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## PRINTING INKS COMPRISING A BRANCHED VINYL RESIN

### FIELD OF THE INVENTION

The present invention relates to printing ink compositions and methods  
5 using printing inks, especially lithographic printing inks. The compositions  
and methods of the invention employ novel vinyl resins that are prepared  
using polyfunctional monomers, particularly vinyl resins having low number  
average molecular weights and broad polydispersities.

### BACKGROUND OF THE INVENTION

10 Printing inks generally include one or more vehicles and one or more  
colorants as principal components. Printing ink vehicles must meet a number  
of performance requirements that include both requirements related to the  
printing process, such as suitable consistency and tack for sharp, clean  
images, suitable length to avoid fly or mist, or proper drying characteristics,  
15 and requirements related to the printed image, such as gloss, chemical  
resistance, durability, or color. In general, ink vehicles include one or more  
materials such as vegetable oils or fatty acids, resins, and polymers that  
contribute to the end product properties, and may include other components  
such as organic solvents, water, rheology modifiers, and so on that may affect  
20 body, tack, or drying characteristics.

Printing inks are employed in a variety of printing processes. Printing  
processes include letterpress printing, lithographic printing, flexographic  
printing, gravure and other intaglio processes, screen printing, and ink-jet  
digital printing. The ink composition is affected by the demands of the  
25 process used. For example, inks used in printing operations in which the ink

will come into contact with rubber elements, such as the blanket of an offset lithographic press, generally include as the solvent portion of the vehicle only petroleum distillate fractions or other aliphatic solvents that will not adversely interact with rubber.

5           In lithographic printing, an inked printing plate contacts and transfers an inked image to a rubber blanket, and then the blanket contacts and transfers the image to the surface being printed. Lithographic plates are produced by treating the image areas of the plate with an oleophilic material and ensuring that the non-image areas are hydrophilic. In a typical  
10 lithographic printing process, the plate cylinder first comes in contact with dampening rollers that transfer an aqueous fountain solution to the hydrophilic non-image areas of the plate. The dampened plate then contacts an inking roller, accepting the ink only in the oleophilic image areas. The press operator must continually monitor the printing process to insure that the  
15 correct balance of the fountain solution and the ink is maintained so that the ink adheres to the printing areas, but only the printing areas, of the plate in order to produce a sharp, well-defined print.

The industry has long sought an offset printing process and associated materials that would not require a separate fountain solution. Waterless  
20 plates have been made by applying to the non-image area a silicone rubber, which has a very low surface energy and is not wetted by the ink. The silicone-modified plates are expensive, however, and require expensive, specially-cooled press equipment because the fountain solution of the traditional two-fluid method also serves as a coolant. Other efforts have been  
25 directed to producing a single-fluid lithographic ink, i.e., an ink that does not

require a separate fountain solution, that can be used with the industry-standard presses and all-metal plates. Parkinson, in U.S. Patent No. 4,045,232 (the entire disclosure of which is expressly incorporated herein by reference) describes lithographic printing and earlier efforts directed to  
5 producing a single-fluid lithographic ink and the tendency of single-fluid inks to be unstable. Parkinson notes that ink emulsions containing a solution of glycerin and salts tend to "break," with the result that the glycerin wets the inking rollers preventing good inking. Parkinson suggests an improved single-fluid ink obtained by using an additive that includes a resin treated with  
10 a concentrated mineral acid, and, optionally, a polyhydric or monohydric alcohol. Preferred polyols are glycerin, ethylene glycol, and propylene glycol. DeSanto, Jr. et al, in U.S. Patent No. 4,981,517 (the entire disclosure of which is expressly incorporated herein by reference) describe a printing ink that is an emulsion of an oil-based phase and a water-miscible phase. The  
15 patentees allege that an emulsion containing a significant portion of water (10% to 21%) and employing phosphoric acid as a critical component has improved stability against phase separation and can be used as a single-fluid lithographic ink. The De Santo, Jr. composition further includes as a diluent and emulsion stabilizer an oil with the properties of No. 1 and No. 2 fuel oils  
20 and a polyol emulsifier, of which glycerin and ethylene glycol are the only examples provided.

Nonetheless, due to various drawbacks of the single-fluid lithographic inks that have previously been proposed, including the limited stability and poor definition and toning already mentioned, the industry standard continues

to be a dual-fluid lithographic ink that includes an ink component and a separate fountain solution component.

Applicants have now discovered that particular compositions that include a polyol phase dispersed or emulsified in a vinyl resin vehicle phase  
5 overcomes these problems in a single-fluid lithographic ink.

Yet another problem observed in printing processes, especially with high speed presses such as web-offset lithographic presses, is what has been termed "ink misting." Ink misting commonly refers to ink droplets that become airborne during the printing process, for example at the point where  
10 the rotating inked rollers separate. When two inked rollers are in contact, as they are for the ink transfer in offset printing, ink splitting can result in the formation of ink filaments that may break to produce ink droplets. When the droplets become larger or more like threads, the problem may be termed "ink slinging." The high speed of the presses and the modification of ink  
15 properties to print on such high speed presses exacerbate the problem of misting or slinging. While the mist can be annoying and cause contamination at low levels, higher amounts of misting can potentially create environmental and/or safety problems. The relative amounts of ink misting for particular inks can be determined by comparing the inks on an inkometer, employing  
20 standard testing procedures.

Various methods have been suggested for reducing misting or slinging in inks. For example, different additives have been proposed, including kaolin, anionic or cationic surfactants, or an additive that is an organic acid phosphate, glycerol, or propylene carbonate, as described in U.S. Pat. No.  
25 5,000,787, expressly incorporated herein by reference. It has also been

postulated that inks are more likely to produce misting when the ink is less extendible or elastic.

