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(54) **METHOD FOR DETECTING ABNORMALLY FREQUENT DIESEL PARTICULATE FILTER REGENERATION, ENGINE AND EXHAUST AFTERTREATMENT SYSTEM, AND WARNING SYSTEM AND METHOD**

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(57) **ABSTRACT**

A method is provided for detecting abnormally frequent diesel particulate filter (DPF) regeneration. The method includes measuring a pressure drop across the DPF and using the measured pressure drop to calculate a pressure drop based soot load estimate, calculating soot output from an engine model and using the calculated soot output to calculate an emissions based soot load estimate, comparing the pressure drop based soot load estimate with the emissions based soot load estimate, and providing a warning if a difference between the pressure drop based soot load estimate and the emissions based soot load estimate exceeds a predetermined

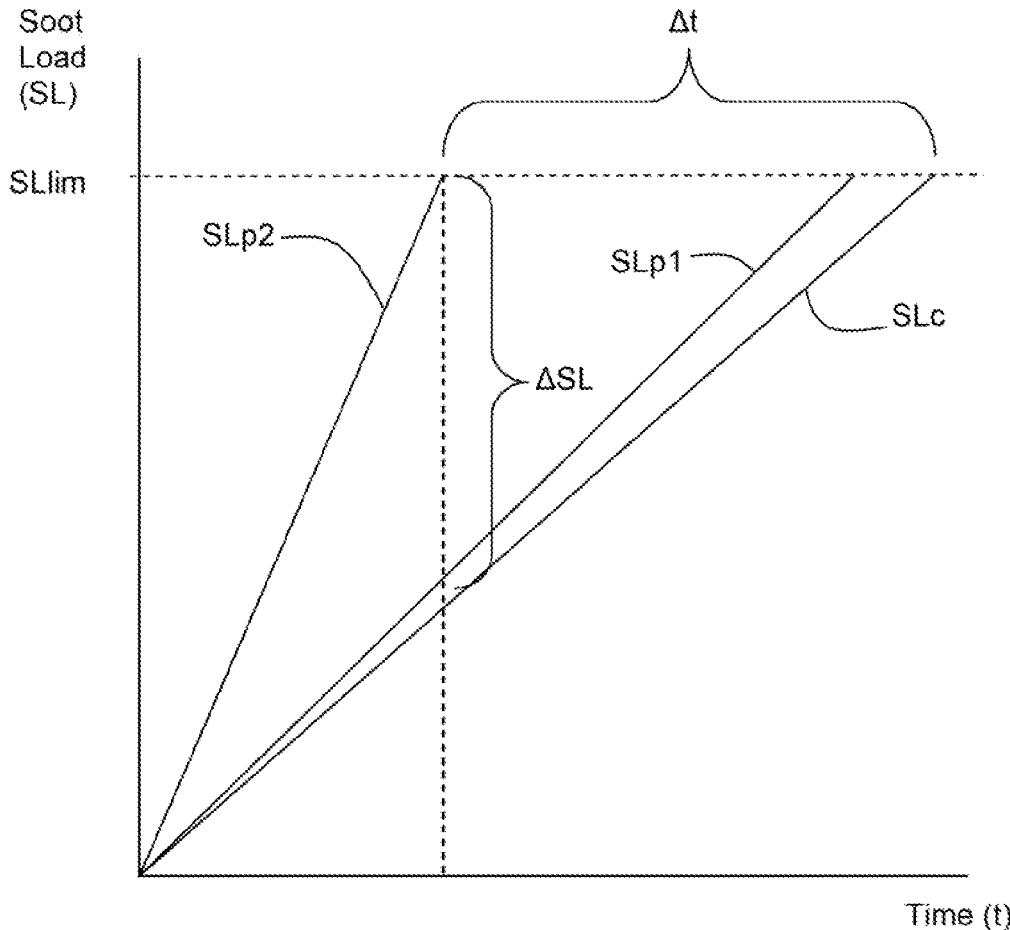
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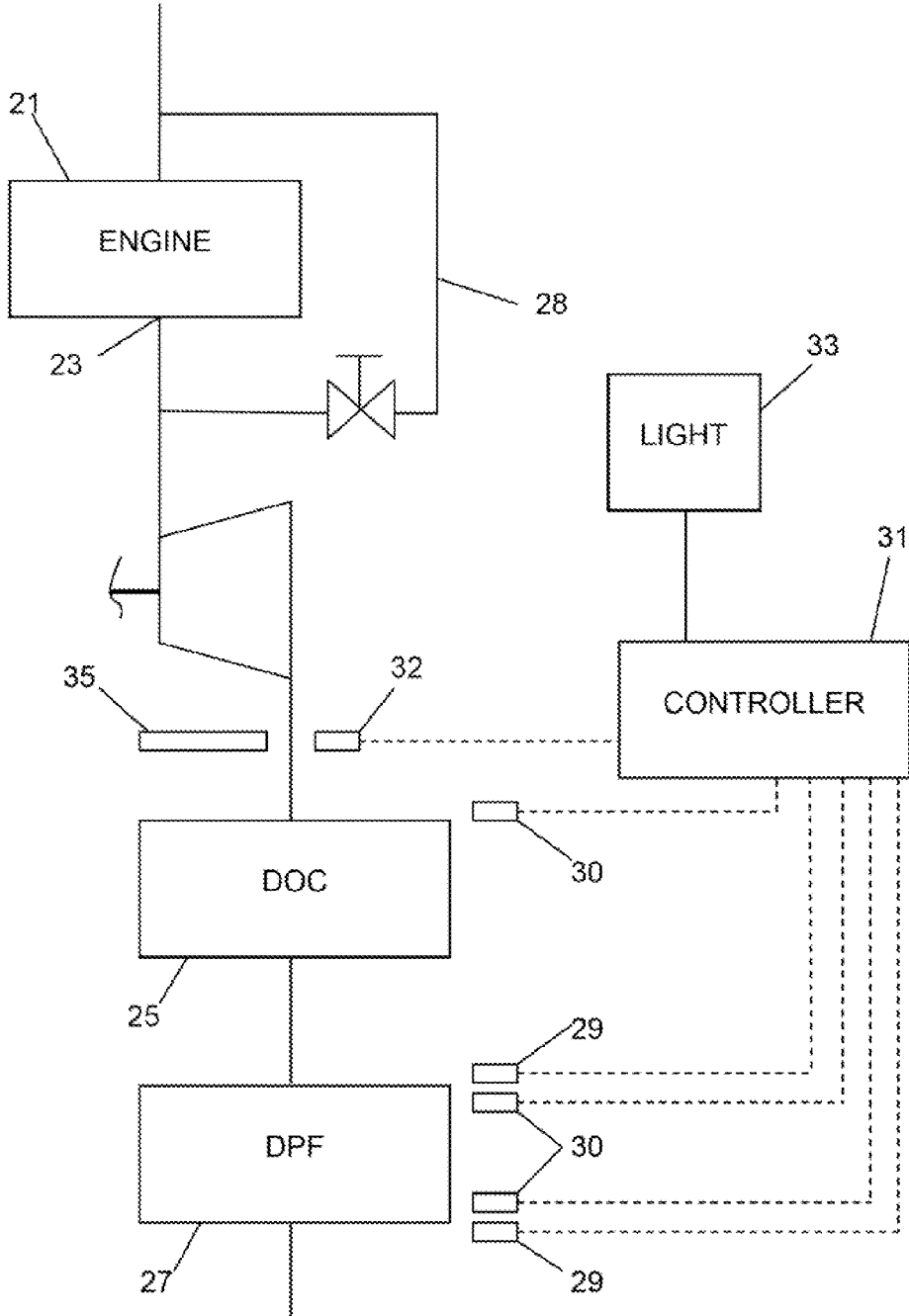


