#### (19) World Intellectual Property Organization

International Bureau



# 

(43) International Publication Date 10 November 2005 (10.11.2005)

**PCT** 

# (10) International Publication Number WO 2005/104959 A1

(51) International Patent Classification<sup>7</sup>: A61B 17/02

(21) International Application Number:

PCT/NL2005/000320

(22) International Filing Date: 28 April 2005 (28.04.2005)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:

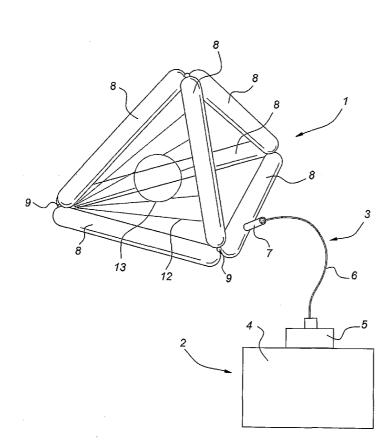
10/834,128 29 April 2004 (29.04.2004) US

- (71) Applicant (for all designated States except US): UMC UTRECHT HOLDING B.V. [NL/NL]; Yalelaan 40, NL-3584 CM Utrecht (NL).
- (72) Inventor; and
- (75) Inventor/Applicant (for US only): GRÜNDEMAN, Paul, Frederik [NL/NL]; Lauriergracht 71 III, NL-1016 RH Amsterdam (NL).

- (74) Agents: VAN WESTENBRUGGE, Andries et al.; Nederlandsch Octrooibureau, P.O. Box 29720, NL-2502 LS The Hague (NL).
- (81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SM, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.
- (84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI,

[Continued on next page]

(54) Title: SURGICAL EXPANSION DEVICE



(57) Abstract: A surgical device for application in a treatment performed on the human or animal body with the aim of providing access to a surgical site in said body comprises expansion means (1) suitable for exerting a force on the organs and/or tissues at said surgical site, e.g. mobilising and/or supporting said organs and/or tissues, upon expansion of said device at the location of the surgical site, at least one fluid reservoir (2) as well as means (3) for conveying fluid from said reservoir (2) to the expansion means (1) with the aim of expanding said expansion means (1). The expansion means comprises a sheet type material (11) having a relatively low bending stiffness and a relatively high in-plane stiffness.

# WO 2005/104959 A1



FR, GB, GR, HU, IE, IS, IT, LT, LU, MC, NL, PL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

#### **Published:**

— with international search report

 before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

1

#### Surgical expansion device

The invention is related to a surgical device for application in a treatment performed on the human or animal body with the aim of providing access to a surgical site in said body, comprising expansion means suitable for exerting a force on the organs and/or tissues at said surgical site, e.g. for mobilising and/or supporting said organs and/or tissues, upon expansion of said device at the location of the surgical site, at least one fluid reservoir as well as means for conveying fluid from said reservoir to the expansion means with the aim of expanding said expansion means.

5

10

15

20

25

30

Such a surgical device is disclosed in US-A-5218586. It consists of i.a. an expandable tip mounted at the end of a manipulation tube. The tip can be brought into puncture type opening in e.g. the abdominal, pelvic or chest cavities. In particular, said prior art device is suitable for application in laparoscopic or thorascopic procedures. The expandable tip itself consists of a generally hollow inflatable device member similar to a balloon. It is fabricated from a non-toxic, relatively strong, flexible and resilient material such as polypropylene or a similar type of impervious material which is flaccid and deformable in the unexpanded state. The internal space of said balloon type member can be inflated by either introducing a liquid or a gas therein.

Said prior art surgical device has several disadvantages. Due to the elastic character of the balloon type member, an energy build up occurs during expanding the balloon. The wall thereof is stretched during the process of filling the balloon, and in the case of filling the balloon with a gas energy build-up also occurs due to compression of the gas. In case in that state an inadvertent rupture of the balloon occurs, said energies are suddenly released. This results in a disastrous shock effect, which may cause severe trauma to the organs or tissues in the vicinity.

A further disadvantage of the prior art surgical device is related to the balloon shape thereof. Although a somewhat better access to the surgical site can be obtained thereby upon expansion, it nevertheless also has a shielding effect with respect to the directly adjoining tissues or organs. In those cases, the expansion member has to be moved out of the way, which complicates the surgical operation.

The object of the invention is to provide a surgical device of the type described before which does not pose the risk of causing trauma, even in the case of an inadvertent failure of the expansion means. A further object is to provide a surgical

2

device which allows a better access to the surgical site in question. This object is achieved in that the expansion means comprises a sheet type material having a relatively low bending stiffness and a relatively high in-plane stiffness.