Applicants have discovered that printing inks employing the novel vinyl resins of the invention have surprisingly high resistance to misting and  
5 slinging on high speed presses.

A further problem that has been encountered for inks that include vinyl copolymer vehicles has been objectionable odor due to residual monomer. Ripley et al., in U.S. Pat. No. 4,327,011 (expressly incorporated herein by reference) disclose a styrene-acrylic copolymer in a low solvency  
10 hydrocarbon for a lithographic printing ink. The copolymer is a linear polymer having a weight average molecular weight of up to about 50,000 in an essentially aliphatic hydrocarbon solvent that boils in the range of 390-595°F. While the authors report "essentially complete conversion of the monomers," it has been found that copolymers prepared according to the instructions of  
15 the Ripley patent typically had objectionable odor due to incomplete conversion of the monomers, as even low levels of residual monomers may cause odor problems. In addition, the polymers of the Ripley patent exhibit high tacks and have unacceptable misting and slinging. While tack may be lowered with additional solvent, the additional solvent causes an  
20 unacceptable reduction in ink body that introduces other problems in printing the ink.

Applicants have discovered an improved process that may be used to obtain vinyl polymers that have substantially no residual monomer and, consequently, are free of odor associated with residual monomer.

### SUMMARY OF THE INVENTION

The invention provides a printing ink composition that includes a branched vinyl resin. The term "vinyl resin" when used in conjunction with the present invention includes polymers prepared by chain reaction  
5 polymerization, or addition polymerization, through carbon-carbon double bonds, using vinyl monomers such as acrylic and methacrylic monomers, vinyl aromatic monomers including styrene, and monomers compatible with these. By "branched vinyl resin" it is meant that, while the vinyl polymer is branched, it nonetheless remains usefully soluble. By "soluble" it is meant  
10 that the polymer can be diluted with one or more solvents. (By contrast, polymers may be crosslinked into insoluble, three-dimensional network structures that are only be swelled by solvents.) The branched vinyl resins of the invention unexpectedly retain solubility in spite of significant branching.

The branched vinyl polymers of the invention preferably include at  
15 least about 0.008 equivalents, per 100 grams of monomer polymerized, of at least one monomer having at least two ethylenically unsaturated polymerizable bonds or at least about 0.004 equivalents per 100 grams of monomer polymerized of each of two ethylenically unsaturated polymerizable monomers having mutually reactive groups other than the polymerizable  
20 double bonds. The branched vinyl resin typically has a low number average molecular weight and a broad polydispersity. In a preferred embodiment, the branched vinyl resin of the invention has a polydispersity of at least about 15, as determined by gel permeation chromatography calibrated with polystyrene standards according to well-known methods.



The invention also provides a method of making an ink composition with the branched vinyl resin. In another aspect of the invention, the vinyl-based printing ink is modified by the addition of another vehicle resin. The invention further provides a method of polymerizing a branched vinyl resin in which substantially no monomer remains unpolymerized. The invention also provides a method of printing using the compositions of the invention.

Ink formulations including the branched vinyl vehicle of the invention have unexpectedly improved misting and slinging properties. The inks using the branched vinyl polymer have reduced tack at higher viscosities relative to comparable inks made with linear vinyl polymer vehicles. The invention reduces the amount of misting and slinging and improves the tack/body balance as compared to what would be expected for previous inks formulated with vinyl vehicles that would provide body by increasing tack or nonvolatile content or both. Incorporation of the branched vinyl vehicles of the invention into ink compositions also lends a certain amount of elastic character to the inks, which in many cases is beneficial to ink properties. News inks that include the branched vinyl polymer of the invention have improved rub-off properties.

Finally, the inks of the invention containing the branched vinyl can be formulated as single-fluid printing inks. The single-fluid printing ink of the invention is advantageously employed in lithographic printing processes.

#### DETAILED DESCRIPTION

The inks of the invention include a vinyl polymer that is branched but usefully soluble. By "soluble" it is meant that the polymer can be diluted with one or more solvents. (By contrast, polymers may be crosslinked into

insoluble, three-dimensional network structures that may only be swelled by solvents.) The branched vinyl polymers of the invention may be diluted by addition of solvent, as contrasted with polymers crosslinked into an insoluble, three-dimensional network that are instead swollen by solvent. The preferred  
5 polymers of the invention are significantly branched. The branching may be accomplished by at least two methods. In a first method, a monomer with two or more polymerizable double bonds is included in the polymerization reaction. In a second method, a pair of ethylenically unsaturated monomers, each of which has in addition to the polymerizable double bond at least one  
10 additional functionality reactive with the additional functionality on the other monomer, are included in the monomer mixture being polymerized. Preferably, the reaction of the additional functional groups takes place during the polymerization reaction, although this is not seen as critical in the formation of a polymer according to the invention and the reaction of the  
15 additional functional groups may be carried out partially or wholly before or after polymerization. A variety of such pairs of mutually reactive groups are possible. Illustrative examples of such pairs of reactive groups include, without limitation, epoxide and carboxyl groups, amine and carboxyl groups, epoxide and amine groups, epoxide and anhydride groups, amine and  
20 anhydride groups, hydroxyl and carboxyl or anhydride groups, amine and acid chloride groups, alkylene-imine and carboxyl groups, organoalkoxysilane and carboxyl groups, isocyanate and hydroxyl groups, cyclic carbonate and amine groups, isocyanate and amine groups, and so on. Specific examples of such monomers include, without limitation, glycidyl (meth)acrylate with  
25 (meth)acrylic acid, N-alkoxymethylated acrylamides (which react with

themselves) such as N-isobutoxymethylated acrylamide, gamma-methacryloxytrialkoxysilane (which reacts with itself), and combinations thereof. In connection with the description of this invention, the term "(meth)acrylate" will be used to refer to both the acrylate and the methacrylate esters and the term "(meth)acrylic" will be used to refer to both the acrylic and the methacrylic compounds.