FIG. 1

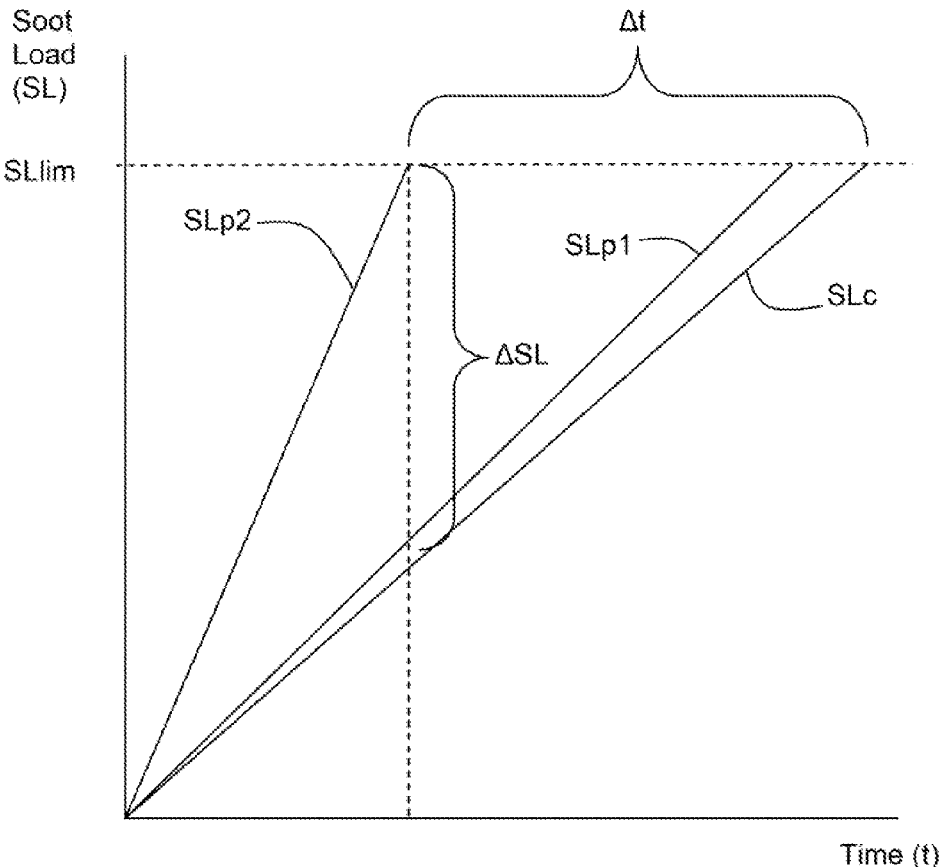
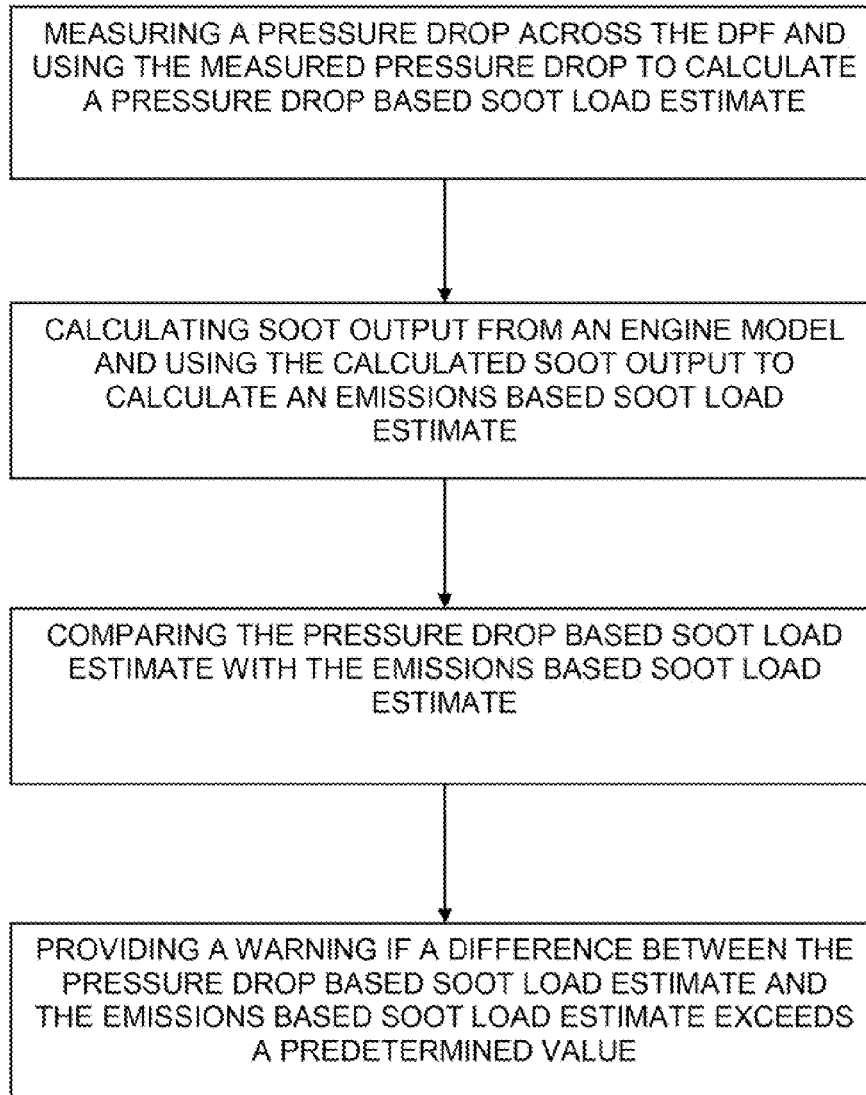


FIG. 2



**FIG. 3**

**METHOD FOR DETECTING ABNORMALLY FREQUENT DIESEL PARTICULATE FILTER REGENERATION, ENGINE AND EXHAUST AFTERTREATMENT SYSTEM, AND WARNING SYSTEM AND METHOD**

**BACKGROUND AND SUMMARY**

**[0001]** The present invention relates to engines and exhaust after treatment systems and, more particularly, to methods and apparatus for determining whether diesel particulate filter (DPF) regeneration is too frequent.

