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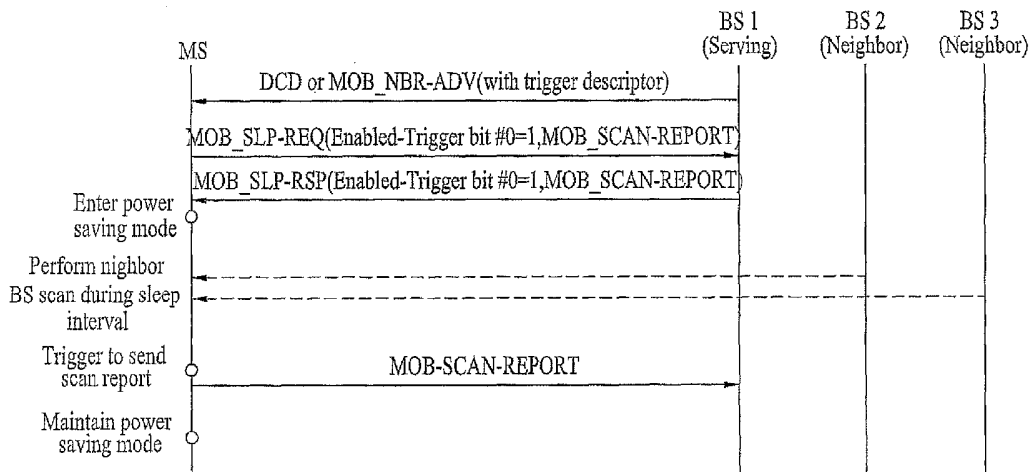
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(54) **Title:** A METHOD OF PERFORMING ACTIONS RELATED TO HANDOVER BY A MOBILE STATION THAT IS IN POWER SAVING MODE IN A WIRELESS MOBILE COMMUNICATION SYSTEM



(57) **Abstract:** A method of performing actions related to handover by a mobile station (MS) in a wireless mobile communication system is disclosed. More specifically, the MS receives information from a base station (BS), wherein the information includes at least one action for performing handover and at least one condition corresponding to the at least one action. Furthermore, the MS transmits a request message to perform the at least one action based on the received information from the BS and receives a response message in response to the request message. Lastly, the MS performs the at least one action according to the received response message.

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**A METHOD OF PERFORMING ACTIONS RELATED TO HANDOVER BY A  
MOBILE STATION THAT IS IN POWER SAVING MODE IN A WIRELESS  
MOBILE COMMUNICATION SYSTEM**

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**Technical Field**

The present invention relates to a performing action related to handover, and more particularly, to a method of performing actions related to handover by a mobile station (MS) that is in power saving mode in a wireless mobile communication system.

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**Background Art**

In a broadband wireless access system, a power saving mode or a sleep mode can be used to minimize power consumption of a mobile station (MS). The power saving mode comprises a sleep interval and a listening interval, which are repeated during the operation by the MS. A length (or duration) of the sleep interval is determined by a value of a sleep window. Similarly, a length (or duration) of the listening interval is determined by a value of a listening window. These values are respectively determined based on a characteristic of a determined traffic of a corresponding MS. Furthermore, the MS can be represented by three (3) types of power saving class according to the characteristics of traffic associated with the power saving class.

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More specifically, the three power saving class types are classified as a power saving mode class type 1 (hereinafter "Class 1"), a power saving mode class type 2 (hereinafter "Class 2"), and a power saving mode class type 3 (hereinafter "Class 3").

Class 1 can be defined by an initial sleep window, a final window base, a final window exponent, a listening window, and a start frame number of sleep window. Furthermore, Class 1 focuses on features such as Best Effort (BE) which characterizes the existing internet traffic or non-real-time variable rate (nrt-VR).

5 Class 2 can be defined by an initial sleep window, a listening window, and a start frame number of sleep window. Furthermore, Class 2 focuses on features such as Voice over Internet Protocol (VoIP) and the nrt-VR.

Class 3 can be defined by a final window base, a final window exponent, and a start frame number of sleep window. Furthermore, Class 3 is for periodically transmitting a management message, such as a Downlink Channel Descriptor/Uplink Channel Descriptor (DCD/UCD) and a neighbor advertisement (MOB\_NBR\_ADV), to the MS that is in power saving mode or alternatively, for data transmitted via multicast transmission.

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The above power saving class types describe different types of power saving modes. The operations of these different class types are the basis for handover for the MS to a base station (BS). While in power saving mode, the MS receives signal quality information of neighbor base stations. The signal qualities of neighbor bases stations can be measured by performing scan operation. Before handover operation is performed, a serving BS transmits handover trigger information, which is used in performing handover, to the MS. Here, the serving BS refers to the BS which granted the MS to enter the power saving mode. Using the received handover trigger information, the MS performs handover when the condition specified in the handover trigger information is satisfied.

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Even if the MS that is in power saving mode does not transmit and receive a scan request message and a scan response message, respectively, the MS performs scan operation on neighboring base stations and measures signal qualities of neighbor base stations. If the results of the scan operation (e.g., signal qualities of the neighbor base stations, the received  
5 signal strength, and/or transmission delay) are transmitted via scan report message to the serving BS every time the scan operation is performed, the power consumption for uplink transmission by the MS increases. At the same time, if the serving BS fails to receive the scan results from the MS operating in power saving mode, then the handover operation of the MS cannot be controlled properly.

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### **Disclosure of the Invention**

Accordingly, the present invention is directed to a method of performing actions related to handover by a mobile station (MS) that is in power saving mode in a wireless mobile communication system that substantially obviates one or more problems due to  
15 limitations and disadvantages of the related art.

An object of the present invention is to provide a method of performing actions related to handover by a mobile station (MS) that is in power saving mode in a wireless mobile communication system.

Another object of the present invention is to provide a method of providing actions  
20 related to handover by a base station (BS) for a mobile station (MS) that is in power saving mode in a wireless mobile communication system.

Additional advantages, objects, and features of the invention will be set forth in part in the description which follows and in part will become apparent to those having ordinary skill in the art upon examination of the following or may be learned from practice of the invention. The objectives and other advantages of the invention may be realized and  
5 attained by the structure particularly pointed out in the written description and claims hereof as well as the appended drawings.

To achieve these objects and other advantages and in accordance with the purpose of the invention, as embodied and broadly described herein, a method of performing actions related to handover by a mobile station (MS) includes the MS which receives information  
10 from a base station (BS), wherein the information includes at least one action for performing handover and at least one condition corresponding to the at least one action. Furthermore, the MS transmits a request message to perform the at least one action based on the received information from the BS and receives a response message in response to the request message. Lastly, the MS performs the at least one action according to the received  
15 response message.

In another aspect of the present invention, a method of providing actions related to handover by a base station (BS) for a mobile station (MS) that is in power saving mode includes the MS which transmits information to the MS, wherein the information includes at least one action for performing handover and at least one condition corresponding to the at  
20 least one action. Thereafter, the MS receives a request message from the MS asking to

perform at least one action based on the received information and transmits response message in response to the request message.

It is to be understood that both the foregoing general description and the following detailed description of the present invention are exemplary and explanatory and are intended to provide further explanation of the invention as claimed.

### **Brief Description of the Drawings**

The accompanying drawings, which are included to provide a further understanding of the invention and are incorporated in and constitute a part of this application, illustrate embodiment(s) of the invention and together with the description serve to explain the principle of the invention. In the drawings;

FIG. 1 is an exemplary diagram illustrating processes of a Power Saving Class 1;

FIG. 2 is an exemplary diagram illustrating processes of a Power Saving Class 2;

FIG. 3 is an exemplary diagram illustrating processes of a Power Saving Class 3;

FIG. 4 is another exemplary diagram illustrating processes of a Power Saving Class 3;

FIG. 5 shows an example of power saving modes of the MS illustrating various service types;

FIG. 6 is an exemplary diagram illustrating handover processes by the MS;

FIG. 7 illustrates an example of an operation of a power saving mode when the action for transmitting MOB\_SCAN-REPORT is enabled;

FIG. 8 illustrates an example of an operation of a power saving mode when the action for transmitting handover request message (MOB\_MSHO-REQ) is enabled;

FIG. 9 illustrates an example of an operation of a power saving mode when the action for performing scan operation is enabled; and

5 FIG. 10 illustrates an example of an operation of a power saving mode when the action for performing association is enabled.

### **Best Mode for Carrying Out the Invention**

Reference will now be made in detail to the preferred embodiments of the present invention, examples of which are illustrated in the accompanying drawings. Wherever possible, the same reference numbers will be used throughout the drawings to refer to the same or like parts.

As discussed above, there are three (3) types of power saving modes. For proper execution of each power saving mode, the BS and the MS exchanges necessary information such as parameters and a ranging response (RNG-RSP) message. The parameters are included in the exchanged messages between the BS and the MS. These messages can be defined by a sleep request (MOB\_SLP-REQ) message, a sleep response (MOB\_SLP-RSP) message, and a traffic indication (MOB\_TRF-IND) message, for example.

Table 1 illustrates an example of the sleep request (MOB\_SLP-REQ) message. The sleep request (MOB\_SLP-REQ) message includes a request for entry into sleep mode and is one of the messages transmitted by the MS to the serving BS. In the sleep request message,

information pertaining to sleep interval(s) and listening interval(s) can be included. Hereinafter, a term 'sleep interval' can be used interchangeably with terms 'sleep window' or 'duration of sleep window.'

[Table 1]

Syntax	Size	Notes
MOB_SLP-REQ_Message_Format(){		
Management message type = 51	8 bits	
for(i=0; i<Number_of_Classes;i++){		
Operation	1 bit	
Power Saving Class ID	6 bits	
If(Operation=1){		
Start frame number	6 bits	
TRF-IND_Required	1 bit	
Reserved	2 bits	
}		
If(Definition=1){		
Power Saving Class Type	2 bits	
Direction	2 bits	
initial-sleep window	8 bits	
listening window	8 bits	
final-sleep window base	10 bits	
final-sleep window exponent	3 bits	
Number of CIDs	3 bits	
for(i=0;i<Number_of_CIDs;i++){		
CID	16 bits	
}		
}		
}		

5

Table 2 illustrates an example of the sleep response (MOB\_SLP-RSP) message. The sleep response (MOB\_SLP-RSP) message includes sleep mode related information and is one of the messages transmitted from the BS to the MS. The sleep response message includes grant of sleep mode along with sleep interval(s), listening interval(s), and sleep identification, for example.