Preferably, the vinyl resin of the invention is polymerized using at least one monomer having two or more polymerizable ethylenically unsaturated bonds, and particularly preferably from two to about four polymerizable ethylenically unsaturated bonds. Illustrative examples of monomers having two or more ethylenically unsaturated moieties include, without limitation, (meth)acrylate esters of polyols such as 1,4-butanediol di(meth)acrylate, 1,6-hexanediol di(meth)acrylate, neopentyl glycol di(meth)acrylate, trimethylol propane tri(meth)acrylate, tetramethylol methane tetra(meth)acrylate, pentaerythritol tetra(meth)acrylate, dipentaerythritol penta(meth)acrylate, dipentaerythritol hexa(meth)acrylate, alkylene glycol di(meth)acrylates and polyalkylene glycol di(meth)acrylates, such as ethylene glycol di(meth)acrylate, butylene glycol di(meth)acrylate, diethylene glycol di(meth)acrylate, triethylene glycol di(meth)acrylate, and polyethylene glycol di(meth)acrylate; divinylbenzene, allyl methacrylate, diallyl phthalate, diallyl terephthalate, and the like, singly or in combinations of two or more. Of these, divinylbenzene, butylene glycol dimethacrylate, butanediol dimethacrylate, trimethylolpropane triacrylate, and pentaerythritol tetraacrylate are highly preferred, and divinylbenzene is still more highly preferred.

Preferably, the branched vinyl polymer is polymerized using at least about 0.008 equivalents per 100 grams of monomer polymerized of at least one monomer having at least two ethylenically unsaturated polymerizable bonds, or 0.004 equivalents per 100 grams of monomer polymerized of each  
5 of two monomers having mutually reactive groups in addition to an ethylenically unsaturated polymerizable bond. Preferably, the branched vinyl polymer is polymerized using from about 0.012 to about 0.08 equivalents, and more preferably from about 0.016 to about 0.064 equivalents per 100 grams of monomer polymerized of the polyfunctional monomer or monomers having  
10 at least two ethylenically unsaturated polymerizable bonds or of the pair of monomers having one polymerization bond and one additional mutually reactive group.

The polyfunctional monomer or monomers preferably have from two to four ethylenically unsaturated polymerizable bonds, and more preferably two  
15 ethylenically unsaturated polymerizable bonds. In one embodiment it is preferred for the branched vinyl resin to be prepared by polymerizing a mixture of monomers that includes from about 0.5% to about 6%, more preferably from about 1.2% to about 6%, yet more preferably from about 1.2% to about 4%, and even more preferably from about 1.5% to about 3.25%  
20 divinylbenzene based on the total weight of the monomers polymerized. (Commercial grades of divinylbenzene include mono-functional and/or non-functional material. The amount of the commercial material needed to provide the indicated percentages must be calculated. For example, 5% by weight of a material that is 80% by weight divinylbenzene/20% mono-

functional monomers would provide 4% by weight of the divinylbenzene fraction.)

The optimum amount of (1) divinylbenzene or other monomer having at least two polymerizable ethylenically unsaturated bond or (2) pair of  
5 monomers having polymerizable group and additional, mutually-reactive groups that are included in the polymerization mixture depends to some extent upon the particular reaction conditions, such as the rate of addition of monomers during polymerization, the solvency of the polymer being formed in the reaction medium chosen, the amount of monomers relative to the reaction  
10 medium, the half-life of the initiator chosen at the reaction temperature and the amount of initiator by weight of the monomers, and may be determined by straightforward testing.

Other monomers that may be polymerized along with the polyfunctional monomers include, without limitation,  $\alpha,\beta$ -ethylenically  
15 unsaturated monocarboxylic acids containing 3 to 5 carbon atoms such as acrylic, methacrylic, and crotonic acids and the esters of those acids;  $\alpha,\beta$ -ethylenically unsaturated dicarboxylic acids containing 4 to 6 carbon atoms and the anhydrides, monoesters, and diesters of those acids; vinyl esters, vinyl ethers, vinyl ketones, and aromatic or heterocyclic aliphatic vinyl  
20 compounds. Representative examples of suitable esters of acrylic, methacrylic, and crotonic acids include, without limitation, those esters from reaction with saturated aliphatic and cycloaliphatic alcohols containing 1 to 20 carbon atoms, such as methyl, ethyl, propyl, isopropyl, n-butyl, isobutyl, tert-butyl, 2-ethylhexyl, lauryl, stearyl, cyclohexyl, trimethylcyclohexyl,  
25 tetrahydrofurfuryl, stearyl, sulfoethyl, and isobornyl acrylates, methacrylates,

and crotonates; and polyalkylene glycol acrylates and methacrylates. Representative examples of other ethylenically unsaturated polymerizable monomers include, without limitation, such compounds as fumaric, maleic, and itaconic anhydrides, monoesters, and diesters with alcohols such as

5 methanol, ethanol, propanol, isopropanol, butanol, isobutanol, and tert-butanol. Representative examples of polymerization vinyl monomers include, without limitation, such compounds as vinyl acetate, vinyl propionate, vinyl ethers such as vinyl ethyl ether, vinyl and vinylidene halides, and vinyl ethyl ketone. Representative examples of aromatic or heterocyclic aliphatic vinyl

10 compounds include, without limitation, such compounds as styrene,  $\alpha$ -methyl styrene, vinyl toluene, tert-butyl styrene, and 2-vinyl pyrrolidone. The selection of monomers is made on the basis of various factors commonly considered in making ink varnishes, including the desired glass transition temperature and the desired dilutability of the resulting polymer in the solvent

15 or solvent system of the ink composition.