**[0002]** Modern diesel engines are ordinarily provided with DPFs to filter particulate matter such as unburned hydrocarbons in the engine exhaust. As soot collects in a DPF, it becomes necessary to remove the soot, ordinarily by a process referred to as regeneration. There are two primary mechanisms employed for regeneration: oxidation of soot by O<sub>2</sub> ((C+O<sub>2</sub>→CO<sub>2</sub>) and/or (2C+O<sub>2</sub>→2CO)) called O<sub>2</sub>-based regeneration and oxidation of soot by NO<sub>2</sub> ((C+2NO<sub>2</sub>→CO<sub>2</sub>+2NO) and/or (C+NO<sub>2</sub>→CO+NO)) called NO<sub>2</sub>-based regeneration. U.S. patent application Ser. No. 12/864,328, entitled "METHOD AND APPARATUS FOR REGENERATING A CATALYZED DIESEL PARTICULATE FILTER (DPF) VIA ACTIVE NO<sub>2</sub>-BASED REGENERATION WITH ENHANCED EFFECTIVE NO<sub>2</sub> SUPPLY", and U.S. patent application Ser. No. 12/864,330, entitled "METHOD AND APPARATUS FOR NO<sub>2</sub>-BASED REGENERATION OF DIESEL PARTICULATE FILTERS USING RECIRCULATED NOX" both of which are incorporated by reference, describe using modeling to calculate soot load in a DPF. Regeneration by O<sub>2</sub> is typically referred to as "active" regeneration as it ordinarily involves the addition of heat to burn off soot that has collected in the DPF, although some O<sub>2</sub> regeneration often occurs during normal operation of the engine and exhaust after treatment system (EATS). Regeneration by NO<sub>2</sub> is typically referred to as "passive" regeneration and is the primary mechanism by which the DPF is continuously regenerated during normal operation of the engine and EATS.

**[0003]** Soot accumulation in the DPF is affected by engine-out soot as well as by catalytic activity of EATS components such as diesel oxidation catalysts (DOCs) and DPF catalysts, as well as by factors such as engine exhaust temperature and NO<sub>x</sub> levels. Under many operating conditions, such as during normal highway operation of a truck having a diesel engine, passive regeneration can prevent substantial soot build-up in a DPF, and may avoid the need for active regeneration altogether. Under less favorable conditions, such as local operation at unfavorable exhaust temperatures, soot builds up in the DPF and an active regeneration must be performed.

**[0004]** One way of determining whether an active regeneration is necessary is by measuring pressure drop across the DPF and estimating soot load as a function of the pressure drop at the particular exhaust temperature and exhaust mass flow rate at which the engine is being operated. If this pressure drop soot load estimate exceeds a predetermined soot load limit, an active regeneration will be initiated.

**[0005]** The inventors have recognized that too frequent regeneration may be indicative of a problem, ordinarily a problem associated with either excessive soot generation by the engine or inadequate catalytic activity by the DOC, although other factors such as inadequate catalytic activity by the DPF or reduced DPF effective volume (such as when the DPF is filled with ash) may also or alternatively be behind

frequent regenerations. Failure to identify such problems can lead to catastrophic engine or catalyst failures.

**[0006]** Currently, whether regeneration is occurring too frequently is determined by comparing the frequency of regeneration with a predicted regeneration frequency, i.e., a specific time interval. However, as noted, under certain conditions, a truck may not need any regeneration while, under other conditions, the same truck may need a regeneration every few days. This variation makes it difficult to use a single or particular time interval criteria to define what normal DPF regeneration frequency is because a particular interval may be too frequent for a truck operated primarily on the highway, while being normal or too infrequent for a truck operated in stop-and-go traffic.

**[0007]** It is desirable to provide a method and apparatus that can reliably facilitate detection of whether DPF regeneration is occurring too frequently. It is further desirable to provide such a method and apparatus that involves the use of minimal additional equipment. In addition to reasons relating to avoiding engine or catalyst failures, it is desirable to detect excessive DPF regeneration to comply with regulations such as California Code of Regulations: CCR 1971.1 (e)(8.2.2) *Frequent Regeneration*, Code of Federal Regulations: CFR Part 86.010-18 paragraph (g)(8)(ii)(B) *DPI Regeneration Frequency*.