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[Table 2]

Syntax	Size	Notes
MOB_SLP-RSP_Message_Format(){		



Management message type = 51	8 bits	
for(i=0; i<Number_of_Classes;i++){		
Definition	1 bit	
Operation	1 bit	
Power Saving Class ID	6 bits	
If(Operation=1){		
Start frame number	6 bits	
TRF-IND Required	1 bit	
Reserved	1 bit	
}		
if(Definition=1){		
Power Saving Class Type	2 bits	
Direction	2 bits	
initial-sleep window	8 bits	
listening window	8 bits	
final-sleep window base	10 bits	
final-sleep window exponent	3 bits	
SLPID	10 bits	
Reserved	2 bits	
Number of CIDs	3 bits	
for(i=0;i<Number_of_CIDs;i++){		
CID	16 bits	
}		
}		
If(SHO or FBSS capability enabled){	1 bit	
Maintain Active Set and Anchor BS ID		
If(Active Set and Anchor BS ID maintained)	3 bits	
SHO/FBSS duration(s)		
}		
}		
Padding	Variable	If needed
}		

Table 3 is an example of the traffic indication (MOB\_TRF-IND) message broadcasted periodically. The MS that is in sleep mode receives the broadcasted traffic indication (MOB\_TRF-IND) message during the listening interval and determines whether

5 to maintain the sleep mode, exit the sleep mode to receive downlink data, or perform ranging operation during the sleep mode.

[Table 3]

Syntax	Size	Notes
MOB_TRF- IND Message Format(){		
Management message type = 52	8 bits	
FMT	1 bit	0 = SLPID based format 1 = CID based format
if(FMT==0)		
reserved	7 bits	
SLPID Group Indication bit-map	32 bits	Nth bit of SLPID-Group indication bit-map [MSB corresponds to N=0] is allocated to SLPID Group that includes MSSs with SLPID values from N*32 to N*32+31 Meaning of this bit 0 : There is no traffic for all the 32 MSSs which belong to the SLPID-Group 1 : There is traffic for at least one MSS in SLPID-Group
Traffic Indication Bitmap	Variable	Traffic Indication bit map comprises the multiples of 32-bit long Traffic Indication unit. A Traffic Indication unit for 32 SLPIDs is added to MOB_TRF-IND message whenever its SLPID Group is set to '1' 32 bits of Traffic Indication Unit (starting from MSB) are allocated to MSSs in the ascending order of their SLPID values. 0 : Negative indication 1 : Positive indication
} else {		
Num-pos	7 bits	Number of CIDs on the positive indication list
for {i=0;i<Num-pos;i++){		
Short Basic CID	12 bits	12 least significant bits of the Basic CID
}		
while(!(byte_boundary)){		
padding bits	<= 7 bits	padding for byte alignment
}		
}		
}		

Table 4 illustrates an example of power saving class parameters included in the ranging response (RNG-RSP) message. The power saving class parameters can have

variable lengths. That is, the power saving class parameters can be defined as a specific type parameter (e.g., type 21) and can be classified as a compound parameter which can selectively include parameters having varying lengths.

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[Table 4]

Name	Type	Length	Value(Variable-length)
Power_Saving_Class_Parameters	21	Variable	Compound TLV to specify Power Saving Class Operation
Flags		1 bit	Bit 0 : Definition 1 = Definition of Power Saving Class present Bit 1 : Operation 1 = Activation of Power Saving Class 0 = Deactivation of Power Saving Class (for type 1 and 2 only) Bit 2 : TRF-IND_Required For Power Saving Class Type 1 only. 1 = BS shall transmit at least one TRF-IND message during each listening window of the Power Saving Class. This bit shall be set to 0 for another types. Bit 3-7 : reserved
Power Saving Class ID		1 bit	Assigned Power Saving Class identifier
Power Saving Class Type		1 bit	Power Saving Class Type
Start frame number		1 bit	Start frame number first sleep window
initial-sleep window		1 bit	initial-sleep window
listening window		1 bit	Assigned Duration of MS listening interval (measured in frames)
final-sleep window base		1 bit	Assigned final value for the sleep interval(measured in frames)-base
final-sleep window exponent		1 bit	Assigned final value for the sleep interval(measured in frames)-exponent
SLPID		1 bit	A number assigned by the BS whenever an MS is instructed to

			enter sleep-mode
CID			CID of connection to be included into the Power Saving Class. There may be several TLVs of this type in a single compound Power Saving Class Parameters TLV
Direction		1 bit	Direction for management connection which is added to Power Saving Class

The operation of the MS prior to handover is as follows. First, the MS can perform scan operation. The scan operation allows the MS to acquire signals of the neighbor base stations and measure the signal qualities of the acquired signals to determine the BS having optimum signal quality among the measured signals. To this end, the MS can use a scan request (MOB\_SCN-REQ) message to request a serving BS for permission to perform scan operation. In response to the request, the serving BS can send a scan response (MOB\_SCN-RSP) message to allow the MS commence scan operation of neighbor base stations to measure signal qualities. In the scan response message, parameters needed for performing scan operation, such as for scan period, can be included.

Table 5 illustrates an example of a scan report (MOB\_SCAN-REPORT) message.

[Table 5]

Syntax	Size (bits)	Notes
<b>MOB_SCAN-REPORT Message Format() {</b>	—	—
Management Message Type = 60	8	—
Report Mode	12	0b00: reserved 0b01: Report due to: end of "scan report period" 0b10: Report due to: event trigger, using metrics from DCD or MOB_NBR-ADV 0b11: reserved
<i>reserved</i>	5	<i>Shall be set to zero</i>

Comp_NBR_BSID_IND	1	—
if (Comp_NBR_BSID_IND == 1){	—	—
Configuration Change Count for MOB_NBR_ADV	8	Configuration Change Count value of referring MOB_NBR_ADV message
}	—	—
N_current_BSs	3	When FBSS/SHO is supported, N_current_BSs is the number of BSs currently in the active set; when FBSS/SHO is not supported or the MS has an empty active set, N_current_BSs is set to 1 (= serving /anchor BS).
<i>Reserved</i>	35	<i>Shall be set to zero</i>
For (j=0; j<N_current_BSs; j++) {	—	—
Temp BSID	4	Active set member ID assigned to this BS. When the MS has an empty active set or FBSS/SHO is not supported, Temp BSID shall be set to 0.
<i>Reserved</i>	4	<i>Shall be set to zero</i>
BS CINR mean	8	—
BS RSSI mean	8	—
Relative delay / BS RTD	8	When FBSS/SHO is supported, this field will include the relative delay of BSs currently in the active set (Anchor BS relative delay = 0); when FBSS/SHO is not supported, this field will include the RTD of the serving BS.
}	—	—
N_NEIGHBORS	8	—
For (i=0; i<N_NEIGHBORS; i++) {	—	—
If (Comp_NBR_BSID_IND == 1){	—	—
Neighbor BS index	8	—
}	—	—
Else{	—	—
Neighbor BSID	24	The least significant 24 bits of the Neighbor BSID
}	—	—
BS CINR mean	8	—
BS RSSI mean	8	—
Relative delay	8	—
}	—	—
TLV encoded information	<i>variable</i>	<i>Optional</i>
}	—	—

In operation, the MS can use the scan report message, such as the one of Table 5, to transmit information (e.g., signal quality, strength of received signal, transmission delay) acquired from the scan operation of the neighbor base stations to the serving BS. The serving BS determines whether to allow MS to perform handover based on information of neighbor BS scanned results.

A time it takes to perform handover can be shortened by performing association. Association relates to a process or a procedure before performing actual handover. In detail, the MS can first measure signal quality of neighbor base stations via scan operation and execute initial ranging operation. Here, the ranging parameters used during the initial ranging operation can be re-used during actual handover. More specifically, the MS can send the scan request (MOB\_SCN-REQ) message to the serving BS to request for connection with the neighbor BS. The serving BS in response can provide parameters (e.g., connection execution time, resource information used at connection) necessary for connecting with the neighbor BS via the scan response (MOB\_SCN-RSP) message.

As discussed above, the MS can measure signal qualities of the neighbor base stations by using the scan operation and reports the results of the scan operation, including the measured signal qualities, to the serving BS. Thereafter, the MS compares, using an absolute or relative standard, levels of signal qualities that can be provided by the neighbor base stations to level of the actual signal qualities received from the neighbor base stations. After completing the comparison, the MS and/or the serving BS can determine whether to perform handover.

Conditions or values necessary for the MS to perform various operations can be transmitted with specified parameters, as illustrated in Table 6. Here, the parameters can include information related to operations such as scanning the neighbor base stations, reporting the results of the scan operation, connecting with the neighbor BS, and performing

5 handover with the BS. These specified parameters can then be included in a Downlink Channel Descriptor (DCD) of the serving BS or a neighbor BS advertisement (MOB\_NBR-ADV) message, and thereafter, transmitted to the MS from the serving BS.

Table 6 illustrates an example of values of a parameter used to trigger different events.

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[Table 6]

Name	Type (1 byte)	Length	Value	PHY scope
CINR trigger	54	3	Carrier-to-interference-plus-noise ratio is measured	
RSSI trigger	55	3	Received signal strength is measured	
RTD trigger	56	3	Round-trip-time is measured	

Table 7 illustrates an example of conditions of a parameter used to trigger different events.

