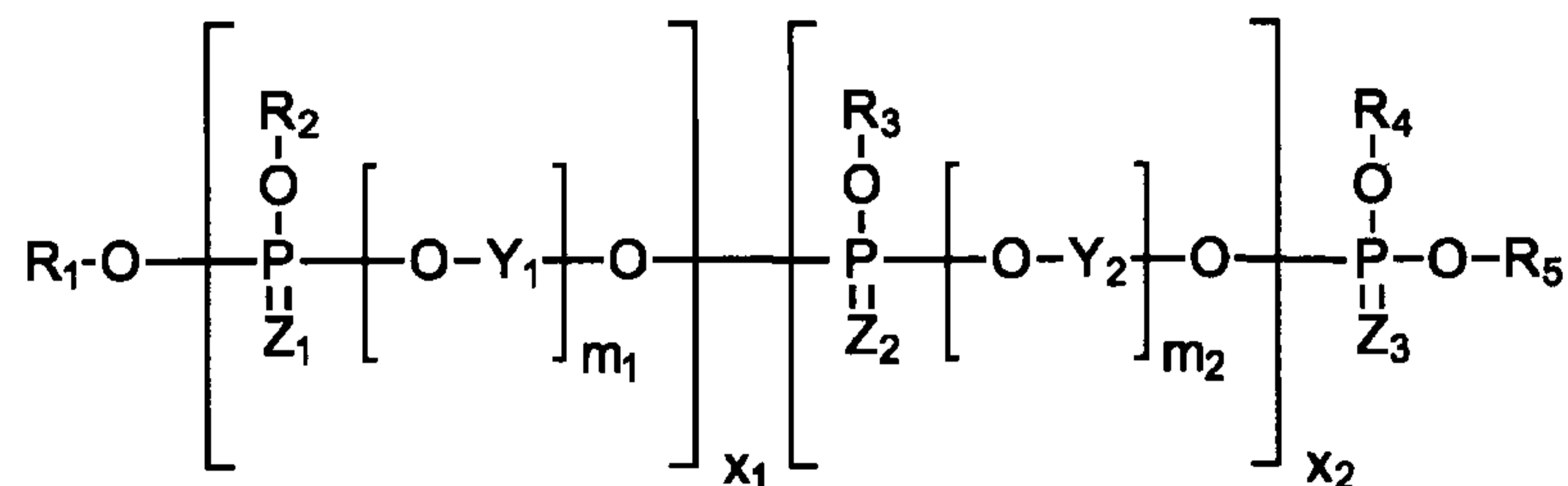
wherein m is an integer ranging from 1 to 100; and

wherein x is an integer ranging from 1 to 1000.

In some phosphorus-containing-compound embodiments, each Y is an ethylene, propylene, or caprylactone moiety.

In some phosphorus-containing-compound embodiments, the compound has a weight ranging from 1000 to 30000 Daltons. In some phosphorus-containing embodiments, the compound has a weight ranging from 400 to 30000 Daltons. In some phosphorus-containing embodiments, the compound has a weight ranging from 500 to 30000 Daltons.

An embodiment is directed to phosphorus-containing copolymer compounds having the general structure:



wherein each R is an independently selected alkylphenol-free moiety that is a C<sub>1-20</sub> alkyl, C<sub>2-22</sub> alkenyl, C<sub>6-40</sub> cycloalkyl, C<sub>7-40</sub> cycloalkylene, C<sub>3-20</sub> methoxy alkyl glycol ether, C<sub>3-20</sub> alkyl glycol ether, or Y-OH moiety;

wherein each Y is an independently selected alkylphenol-free moiety that is a C<sub>2-40</sub> alkylene, C<sub>7-40</sub> cycloalkylene, or C<sub>3-40</sub> alkyl lactone moiety;

wherein each Z is independently selected from the group consisting of S and O;

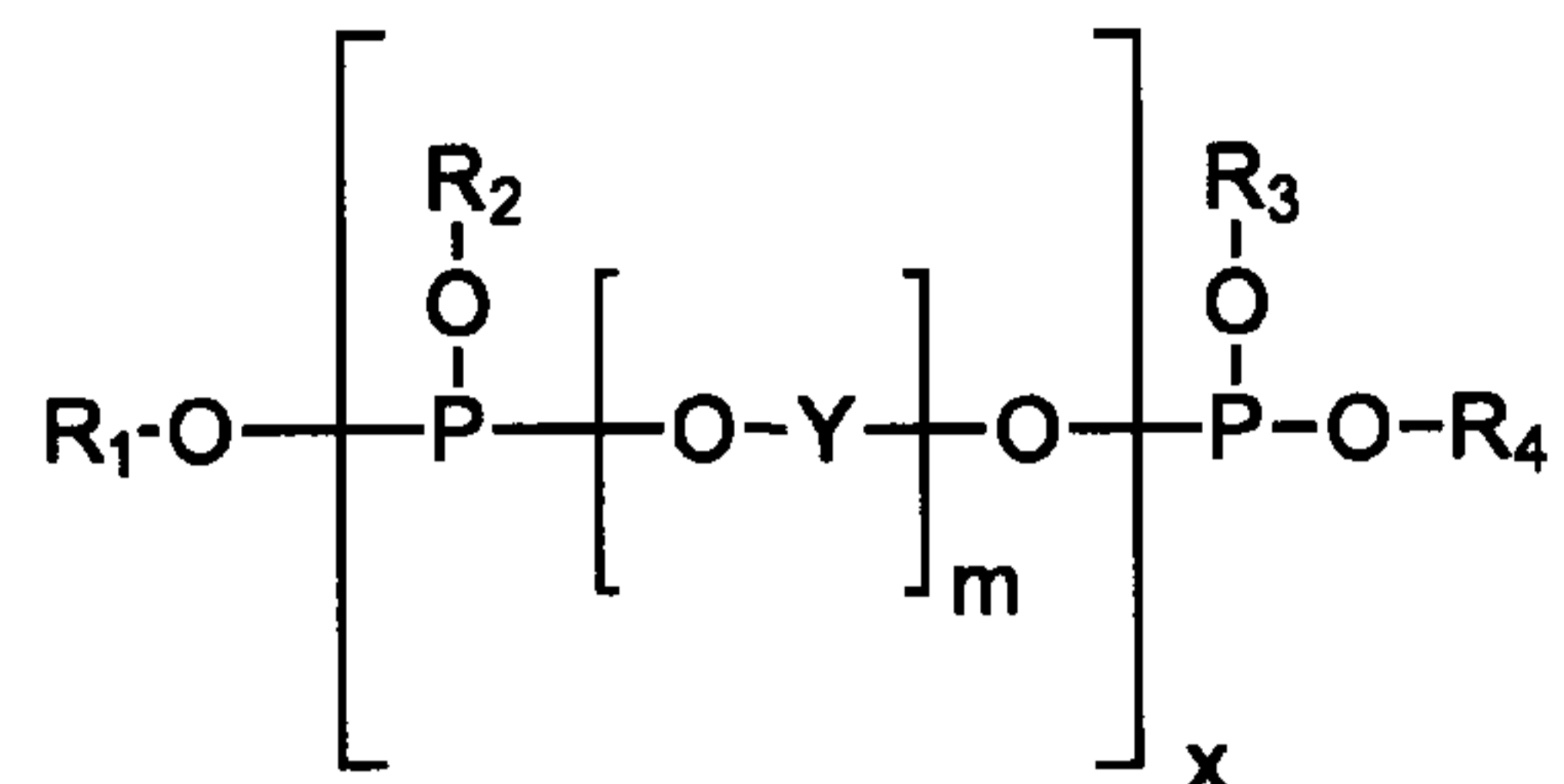
wherein m is an integer ranging from 1 to 100; and

wherein x is an integer ranging from 1 to 1000.

In some phosphorus-containing copolymer compound embodiments, each Y is an ethylene, propylene, or caprylactone moiety.

In some phosphorus-containing copolymer compound embodiments, the compound has a weight ranging from 1000 to 30000 Daltons. In some phosphorus-containing copolymer compound embodiments, the compound has a weight ranging from 400 to 30000 Daltons. In some phosphorus-containing copolymer compound embodiments, the compound has a weight ranging from 500 to 30000 Daltons.

An embodiment is directed to phosphite compounds having the general structure:



wherein each R is an independently selected moiety that is a C<sub>1-20</sub> alkyl, C<sub>2-22</sub> alkenyl, C<sub>6-40</sub> cycloalkyl, C<sub>7-40</sub> cycloalkylene, C<sub>3-20</sub> methoxy alkyl glycol ether, C<sub>3-20</sub> alkyl glycol ether, or Y-OH moiety;

wherein each Y is an independently selected alkylphenol-free moiety that is a C<sub>2-40</sub> alkylene, C<sub>7-40</sub> cycloalkylene, or C<sub>3-40</sub> alkyl lactone moiety;

wherein m is an integer ranging from 1 to 100; and

wherein x is an integer ranging from 1 to 1000.

In some phosphite embodiments, each Y is an ethylene, propylene, or caprylactone moiety.

In some phosphorus-containing copolymer compound embodiments, the compound has a weight ranging from 1000 to 30000 Daltons. In some phosphorus-containing copolymer compound embodiments, the compound has a weight ranging from 400 to 30000 Daltons. In some phosphorus-containing copolymer compound embodiments, the compound has a weight ranging from 500 to 30000 Daltons.

Methods for manufacturing phosphite compounds, polyhydrogen phosphite compounds, phosphate compounds, thiophosphate compounds, and thiophosphite-phosphate copolymer compounds can be determined by persons of ordinary skill in the art without having to exercise undue experimentation. Non-limiting examples of manufacturing methods can be found in the below Examples.

Metalworking additives are well known, and any of the above compounds, either alone or in any combination, can be used as additives for metalworking fluids. Any of the above compounds, either alone or in any combination, can be used as additives for metalworking fluids in useful amounts that can be determined by persons of ordinary skill in the art. As a non-limiting example, useful amounts of the above compounds, either alone or in any combination, range from 5 to 10 % by weight of the metalworking fluid. In an additional non-limiting example, useful amounts of the above compounds, either alone or in any combination, range from 0.5 to 20 % by weight of the metalworking fluid.