**[0008]** According to an aspect of the present invention, a method is provided for detecting abnormally frequent diesel particulate filter (DPF) regeneration. The method comprises measuring a pressure drop across the DPF and using the measured pressure drop to calculate a pressure drop based soot load estimate, calculating soot output from an engine model and using the calculated soot output to calculate an emissions based soot load estimate, comparing the pressure drop based soot load estimate with the emissions based soot load estimate, and providing a warning if a difference between the pressure drop based soot load estimate and the emissions based soot load estimate exceeds a predetermined value.

**[0009]** According to another aspect of the present invention, a method is provided for detecting possible diesel engine malfunction or diesel oxidation catalyst (DOC) malfunction. The method comprises measuring a pressure drop across a diesel particulate filter (DPF) and using the measured pressure drop to calculate a pressure drop based soot load estimate, calculating soot output from an engine model and using the calculated soot output to calculate an emissions based soot load estimate, comparing the pressure drop based soot load estimate with the emissions based soot load estimate, and checking functionality of the diesel engine and the DOC malfunction if a difference between the pressure drop based soot load estimate and the emissions based soot load estimate exceeds a predetermined value.

**[0010]** According to another aspect of the present invention, a diesel engine with an exhaust after treatment system is provided and comprises the diesel engine, the diesel engine comprising an exhaust, a diesel oxidation catalyst (DOC) downstream of the diesel engine exhaust, a diesel particulate filter (DPF) downstream of the DOC, sensors for measuring a pressure drop across the DPF, and a controller. The controller is arranged to calculate a pressure drop based soot load estimate based on the measured pressure drop, calculate an emissions based soot load estimate based on soot output calculated from an engine model, compare the pressure drop based soot load estimate with the emissions based soot load

estimate, and provide a warning if a difference between the pressure drop based soot load estimate and the emissions based soot load estimate exceeds a predetermined value.

**[0011]** According to another aspect of the present invention, a warning system for diesel engine with an exhaust after treatment system is provided, the exhaust after treatment system comprising a diesel oxidation catalyst (DOC) downstream of an exhaust of the engine and a diesel particulate filter (DPF) downstream of the DOC. The warning system comprises sensors for measuring a pressure drop across the DPF, and a controller arranged to calculate a pressure drop based soot load estimate based on the measured pressure drop, calculate an emissions based soot load estimate based on soot output calculated from an engine model, compare the pressure drop based soot load estimate with the emissions based soot load estimate, and provide a warning if a difference between the pressure drop based soot load estimate and the emissions based soot load estimate exceeds a predetermined value.

#### BRIEF DESCRIPTION OF THE DRAWINGS

**[0012]** The features and advantages of the present invention are well understood by reading the following detailed description in conjunction with the drawings in which like numerals indicate similar elements and in which:

**[0013]** FIG. 1 is a schematic illustration of an engine and an exhaust aftertreatment system according to an aspect of the present invention;

**[0014]** FIG. 2 is a graph illustrating how pressure based soot load estimates and emissions based soot load estimates can be used to determine abnormal regeneration frequency; and

**[0015]** FIG. 3 is a flow chart illustrating steps in a method for determining abnormal regeneration frequency.

#### DETAILED DESCRIPTION

**[0016]** A diesel engine **21** with an exhaust after treatment system (EATS) according to an aspect of the present invention is shown schematically in FIG. 1. The engine **21** comprises an exhaust **23** and the aftertreatment system comprises a diesel oxidation catalyst (DOC) **25** downstream of the diesel engine exhaust, and a diesel particulate filter (DPF) **27** downstream of the DOC. The EATS may comprise an Exhaust Gas Recirculation (EGR) arrangement **28**.

**[0017]** Sensors **29** are provided for measuring a pressure drop across the DPF and send a signal to a controller **31**. The controller **31** may be any suitable form of controller, such as a conventional CPU, and is arranged to calculate what shall be denominated as a pressure drop based soot load estimate  $SL_p$  based on the measured pressure drop ( $\Delta P$ ). The pressure drop based soot load estimate  $SL_p$  is ordinarily based on additional factors including measured exhaust mass flow ( $\dot{m}$ ) and temperature across, e.g., the DOC and DPF ( $T$ ), i.e.,  $SL_p = f(\Delta P, \dot{m}, T)$ . Sensors **30** for measuring temperature, flow monitors **32**, and the like can be provided at various points in the EATS and the engine **21** for measuring various characteristics of the exhaust, and can send signals to the controller **31**. The normal models for calculating soot load based on pressure drop considers only pressure drop due to a so-called "cake layer" of soot. A so-called "deep bed soot load" in pores of the DPF, however, can substantially increase resistance to flow through a DPF and can be a source of significant error in the pressure drop soot load models.