The preferred vinyl polymers may be prepared by using conventional techniques, preferably free radical polymerization in a semi-batch process. For instance, the monomers, initiator(s), and any chain transfer agent may be fed at a controlled rate into a suitable heated reactor charged with solvent in a

20 semi-batch process. Typical free radical sources are organic peroxides, including dialkyl peroxides, such as di-tert-butyl peroxide and dicumyl peroxide, peroxyesters, such as tert-butyl peroxy 2-ethylhexanoate and tert-butyl peroxy pivalate; peroxy carbonates and peroxydicarbonates, such as tert-butyl peroxy isopropyl carbonate, di-2-ethylhexyl peroxydicarbonate and

25 dicyclohexyl peroxydicarbonate; diacyl peroxides, such as dibenzoyl peroxide

and dilauroyl peroxide; hydroperoxides, such as cumene hydroperoxide and tert-butyl hydroperoxide; ketone peroxides, such as cyclohexanone peroxide and methylisobutyl ketone peroxide; and peroxyketals, such as 1,1-bis(tert-butyl peroxy)-3,5,5-trimethylcyclohexane and 1,1-bis(tert-butyl peroxy) cyclohexane; as well as azo compounds such as 2,2'-azobis(2-methylbutanenitrile), 2,2'-azobis(2-methyl)propionitrile, and 1,1'-azobis(cyclohexanecarbonitrile). Organic peroxides are preferred. Particularly preferred is tert-butyl peroxy isopropyl carbonate. Chain transfer agents may also be used in the polymerization. Typical chain transfer agents are mercaptans such as octyl mercaptan, n- or tert-dodecyl mercaptan, thiosalicylic acid, mercaptocarboxylic acids such as mercaptoacetic acid and mercaptopropionic acid and their esters, and mercaptoethanol; halogenated compounds; and dimeric alpha-methyl styrene. Preferably, no chain transfer agent is included because of odor and other known drawbacks. The particular initiator and amount of initiator used depends upon factors known to the person skilled in the art, such as the reaction temperature, the amount and type of solvent (in the case of a solution polymerization), the half-life of the initiator, and so on.

The addition polymerization is usually carried out in solution at temperatures from about 20°C to about 300°C, preferably from about 150°C to about 200°C, more preferably from about 160°C to about 165°C. Preferably, the polymerization is carried out with approximately the same reaction temperature and using the same initiator(s) throughout. The initiator should be chosen so its half-life at the reaction temperature is preferably no more than about thirty minutes, particularly preferably no more than about five

minutes, and yet more preferably no more than about two minutes. Particularly preferred are initiators having a half-life of less than about one minute at a temperature of from about 150°C to about 200°C. In general, more of the branching monomer can be included when the initiator half-life is shorter and/or when more initiator is used. The vinyl polymer vehicles of the invention preferably have little or no residual (unreacted) monomer content. In particular, the vinyl vehicles are preferably substantially free of residual monomer, i.e., have less than about 0.5% residual monomer, and even more preferably less than about 0.1% residual monomer by weight, based on the total weight of the monomers being polymerized.

In a semi-batch process, the monomer and initiator is added to the polymerization reactor over a period of time, preferably at a constant rate. Typically, the add times are from about 1 to about 10 hours, and add times of from about three to about five hours are common. Longer add times typically produce lower number average molecular weights. Lower number average molecular weights may also be produced by increasing the ratio of solvent to monomer or by using a stronger solvent for the resulting polymer.

In general, the branched vinyl polymer of the invention has a low number average molecular weight and a broad polydispersity. The number average molecular weight and weight average molecular weight of a vinyl resin according to the invention can be determined by gel permeation chromatography using polystyrene standards, which are available for up to 6 million weight average molecular weight, according to well-accepted methods. Polydispersity is defined as the ratio of  $M_w / M_n$ . In a preferred embodiment, the vinyl polymer has a number average molecular weight ( $M_n$ ) of at least



about 1000, and more preferably at least about 2000. The number average molecular weight is also preferably less than about 15,000, more preferably less than about 10,000, and even more preferably less than about 8500. A preferred range for  $M_n$  is from about 1000 to about 10,000, a more preferred range for  $M_n$  is from about 2000 to about 8500, and an even more preferred range is from about 4000 to about 8000. The weight average molecular weight should be at least about 30,000, preferably at least about 100,000. The weight average molecular weight ( $M_w$ ) is preferably up to about 60 million, based upon a GPC determination using an available standard having 6 million weight average molecular weight. A preferred range for  $M_w$  is from about 30,000 to about 55 million, a more preferred range for  $M_w$  is from about 100,000 to about 1 million, and a still more preferred range is from about 100,000 to about 300,000. Resins having ultra-high molecular weight shoulders (above about 45 million), which can be seen by GPC, are preferably avoided for the  $M_w$  range of from about 100,000 to about 300,000. The polydispersity, or ratio of  $M_w / M_n$ , may be up to about 10,000, preferably up to about 1000. The polydispersity is preferably at least about 15, particularly preferably at least about 50. The polydispersity preferably falls in the range of from about 15 to about 1000, and more preferably it falls in a range of from about 50 to about 800.

The theoretical glass transition temperature can be adjusted according to methods well-known in the art through selection and apportionment of the commoners. In a preferred embodiment, the theoretical  $T_g$  is above room temperature, and preferably the theoretical  $T_g$  is at least about 60° C, more preferably at least about 70°C. The methods and compositions of the present

invention preferably employ vinyl polymers having a  $T_g$  of from about 50°C to about 125°C, more preferably from about 60°C to about 100°C, and even more preferably from about 70°C to about 90°C.