In any of the above sulfur-containing compounds, the amount of sulfur within the compound can range from 50 to 100 mole percent relative to the amount of phosphorus within the compound; stated differently, in any of the above sulfur-containing compounds, anywhere from half to all of the phosphorus atoms are bonded to a sulfur atom. In another embodiment, the amount of sulfur within the compound can range from 90 to 100 mole percent relative to the amount of phosphorus within the compound. In another embodiment, the amount of sulfur within the compound is 100 mole percent relative to the amount of phosphorus within the compound.

#### EXAMPLES I

##### *TNPP-T (Trisnonylphenyl thiophosphate)*

To a three-neck 250 mL flask equipped with a mechanical stirrer and purged with nitrogen was added 75.83 grams of triisnonylphenol phosphite (0.110 mol), with a total nonylphenol content ranging from 0.05% to 0.5% with 0.1% being the target and 0.39 grams of 2,5-dimercapto-1,3,4-thiadiazole (0.0026 mol). The mixture was mixed well and heat was applied to a reaction temperature of 240°F. 3.37 grams of elemental sulfur (0.130 mol) was then added at this temperature. After one hour, the reaction temperature is increased to 280°F and held for 16-24 hours. This reaction takes place under a nitrogen blanket. The resulting thiophosphate had the following analysis:

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% Phosphorous	4.5
% Sulfur	4.2
Density 20C	1.01
Color, APHA	50
% Nonylphenol	<0.20

*LGP-11-T (Alkylphenol free polymeric polyphosphite), US patent 8,563,637B*

To a three-neck 250 mL flask equipped with a mechanical stirrer and purged with nitrogen was added 75.83 grams of a alkylphenol-free liquid polymeric phosphite (Example #2 from US patent 8,563,637) ,with a molecular weight of about 9100 and 0.39 grams of 2,5-dimercapto-1,3,4-thiadiazole (0.0026 mol). The mixture was mixed well and heat was applied to a reaction temperature of 240°F. Then 3.51 grams of elemental sulfur (0.109 mol) was added. After one hour, the reaction temperature is increased to 280°F and held for 16-24 hours. This reaction takes place under a nitrogen blanket. The resulting alkyl phenol free polymeric thiophosphate had the following analysis:

% Phosphorous	4.7
% Sulfur	4.4
Density 20C	
Color, APHA	60
% Nonylphenol	0

*LGP-12-T (alkylphenol free cycloaliphatic poly and copoly phosphites) US patent 8,981,042B2*



To a three-neck 250 mL flask equipped with a mechanical stirrer and purged with nitrogen was added 75.83 grams of cycloaliphatic polyphosphite (Example 2 from US patent 8,981,042) with a molecular weight range of about 14,000 and 0.39 grams of 2,5-dimercapto-1,3,4-thiadiazole (0.0026 mol). The mixture was mixed well and heat was applied to a reaction temperature of 240°F. 5.52 grams of elemental sulfur (0.172 mol) was then added. After one hour, the reaction temperature is increased to 280°F and held for 16-24 hours. This reaction takes place under a nitrogen blanket. The resulting analysis of the phenol free cycloaliphatic alkylated poly thiophosphate was:

% Phosphorous	7.2
% Sulfur	6.75
Color, APHA	50
% Nonylphenol	0

*LGP(DPG)-11-T, US patent 8,563,637B*

To a three-neck 250 mL flask equipped with a mechanical stirrer and purged with nitrogen was added 75.83 grams of a alkylphenol-free liquid polymeric phosphite (Example #3 from US patent 8,563,637), with a molecular weight of about 1200 and 0.39 grams of 2,5-dimercapto-1,3,4-thiadiazole (0.0026 mol). The mixture was mixed well and heat was applied to a reaction temperature of 240°F. Then 6.29 grams of elemental sulfur (0.196 mol) was added. After one hour, the reaction temperature is increased to 280°F and held for 16-24 hours. This reaction takes place under a nitrogen blanket. The resulting alkyl phenol free polymeric thiophosphate had the following analysis:

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% Phosphorous	7.8
% Sulfur	7.6
Color, APHA	60
% Nonylphenol	0

*DP-6T (Triisodecyl phosphite) Doverphos 6*

To a three-neck 250 mL flask equipped with a mechanical stirrer and purged with nitrogen was added 75.83 grams of a Triisodecyl phosphite, with a molecular weight of about 500 and 0.39 grams of 2,5-dimercapto-1,3,4-thiadiazole (0.0026 mol). The mixture was mixed well and heat was applied to a reaction temperature of 240°F. Then 4.87 of elemental sulfur (0.152 mol) was added. After one hour, the reaction temperature is increased to 280°F and held for 16-24 hours. This reaction takes place under a nitrogen blanket. The resulting alkyl phenol free thiophosphate had the following analysis:

% Phosphorous	6.2
% Sulfur	6.0
Color, APHA	60
% Nonylphenol	0

*Testing Methodology*

**Four Ball Wear:** This test is used for evaluating friction- reducing and anti-wear fluids. Testing involves 3 stationary steel balls secured in a steel cup and a 4<sup>th</sup> steel ball lowered to make contact with the 3 stationary balls. The fluid to be tested is poured into the cup. The 4<sup>th</sup> ball is the only ball that spins. Typical rpm for the ball is 1200 rpm. The single ball spins in contact

with the 3 stationary balls at a constant load of 40 kg. Typical run time is 1 hour. The wear on the lower 3 balls is measured and reported in mm. The fluid to produce the smallest wear scars has the best performance.

Parameter	Setting
Load (kg)	40
Temperature	Ambient
Time (min)	60
Dilution Rate	5%
Speed (rpm)	1,200

#### WEAR SCAR (mm)

Ball	Example 1	Example 2	Example 3	Example 4	Example 5
1	0.91	0.39	0.52	0.52	0.57
2	0.91	0.39	0.52	0.52	0.55
3	0.86	0.39	0.52	0.52	0.55
Avg. mm	0.89	0.39	0.52	0.52	0.55

Test results clearly show that the alkylphenol free polymeric polyphosphites give excellent results, better than the commercial trisnonylphenyl thiophosphate with excellent color. And there are no alkylphenols in the final products.

Timken Testing: Timken testing was carried out by adding weight to a lever applying pressure to a block that is in contact with a wheel. The bottom portion of the wheel is submersed in the fluid to be tested. As the wheel spins, the lubricant is carried to the interface of the block and wheel. A one pound weight is added to the lever every minute until a maximum of 13 pounds has been added. The wear scar on the block is measured and reported in millimeters. See Figure 1.

## WEAR SCAR (mm)

<b>Example 1</b>	<b>Example 2</b>	<b>Example 3</b>	<b>Example 4</b>	<b>Example 5</b>
2.34	2.08	2.08	2.24	2.60

Test results clearly show that the alkylphenol free polymeric polyphosphites give excellent results, better than the commercial trisnonylphenyl thiophosphate with excellent color. And there are no alkylphenols in the final products.

## EXAMPLES II

The following formulae were prepared for various machine testing:

## Oil Based Formulae

<b>Additive</b>	<b>Functionality</b>	<b>Conc. % By Weight</b>	<b>Methyl Ester Added</b>
Paroil 152	Chlorinated Paraffin	5	7
Mayfree 133	Phosphate Amide	2.6	4.4
Doverphos 253	Di-oleyl Hydrogen Phosphite	2.6	7
Doverphos 53	Tri-lauryl Phosphite	2.6	7
Doverphos 50	Phosphite	2.6	7
Complex Ester 5%	Ester	5	0
Complex Ester 10%	Ester	10	0
Complex Ester 25%	Ester	25	0
Alkylphenol Free Polymeric Phosphite A	Phosphite	2.6	7
Alkylphenol Free Polymeric Phosphite B	Phosphite	2.6	7
Base 10SE	Sulfurized Ester	5	2
Alkylphenol Free Polymeric Thiophosphate A	Phos & Sulfur	5	7
Alkylphenol Free Polymeric Thiophosphate B	Phos & Sulfur	5	7
ZDDP	Phos, Sulfur & Zinc	2.6	7

### Water Based Formulae

The water based formulae were prepared using a commercial semi-synthetic. The additive was added to either the Super Concentrate (SC) prior to dilution of the semi-synthetic with water, or to the concentrate after 50% dilution of the semi-synthetic with water. After the 50% dilution with water, all testing was conducted with the semi-synthetic diluted in water at 5%.

Additive	% Added to S.C.	% Added to Concentrate	Final Conc. %
Paroil 152	5	0	5
Mayfree 133	0	2.6	5
Doverphos 253	0	2.6	5
Doverphos 53	0	2.6	5
Dovephos 50	0	2.6	5
Complex Ester 5%	0	5	5
Alkylphenol Free Polymeric Phosphite A	0	2.6	5
Alkylphenol Free Polymeric Phosphite B	0	2.6	5

### Testing Methodology

#### Oil Based Testing:

Four Ball Wear: This test is used for evaluating friction-reducing and anti-wear fluids. Testing involves 3 stationary steel balls secured in a steel cup and a 4<sup>th</sup> steel ball lowered to make contact with the 3 stationary balls. The fluid to be tested is poured into the cup. The 4<sup>th</sup> ball is the only ball that spins. Typical rpm for the ball is 1200 rpm. The single ball spins in contact

with the 3 stationary balls at a constant load of 40 kg. Typical run time is 1 hour. The wear on the lower 3 balls is measured and reported in mm. The fluid to produce the smallest wear scars has the best performance.

Parameter	Setting
Load (kg)	40
Temperature	Ambient
Time (min)	60
Speed (rpm)	1,200

## WEAR SCAR (mm)

Additive	Average Wear, mm
Paroil 152, Std.	0.99
Doverphos 53	0.41
ZDDP	0.45
Base 10SE	0.52
Doverphos 253	0.54
Mayfree 133	0.61
Alkylphenol Free Polymeric Phosphite A	0.36
Alkylphenol Free Polymeric Phosphite B	0.49
Doverphos 50	0.46
Alkylphenol Free Polymeric Thiophosphate A	0.36
Alkylphenol Free Polymeric Thiophosphate B	0.39
Polymeric Ester-5%	0.66
Polymeric Ester-10%	0.65
Polymeric Ester-25%	0.53

Vertical Drawbead: Vertical Drawbead is a machine used to determine a fluids ability to form a piece of metal. Vertical Drawbead works by applying pressure to a coated metal strip. The formulae to be tested is applied to a 24 inch metal strip which is raised between two dye. The dyes apply 500 psi of pressure to the bottom of the strip. The coated strip is pulled between the two dyes. The amount of force needed to pull the strip between the dyes, is plotted by an X-Y plotter and the force is calculated from this curve. In all cases, higher percent efficiency refers to the performance of the fluid being better.