**[0018]** The controller **31** is also arranged to calculate what shall be denominated as an emissions based soot load estimate  $SL_c$  based on soot output calculated from an engine model. Ordinarily, the engine model will calculate soot output based on data including one or more of engine rpms, air-to-fuel ratio (AFR), EGR usage, and fuel angle (i.e., how far ahead or behind of top-deadcenter fuel injection and/or ignition occurs), temperature measurements such as ambient temperature, engine inlet temperature, engine exhaust temperature, DOC inlet temperature, and DPF inlet and outlet temperature, and NO<sub>x</sub> emissions measurements. The engine model will ordinarily also calculate soot consumption due to NO<sub>2</sub> and O<sub>2</sub> based soot regeneration of the DPF and use the calculated soot consumption rate to calculate the emissions based soot load estimate  $SL_c$ . It will be appreciated that engine models for calculating soot load and/or consumption, and factors used in those calculations, will vary depending upon the engine and EATS in question, as well as upon the particular model used.

**[0019]** The controller **31** is further arranged to compare the pressure drop based soot load estimate  $SL_p$  with the emissions based soot load estimate  $SL_c$ . The controller **31** forms part of a warning system in that it is arranged to provide a warning, such as by lighting a dashboard light **33**, if a difference between the pressure drop based soot load estimate  $SL_p$  and the emissions based soot load estimate  $SL_c$  exceeds a predetermined value. Exceeding the predetermined value will suggest abnormally frequent regeneration, which can be indicative of other problems, particularly excessive soot production or DOC catalyst malfunction, and can be used to trigger an alarm or indicator such as the light.

**[0020]** FIG. 2 graphically shows how the predetermined value can be, for example, an amount of time  $\Delta t$  between the time that the pressure based soot load estimate  $SL_{p2}$  actually reaches the limit value  $SL_{lim}$  and the time that it is predicted that the emissions based soot load estimate  $SL_c$  will take to reach the limit value, or the difference in soot loading  $\Delta SL$  estimated by the pressure based soot load estimate and the emissions based soot load estimate when the pressure based soot load estimate reaches the limit value. Other techniques for measuring an excessive deviance between the pressure based soot load estimate  $SL_p$  and the emissions based soot load estimate  $SL_c$  may be used instead of or in addition to differences in time or soot load. FIG. 2 graphically illustrates how, with a properly operating engine and DOC, the pressure based soot load estimate  $SL_{p1}$  and the emissions based soot load estimate  $SL_c$  will be expected to closely follow one another over time. The graph of FIG. 2 is merely illustrative and is not intended to represent actual soot loading data estimates.

**[0021]** When the difference between the pressure drop based soot load estimate  $SL_p$  and the emissions based soot load estimate  $SL_c$  exceeds the predetermined value, an operator or technician can check the functionality of the engine **21** and the DOC **25**, or automated diagnostics can be performed to determine whether the engine and the DOC are operating properly.

**[0022]** Usually, the controller **31** is arranged to compare the pressure drop based soot load estimate  $SL_p$  with the emissions based soot load estimate  $SL_c$  at least when one of the pressure drop based soot load estimate  $SL_p$  and the emissions based soot load estimate  $SL_c$  reaches a predetermined soot load limit  $SL_{lim}$ , although the controller may also continuously compare the pressure drop based soot load estimate

with the emissions based soot load estimate and provide a warning whenever a difference between estimated values exceeds some amount or percentage of soot loading, or some other measure for comparing the soot load estimates may be chosen. The controller 31 can be arranged to trigger a DPF regeneration, such as by injection of hydrocarbons upstream of the DPF through a so-called “seventh injector” 35, when the pressure drop based soot load estimate  $SL_p$  reaches the soot load limit  $SL_{lim}$ . It is anticipated that, when problems relating to engine soot production or DOC catalyst failure occur, the pressure based soot load estimate  $SL_p$  will ordinarily reach the limit value  $SL_{lim}$  before the emissions based soot load value  $SL_c$ .

[0023] FIG. 3 shows steps in a method for detecting abnormally frequent DPF 27 regeneration. According to the method, at step 100, a pressure drop across the DPF 27 is measured, and the measured pressure drop is used to calculate a pressure drop based soot load estimate  $SL_p$ .