In one embodiment of the invention, the branched vinyl polymer of the invention is combined with other resins in the ink composition. Examples of suitable other resins that may be combined with the branched vinyl resin include, without limitation, polyester and alkyd resins, phenolic resins, rosins, cellulose, and derivatives of these such as rosin-modified phenolics, phenolic-modified rosins, hydrocarbon-modified rosins, maleic modified rosin, fumaric modified rosins; hydrocarbon resins, unbranched acrylic or vinyl resins, polyamide resins, and so on. Such resins or polymers may be included in amounts of up to about 6 parts by weight to about 1 part by weight of the branched vinyl polymer of the invention, based upon the nonvolatile weights of the resins.

In addition to the branched vinyl resin and any other resin components, the ink compositions of the invention preferably include one or more solvents. In a preferred embodiment of the invention, the branched vinyl resin forms a solution or apparent solution having no apparent turbidity in the solvent or solvents of the ink formulation. The particular solvents and amount of solvent included is determined by the ink viscosity, body, and tack desired. In general, non-oxygenated solvents or solvents with low Kauri-butanol (KB) values are used for inks that will be in contact with rubber parts such as rubber rollers during the printing process, such as a lithographic process, to avoid affecting the rubber. Suitable solvents for lithographic inks or other inks that will contact rubber parts include, without limitation, water and aliphatic

hydrocarbons such as petroleum distillate fractions and normal and iso paraffinic solvents with limited aromatic character. For example, petroleum middle distillate fractions such as those available under the tradename Magie Sol, available from Magie Bros. Oil Company, a subsidiary of Pennsylvania Refining Company, Franklin Park, IL, under the tradename ExxPrint, available from Exxon Chemical Co., Houston, TX, and from Golden Bear Oil Specialties, Oildale, CA, Total Petroleum Inc., Denver, CO, and Calumet Lubricants Co., Indianapolis, IN may be used. While lithographic ink solvents must be compatible with the rubber parts which the ink contacts, solvents for gravure inks and flexographic inks may employ a wide range of organic solvents. In addition or alternatively, soybean oil or other vegetable oils may be included in the ink compositions.

When non-oxygenated solvents such as these are used, it is generally necessary to include a sufficient amount of at least one monomer having a substantial affinity for aliphatic solvents in order to obtain the desired solvency of the branched vinyl polymer. In general, acrylic ester monomers having at least six carbons in the alcohol portion of the ester or styrene or alkylated styrene, such as tert-butyl styrene, may be included in the polymerized monomers for this purpose. In a preferred embodiment, an ink composition with non-oxygenated solvents includes a branched vinyl resin polymerized from a monomer mixture including at least about 20%, preferably from about 20% to about 40%, and more preferably from about 20% to about 25% of a monomer that promotes aliphatic solubility such as stearyl methacrylate or t-butyl styrene, with stearyl methacrylate being a preferred such monomer. It is also preferred to include at least about 55% percent styrene, preferably from

about 55% to about 80% styrene, and more preferably from about 60% to about 70% styrene. Methyl methacrylate or other monomers may also be used to reduce solvent tolerance in aliphatic solvent, if desired. All percentages are by weight, based upon the total weight of the monomer mixture polymerized. Among preferred monomer compositions for vinyl polymers for lithographic inks are those including a (meth)acrylic ester of an alcohol having 8-20 carbon atoms such as stearyl methacrylate, styrene, divinylbenzene, and (meth)acrylic acid. In a preferred embodiment, a branched vinyl for a lithographic printing ink is made with from about 15, preferably about 20, to about 30, preferably about 25, weight percent of a (meth)acrylic ester of an alcohol having 8-20 carbon atoms, especially stearyl methacrylate; from about 50, preferably about 60, to about 80, preferably about 75, weight percent of a styrenic monomer, especially styrene itself; an amount of divinylbenzene as indicated above; and from about 0.5, preferably about 2.5, to about 5, preferably about 4, weight percent of acrylic acid or, more preferably, of methacrylic acid.

It is preferred to include an acid-functional monomer such as acrylic acid, methacrylic acid, or crotonic acid, or an anhydride monomer such as maleic anhydride or itaconic anhydride that may be hydrated after polymerization to generate acid groups. It is preferred for the branched vinyl polymer to have an acid number of at least about 3 mg KOH per gram nonvolatile, and preferably an acid number of from about 6 to about 30 mg KOH per gram nonvolatile, and more preferably an acid number of from about 8 to about 25 mg KOH per gram nonvolatile, based upon the nonvolatile weight of the vinyl polymer.

When used to formulate the preferred ink compositions of the invention, these varnishes or vehicles are typically clear, apparent solutions in the solvent package. The particular amount and type of solvent or mixture of solvents may depend upon the type of ink composition. For example, gravure ink compositions may employ solvents having a boiling point below about 200°C, while offset printing inks may use solvents with boiling point above about 200°C. Preferably, the solvent or solvent mixture will have a boiling point of at least about 100°C and preferably not more than about 550°C. News inks usually are formulated with from about 20 to about 85 percent by weight of solvents such as mineral oils, vegetable oils, and high boiling petroleum distillates. For lithographic inks, typically the solvent content is up to 60%, which may include oils as part of the solvent package. Usually, at least about 35% solvent is present in lithographic ink.

Waterborne inks may also be formulated using the branched vinyl polymers of the invention by suitable techniques known in the art. The basic principles of water dispersible acrylic or vinyl resins are known and can be applied to the present branched copolymer in a straightforward manner.