In this test, all formulae were evaluated on 1018 Steel and 316 Stainless Steel.

### 316 Stainless Steel

Additive	% Efficiency
Paroil 152, Std.	100.0
Doverphos 53	95.1
ZDDP	103.8
Base 10SE	81.0
Doverphos 253	77.3
Mayfree 133	102.2
Alkylphenol Free Polymeric Phosphite A	70.3
Alkylphenol Free Polymeric Phosphite B	46.4
Doverphos 50	103.8
Alkylphenol Free Polymeric Thiophosphate A	114.2
Alkylphenol Free Polymeric Thiophosphate B	119.0
Polymeric Ester-5%	112.5
Polymeric Ester-10%	116.8
Polymeric Ester-25%	147.6



**1018 Steel**

<b>Additive</b>	<b>% Efficiency</b>
Paroil 152, Std.	100.0
Doverphos 53	109.4
ZDDP	103.8
Base 10SE	103.3
Doverphos 253	105.4
Mayfree 133	97.1
Alkylphenol Free Polymeric Phosphite A	103.5
Alkylphenol Free Polymeric Phosphite B	102.3
Doverphos 50	111.6
Alkylphenol Free Polymeric Thiophosphate A	107.0
Alkylphenol Free Polymeric Thiophosphate B	102.3
Polymeric Ester-5%	111.9
Polymeric Ester-10%	113.1
Polymeric Ester-25%	129.5

**Microtap Tap and Torque Testing:** Microtap testing is one method used to determine a fluids ability to remove metal. A metal bar with predrilled holes is fastened to a vice. The tap and the metal bar are coated in the fluid to be tested. The tap rotates to tap out the pre-drilled hole. The force needed to tap the hole is measured by a computer and is reported as torque in newton centimeters. In all cases, higher percent efficiency refers to the performance of the fluid being better.

In this test, all formulae were evaluated on 1018 Steel.

**1018 Steel**

<b>Additive</b>	<b>% Efficiency</b>
Paroil 152, Std.	100.0
Doverphos 53	101.7
ZDDP	101.1
Base 10SE	100.5
Doverphos 253	101.1
Mayfree 133	103.8
Alkylphenol Free Polymeric Phosphite A	103.1
Alkylphenol Free Polymeric Phosphite B	102.9
Doverphos 50	103.8
Alkylphenol Free Polymeric Thiophosphate A	103.5
Alkylphenol Free Polymeric Thiophosphate B	104.3
Polymeric Ester-5%	105.2
Polymeric Ester-10%	104.0
Polymeric Ester-25%	106.9

Falex Pin and Vee Block Testing: Falex Pin and Vee Block measures the fluids ability to perform in more severe operations, such as cold heading, but can also apply to grinding operations. A pin is fastened using a brass shear pin. Two Vee blocks are clamped onto the pin. The pin and vee blocks are submerged in the fluid to be tested. The load applied on the pin from the vee blocks begins at 250 pounds. The load is increased automatically by a ratcheting arm as the pin spins between the two vee blocks. The torque generated by the load on the pin is read at 250 pound load and is recorded every 250 pounds until a final load of 4500 pounds is reached or a failure occurs. A failure implies the pin or shear pin has broken. See Figures 2 and 3.

***Water Based Testing:***

Microtap Tap and Torque Testing: Microtap testing is one method used to determine a fluids ability to remove metal. A metal bar with predrilled holes is fastened to a vice. The tap and the

metal bar are coated in the fluid to be tested. The tap rotates to tap out the predrilled hole. The force needed to tap the hole is measured by a computer and is reported as torque in newton centimeters. In all cases, higher percent efficiency refers to the performance of the fluid being better.

In this test, all formulae were evaluated on 1018 Steel and 316 Stainless Steel.

### 316 Stainless Steel

Additive	% Efficiency
Paroil 152, Std.	100.0
Doverphos 53	108.6
Doverphos 253	112.0
Mayfree 133	117.6
Alkylphenol Free Polymeric Phosphite A	109.4
Alkylphenol Free Polymeric Phosphite B	112.1
Doverphos 50	109.4
Polymeric Ester-5%	107.6

### 1018 Steel

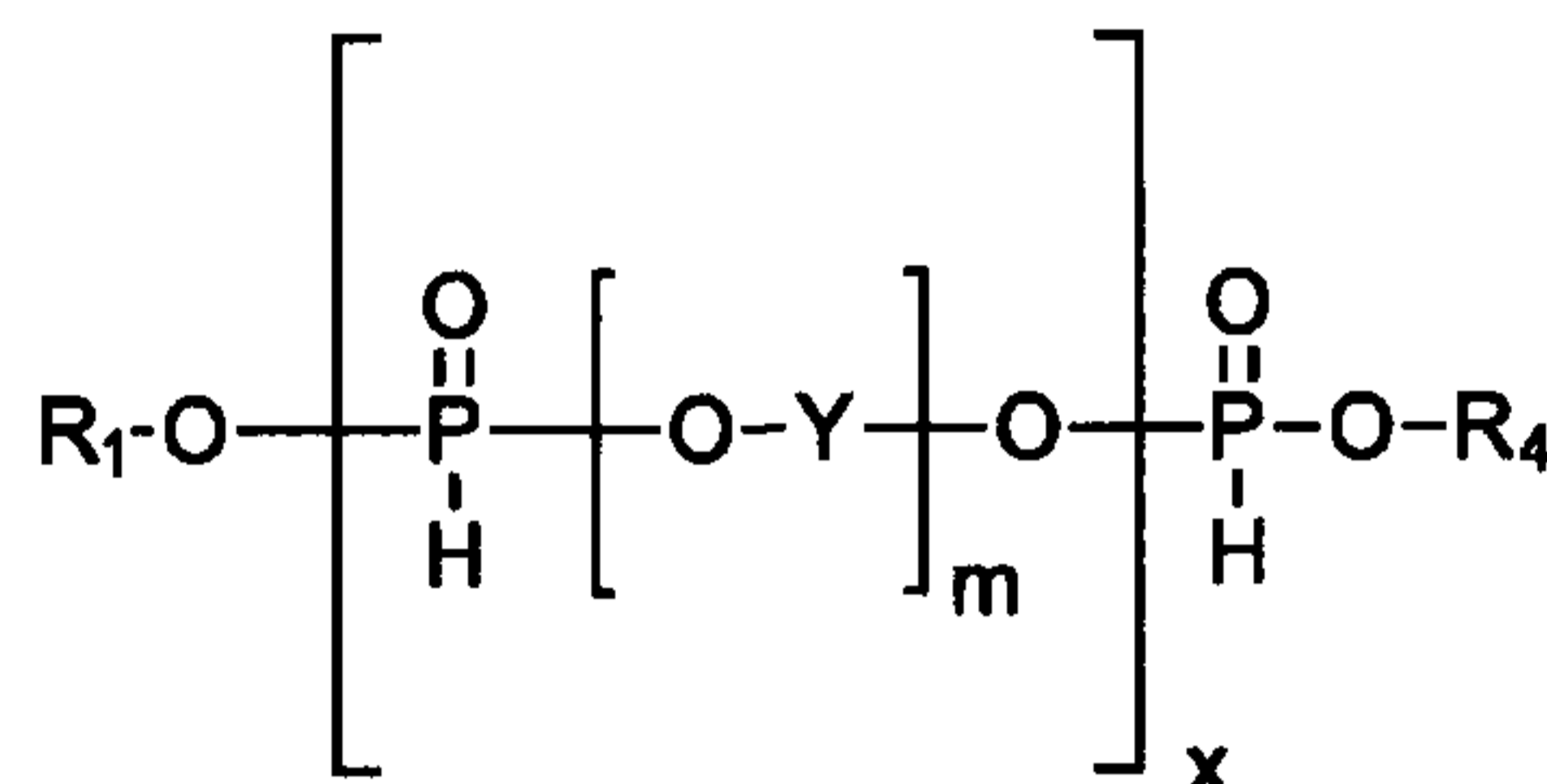
Additive	% Efficiency
Paroil 152, Std.	100.0
Doverphos 53	102.4
Doverphos 253	101.1
Mayfree 133	101.9
Alkylphenol Free Polymeric Phosphite A	100.9
Alkylphenol Free Polymeric Phosphite B	100.2
Doverphos 50	100.0
Polymeric Ester-5%	99.3

Falex Pin and Vee Block Testing: Falex Pin and Vee Block measures the fluids ability to perform in more severe operations, such as cold heading, but can also apply to grinding operations. A pin is fastened using a brass shear pin. Two Vee blocks are clamped onto the pin. The pin and vee blocks are submerged in the fluid to be tested. The load applied on the pin from the vee blocks begins at 250 pounds. The load is increased automatically by a ratcheting arm as the pin spins between the two vee blocks. The torque generated by the load on the pin is read at 250 pound load and is recorded every 250 pounds until a final load of 4500 pounds is reached or a failure occurs. A failure implies the pin or shear pin has broken. See Figures 4 and 5.