[Table 7]

Name	Type	Length	Value
Function	54.1.1 55.1.1 56.1.1	4 bits (MSB)	Computation defining trigger condition 0x0: <i>reserved</i> 0x1: Metric of neighbor BS is greater than absolute value 0x2: Metric of neighbor BS is less than absolute value 0x3: Metric of neighbor BS is greater than serving BS metric by relative value 0x4: Metric of neighbor BS is less than serving BS metric by relative value 0x5: Metric of serving BS greater than absolute value 0x6: Metric of serving BS less than absolute value

			0x7-0x15: <i>reserved</i> <i>Note: 0x1-0x4 not applicable for RTD trigger metric</i>
Action	54.1.2 55.1.2 56.1.2	4 bits (LSB)	Action performed upon reaching trigger condition 0x0: <i>reserved</i> 0x1: Respond on trigger with MOB_SCAN-REPORT 0x2: Respond on trigger with MOB_MSHO-REQ 0x3: On trigger, MS starts neighbor BS scan process by sending MOB_SCAN-REQ 0x4: Respond on trigger with MOB_SCN-REQ to perform association 0x5-0x15: <i>reserved</i> <i>Note: 0x3 is not applicable when neighbor BS metric are defined.</i>

Figure 1 is an exemplary diagram illustrating processes of a Power Saving Class 1.

In the Power Saving Class 1 of Figure 1, the MS sends a message to the BS to request for entry into power saving mode, and the power saving mode is maintained until there is  
5 downlink traffic to deliver to the corresponding MS, at which point, the power saving mode is terminated. A more detailed description of the operation is provided below.

In detail, the MS sets the values of initial sleep window, final sleep window, and listening window. These values are then included in the sleep request (MOB-SLP-REQ) message transmitted to the BS to request for entry into power saving mode. When or if the  
10 BS grants entry into the power saving mode, the BS includes, inter alia, an initial sleep window, a final sleep window, a listening window, and a start frame of the initial sleep window in the response message (i.e., MOB-SLP-RSP) to the corresponding MS.

When the MS enters the power saving mode, the MS remains in the power saving mode for duration equaling duration of the initial sleep window. After the expiration of the  
15 power saving mode, the MS receives traffic indication (MOB-TRF-IND) message(s) from the BS during the listening interval. If there is no downlink traffic or data directed to the



corresponding MS, referred to as negative indication, for example, then the MS re-enters power saving mode. However, this time, the duration of the power saving mode is twice longer than the previous duration.

If there continues to be no downlink traffic for the corresponding MS during the listening interval(s), then the duration of the subsequent power saving mode increases by a specified rate (i.e., two folds) each time the MS re-enters the power saving mode after failing to receive downlink traffic after the listening interval. The following equation is an example illustrating increase in duration of the power saving mode. Here, a size or duration of a final sleep window can be determined using a final sleep window base and a final window exponent included in the sleep response message.

**[Equation 1]**

$$\text{Final Sleep Window} = \text{Final Sleep Window Base} * 2^{\text{Final Window Exponent}}$$

On the contrary, if there is downlink traffic addressed to the MS during the listening interval, indicated as positive indication, then the MS terminates the power saving mode.

Figure 2 is an exemplary diagram illustrating processes of a Power Saving Class 2. In Figure 2, the diagram shows the MS using any one of an Unsolicited Grant Service (UGS), which provides a fixed data rate, and a Real Time Variable Service (RT-VR), and the operation processes of the MS in a Power Saving Class 2.

More specifically, the MS transmits a sleep request message, similar to the message of Table 1, to the BS to request for entry to Power Saving Class 2. Upon receipt of the request message, the BS grants entry to Power Saving Class 2 by sending a response

message to the MS. The response message can include a sleep interval, a listening interval, and a start frame of the power saving mode to be configured by the MS. Once the MS enters the start frame, the MS stays in the power saving mode for the duration of the sleep interval.

After the sleep interval expires, the MS synchronizes with the BS to maintain uplink/downlink communication. The MS can then receive downlink data from the BS and at the same time, transmit uplink data to the BS during the listening interval. Once the listening interval ends, the MS maintains the power saving mode for a period equaling that of the sleep interval in order to minimize power consumption. Thereafter, the listening and sleep intervals are repeated by the MS in Power Saving Class 2.

If the MS wishes to terminate Power Saving Class 2, the MS can send a sleep request message, including a request to terminate Power Saving Class 2, to the BS during the listening interval. Alternatively, the MS can transmit a user data to the BS during the sleep interval. After Power Saving Class 2 is terminated, the MS is in normal operating mode.

Figure 3 is an exemplary diagram illustrating processes of a Power Saving Class 3. More specifically, Figure 3 shows the processes of Power Saving Class 3 by illustrating the MS maintaining uplink/downlink communication with the BS and performing ranging operations with the BS to reduce power consumption. In Figure 3, the MS enters normal operation mode by powering on and executing network entry procedure. During normal mode, the MS performs ranging operations periodically to maintain uplink/downlink communication with the BS. In the process of periodic ranging operations, the MS acquires

appropriate uplink transmission parameters. Furthermore, when it is time for the MS to perform periodic ranging operations, the MS transmits a ranging request (RNG-REQ) message to the BS.

After receiving the ranging request message (RNG-REQ) from the MS, the BS responds by transmitting a ranging response (RNG-RSP) message, which includes adjusted values of the uplink transmission parameters, to the corresponding MS. Here, the ranging response message can include sleep window and start frame, for example, so that Power Saving Class 3 can be activated.

After the MS receives the ranging response (RNG-RSP) message, which includes information necessary to activate power saving mode, the MS encounters the start frame to indicate start of the power saving mode. Thereafter, the MS stays in the power saving mode for the duration of the sleep window. When the sleep window period expires, the MS is in a mode which allows the MS to communicate with the BS. The MS then performs periodic ranging operation. During the ranging operation, the MS receives from the BS the ranging response (RNG-RSP) message including the parameters related to uplink transmission and power saving mode. When it is time to enter power saving mode, the MS enters (or activates) the power saving mode and remains in the power saving mode for the duration of the sleep window.

Figure 4 is another exemplary diagram illustrating processes of a Power Saving Class 3. Figure 4 depicts the processes of Power Saving Class 3 employed by the MS, which receives data from the BS via multicast connection, to conserve power.

The BS transmits a sleep response (MOB\_SLP-RSP) message to the MS to have the MS activate Power Saving Class 3. When the MS receives the sleep response (MOB\_SLP-RSP) message, starting with the start frame, the MS enters or activates the sleep window and remains in the power saving mode for the duration of the sleep window. At the termination of the sleep window, the MS resumes a mode in which the MS is available to communicate with the BS, and the MS receives data from the BS via multicast connection.

After the BS finishes transmitting data during multicast connection, the BS activates Power Saving Class 3 by transmitting a sleep response (MOB\_SLP-RSP) message to the MS so that the power consumption can be reduced until the next data transmission via multicast connection. As for the MS, the MS responds to the sleep response (MOB\_SLP-RSP) message by entering the power saving mode for the duration of the sleep window. In order to reduce power consumption of the MS in the broadband wireless access system, each connection may be associated with an individual context or power saving class according to service type of the connection.

Figure 5 shows an example of power saving modes of the MS illustrating various service types. As illustrated in Figure 5, the MS that has at least one power saving class activated remains in the power saving mode during sleep windows where the sleep windows overlap between each power saving class. As described above, the MS does not perform uplink transmission with the BS during power saving mode.

As described above, the MS and the serving BS activates the power saving mode based on each connection represented by a service type. As such, the MS can minimize

power consumption. Alternatively, the MS, even during power saving mode, can perform pre-handover operations before handover, such as scan operation which detects signal quality levels received from neighbor base stations, and association procedures which executes ranging operations with the BS prior to performing actual handover, during the  
5 sleep window.

The serving BS transmits handover trigger information, which includes operations such as scan, association, and handover, to the MS. As for the MS, the MS performs necessary and appropriate operations when the situation satisfies the condition based on the received handover trigger information.

10 Figure 6 is an exemplary diagram illustrating handover processes by the MS. In Figure 6, the serving BS transmits handover trigger information by using the DCD, which is a message used to transmit channel information parameters, or a neighbor advertisement (MOB\_NBR-ADV) message. As described above, the handover trigger information includes information necessary for the MS to carry out handover operation.

15 After the MS receives the handover trigger information from the serving BS, the MS can send a scan request (MOB\_SCN-REQ) message to request assignment of scan period (or duration) from the serving BS when the condition is met to perform scan on neighbor base stations.

20 After receiving the scan request message, the serving BS allocates the scan period (or duration) included in the scan response (MOB\_SCN-RSP) message. During the scan

period, the MS synchronizes downlink signal with the neighbor BS and measures signal quality, signal strength, and transmission synchronization, among others.

After the MS completes scan, if the MS has to send the scan result of neighbor base stations to the serving BS, the MS uses the scan report (MOB\_SCAN-REPORT) message.

5 More specifically, the scan report includes information such as a Carrier-to-Interference and Noise Ratio (CINR), a Received Signal Strength Indicator (RSSI), and a Round Trip Delay.

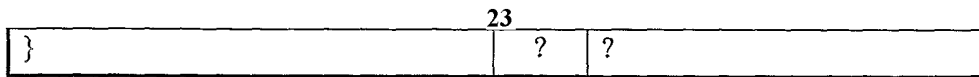
If the MS has to perform association with the neighbor BS, the MS can transmit a scan request (MOB-SCN-REQ) message to request association with the neighbor BS to the serving BS. In response, the serving BS transmits via a scan response (MOB-SCN-RSP)  
10 message parameters necessary for performing association with the neighbor BS. After receiving the necessary parameters, the MS performs association with the neighbor BS.

After the MS performs scan or association operations, if the MS has to perform handover operation with a particular BS after referring to a handover trigger information, the MS can use a handover request (MOB\_MSHO-REQ) message to request for handover  
15 to the serving BS. Upon receipt, the serving BS transmits a handover response (MOB\_MSHO-RSP) message to the MS. Thereafter, the MS transmits a handover indication (MOB\_HO-IND) message to the serving BS to request to cease or sever connection with the serving BS. Then, the MS performs operations for re-entry to target BS and the network.