The ink compositions of the invention will usually include one or more pigments. The number and kinds of pigments will depend upon the kind of ink being formulated. News ink compositions typically will include only one or only a few pigments, such as carbon black, while gravure inks may include a more complicated pigment package and may be formulated in many colors, including colors with special effects such as pearlescence or metallic effect. Lithographic printing inks are typically used in four colors -- magenta, yellow, black, and cyan, and may be formulated for pearlescence or metallic effect.

Any of the customary inorganic and organic pigments may be used in the ink compositions of the present invention. Alternatively, the compositions of the invention may be used as overprint lacquers or varnishes. The overprint lacquers (air drying) or varnishes (curing) are intended to be transparent, especially clear or substantially clear, and thus opaque pigments are not included. For purposes of this invention, an overprint lacquer or varnish may be considered to be a printing ink composition of the invention that has no opaque pigments.

Lithographic ink compositions according to the invention may be formulated as single-fluid inks having an oil-based continuous phase that contains the branched vinyl vehicle and a polyol discontinuous phase that contains a liquid polyol. The branched vinyl polymer phase is relatively stable toward the polyol phase. The stability is such that the two phases do not separate in the fountain. During application of the ink, however, the emulsion breaks and the polyol comes to the surface, wetting out the areas of the plate that are not to receive ink. Inks that are stable in the fountain but break quickly to separate on the plate print cleanly without toning and provide consistent transfer characteristics. Proper stability also may depend upon the particular acid-functional vinyl polymer and the particular polyol chosen. The acid number and molecular weight may be adjusted to provide the desired stability. Higher acid number vinyl resins can be used in lower amounts, but the acid number cannot be excessively high or else the vinyl polymer will not be sufficiently soluble in the hydrocarbon solvent. In general, it is believed that an increase in acid number of the acid-functional vinyl resin should be

accompanied by a decrease in the amount of such resin included in the hydrophobic phase.

Polyethylene glycol oligomers such as diethylene glycol, triethylene glycol, and tetraethylene glycol, as well as ethylene glycol, propylene glycol, and dipropylene glycol, are examples of liquid polyols that are preferred for the polyol phase of the single-fluid ink of the invention. The polyol phase may, of course, include mixtures of different liquid polyols. In general, lower acid number vinyl or acrylic polymers are used with higher molecular weight polyols. The polyol phase may include further materials. A weak acid such as citric acid, tartaric acid, or tannic acid, or a weak base such as triethanolamine, may be included in an amount of from about 0.01 weight percent up to about 2 weight percent of the ink composition. Certain salts such as magnesium nitrate may be included in amounts of from about 0.01 weight percent to about 0.5 weight percent, preferably from about 0.08 to about 1.5 weight percent, based on the weight of the ink composition, to help protect the plate and extend the life of the plate. A wetting agent, such as polyvinylpyrrolidone, may be added to aid in wetting of the plate. From about 0.5 weight percent to about 1.5 weight percent of the polyvinylpyrrolidone is included, based on the weight of the ink composition.

Single-fluid inks may be formulated with from about 5% to about 50%, preferably from about 10% to about 35%, and particularly preferably from about 20% to about 30% of polyol phase by weight based on the total weight of the ink composition. Unless another means for cooling is provided, there is preferably a sufficient amount of polyol in the ink composition to keep the plate at a workably cool temperature. The amount of polyol phase necessary

to achieve good toning and printing results depends upon the kind of plate being used and may be determined by straightforward testing. Up to about 4 or 5% by weight of water may be included in the polyol phase mixture to aid in dissolving or homogenizing the ingredients of the polyol phase.

5           It will be appreciated by the skilled artisan that other additives known in the art that may be included in the ink compositions of the invention, so long as such additives do not significantly detract from the benefits of the present invention. Illustrative examples of these include, without limitation, pour point depressants, surfactants, wetting agents, waxes, emulsifying agents and  
10 dispersing agents, defoamers, antioxidants, UV absorbers, dryers (e.g., for formulations containing vegetable oils), flow agents and other rheology modifiers, gloss enhancers, and anti-settling agents. When included, additives are typically included in amounts of at least about 0.001% of the ink composition, and may be included in amount of about 7% by weight or more  
15 of the ink composition.

The vinyl vehicles of the invention are suitable for use in inks for many different types of applications, including, without limitation, heatset inks, news inks, gravure inks, sheetfed inks, and flexographic inks. Processes in which such inks are used are well-known in the art and are described in many  
20 publications.

The invention is illustrated by the following examples. The examples are merely illustrative and do not in any way limit the scope of the invention as described and claimed. All parts are parts by weight unless otherwise noted.

25           Examples



Example 1. Preparation of a Vinyl Varnish According to the Invention

An amount of 44.19 parts by weight of Total 220 (a petroleum middle distillate fraction available from Total Petroleum, Inc.) is charged to a glass reactor equipped with stirrer, nitrogen inlet, total reflux condenser, and monomer inlet. The solvent is heated to 160°C with stirring under a blanket of nitrogen. A monomer mixture of 36.01 parts by weight styrene, 12.27 parts by weight stearyl methacrylate, 2.62 parts by weight divinylbenzene, 1.89 parts by weight methacrylic acid, and 2.79 parts by weight t-butyl peroxy isopropyl carbonate (75% solution in mineral spirits) is added to the reactor over a period of three hours. After the monomer addition is complete, 0.23 parts by weight of t-butyl peroxy isopropyl carbonate is added over a period of fifteen minutes. The temperature is held at 160°C for an additional two hours to allow for complete conversion of the monomer to polymer. The measured amount of non-volatile matter (NVM) is 55%. The percent conversion, measured as NVM divided by the percent of the total weight of monomers, is 100.1. The acid number on solution is 12.0 mg KOH per gram. The viscosity is 30 Stokes (bubble tube, 54.4°C). The solvent tolerance is 230% and the NVM at cloud point is 16.7%.