5

10

15

20

25

30

The expansion means according to the invention can be stowed into a small package, as a result of the bendable character of the material thereof. Upon expansion however, it will not stretch as a result of the high in-plane stiffness thereof. Thus, the expansion means itself will not store energy itself, as it does not, or hardly not, stretch. In case an inadvertent rupture occurs, no stored energy is therefore freed. The rupture can occur in an orderly fashion, without causing trauma to the surrounding tissues or organs. In this connection, preferably, a liquid is used as expansion medium. As is known a liquid is hardly compressible, in any case in comparison to a gas. Thus, upon expanding the device according to the invention, hardly energy will be stored in the expansion liquid either. In case of a rupture of the expansion means, the liquid will spill over at the surgical site, but the release of liquid will not occur with a large impulse thereby mitigating the effects of the spill-over.

Preferably, the expansion means comprises at least one elongate expansion member which has a longitudinal shape in the expanded state. Such elongate expansion member offers the possibility to form a cavity at the surgical site which has an improved accessibility. In this connection, more preferably the expansion means has at least two elongate expansion members which are angled with respect tot each other's longitudinal direction in the expanded state. In case the expansion means comprises at least one tension member the length of which is smaller than the sum of the lengths of the elongate expansion members, the expanded device will obtain a predetermined, desired shape which is prescribed by the dimensions of the various members. It will be clear that the expansion members will then delimit a specific open area, which allows a better accessibility of the enclosed area.

Furthermore, according to a specific embodiment at least three elongate expansion members can be provided which together constitute a triangle. The frame thus obtained may further be used to form expansion means having specific height, length and width dimensions. As a result, the multiple elongate expansion members thus applied may constitute a spatial structure. As an example, a tetrahedron is given, but other structures such as a cube and the like are possible as well. All these structures have in common that they offer a frame after expansion of the several expansion

3

members, which to that end preferably have interconnected fluid chambers allowing a simultaneous expansion. The frames thus obtained are able to create a free space within the body at the surgical site. The surgeon is thus able to reach through the open sides of the expanded frame, and is therefore able to perform intricate operations at the site without being hampered by the expansion means itself.

Preferably, the surgical device comprises at least one restrictor for restricting the expansion of the expansion means. By means of such restrictor, the expansion means in question can be urged into a specific, prescribed shape, for instance a curved shape. In the latter case, the restrictor comprises a tension member, in particular a pull member.

The invention will now be described further with reference to an embodiment of the surgical device as shown in the figures.

Figure 1 shows a surgical device according to the invention.

5

15

20

25

30

Figure 2 shows a component of the surgical device according to figure 1.

Figure 1 shows a surgical device according to the invention, comprising the expansion means 1 which is in figure 1 is shown in the expanded state. Furthermore, there is at least one fluid reservoir as well as a means 3 for conveying fluid from said reservoir 2 to the expansion means.

The fluid reservoir comprises a container 4 which holds an amount of preferably liquid, which by means of pump 5 is pumped into the line 6. The line 6 in turn is connected to a connection port 7, which gives access to the internal space of the expansion means 1.

Said expansion means comprises six elongate members 8, which together constitute a tetrahedron. The elongate expansion members 8 are connected to each other at the notes 9. Also, the expansion members contain a fluid chamber 10, which fluid chambers 10 at the location of the notes 9 are interconnected.

In a rest state, the elongate members 10 are deflated, which means that they can be reduced to relatively small dimensions for introduction at a surgical site. After positioning the deflated expansion means 1, liquid is fed to the internal space, in particular the fluid chambers 10 thereof, thus inflating the expansion means 1 to the shape of the tetrahedron as shown in figure 1.

The advantage of such form of the expanded expansion means 1 is clear: first of all a hollow space is formed within the tetrahedron, giving the surgeon access to sites which are otherwise difficult to reach. The open phases between the elongate members

4

8 enable the surgeon to reach through, enabling him a further improved access to the surgical site.

Additionally, a flexible web 12 is mounted between three of the expansion members. Said flexible web 12 is stretched upon expansion of said members 8, whereby the formation of an open surgical site is further enhanced. A fenestration hole 13 is provided in said flexible web 12 so or to enable the surgeon to reach the surgical target site. Further webs 12 may be mounted to the other expansion member 8 as well.

5

10

15

Figure 2 shows a possible embodiment of a tension member 8 provided with a pull member 14. The pull member 14, e.g. wire, is connected to the opposite ends of the tension member 8. The length of the pull member 14 is somewhat smaller than the length of the tension member 8 between the mutual connection points. Thereby, upon expansion of the tension member 8, it is brought into a slightly curved shape due to the fact that it is restricted in its full expansion by the restrictor 14. In this way, the surgical device according to the invention can be brought in a specific, desired shape upon expansion thereof.