20 Table 8 is an example of a sleep request (MOB\_SLP-REQ) message.

**[Table 8]**

Syntax	Size (bits)	Notes
MOB_SLP-REQ_Message_Format() {	?	?
Management message type = 51	8	?
Number of Classes	8	Number of power saving classes.
for (i=0; i< Number of Classes; i++) {	?	?
Definition	1	?
Operation	1	?
Power_Saving_Class_ID	6	?
if (Operation = 1) {	?	?
Start_frame_number	6	?
Reserved	2	?
}	?	?
if (Definition = 1) {	?	?
Power_Saving_Class_Type	2	?
Direction	2	?
Traffic_triggered_wakening_flag	1	?
reserved	3	?
initial-sleep window	6 8	?
listening-window	4	?
final-sleep window base	10	?
final-sleep window exponent	3	?
Number_of_Sleep_CIDs	3	?
for (i=0; i<Number_of_Sleep_CIDs; i++ {	?	?
CID	16	?
}	?	?
}	?	?
Enabled-Trigger	4 bits	Bit #0 : Respond on trigger with <u>MOB_SCAN-REPORT</u> Bit #1 : Respond on trigger with <u>MOB_MSHO-REQ</u> Bit #2 : On trigger, MS starts <u>neighbor BS scan process</u> by sending <u>MOB_SCAN-REQ</u> Bit #3 : Respond on trigger with <u>MOB_SCN-REQ</u> to perform <u>association</u>
)		
TLV encoded information	variable	?



If the MS wishes to convert to or enter power saving mode, the MS can make a request for entry to power saving mode by transmitting the sleep request (MOB\_SLP-REQ) message. Here, the sleep request (MOB\_SLP-REQ) message can include information related to whether the MS that is in the power saving mode should perform each handover-related operations for handover trigger information.

The information related to whether the MS that is in the power saving mode should perform each handover-related operations for handover trigger information can be transmitted via a specified field of the sleep request (MOB\_SLP-REQ) message. Table 9 is an example of the specified field, labeled as 'Enabled-Trigger' field, of the sleep request (MOB\_SLP-REQ) message. In detail, Table 9 describes an example of a parameter for enabling the event trigger operation.

[Table 9]

Name	Type	Length	Value
Enabled-Trigger	mm	1 byte	Indicates action performed upon reaching trigger condition in Sleep Mode If bit#0 is set to 1, respond on trigger with MOB_SCAN-REPORT If bit#1 is set to 1, respond on trigger with MOB_MSHO-REQ If bit#2 is set to 1, on trigger, MS starts neighboring BS scan process by sending MOB_SCN-REQ If bit#3 is set to 1, respond on trigger with MOB_SCN-REQ to perform association bit#4-bit#7: reserved. Shall be set to 0.

The parameter of Table 9 is a Type Length Value (TLV) parameter, which can be transmitted to the BS via a sleep request (MOB\_SLP-REQ) message or a ranging request (RNG-REQ) message. More specifically, the parameters of Table 9 can be used to negotiate



whether each event is enabled or disabled when the MS enters the power saving mode. Furthermore, the parameters of Table 9 can be included in sleep request (MOB\_SLP-REQ), sleep response (MOB\_SLP-RSP), ranging request (RNG-REQ), and ranging response (RNG-RSP) messages.

5           The Enabled-Trigger parameter can be in a bitmap format. The Enabled-Trigger parameter in the bitmap format can be used to notify the serving BS that the corresponding event is to be triggered by the MS. In operation, the MS can configure each bit as '1' (e.g., bit = 1), and transmit the configure bit(s) to the serving BS via the sleep request (MOB\_SLP-REQ) message. Based on the handover trigger information, if the event trigger  
10 condition is satisfied with respect to the event configured to '1' during the power saving mode of the MS, then the serving BS can be notified that the MS is to trigger the corresponding event.

If the serving BS receives the sleep request (MOB\_SLP-REQ) message including the enabled-trigger parameter, the serving BS can configure the Enabled-Trigger parameter,  
15 as illustrated in Table 9, and can transmit the configured information to the MS via the sleep response (MOB\_SLP-RSP) message. Alternatively, the sleep response (MOB\_SLP-RSP) message can include the Enabled-Trigger parameter configured according to Table 10.

Table 10 is an example of a sleep response (MOB\_SLP-RSP) message.

[Table 10]

Syntax	Size (bits)	Notes
MOB_SLP-RSP_Message_Format() {	-	-
Management message type = 51	8	-

<b>Number of Classes</b>	8	Number of power saving classes.
for (i = 0; i < Number_of_Classes; i++) {	-	-
<b>Length of Data</b>	8	-
<b>Definition</b>	1	-
<b>Operation</b>	1	-
<b>Power Saving Class ID</b>	6	-
if (Operation = 1) {	-	-
<b>Start frame number</b>	6	-
<b>Reserved</b>	2	-
} else {	?	-
<b>REQ-duration</b>	8	-
}	-	-
if (Definition = 1) {	-	-
<b>Power Saving Class Type</b>	2	-
<b>Direction</b>	2	-
if (Sleep-approved == 0) {	-	-
<b>REQ-duration</b>	8	-
}	?	-
<b>initial-sleep window</b>	8	-
<b>listening window</b>	8	-
<b>final-sleep window base</b>	10	-
<b>final-sleep window exponent</b>	3	-
<b>TRF-IND required</b>	1	-
<b>Traffic triggered_wakening_flag</b>	1	-
<b>Reserved</b>	1	-
if (TRF-IND required) {	-	-
<b>SLPID</b>	10	-
<b>Reserved</b>	2	-
}	-	-
<b>Number_of_CIDs</b>	4	-
for (i = 0; i < Number_of_CIDs; i++) {	-	-
<b>CID</b>	16	-
}	-	-
if (SHO or FBSS capability enabled) {	-	-
<b>Maintain Active Set and Anchor BS ID BSID</b>	1	-
if (Maintained Active Set and Anchor BS ID BSID) {	-	-
<b>SHO/FBSS duration(s)</b>	-	-

}	-	-
}	-	-
Enabled-Trigger	4 bits	<u>Bit #0 : Respond on trigger with MOB_SCAN-REPORT</u> <u>Bit #1 : Respond on trigger with MOB_MSHO-REQ</u> <u>Bit #2 : On trigger, MS starts neighbor BS scan process by sending MOB_SCAN-REQ</u> <u>Bit #3 : Respond on trigger with MOB_SCN-REQ to perform association</u>
}	-	-
<b>Padding</b>	<i>variable</i>	If needed for alignment to byte boundary
if (Operation = 1) {	-	-
<b>Power Saving Class TLV encoded information</b>	-	-
}	-	-
}	-	-
<b>TLV encoded information</b>	-	-
}	-	-

The Enabled-Trigger parameter included in the sleep response (MOB\_SLP-RSP) message is in a bitmap format, as in the case with the sleep request (MOB\_SLP-REQ) message. Similarly, if each bitmap is set to '1,' and if the trigger condition is satisfied based on the handover trigger information similar to that of Table 7 during power saving mode of the MS, the serving BS notifies the MS to instruct the MS to trigger the corresponding event.

Figure 7 illustrates an example of an operation of a power saving mode when the action for transmitting MOB\_SCAN-REPORT is enabled. More specifically, Figure 7 describes operations related to handover of the MS based on handover trigger information during the operation of MS power saving mode initiated according to the Enabled-Trigger parameter.

In Figure 7, the MS receives the handover trigger information via the DCD message or the MOB\_NBR-ADV message. When making a request for entry to power saving mode, the MS sets or configures a first bit (i.e., bit #0) of the Enabled-Trigger parameter to '1,' as illustrated in Tables 8 and 9. Here, setting the first bit of the Enabled-Trigger parameter  
5 means that the action for transmitting MOB\_SCAN-REPORT is enabled. Thereafter, the configured information is transmitted to the serving BS via the sleep request (MOB\_SLP-REQ) message.

The serving BS then sets (or configures) the first bit (i.e., bit#0) of the Enabled-Trigger parameter to '1,' as illustrated in Tables 9 and 10, and transmits the configured  
10 information to the MS via the sleep response (MOB\_SLP-RSP) message. After receiving the sleep response (MOB\_SLP-RSP) message from the serving BS, the MS enters the power saving mode.

The MS which is in power saving mode can perform scan operations on neighbor base stations during the sleep interval or for the duration of the sleep window. When the MS  
15 satisfies a condition after entering the power saving mode, then the MS is required to report the scan result. Thereafter, the MS transmits the scan report (MOB\_SCAN-REPORT) message to the serving BS to provide the serving BS with the scan result relating to the neighbor base stations. After reporting the scan results to the serving BS, the MS can then maintain the power saving mode.

20 Figure 8 illustrates an example of an operation of a power saving mode when the action for transmitting handover request message (MOB\_MSHO-REQ) is enabled. As

illustrate in Figure 8, the MS receives the handover trigger information via the DCD message or the MOB\_NBR-ADV message. When the MS makes a request for entry to power saving mode, the MS sets or configures a second bit (i.e., bit #1) of the Enabled-Trigger parameter to '1,' as illustrated in Tables 9 and 10. Here, setting the second bit of the Enabled-Trigger parameter means that the action for transmitting MOB\_MSHO-REQ is enabled. Thereafter, the configured information is transmitted to the serving BS via the sleep request (MOB\_SLP-REQ) message.

The serving BS then sets (or configures) the second bit (i.e., bit#1) of the Enabled-Trigger parameter to '1,' as illustrated in Tables 9 and 10, and transmits the configured information to the MS via the sleep response (MOB\_SLP-RSP) message. After receiving the sleep response message from the serving BS, the MS enters the power saving mode.

The MS which is in power saving mode can perform neighbor BS scan operations during the sleep interval or for the duration of the sleep window. When the MS satisfies a condition after entering the power saving mode, the MS is required to report the scan result to the serving BS. Thereafter, the MS terminates the power saving mode and transmits the handover request (MOB\_MSHO-REQ) message to the serving BS, thereby making a request for handover with a different BS (e.g., BS2). In response to the handover request (MOB\_MSHO-REQ) message, the serving BS transmits a handover response (MOB\_MSHO-RSP) message to the MS.

Thereafter, the MS requests for disconnect with the serving BS by transmitting a handover indication (MOB\_HO-IND) message to the serving BS. After the disconnect, the MS performs necessary operations for entry to the target BS (i.e., BS2) and to the network.