Example 2. Preparation of a Vinyl Varnish According to the Invention

An amount of 44.22 parts by weight of Golden Bear 1108 (a petroleum middle distillate fraction available from Golden Bear Oil Specialties) is charged to a reaction flask equipped with stirrer, nitrogen inlet, total reflux condenser, and monomer inlet. The solvent and heated to 145°C with stirring. A monomer mixture of 33.86 parts by weight styrene, 12.6 parts by weight stearyl methacrylate, 3.1 parts by weight n-butyl acrylate, 1.31 parts by

weight divinylbenzene HP (80% divinylbenzene), 1.89 parts by weight methacrylic acid, and 2.89 parts by weight t-butyl peroxy isopropyl carbonate is added to the reaction flask over a period of 3 hours. After the monomer addition is complete, 0.23 parts by weight of t-butyl peroxy isopropyl carbonate is added to the flask over a period of 15 minutes. The temperature is held at 145°C for an additional two hours to allow for complete conversion of the monomer to polymer. The measured amount of non-volatile matter (NVM) is 56%. The percent conversion, measured as the percent of the total weight of monomers converted to non-volatile matter is 101.5. The acid number on solution is 12.0 mg KOH per gram. The viscosity is 47 Stokes (bubble tube, 54.4°C). The solvent tolerance is greater than 1429% and the NVM at cloud point is less than 3.7% (i.e., no cloud point is observed yet at this dilution).

Example 3. Preparation of a Heatset Single Fluid Printing Ink According to the Invention

58.0 grams of the following Mixture 3A is added to 142.0 grams of the following Mixture 3B with stirring. The ink composition is mixed for 20 minutes on a dispersator, maintaining a vortex and holding the temperature under 140°F. The ink composition has a single fall time Laray of 14 to 17 seconds for 500 grams at 30°C. When used in a single-fluid heatset lithographic printing process, the ink prints without toning.

Mixture 3A:

Mix in a glass beaker until clear 181.0 grams of diethylene glycol, 8.0 grams of RO water, 0.4 grams of citric acid, and 0.4 grams of magnesium nitrate.

Add 191.2 grams of diethylene glycol and mix until homogenous.

**Mixture 3B:**

Mix, using a high-speed mixer, 46.0 grams of the vinyl vehicle of Example 1, 4.0 grams of Blue Flush 12-FH-320 (available from CDR Corporation, Elizabethtown, KY) 1.0 gram technical grade Soy oil (available from Cargill, Chicago, IL) and 0.6 grams of an antioxidant. While mixing, add 34.4 grams of a hydrocarbon resin solution (60% LX-2600 in EXX-Print 283D, available from Neville), 27.0 grams of a carbon black (CSX-156 available from Cabot Corp.), and 1.0 gram of a polytetrafluoroethylene wax (Pinnacle 9500D, available from Carrol Scientific). Mix at a high speed for 30 minutes at 300°F. Slow the mixing speed and add 27.0 grams of EXX-Print 588D (available from Exxon). Mill the premix in a shot mill to a suitable grind. Mixture B has a Laray viscosity of 180 to 240 poise and a Laray yield of 800 to 1200 (according to test method ASTM D4040: Power Law – 3k, 1.5k, 0.7k, 0.3k). Mixture 3B is tested on the Inkometer for one minute at 1200 rpm for a measured result of 25 to 29 units.

Example 4. Preparation of a News Ink Single Fluid Printing Ink According to the Invention

**Mixture 4A:**

A mixture of 87.0 grams of diethylene glycol, 12.7 grams of glycerine, 0.15 gram of citric acid monohydrate, and 0.15 grams of magnesium nitrate hexahydrate are stirred with heat (at 130-140°F) until homogenous.

**Mixture 4B:**

A blend of 40.2 grams of a gilsonite varnish, 0.8 gram oronite, 17.9 grams MSO solvent (available from Calumet), and 41.1 grams of a carbon black (CSX-320 from Cabot Corp.) were mixed with shear to a 4.0 on the

Hegman grind gauge, and then ground in a shot mill to a grind on a 2 mil gauge of at least 0/10. The Laray viscosity at 30°C is measured as 296 poise for a drop with 2000 grams of added weight and as 1332 poise for a drop with 200 grams of added weight ( $\pm 25\%$  accuracy) and gives an inkometer reading  
5 at 90°F (32°C) for 1 minute at 400 rpm followed immediately by 1 minute at 1200 rpm of 5-10 units.

News Ink:

The news ink is prepared by mixing together 32.4 grams of the mixture B and 37.6 grams of Example 2 to obtain a Mixture 4C having an inkometer  
10 reading at 90°F (32°C) for 1 minute at 400 rpm followed immediately by 1 minute at 1200 rpm of 18.8 units, a Laray viscosity at 30°C of 375 poise for a drop with 2000 grams of added weight and of 565 poise for a drop with 200 grams of added weight ( $\pm 25\%$  accuracy), and a viscosity as measured according to ASTM D4040 (power law 2000, 1500, 1000, 500) at 2500 s<sup>-1</sup> of  
15 285 poise with a pseudo yield of 1709 dynes per cm<sup>2</sup>. To obtain the ink, 30.0 grams of Mixture 4A is added to Mixture 4C with mixing at 3000 rpm for 10 minutes. The resulting ink has a single fall time Laray at 30°C of 21 seconds for 500 grams. The ink does not exhibit toning when using in a single-fluid lithographic printing process.