## CLAIMS

What is claimed is:

1. A composition comprising a compound having the structure:



wherein each R is an independently selected alkylphenol-free moiety that is a C<sub>1-20</sub> alkyl, C<sub>2-22</sub> alkenyl, C<sub>6-40</sub> cycloalkyl, C<sub>7-40</sub> cycloalkylene, C<sub>3-20</sub> methoxy alkyl glycol ether, C<sub>3-20</sub> alkyl glycol ether, or Y-OH moiety;

wherein each Y is an independently selected alkylphenol-free moiety that is a C<sub>2-40</sub> alkylene, C<sub>7-40</sub> cycloalkylene, or C<sub>3-40</sub> alkyl lactone moiety;

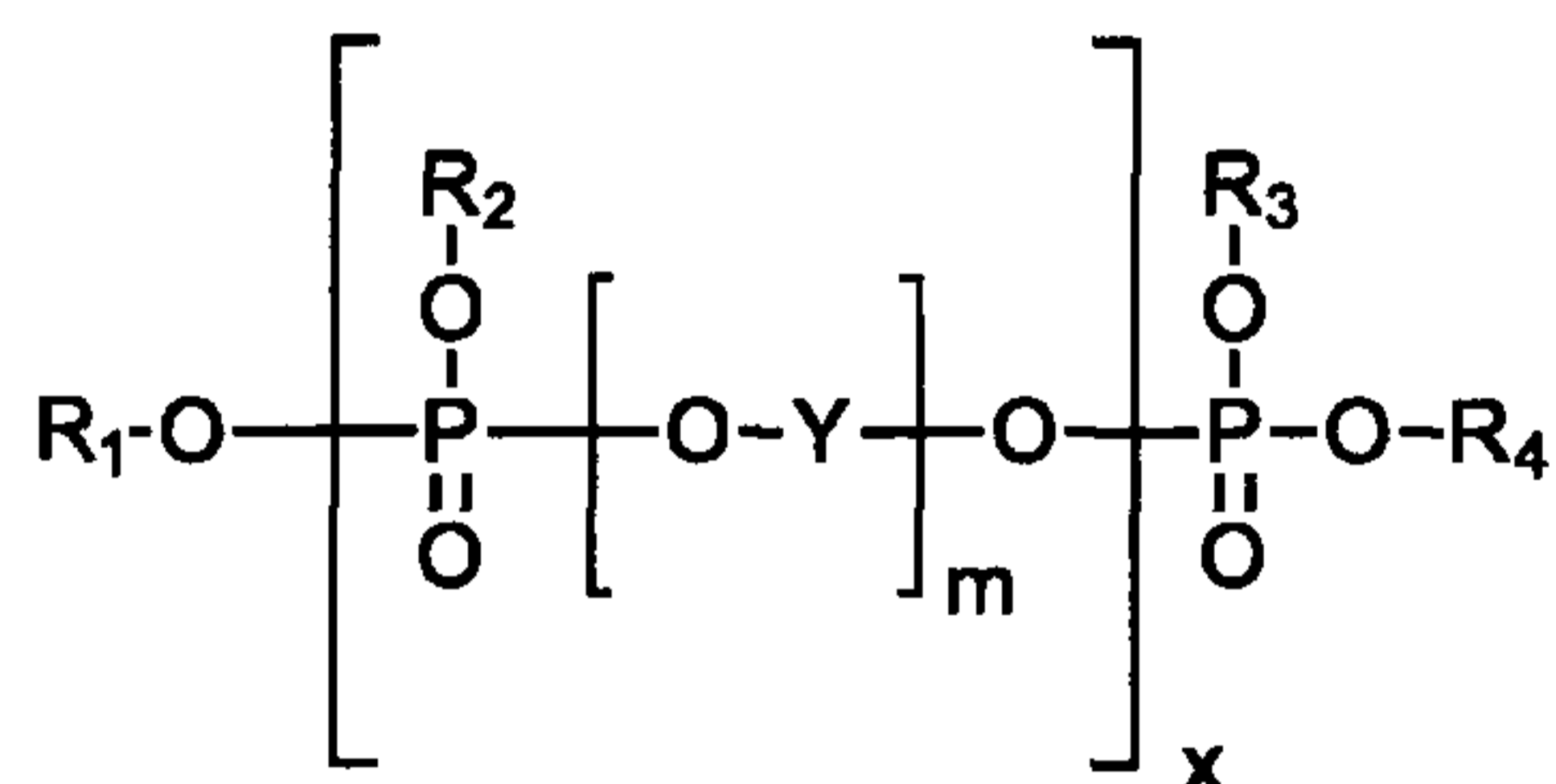
wherein m is an integer ranging from 1 to 100; and

wherein x is an integer ranging from 1 to 1000.

2. The composition of claim 1, wherein each Y is an ethylene, propylene, or caprylactone moiety.

3. The composition of claim 1, wherein the compound has a weight ranging from 1000 to 30000 Daltons.

4. The composition of claim 1, wherein the compound has a weight ranging from 400 to 30000 Daltons.
5. The composition of claim 1, wherein the compound has a weight ranging from 500 to 30000 Daltons.
6. A method comprising the step of using the compound of claim 1 as a metalworking fluid additive.
7. A composition comprising a compound having the structure:



wherein each R is an independently selected alkylphenol-free moiety that is a C<sub>1-20</sub> alkyl, C<sub>2-22</sub> alkenyl, C<sub>6-40</sub> cycloalkyl, C<sub>7-40</sub> cycloalkylene, C<sub>3-20</sub> methoxy alkyl glycol ether, C<sub>3-20</sub> alkyl glycol ether, or Y-OH moiety;

wherein each Y is an independently selected alkylphenol-free moiety that is a C<sub>2-40</sub> alkylene, C<sub>7-40</sub> cycloalkylene, or C<sub>3-40</sub> alkyl lactone moiety;

wherein m is an integer ranging from 1 to 100; and

wherein x is an integer ranging from 1 to 1000.

8. The composition of claim 7, wherein each Y is an ethylene, propylene, or caprylactone moiety.

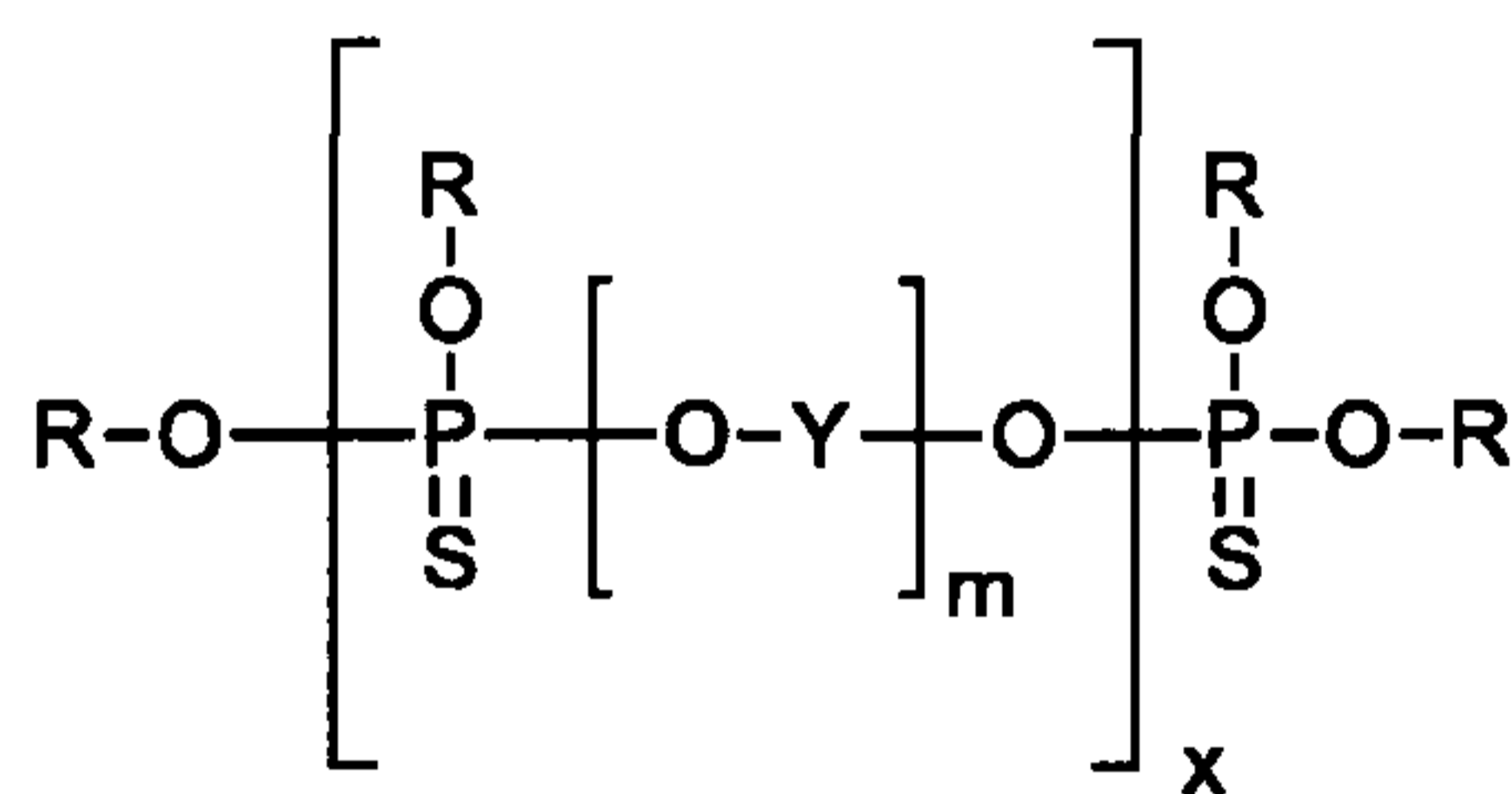
9. The composition of claim 7, wherein the compound has a weight ranging from 1000 to 30000 Daltons.

10. The composition of claim 7, wherein the compound has a weight ranging from 400 to 30000 Daltons.

11. The composition of claim 7, wherein the compound has a weight ranging from 500 to 30000 Daltons.

12. A method comprising the step of using the compound of claim 7 as a metalworking fluid additive.

13. A composition comprising a compound having the structure:



wherein each R is an independently selected alkylphenol-free moiety that is a C<sub>1-20</sub> alkyl, C<sub>2-22</sub> alkenyl, C<sub>6-40</sub> cycloalkyl, C<sub>7-40</sub> cycloalkylene, C<sub>3-20</sub> methoxy alkyl glycol ether, C<sub>3-20</sub> alkyl glycol ether, or Y-OH moiety;

wherein each Y is an independently selected alkylphenol-free moiety that is a C<sub>2-40</sub> alkylene, C<sub>7-40</sub> cycloalkylene, or C<sub>3-40</sub> alkyl lactone moiety;

wherein m is an integer ranging from 1 to 100; and

wherein x is an integer ranging from 1 to 1000.