Figure 9 illustrates an example of an operation of a power saving mode when the action for requesting a scanning interval is enabled. In Figure 9, the MS receives the handover trigger information via the DCD message or the MOB\_NBR-ADV message. When the MS makes a request to enter power saving mode, the MS sets or configures a third bit (i.e., bit #2) of the Enabled-Trigger parameter to '1,' as illustrated in Tables 8 and 9. Here, setting the third bit of the Enabled-Trigger parameter means that the action for requesting MOB\_SCN-REQ is enabled. Thereafter, the configured information is transmitted to the serving BS via the sleep request (MOB\_SLP-REQ) message.

The serving BS then sets (or configures) the third bit (i.e., bit#2) of the Enabled-Trigger parameter to '1,' as illustrated in Tables 9 and 10, and transmits the configured information to the MS via the sleep response (MOB\_SLP-RSP) message. After receiving the sleep response message from the serving BS, the MS enters power saving mode.

When the MS satisfies a condition after entering the power saving mode, the MS is required to perform neighbor BS scan operation after. Thereafter, the MS transmits a scan request (MOB\_SCN-REQ) message to the serving BS to request for allocation of scan period. In response to the scan request (MOB\_SCN-REQ) message, the serving BS transmits a scan response (MOB\_SCN-RSP) message including allocation of the scan period.

During the scan period, the MS synchronizes downlink signal with the neighbor base stations and measures, inter alia, signal quality from neighbor base stations, signal strengths, and transmission delay. After performing the scan operation, the MS maintains the power saving mode.

5 Figure 10 illustrates an example of an operation of a power saving mode when the event for performing association is enabled. In Figure 10, the MS receives the handover trigger information via the DCD message or the MOB\_NBR-ADV message. When the MS makes a request for entry to power saving mode, the MS sets or configures a fourth bit (i.e., bit #3) of the Enabled-Trigger parameter to '1,' as illustrated in Tables 8 and 9. Here,  
10 setting the fourth bit of the Enabled-Trigger parameter means that the action for performing association is enabled. Thereafter, the configured information is transmitted to the serving BS via the sleep request (MOB\_SLP-REQ) message.

The serving BS then sets (or configures) the fourth bit (i.e., bit#3) of the Enabled-Trigger parameter to '1,' as illustrated in Tables 9 and 10, and transmits the configured  
15 information to the MS via the sleep response (MOB\_SLP-RSP) message. After receiving the sleep response message from the serving BS, the MS enters power saving mode. Here, the MS that is in the power saving mode can perform neighbor BS scan operation during sleep mode.

When the MS satisfies a condition after entering the power saving mode, the MS is  
20 required to perform association with a neighbor BS (i.e., BS2). Thereafter, the MS transmits the scan request (MOB\_SCN-REQ) message to the serving BS to request to perform

association with the neighbor BS (i.e., BS2). In response to the scan request (MOB\_SCN-REQ) message, the serving BS transmits necessary parameters for performing association with the neighbor BS (i.e., BS2) via the scan response (MOB\_SCN-RSP) message. Thereafter, the MS synchronizes in uplink/downlink directions with the neighbor BS (i.e.,

5 BS2) in order to perform association.

Further, the MS transmits a ranging request (RNG-REQ) message to the neighbor BS (i.e., BS2). In response, the neighbor BS (i.e., BS2) transmits ranging control parameters along with association parameters to the MS via a ranging response (RNG-RSP) message. As a feature, the MS keeps the association parameters received from the neighbor BS (i.e.,

10 BS2) and in turn, can re-use the association parameters when performing handover with the corresponding BS. After receiving the ranging response (RNG-RSP) message, the MS maintains power saving mode.

As discussed above, the handover operation and related events performed by the MS that is in power saving mode can be enabled or disabled by using the parameters disclosed

15 in the present invention. More specifically, whether to enable/disable can be represented in a bitmap form at the time when the MS enters power saving mode. Here, a plurality of events can be enabled or disabled as a group. In the embodiments of the present invention represent examples where the plurality of events is enabled.

It will be apparent to those skilled in the art that various modifications and variations

20 can be made in the present invention without departing from the spirit or scope of the inventions. Thus, it is intended that the present invention covers the modifications and



variations of this invention provided they come within the scope of the appended claims and their equivalents.

Claims

1. A method of performing an action related to handover by a mobile station (MS) that is in power saving mode in a wireless mobile communication system, the method comprising:

5 receiving information from a base station (BS), wherein the information includes at least one action for performing handover and at least one condition corresponding to the at least one action;

transmitting a request message to perform the at least one action based on the received information from the BS;

10 receiving a response message in response to the request message; and performing the at least one action according to the received response message.

2. The method of claim 1, wherein the information defines a trigger type which includes at least one of Carrier-to-Interference and Noise Ratio (CINR), a Received Signal Strength Indicator (RSSI), and a Round Trip Delay.

3. The method of claim 1, wherein the information defines a trigger function which is a computation method for triggering action.

4. The method of claim 1, wherein the at least one action includes a scanning request, a scanning report, and a handover request.

20 5. The method of claim 1, wherein the information defines a trigger value which represents a value used for comparing to a measured value of at least one trigger type.

6. The method of claim 1, wherein the information defines a trigger average duration which represents a time duration in which at least one trigger type is measured and averaged for determining a condition for triggering at least one action.

7. The method of claim 6, wherein the trigger type which includes at least one of Carrier-to-Interference and Noise Ratio (CINR), a Received Signal Strength Indicator (RSSI), and a Round Trip Delay.

8. The method of claim 1, wherein the request message is used for entering the power saving mode.

9. The method of claim 8, wherein the request message includes a bitmap for indicating the at least one action to be performed during the power saving mode.

10. The method of claim 9, wherein the bitmap represented by a plurality of bits, the bit having a value of '0' represents the action that is disabled.

11. The method of claim 10, wherein if each bit of the bitmap has a value of '0,' which indicates that all the actions are disabled.

12. The method of claim 9, wherein the bitmap represented by a plurality of bits, the bit having a value of '1' represents the action that is enabled.

13. The method of claim 1, wherein the response message includes a bitmap for indicating the at least one action to be performed during the power saving mode.

14. The method of claim 13, wherein the bitmap represented by a plurality of bits, the bit having a value of '0' represents the action that is disabled.

15. The method of claim 13, wherein the bitmap represented by a plurality of bits, the bit having a value of '1' represents the action that is enabled.

16. The method of claim 13, wherein the bitmap included in the response message is same as the bitmap included in the request message.

5 17. The method of claim 13, wherein the bitmap included in the response message is different from the bitmap included in the request message.

18. The method of claim 1, wherein the information is included in a Downlink Channel Descriptor (DCD) message or a Neighbor Advertisement (MOB\_NBR-ADV) message.

10 19. The method of claim 1, wherein the request message is a sleep request (MOB\_SLP-REQ) message or a ranging request (RNG-REQ) message.

20. The method of claim 1, wherein the response message is a sleep response (MOB\_SLP-REQ) message or a ranging request (RNG-RSP) message.

21. The method of claim 1, wherein the power saving mode is a sleep mode.

15 22. A method of providing actions related to handover by a base station (BS) for a mobile station (MS) that is in power saving mode in a wireless mobile communication system, the method comprising:

transmitting information to the MS, wherein the information includes at least one action for performing handover and at least one condition corresponding to the at least

20 one action;

receiving a request message from the MS asking to perform at least one action based on the received information; and

transmitting a response message in response to the request message.