5

#### Claims

1. Surgical device for application in a treatment performed on the human or animal body with the aim of providing access to a surgical site in said body, comprising expansion means (1) suitable for exerting a force on the organs and/or tissues at said surgical site, e.g. for mobilising and/or supporting said organs and/or tissues, upon expansion of said device at the location of the surgical site, at least one fluid reservoir (2) as well as means (3) for conveying fluid from said reservoir (2) to the expansion means (1) with the aim of expanding said expansion means (1), characterised in that the expansion means comprises a sheet type material (11) having a relatively low bending stiffness and a relatively high in-plane stiffness.

- 2. Surgical device according to claim 1, wherein the expansion means (1) comprises at least one connection port (7) for feeding the fluid into the internal space of said expansion means (1).
- 3. Surgical device according to claim 1 or 2, wherein the expansion means (1) comprises at least one elongate expansion member (8) which has a longitudinal shape in the expanded state.

20

5

10

15

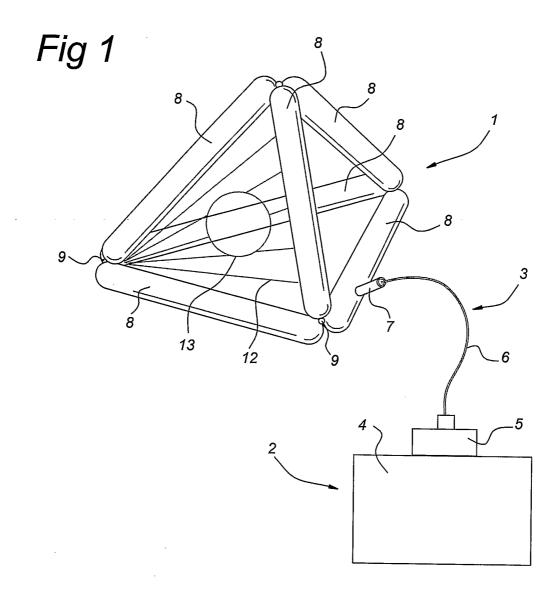
- 4. Surgical device according to claim 3, wherein the expansion means (1) has at least two elongate expansion members (8) which are angled with respect to each other's longitudinal direction in the expanded state.
- 5. Surgical device according to claim 4, wherein the expansion means (1) comprises at least one tension member (8) the length of which is smaller than the sum of the lengths of the elongate expansion members (8).
- 6. Surgical device according to claim 5, wherein at least three elongate expansion members (8) are provided which together constitute a triangle.
  - 7. Surgical device according to any of claims 4-6, wherein multiple elongate expansion members (8) are provided which constitute a spatial structure.

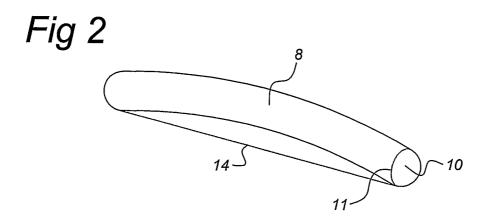
5

- 8. Surgical device according to claim 7, wherein the spatial structure constitutes a tetrahedron.
- 9. Surgical device according to one of claims 4-8, wherein a flexible web (12) is provided which extends between at least two elongate expansion members (8).
- 10. Surgical device according to claim 9, wherein the flexible web (12) has a fenestration (13).
- 11. Surgical device according to any of claims 3-10, wherein each expansion member (8) comprises a fluid chamber (10).
- 12. Surgical device according to claim 11, wherein at least two expansion members (18) are provided and the fluid chambers (10) of said expansion members are in fluid communication with each other.
  - 13. Surgical device according to any of the preceding claims, wherein the fluid is a liquid.
- 14. Surgical device according to any of the preceding claims, comprising at least one restrictor (14) for restricting the expansion of the expansion means.
  - 15. Surgical device according to claim 14, wherein the restrictor comprises a pull member (14).