14. The composition of claim 13, wherein each Y is an ethylene, propylene, or caprylactone moiety.

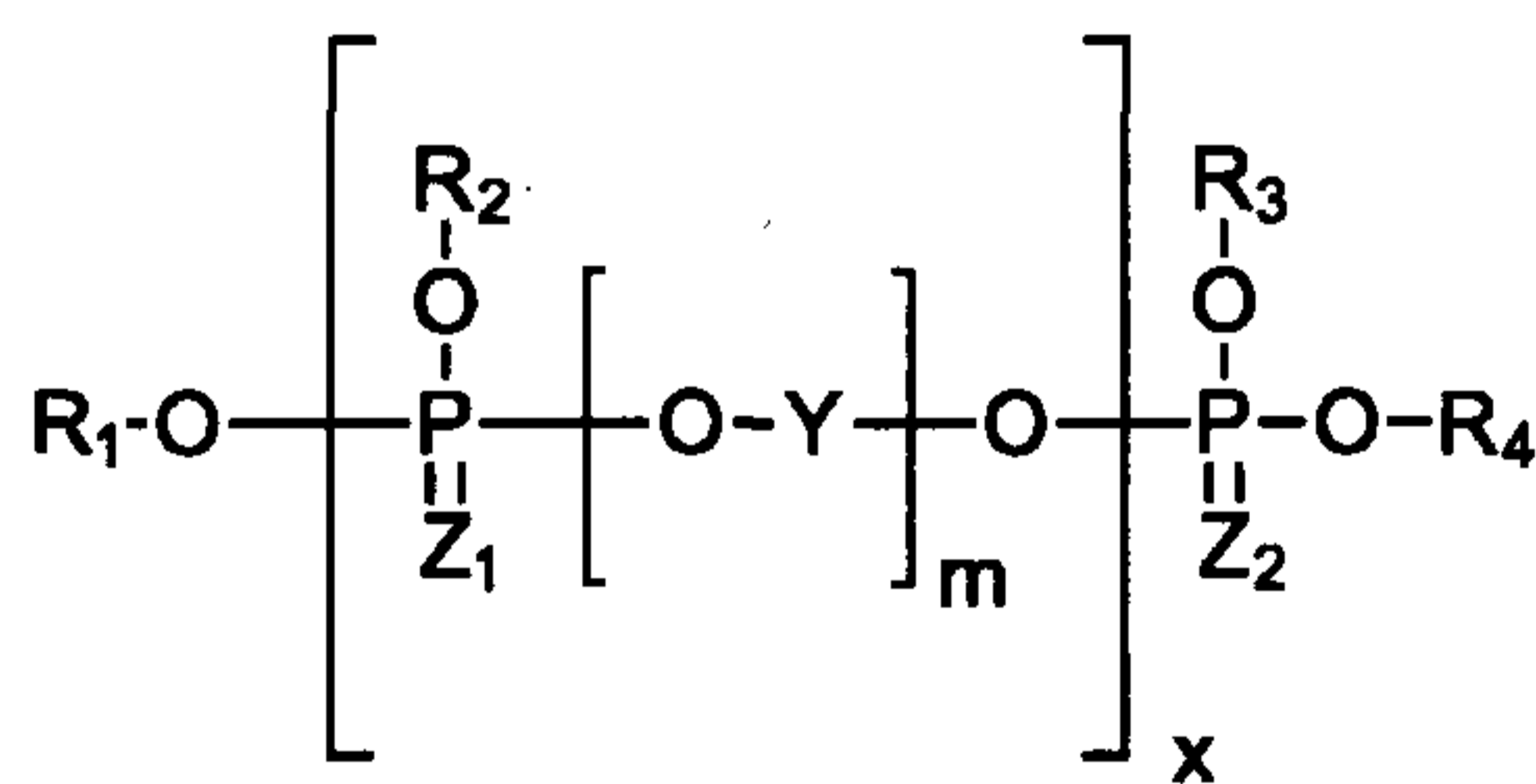
15. The composition of claim 13, wherein the compound has a weight ranging from 1000 to 30000 Daltons.

16. The composition of claim 13, wherein the compound has a weight ranging from 400 to 30000 Daltons.

17. The composition of claim 13, wherein the compound has a weight ranging from 500 to 30000 Daltons.

18. A method comprising the step of using the compound of claim 13 as a metalworking fluid additive.

19. A composition comprising a compound having the structure:



wherein each R is an independently selected alkylphenol-free moiety that is a C<sub>1-20</sub> alkyl, C<sub>2-22</sub> alkenyl, C<sub>6-40</sub> cycloalkyl, C<sub>7-40</sub> cycloalkylene, C<sub>3-20</sub> methoxy alkyl glycol ether, C<sub>3-20</sub> alkyl glycol ether, or Y-OH moiety;



wherein each Y is an independently selected alkylphenol-free moiety that is a C<sub>2-40</sub> alkylene, C<sub>7-40</sub> cycloalkylene, or C<sub>3-40</sub> alkyl lactone moiety;

wherein each Z is independently selected from the group consisting of S and O;

wherein m is an integer ranging from 1 to 100; and

wherein x is an integer ranging from 1 to 1000.

20. The composition of claim 19, wherein each Y is an ethylene, propylene, or caprylactone moiety.

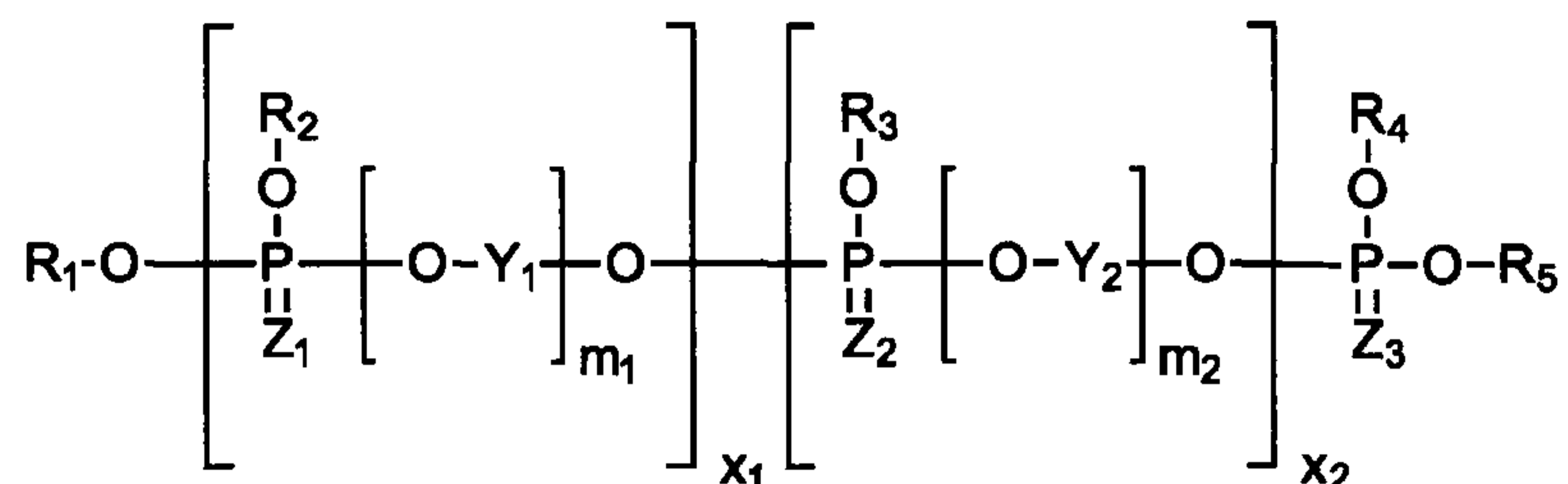
21. The composition of claim 19, wherein the compound has a weight ranging from 1000 to 30000 Daltons.

22. The composition of claim 19, wherein the compound has a weight ranging from 400 to 30000 Daltons.

23. The composition of claim 19, wherein the compound has a weight ranging from 500 to 30000 Daltons.

24. A method comprising the step of using the compound of claim 19 as a metalworking fluid additive.

25. A composition comprising a compound having the structure:



wherein each R is an independently selected alkylphenol-free moiety that is a C<sub>1-20</sub> alkyl, C<sub>2-22</sub> alkenyl, C<sub>6-40</sub> cycloalkyl, C<sub>7-40</sub> cycloalkylene, C<sub>3-20</sub> methoxy alkyl glycol ether, C<sub>3-20</sub> alkyl glycol ether, or Y-OH moiety;

wherein each Y is an independently selected alkylphenol-free moiety that is a C<sub>2-40</sub> alkylene, C<sub>7-40</sub> cycloalkylene, or C<sub>3-40</sub> alkyl lactone moiety;

wherein each Z is independently selected from the group consisting of S and O;

wherein m is an integer ranging from 1 to 100; and

wherein x is an integer ranging from 1 to 1000.