FIG. 1

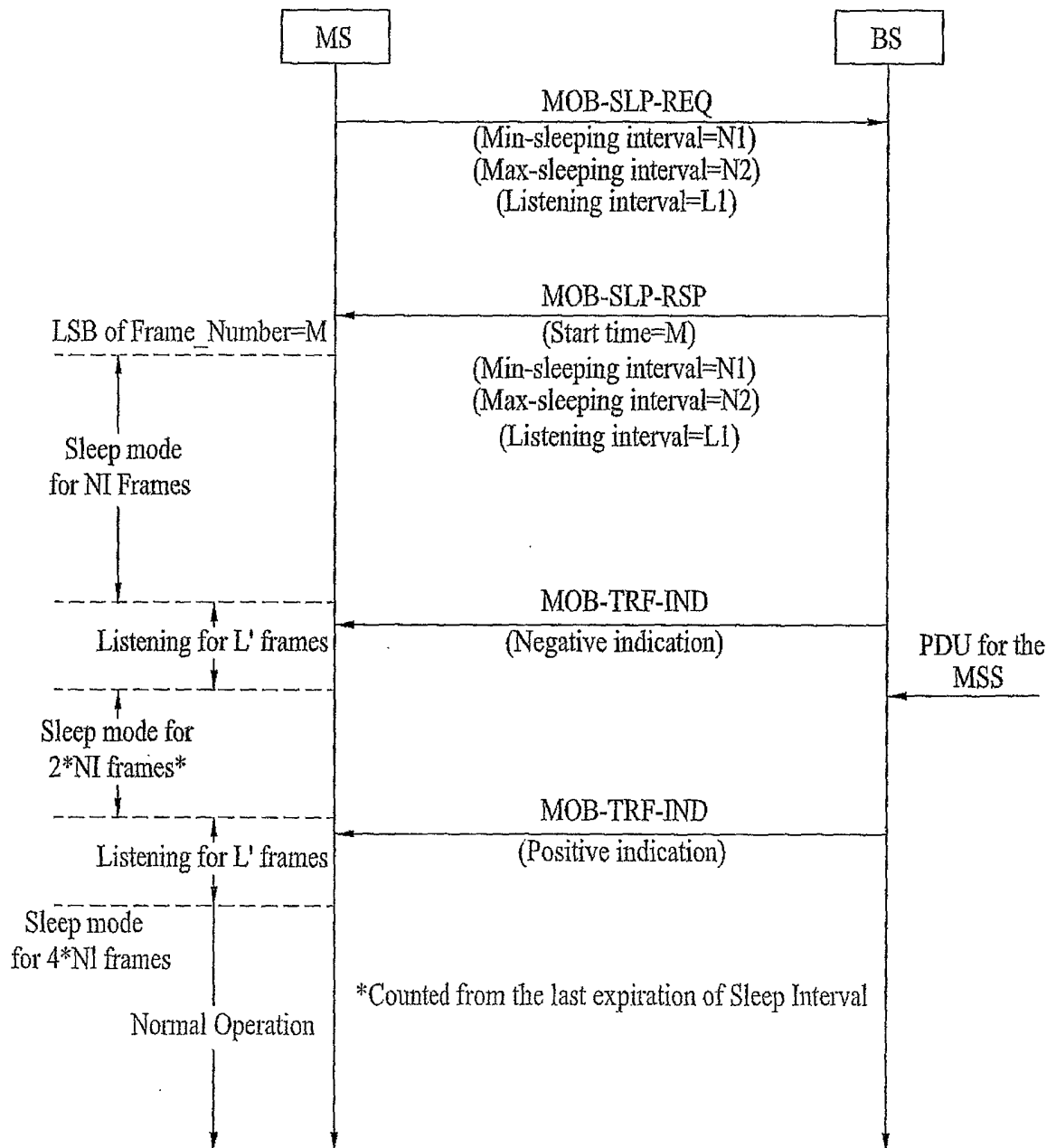


FIG. 2

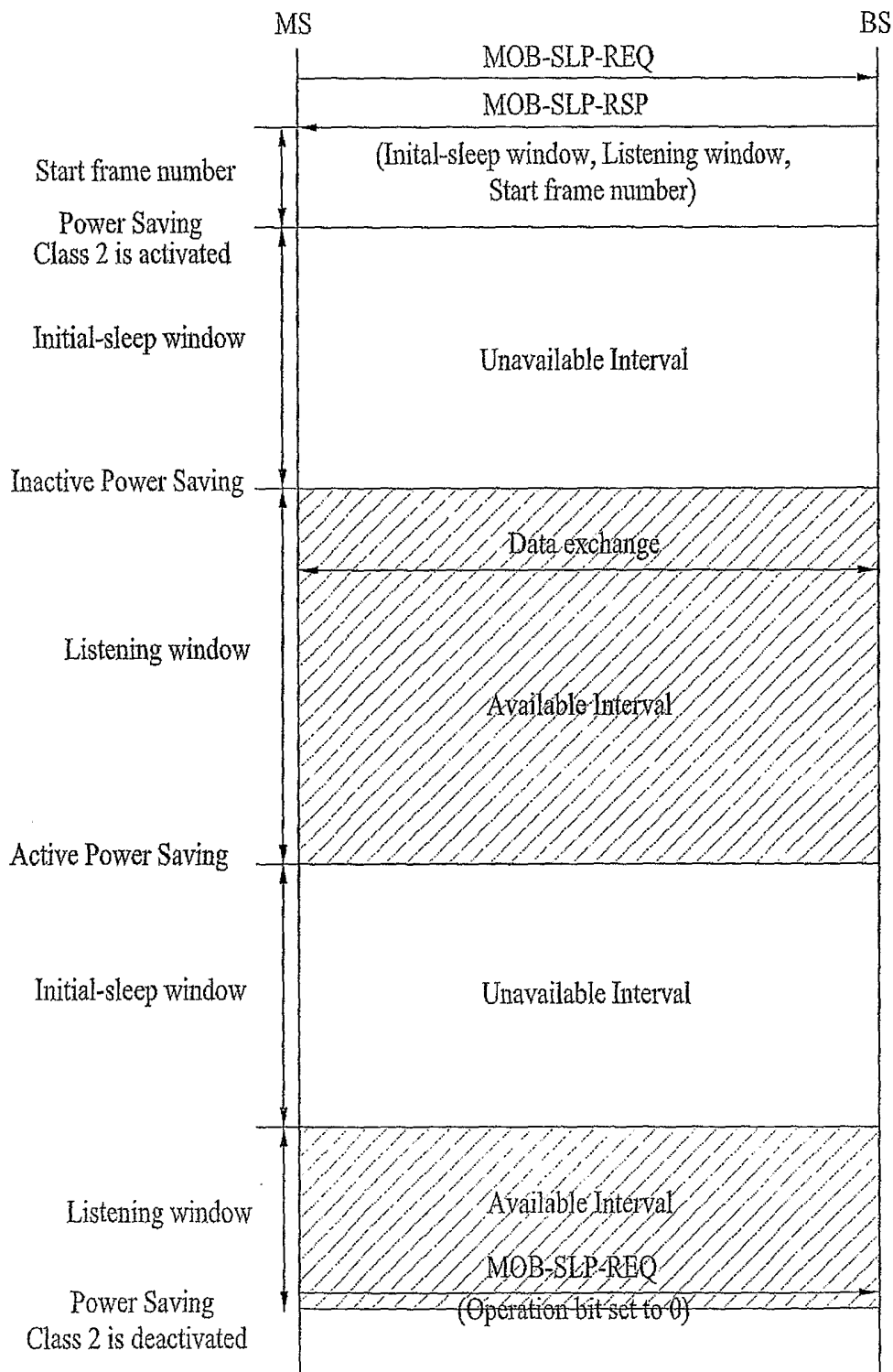


FIG. 3

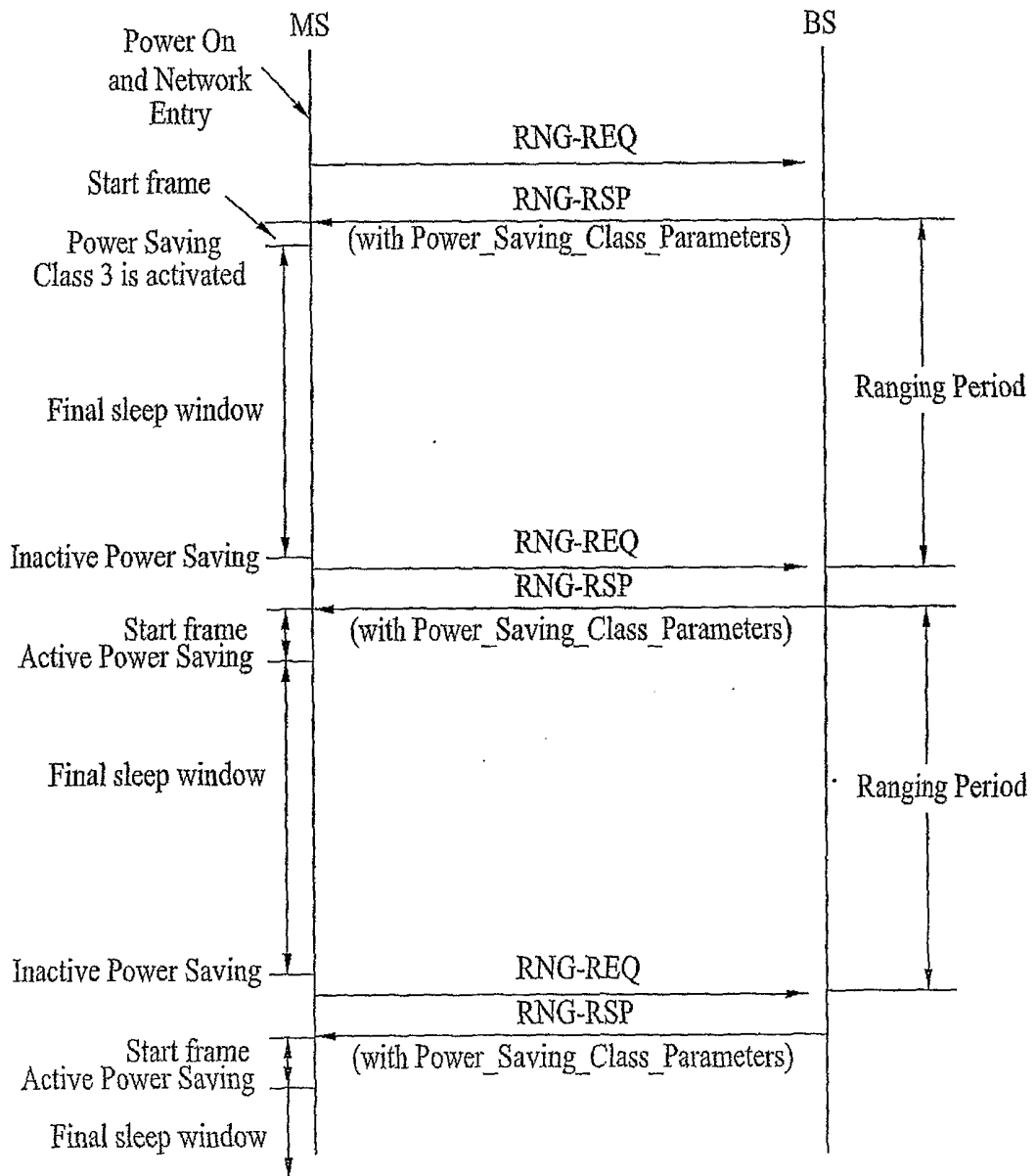