[0024] At step 200, soot output from an engine model is calculated and the calculated soot output is used to calculate an emissions based soot load estimate  $SL_c$ . The emissions based soot load estimate  $SL_c$  will ordinarily also involve calculation of soot consumption due to NO<sub>2</sub> and O<sub>2</sub> based soot regeneration. Soot output and soot consumption will ordinarily be calculated based on data including engine rpms, air-to-fuel ratio (AFR), EGR usage, and fuel angle (i.e., how far ahead or behind of top-dead-center fuel injection and/or ignition occurs), temperature measurements such as ambient temperature, engine inlet temperature, and exhaust temperature, and NO<sub>x</sub> emissions measurements.

[0025] At step 300, the pressure drop based soot load estimate  $SL_p$  is compared with the emissions based soot load estimate  $SL_c$ . The pressure drop based soot load estimate  $SL_p$  may be compared with the emissions based soot load estimate  $SL_c$  when one of the pressure drop based soot load estimate and the emissions based soot load estimate reaches the limit value  $SL_{lim}$ , at some other point, or continuously.

[0026] At step 400, a warning is provided if a difference between the pressure drop based soot load estimate  $SL_{p2}$  and the emissions based soot load estimate  $SL_c$  exceeds a predetermined value, such as an excessive period of time  $\Delta t$  between times when the pressure drop based soot load estimate  $SL_{p2}$  reaches a soot load limit value  $SL_{lim}$  or an excessive amount of difference in soot loading estimates  $\Delta SL$  at a time when the pressure drop based soot load estimate reaches the soot load limit value.

[0027] In the present application, the use of terms such as “including” is open-ended and is intended to have the same meaning as terms such as “comprising” and not preclude the presence of other structure, material, or acts. Similarly, though the use of terms such as “can” or “may” is intended to be open-ended and to reflect that structure, material, or acts are not necessary, the failure to use such terms is not intended to reflect that structure, material, or acts are essential. To the extent that structure, material, or acts are presently considered to be essential, they are identified as such.

[0028] While this invention has been illustrated and described in accordance with a preferred embodiment, it is recognized that variations and changes may be made therein without departing from the invention as set forth in the claims.

1. A method for diagnosing engine and exhaust aftertreatment system health by detecting abnormally frequent diesel particulate filter (DPF) regeneration, comprising:

measuring a pressure drop across the DPF and using the measured pressure drop to calculate a pressure drop based soot load estimate;

calculating soot output from an engine model and using the calculated soot output to calculate an emissions based soot load estimate;

comparing the pressure drop based soot load estimate with the emissions based soot load estimate; and

providing a warning if a difference between the pressure drop based soot load estimate and the emissions based soot load estimate exceeds a predetermined value.

2. The method as set forth in claim 1, comprising calculating soot consumption due to NO<sub>2</sub> and O<sub>2</sub> based soot regeneration and using the calculated soot consumption rate to calculate the emissions based soot load estimate.

3. The method as set forth in claim 2, comprising calculating soot output and soot consumption based on data including one or more of engine rpms, air-to-fuel ratio, EGR usage, and fuel angle, temperature measurements including one or more of ambient temperature, engine inlet temperature, and exhaust temperature, and NO<sub>x</sub> emissions measurements.

4. The method as set forth in claim 1, comprising comparing the pressure drop based soot load estimate with the emissions based soot load estimate when one of the pressure drop based soot load estimate and the emissions based soot load estimate reaches a predetermined soot load limit.

5. The method as set forth in claim 4, wherein the predetermined value is a predetermined time period, the method comprising providing the warning if a difference in time between a time at which one of the pressure drop based soot load estimate and the emissions based soot load estimate reaches the predetermined soot load limit and a time at which the other one of the pressure drop based soot load estimate and the emissions based soot load estimate is expected to reach the predetermined soot load limit exceeds the predetermined time period.

6. The method as set forth in claim 4, wherein the predetermined value is a predetermined soot load quantity, the method comprising, providing, the warning if, when one of the pressure drop based soot load estimate and the emissions based soot load estimate reaches the predetermined soot load limit, a difference in estimated soot load between the pressure drop based soot load estimate and the emissions based soot load estimate exceeds the predetermined soot load quantity.

7. The method as set forth in claim 4, comprising triggering a DPF regeneration when one of the pressure drop based soot load estimate and the emissions based soot load estimate reaches the predetermined soot load limit.