26. The composition of claim 25, wherein each Y is an ethylene, propylene, or caprylactone moiety.

27. The composition of claim 25, wherein the compound has a weight ranging from 1000 to 30000 Daltons.

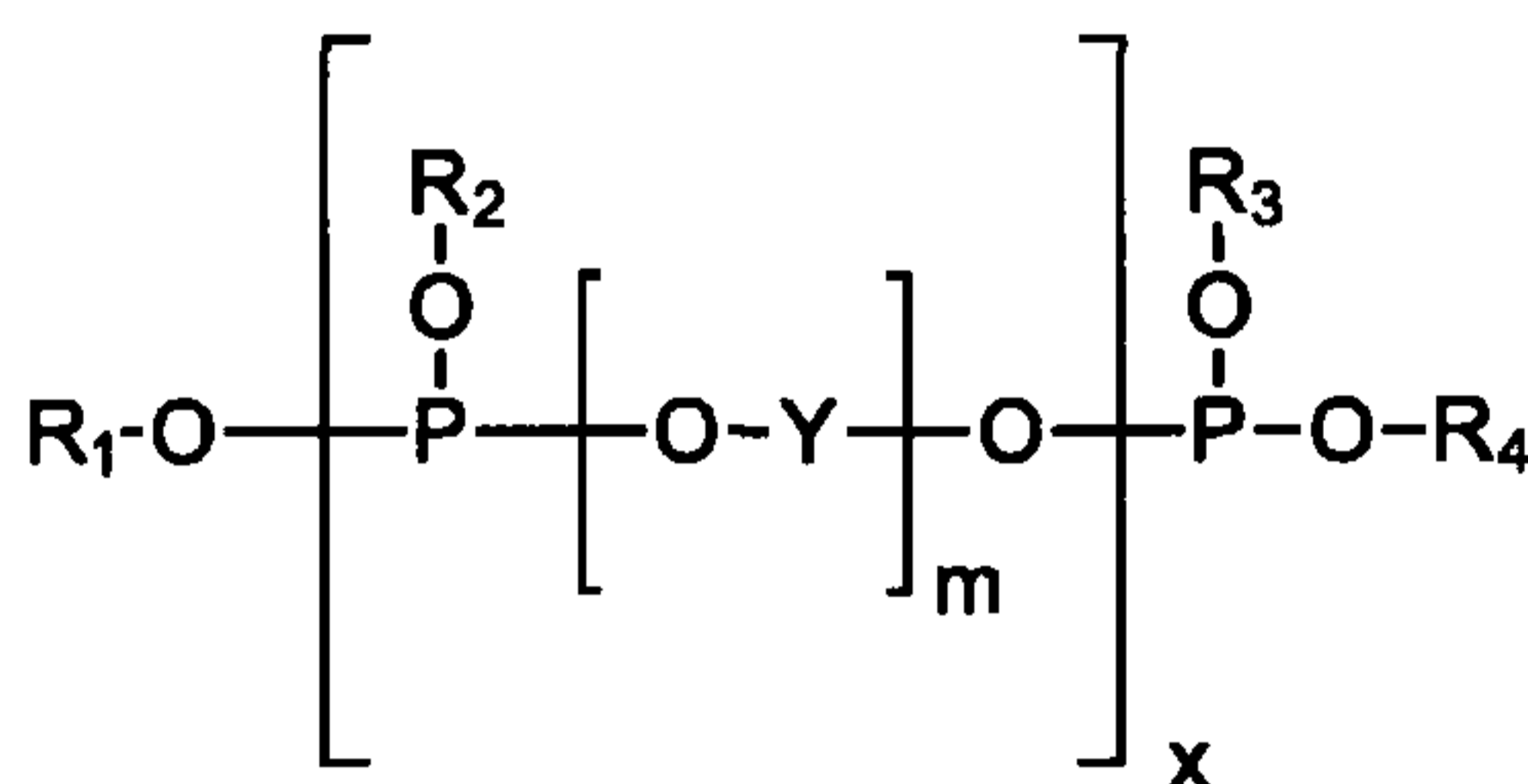
28. The composition of claim 25, wherein the compound has a weight ranging from 400 to 30000 Daltons.

29. The composition of claim 25, wherein the compound has a weight ranging from 500 to 30000 Daltons.

30. A method comprising the step of using the compound of claim 25 as a metalworking fluid additive.

31. A method comprising the step:

using the following compound as a metalworking fluid additive:



wherein each R is an independently selected moiety that is a C<sub>1-20</sub> alkyl, C<sub>2-22</sub> alkenyl, C<sub>6-40</sub> cycloalkyl, C<sub>7-40</sub> cycloalkylene, C<sub>3-20</sub> methoxy alkyl glycol ether, C<sub>3-20</sub> alkyl glycol ether, or Y-OH moiety;

wherein each Y is an independently selected alkylphenol-free moiety that is a C<sub>2-40</sub> alkylene, C<sub>7-40</sub> cycloalkylene, or C<sub>3-40</sub> alkyl lactone moiety;

wherein m is an integer ranging from 1 to 100; and

wherein x is an integer ranging from 1 to 1000.