FIG. 4

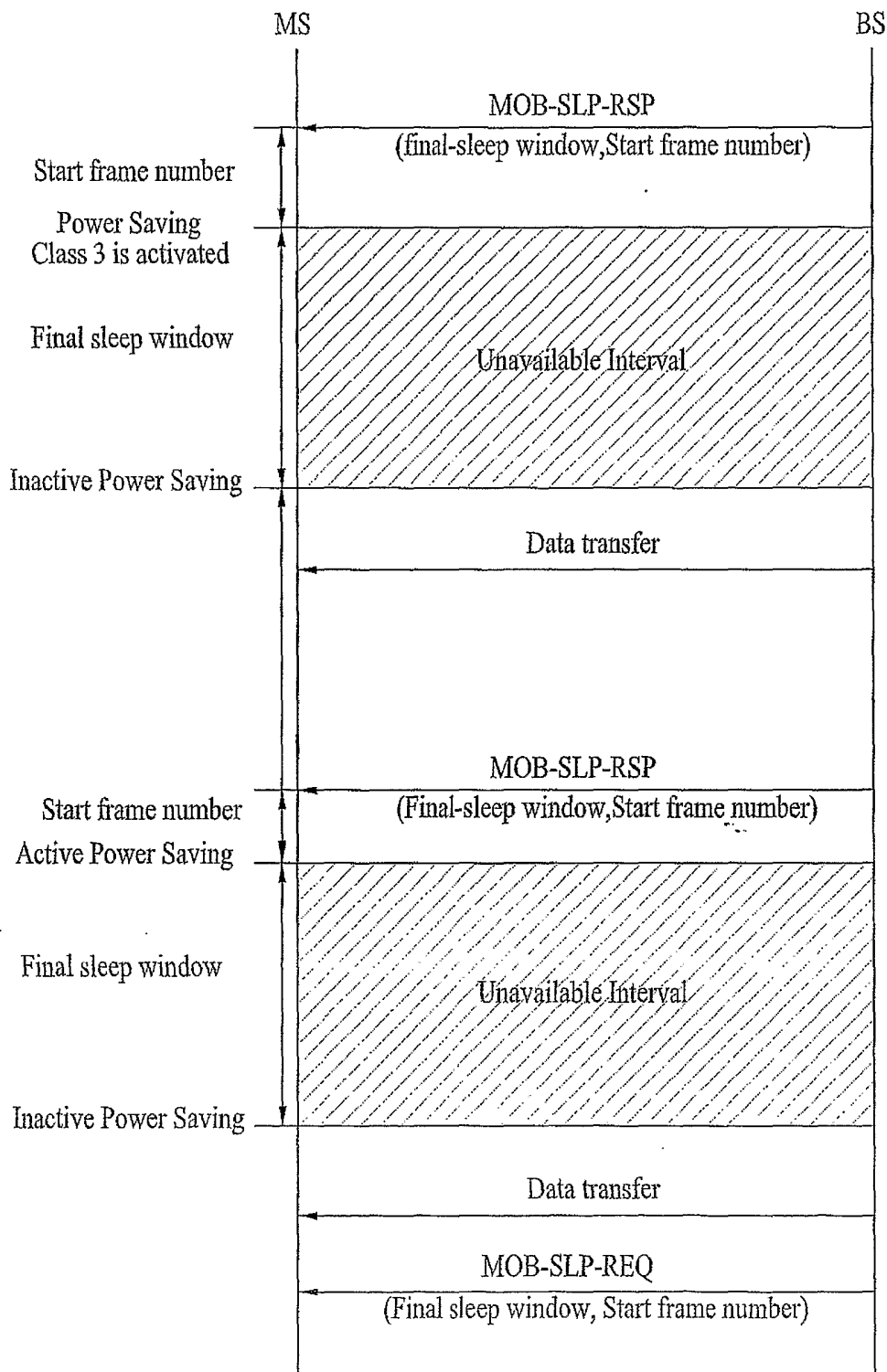


FIG. 5

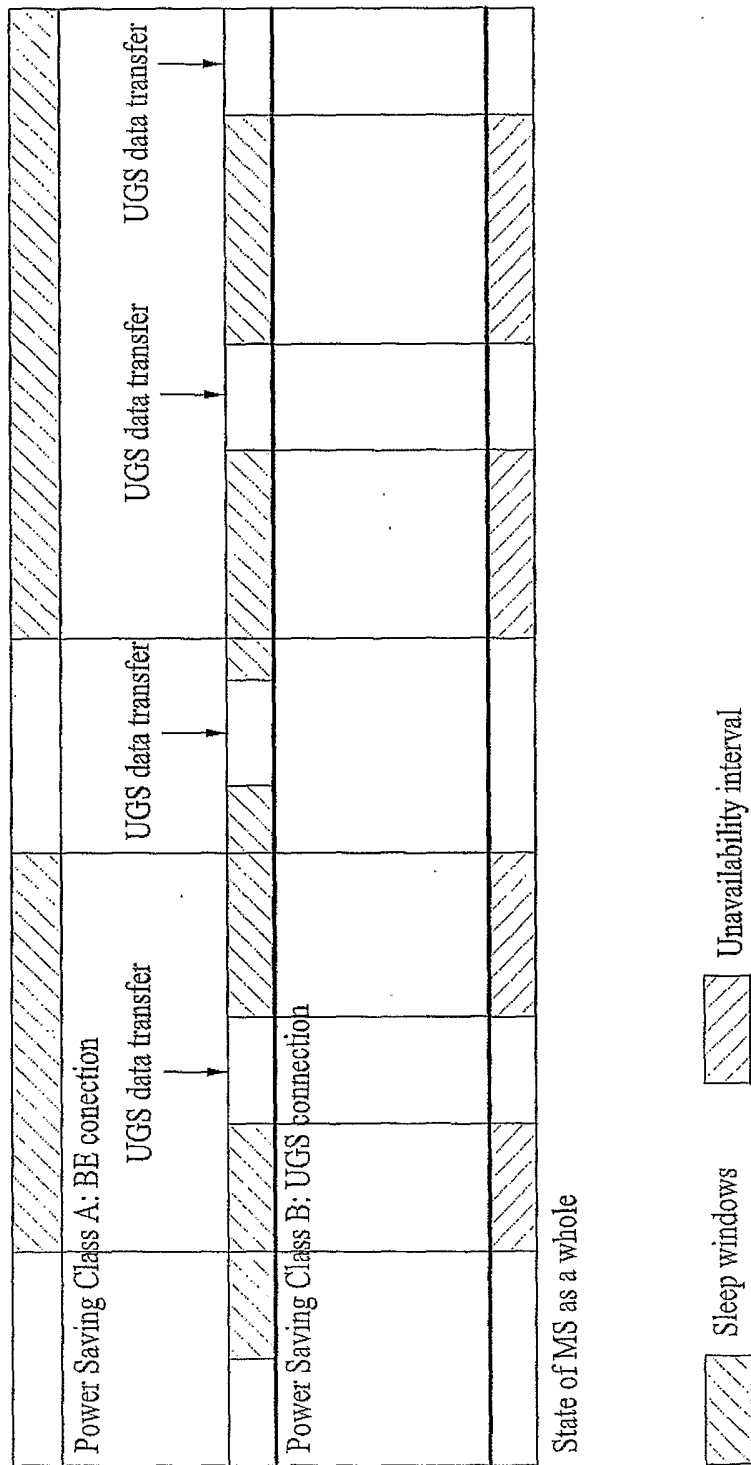


FIG. 6

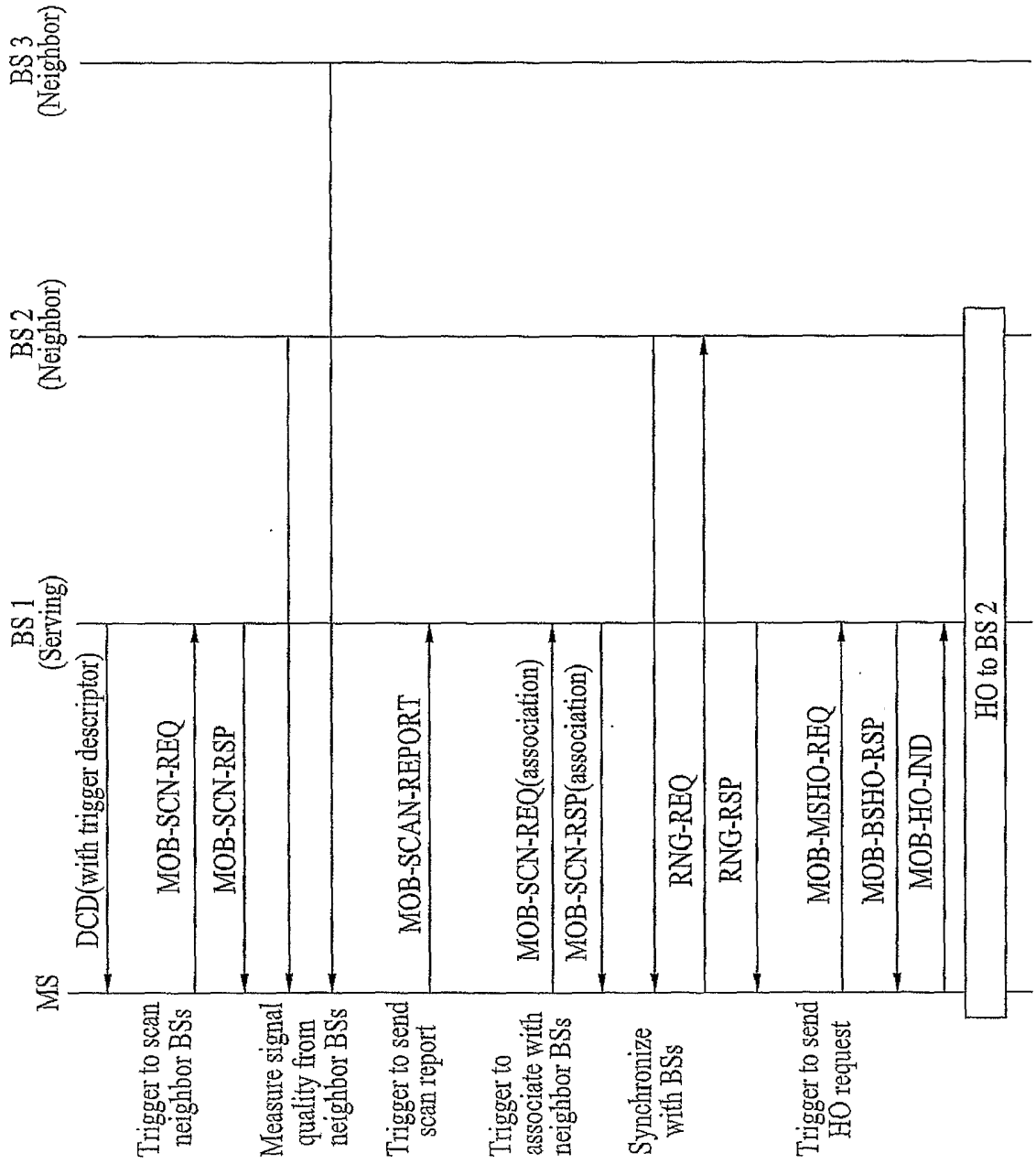


FIG. 7