1/1

PCT/NL2005/000320





## **INTERNATIONAL SEARCH REPORT**

PCT/NL2005/000320

		( )	PCT/NL2005/000320		
A. CLASSI IPC 7	FICATION OF SUBJECT MATTER A61B17/02				
		W 11 1150			
	o International Patent Classification (IPC) or to both national class SEARCHED	ification and IPC			
	ocumentation searched (classification system followed by classific	cation symbols)	<del></del>		
IPC 7	A61B	• •			
Documenta	tion searched other than minimum documentation to the extent th	at such documents are include	d in the fields searched		
Electronic d	lata base consulted during the international search (name of data	base and, where practical, se	arch terms used)		
EPO-In	ternal				
C. DOCUM	ENTS CONSIDERED TO BE RELEVANT				
Category °	Citation of document, with Indication, where appropriate, of the	relevant passages	Relevant to claim No.		
X	US 6 270 505 B1 (YOSHIDA OSAMU 7 August 2001 (2001-08-07) column 9, line 38 - column 15, figures 1-9b	1-4,7-13			
X	US 5 743 851 A (MOLL ET AL) 28 April 1998 (1998-04-28) column 16, line 8 - line 39 figures 8B,9D,9E	1-4,7,8, 11-13			
Х	FR 2 726 993 A (SGRO JEAN CLAUD 24 May 1996 (1996-05-24) page 1, line 1 - page 3, line 3 figures 1-3,5b	1-4,7,8, 11-13			
X	US 6 371 910 B1 (ZWART HANS J E 16 April 2002 (2002-04-16) column 13, line 32 - column 14, figures 6,8,9	1-4,7, 11-13			
Furt	her documents are listed in the continuation of box C.	χ Patent family mer	nbers are listed in annex.		
"A" docume consic "E" earlier of filing of "L" docume which citatio "O" docume other "P" docume later ti	ent defining the general state of the art which is not dered to be of particular relevance document but published on or after the international date ent which may throw doubts on priority claim(s) or is cited to establish the publication date of another n or other special reason (as specified) ent referring to an oral disclosure, use, exhibition or means ent published prior to the international filing date but han the priority date claimed	or priority date and notited to understand it invention  "X" document of particular cannot be considered involve an inventive some inventive some cannot be considered document is combine ments, such combine in the art.  "&" document member of the considered document is combine in the art.	ned after the International filing date of in conflict with the application but the principle or theory underlying the relevance; the claimed invention of novel or cannot be considered to step when the document is taken alone relevance; the claimed invention of to involve an inventive step when the did with one or more other such docution being obvious to a person skilled the same patent family		
	9 July 2005	26/09/200	·		
Name and mailing address of the ISA		Authorized officer			
European Patent Office, P.B. 5818 Patentlaan 2 NL – 2280 HV Rijswijk Tel. (+31–70) 340–2040, Tx. 31 651 epo nl, Fax: (+31–70) 340–3016		Compos, F			

## INTERNATIONAL SEARCH REPORT

PC17NL2005/000320

	ent document in search report		Publication date		Patent family member(s)	Publication date
US	6270505	B1	07-08-2001	JP DE	2000037388 A 19923260 A1	08-02-2000 25-11-1999
US	 5743851	Α	28-04-1998	US US US	5522790 A 5361752 A 5309896 A	04-06-1996 08-11-1994 10-05-1994 15-08-1998
				AT AU AU	168545 T 2185192 A 695823 B2	08-01-1998 08-01-1993 20-08-1998 24-10-1996
				AU CA DE	6198596 A 2109714 A1 69226375 D1	10-12-1992 27-08-1998
				DE DK EP	69226375 T2 637223 T3 0637223 A1	03-12-1998 26-04-1999 08-02-1995
				EP ES JP	0835639 A2 2120449 T3 6507809 T	15-04-1998 01-11-1998 08-09-1994
				JP MX WO	3307392 B2 9202601 A1 9221292 A2	24-07-2002 31-05-1994 10-12-1992
				US US AT	5450843 A 5738629 A 164745 T	19-09-1995 14-04-1998 15-04-1998
				AT AT AU	142095 T 149312 T 656008 B2	15-09-1996 15-03-1997 19-01-1995
				AU AU AU	2145692 A 2176892 A 3136993 A	08-01-1993 08-01-1993 15-06-1993
				CA CA CA	2109803 A1 2110153 A1 2123317 A1	10-12-1992 10-12-1992 27-05-1993 10-10-1996
				DE DE	69213449 D1 69217984 D1 69217984 T2 69225067 D1	10-10-1997 10-04-1997 12-06-1997 14-05-1998
				DE DK	69225067 T2 586555 T3	13-08-1998 01-02-1999 07-10-1996
				DK DK EP	586561 T3 613351 T3 0586555 A1	25-08-1997 16-03-1994
				EP EP	0586561 A1 0613351 A1 0804902 A2	16-03-1994 07-09-1994 05-11-1997
	•			EP ES ES	0804903 A2 2115672 T3 2091476 T3	05-11-1997 01-07-1998 01-11-1996
				ES GR JP JP	2098563 T3 3021838 T3 6507810 T 3307393 B2	01-05-1997 28-02-1997 08-09-1994 24-07-2002
	2726002		24-05-1996	JP  FR	7501959 T 	02-03-1995  24-05-1996
	2726993  6371910	A B1	24-05-1996 	US EP	6015382 A 1028656 A2	18-01-2000 23-08-2000

# INTERNATIONAL SEARCH REPORT

PCT7NL2005/000320

Patent document cited in search report	Publication	T			Publication	 n	
	date		Patent family member(s)		date		
US 6371910 B1		WO	9920321	A2	29-04-1	999	
و ينظ في حيوا جدير وي					انت ہیں رسد سے وہا تند جنا کہ ہیں ہانت		
				-			
					1		
					1		
			A.				
		•					
					-		
					,		