32. The composition of claim 31, wherein each Y is an ethylene, propylene, or caprylactone moiety.

33. The composition of claim 31, wherein the compound has a weight ranging from 1000 to 30000 Daltons.

34. The composition of claim 31, wherein the compound has a weight ranging from 400 to 30000 Daltons.

35. The composition of claim 31, wherein the compound has a weight ranging from 500 to 30000 Daltons.

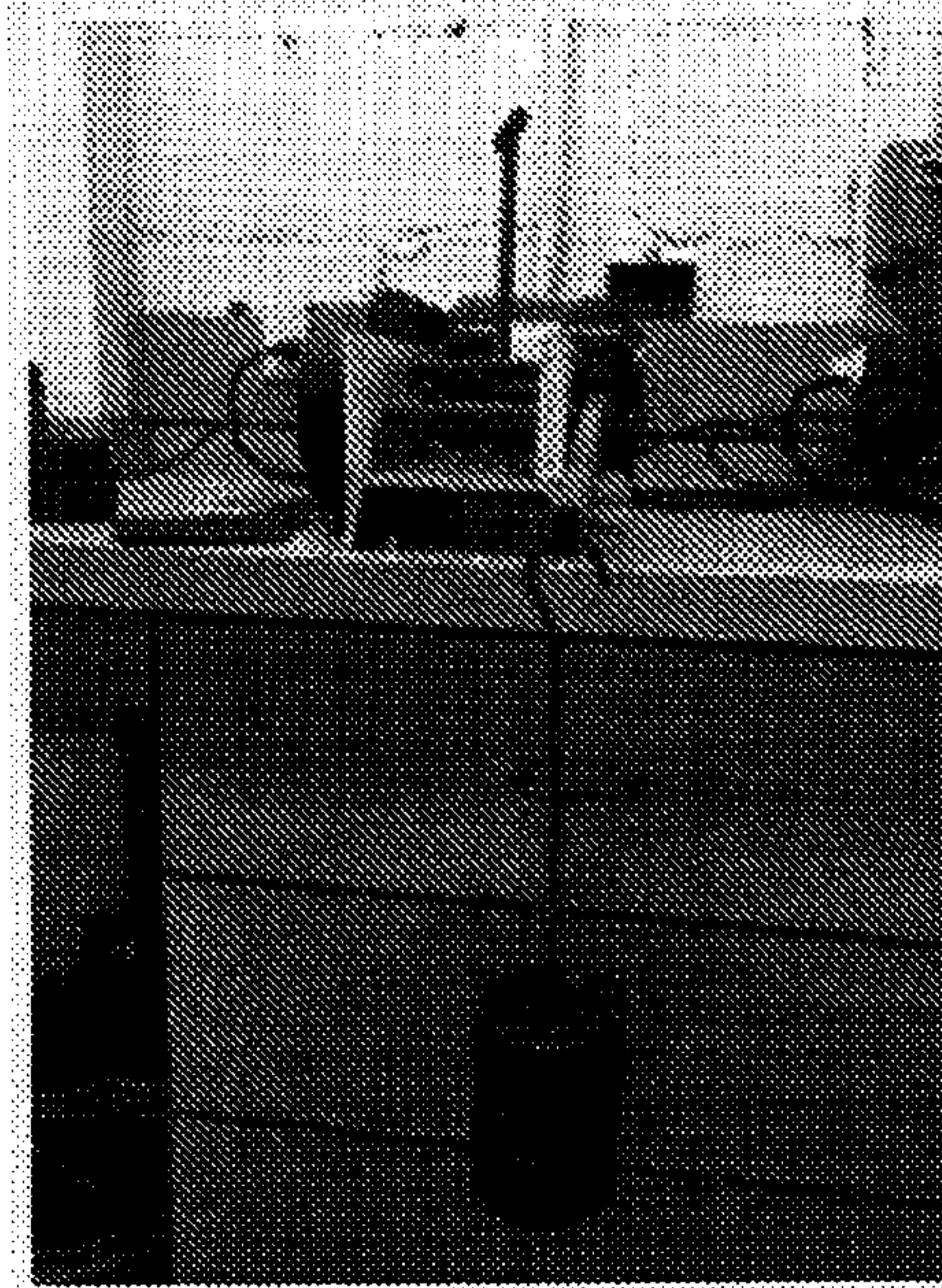


Figure 1

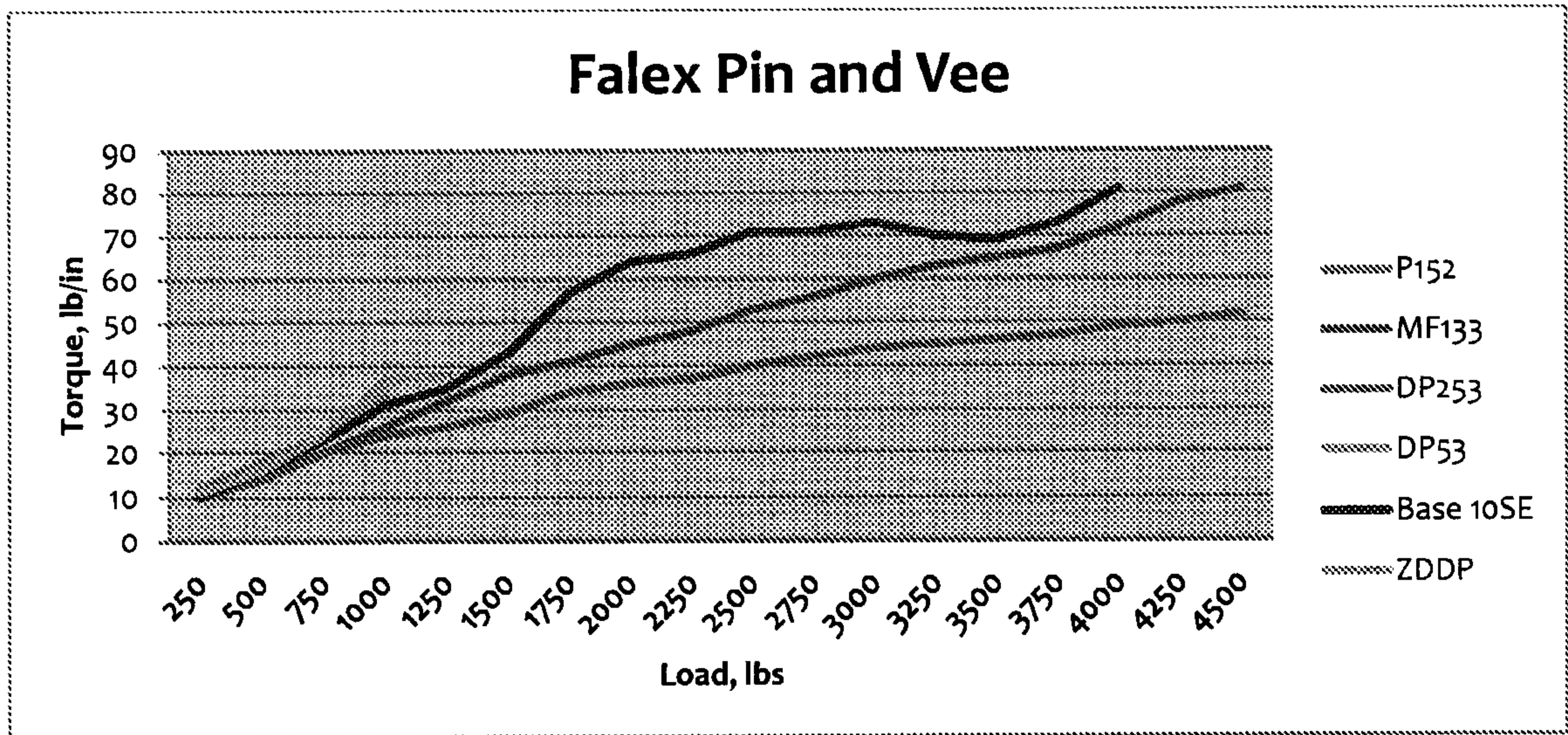


Figure 2

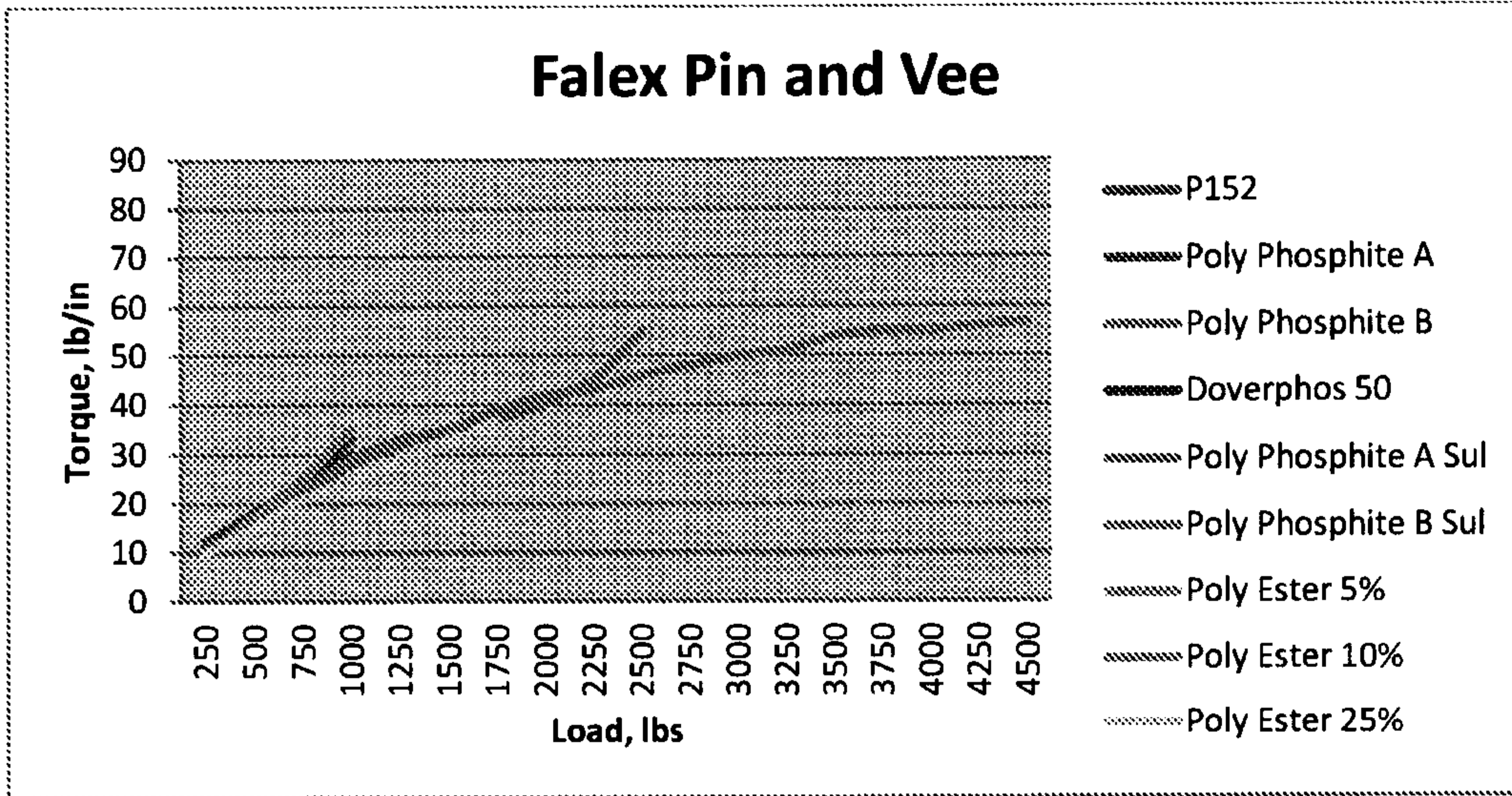


Figure 3

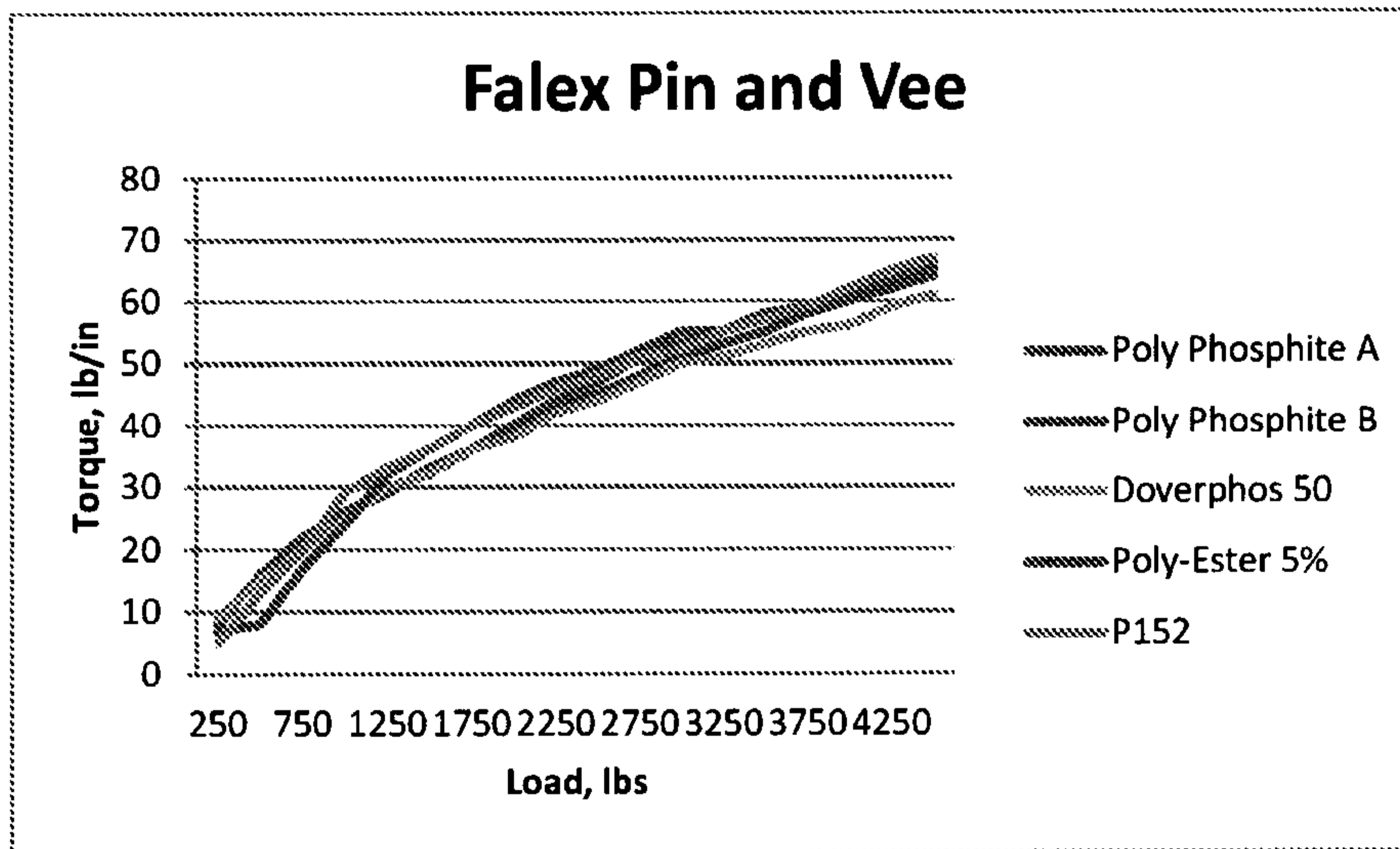


Figure 4

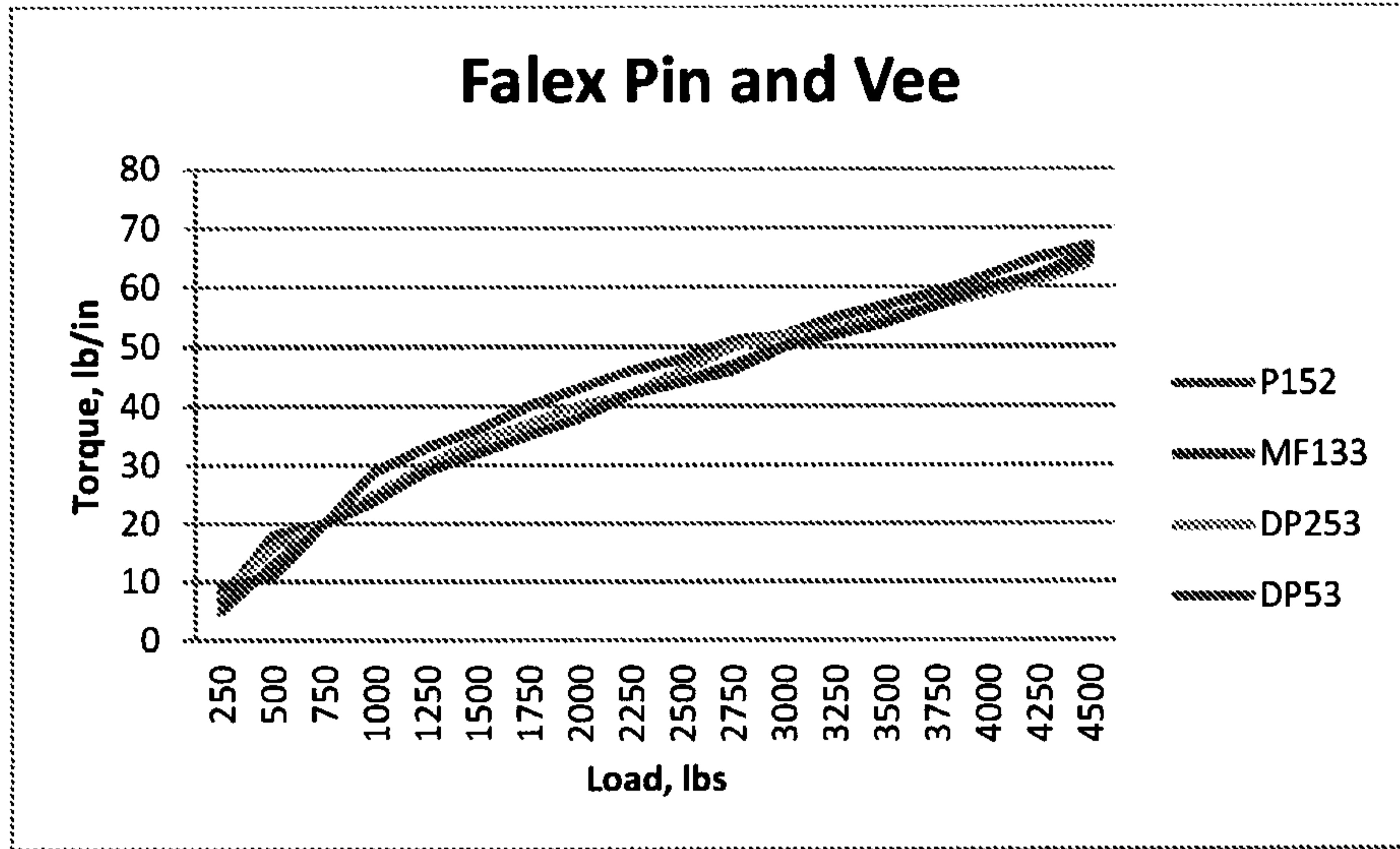


Figure 5

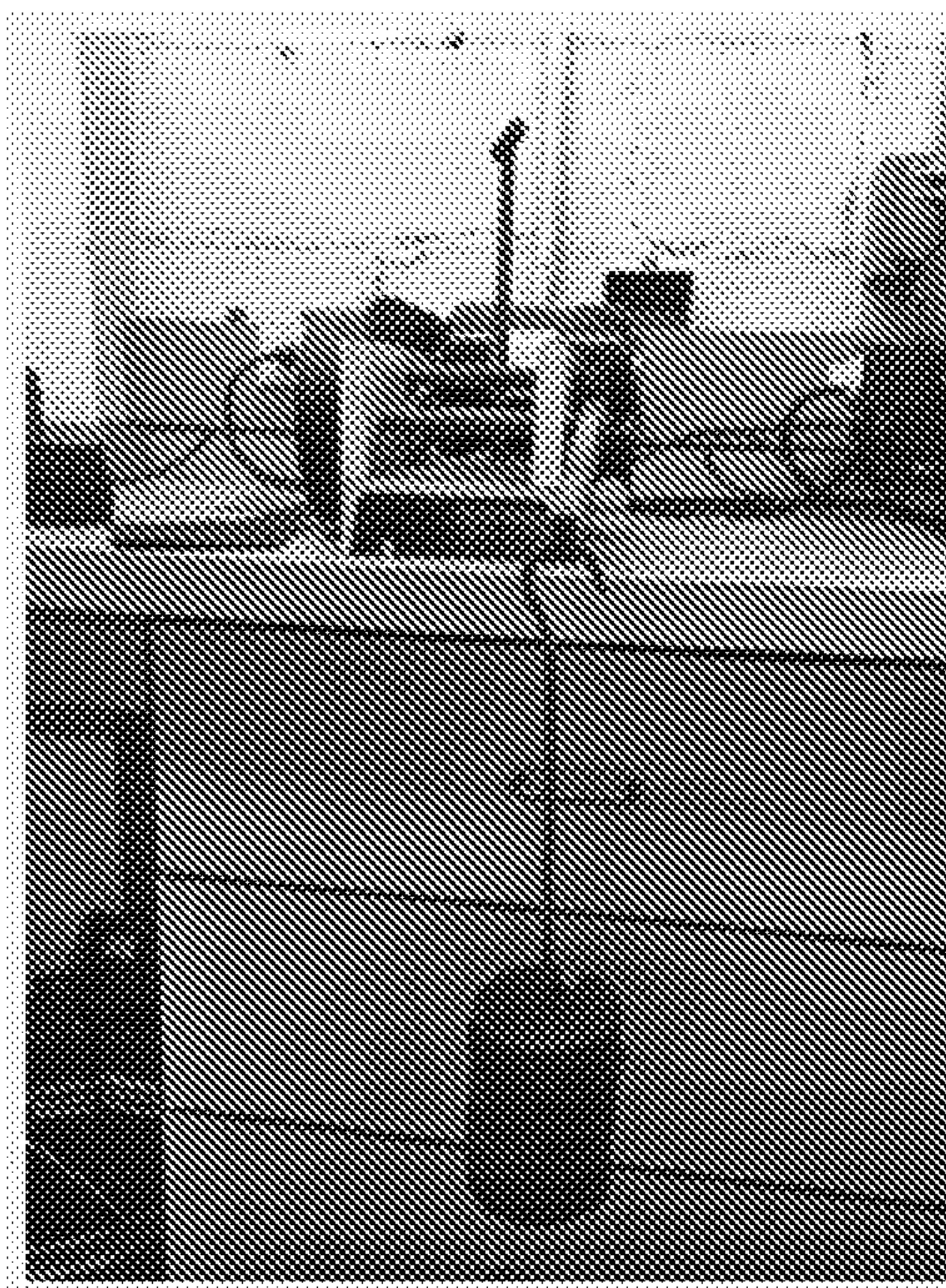
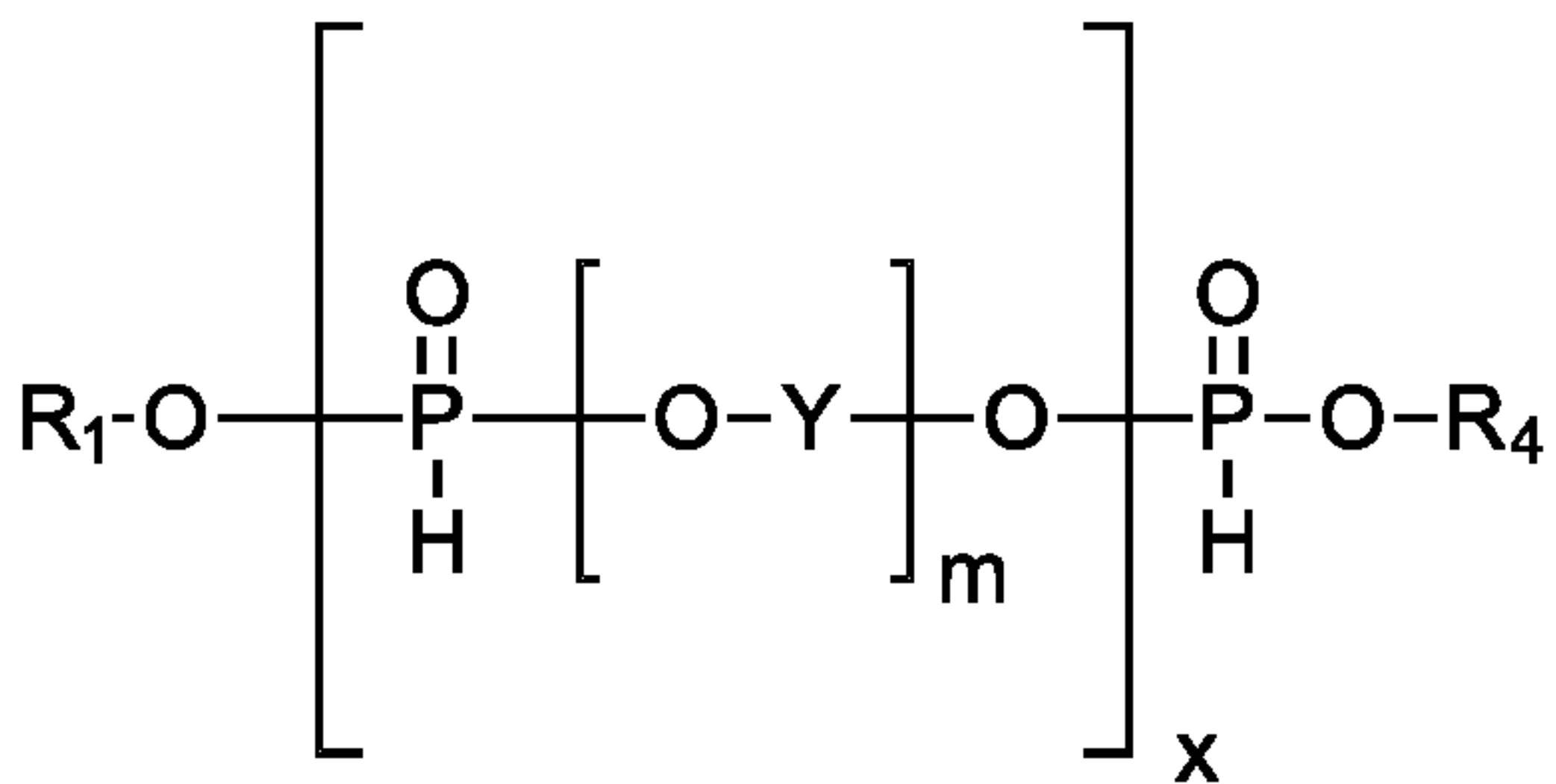


Figure 1