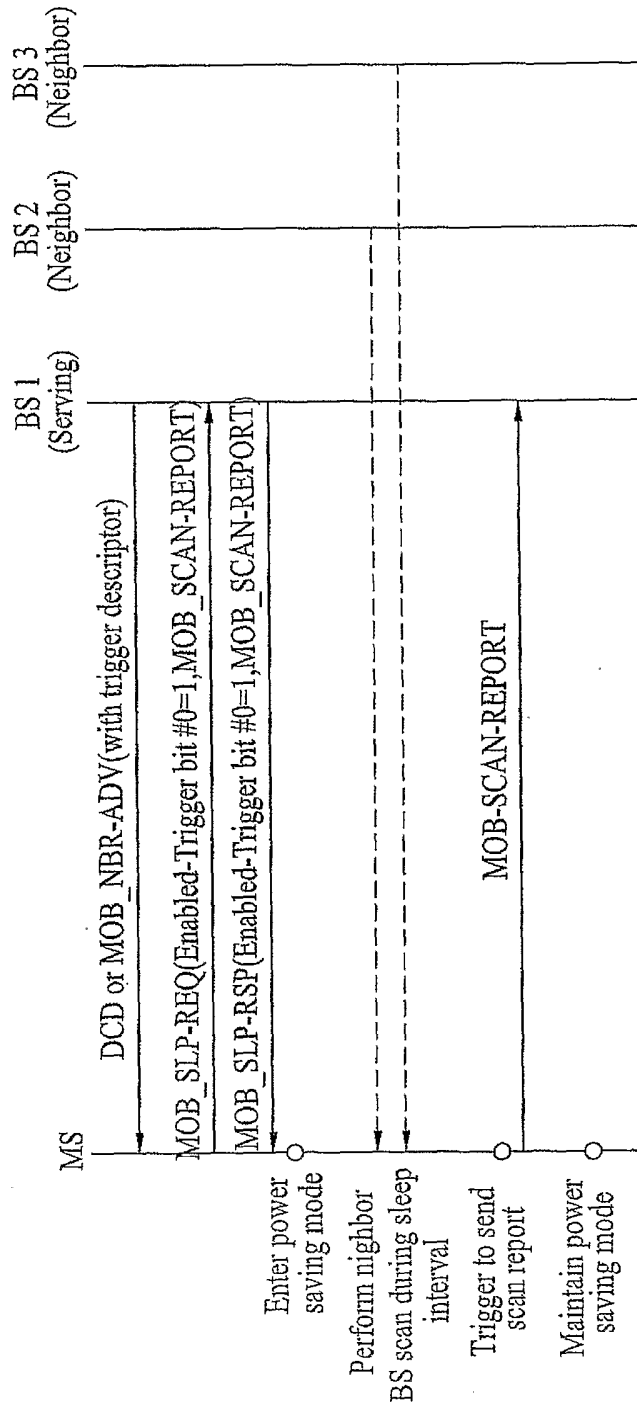


FIG. 8

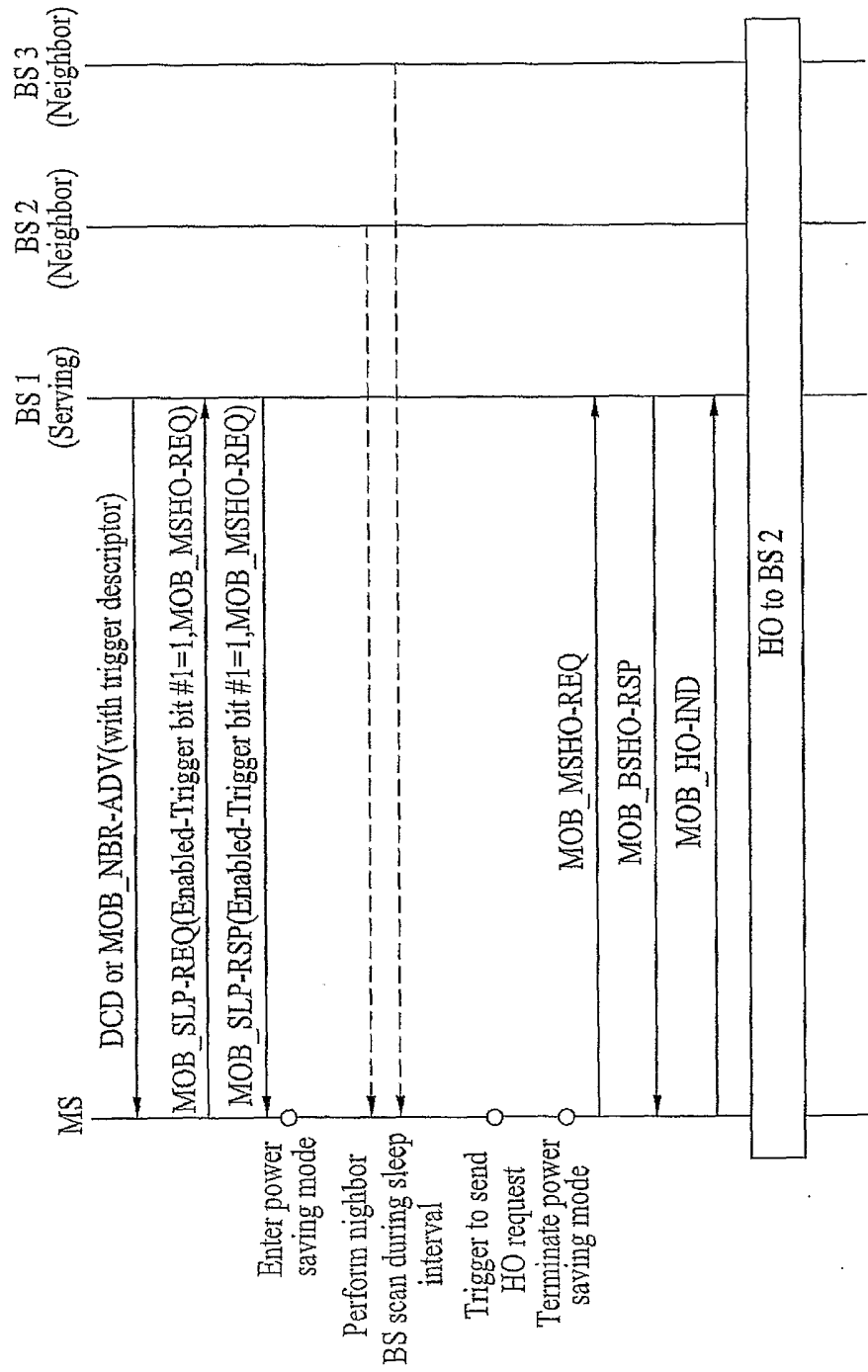


FIG. 9

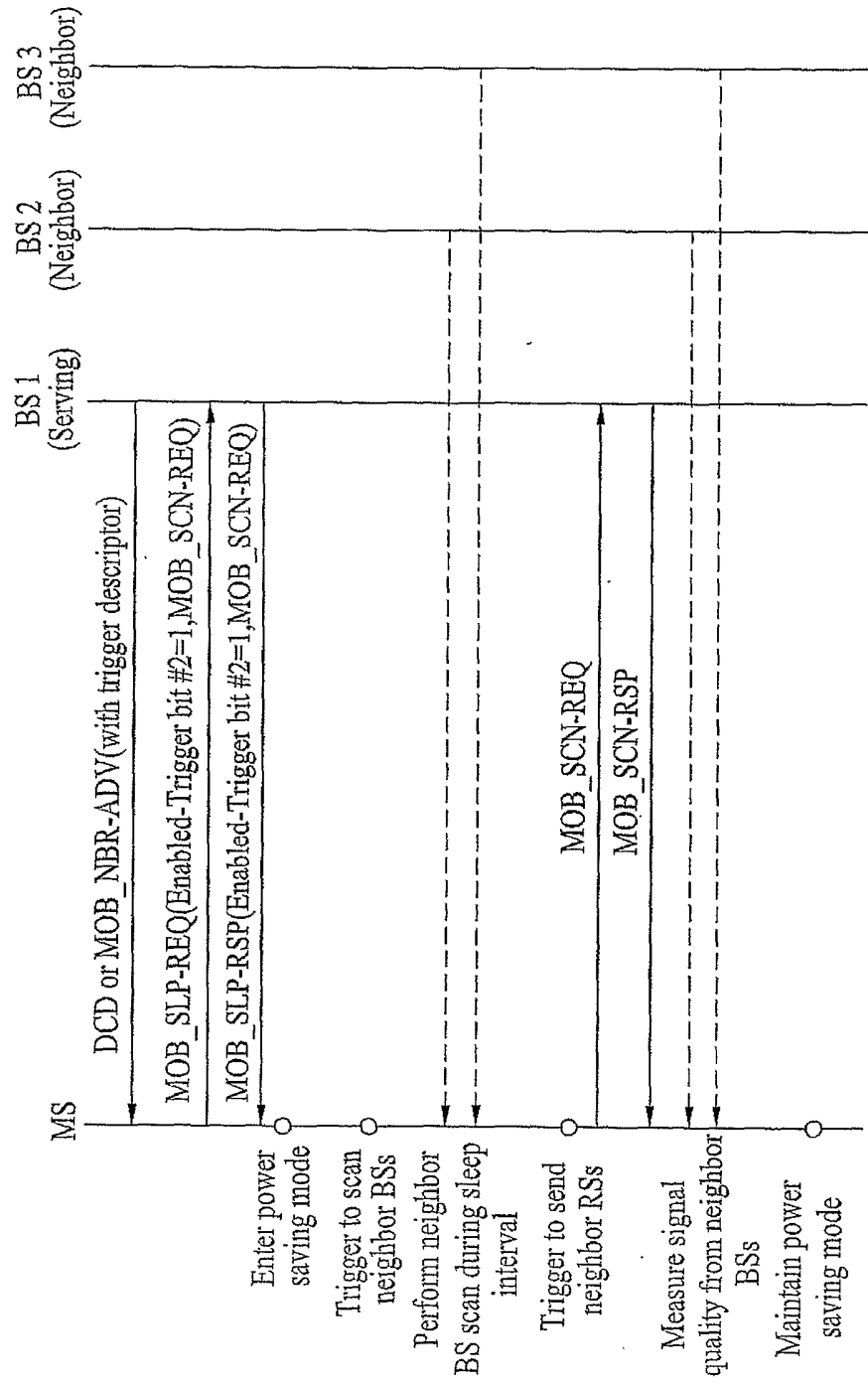


FIG. 10