20 The invention has been described in detail with reference to preferred embodiments thereof. It should be understood, however, that variations and modifications can be made within the spirit and scope of the invention and of the following claims.

What is claimed is:

1. A printing ink composition comprising a branched vinyl resin having a polydispersity of at least about 15.
- 5 2. A printing ink composition according to claim 1, wherein the branched vinyl resin has a weight average molecular weight of at least about 30,000.
3. A printing ink composition according to claim 1, wherein the branched vinyl resin has a number average molecular weight of less than about 15,000.
4. A printing ink composition according to claim 1, wherein the branched  
10 vinyl resin has a number average molecular weight of less than about 8500.
5. A printing ink composition according to claim 1, wherein the branched vinyl resin has a polydispersity of at least about 50.
6. A printing ink composition according to claim 5, wherein the branched vinyl resin has a polydispersity of up to about 10,000.
- 15 7. A printing ink composition according to claim 1, wherein the printing ink composition is a lithographic printing ink.
8. A printing ink composition according to claim 1, wherein said printing ink further comprises a vehicle selected from the group consisting of alkyd resins, phenolic resins, rosins, cellulosics, rosin-modified phenolics, phenolic-  
20 modified rosins, hydrocarbon-modified rosins, maleic modified rosin, fumaric modified rosins; hydrocarbon resins, unbranched vinyl resins, polyamide resins, and mixtures thereof.
9. A printing ink composition according to claim 1, wherein the branched vinyl resin is polymerized using at least about 0.5% by weight divinylbenzene,  
25 based on the total weight of monomers polymerized.

10. A printing ink composition according to claim 1, wherein said branched vinyl resin is prepared using from about 1.2% to about 6% divinylbenzene by weight based on the total weight of the monomers polymerized.
11. A printing ink composition according to claim 1, wherein said branched  
5 vinyl resin is prepared using from about 1.5% to about 3.25% divinylbenzene by weight based on the total weight of the monomers polymerized.
12. A printing ink composition comprising a branched vinyl resin prepared by polymerizing a mixture of monomers that includes at least about 0.008 equivalents, per 100 grams of monomer polymerized, of at least one  
10 polyfunctional monomer, said polyfunctional monomer having at least two ethylenically unsaturated polymerizable bonds.
13. A printing ink composition according to claim 12, wherein the mixture of monomers includes from about 0.012 to about 0.08 equivalents, per 100 grams of monomer polymerized, of the polyfunctional monomer.
14. A printing ink composition according to claim 12, wherein the mixture of  
15 monomers includes from about 0.016 to about 0.064 equivalents per 100 grams of monomer polymerized of the polyfunctional monomer.
15. A printing ink composition according to claim 12, wherein the polyfunctional monomer has from about two to about four ethylenically  
20 unsaturated polymerizable bonds per molecule.
16. A printing ink composition according to claim 12, wherein the polyfunctional monomer has about two ethylenically unsaturated polymerizable bonds per molecule.
17. A printing ink composition according to claim 12, wherein the  
25 polyfunctional monomer comprises divinylbenzene.

18. A printing ink composition according to claim 12, wherein the branched vinyl resin is prepared by polymerizing a mixture of monomers that includes a polyfunctional monomer selected from the group consisting of divinylbenzene, butylene glycol dimethacrylate, butanediol dimethacrylate, trimethylolpropane triacrylate, pentaerythritol tetra-acrylate, and mixtures thereof.
19. A method of making a printing ink, comprising the steps of:
- (a) preparing a branched vinyl resin by polymerizing a monomer mixture that includes at least one monomer having at least about two ethylenically unsaturated polymerizable bonds per molecule in the presence of a free radical initiator at a temperature at which said free radical initiator has a half-life of less than about two minutes, wherein said polymerization results in less than about 0.5% residual monomer based on the total weight of the monomers being polymerized, and
- (b) combining said branched vinyl resin with at least one other material to form a printing ink.
20. A method of making a printing ink according to claim 19, wherein said polymerization results in less than about 0.1% residual monomer based on the total weight of the monomers being polymerized.
21. A method of making a printing ink according to claim 19, wherein said free radical initiator is tert-butyl peroxy isopropyl carbonate.
22. A method according to claim 19, wherein the temperature is from about 150°C to about 200°C.
23. A method of printing, comprising applying a printing ink composition to a substrate, wherein said printing ink composition includes at least a

branched vinyl resin having a polydispersity of at least about 15 and a weight average molecular weight of at least about 100,000.

24. A method of printing according to claim 23, wherein said method is a lithographic printing method.

5 25. A printing varnish comprising a branched vinyl resin having a polydispersity of at least about 15.

26. An overprint composition comprising a branched vinyl resin having a polydispersity of at least about 15, wherein said overprint varnish is transparent.



# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 99/28182

**A. CLASSIFICATION OF SUBJECT MATTER**  
IPC 7 C09D11/10

According to international Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)  
IPC 7 C09D

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 0 814 136 A (WESTVACO CORP) 29 December 1997 (1997-12-29) claims 1,3,11; examples 2,3,5	1-26
X	EP 0 662 641 A (MITSUI TOATSU CHEMICALS, INC) 12 July 1995 (1995-07-12) paragraph bridging pages 3 and 4; page 4, lines 37-41; preparation examples 1-11 page 3, line 35-38; claims 1,3	1-26
X	EP 0 732 344 A (HENKEL CORP) 18 September 1996 (1996-09-18) page 5, line 23-28; claim 1 page 9, line 40-52	1-26
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Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

\* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
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- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
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- "&" document member of the same patent family

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## INTERNATIONAL SEARCH REPORT

 International Application No  
 PCT/US 99/28182

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
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