8. The method as set forth in claim 1, comprising checking functionality of the engine and a diesel oxidation catalyst (DOC) downstream from the engine after the warning is provided.

9. The method as set forth in claim 1, comprising calculating soot output based on based on data including one or more of engine rpms, air-to-fuel ratio, EGR usage, and fuel angle, temperature measurements including one or more of ambient temperature, engine inlet temperature, and exhaust temperature, and NO<sub>x</sub> emissions measurements.

10. The method as set forth in claim 1, comprising calculating the pressure drop based soot load estimate based on factors including measured exhaust mass flow and exhaust temperature.

**11.** A method for detecting possible diesel engine malfunction or diesel oxidation catalyst (DOC) malfunction, comprising

- measuring a pressure drop across a diesel particulate filter (DPF) and using the measured pressure drop to calculate a pressure drop based soot load estimate;
- calculating soot output from an engine model and using the calculated soot output to calculate an emissions based soot load estimate;
- comparing the pressure drop based soot load estimate with the emissions based soot load estimate; and
- checking functionality of the diesel engine and the DOC to diagnose engine and exhaust aftertreatment system health if a difference between the pressure drop based soot load estimate and the emissions based soot load estimate exceeds a predetermined value.

**12.** The method as set forth in claim **11**, comprising calculating soot consumption due to NO<sub>2</sub> and O<sub>2</sub> based soot regeneration and using the calculated soot consumption rate to calculate the emissions based soot load estimate.

**13.** A diesel engine with an exhaust after treatment system, comprising:

- the diesel engine, the diesel engine comprising an exhaust; a diesel oxidation catalyst (DOC) downstream of the diesel engine exhaust;
- a diesel particulate filter (DPF) downstream of the DOC; sensors for measuring a pressure drop across the DPF; and a controller arranged to
  - calculate a pressure drop based soot load estimate based on the measured pressure drop,
  - calculate an emissions based soot load estimate based on soot output calculated from an engine model,
  - compare the pressure drop based soot load estimate with the emissions based soot load estimate, and
- provide an engine or aftertreatment system health diagnostic warning if a difference between the pressure drop based soot load estimate and the emissions based soot load estimate exceeds a predetermined value.

**14.** The diesel engine with an exhaust after treatment system as set forth in claim **13**, wherein the controller is arranged to calculate soot consumption due to NO<sub>2</sub> and O<sub>2</sub> based soot regeneration and use the calculated soot consumption rate to calculate the emissions based soot load estimate.

**15.** The diesel engine with an exhaust after treatment system as set forth in claim **14**, wherein the controller is arranged to calculate soot output and soot consumption based on data

including one or more of engine rpms, air-to-fuel ratio, EGR usage, and fuel angle, temperature measurements including one or more of ambient temperature, engine inlet temperature, and exhaust temperature, and NO emissions measurements.

**16.** The diesel engine with an exhaust after treatment system as set forth in claim **13**, wherein the controller is arranged to compare the pressure drop based soot load estimate with the emissions based soot load estimate when one of the pressure drop based soot load estimate and the emissions based soot load estimate reaches a predetermined soot load limit.

**17.** The diesel engine with an exhaust after treatment system as set forth in claim **16**, wherein the controller is arranged to trigger a DPF regeneration when the pressure drop based soot load estimate reaches the soot load limit.

**18.** The diesel engine with an exhaust after treatment system as set forth in claim **13**, wherein the controller is arranged to calculate soot output based on data including one or more of engine rpms, air-to-fuel ratio, EGR usage, and fuel angle, temperature measurements including one or more of ambient temperature, engine inlet temperature, and exhaust temperature, and NO<sub>x</sub> emissions measurements.

**19.** The diesel engine with an exhaust after treatment system as set forth in claim **13**, wherein the controller is arranged to calculate the pressure drop based soot load estimate based on factors including measured exhaust mass flow and exhaust temperature.

**20.** A warning system for a diesel engine with an exhaust after treatment system for diagnosing engine and exhaust aftertreatment system health, the exhaust after treatment system comprising a diesel oxidation catalyst (DOC) downstream of an exhaust of the engine and a diesel particulate filter (DPF) downstream of the DOC, the warning system comprising:

- sensors for measuring a pressure drop across the DPF; and a controller arranged to
  - calculate a pressure drop based soot load estimate based on the measured pressure drop,
  - calculate an emissions based soot load estimate based on soot output calculated from an engine model,
  - compare the pressure drop based soot load estimate with the emissions based soot load estimate, and
  - provide a warning if a difference between the pressure drop based soot load estimate and the emissions based soot load estimate exceeds a predetermined value.

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