(54) **BEAM STEERING RESOLUTIONS ENHANCEMENT**

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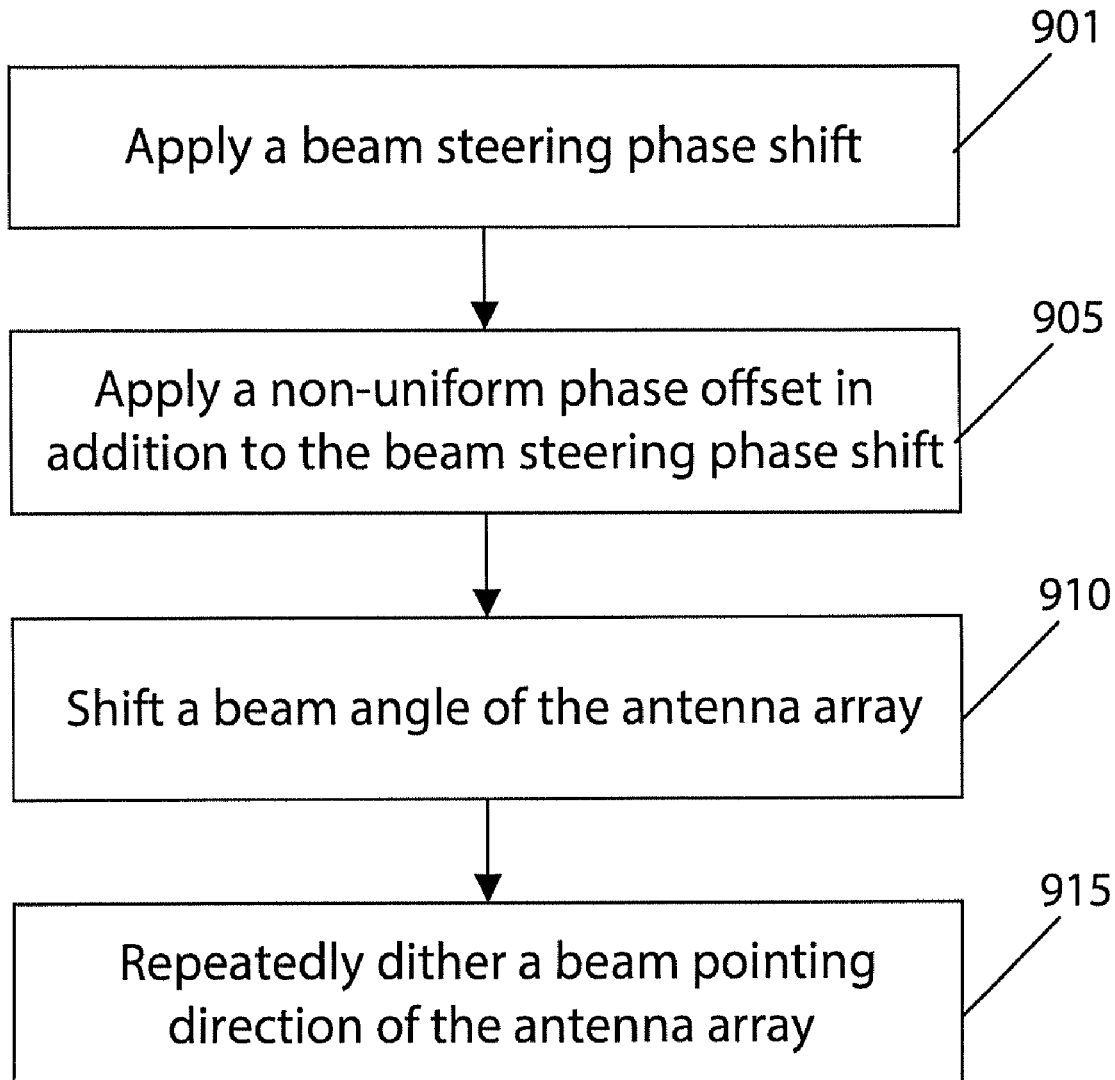
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(57) **ABSTRACT**

Method, apparatuses, and computer program product for beam steering to support beam dithering in high aspect ratio antenna arrays are provided. One method may include applying a beam steering phase shift to a plurality of antenna array elements in an antenna array. The method may also include applying a non-uniform phase offset to each of the antenna array elements in addition to the beam steering phase shift. In addition, the method may include shifting a beam angle of the antenna array based on a combination of the beam steering phase shift and the non-uniform phase offset at each of the antenna array elements. The non-uniform phase offset may be a minimum phase increment.

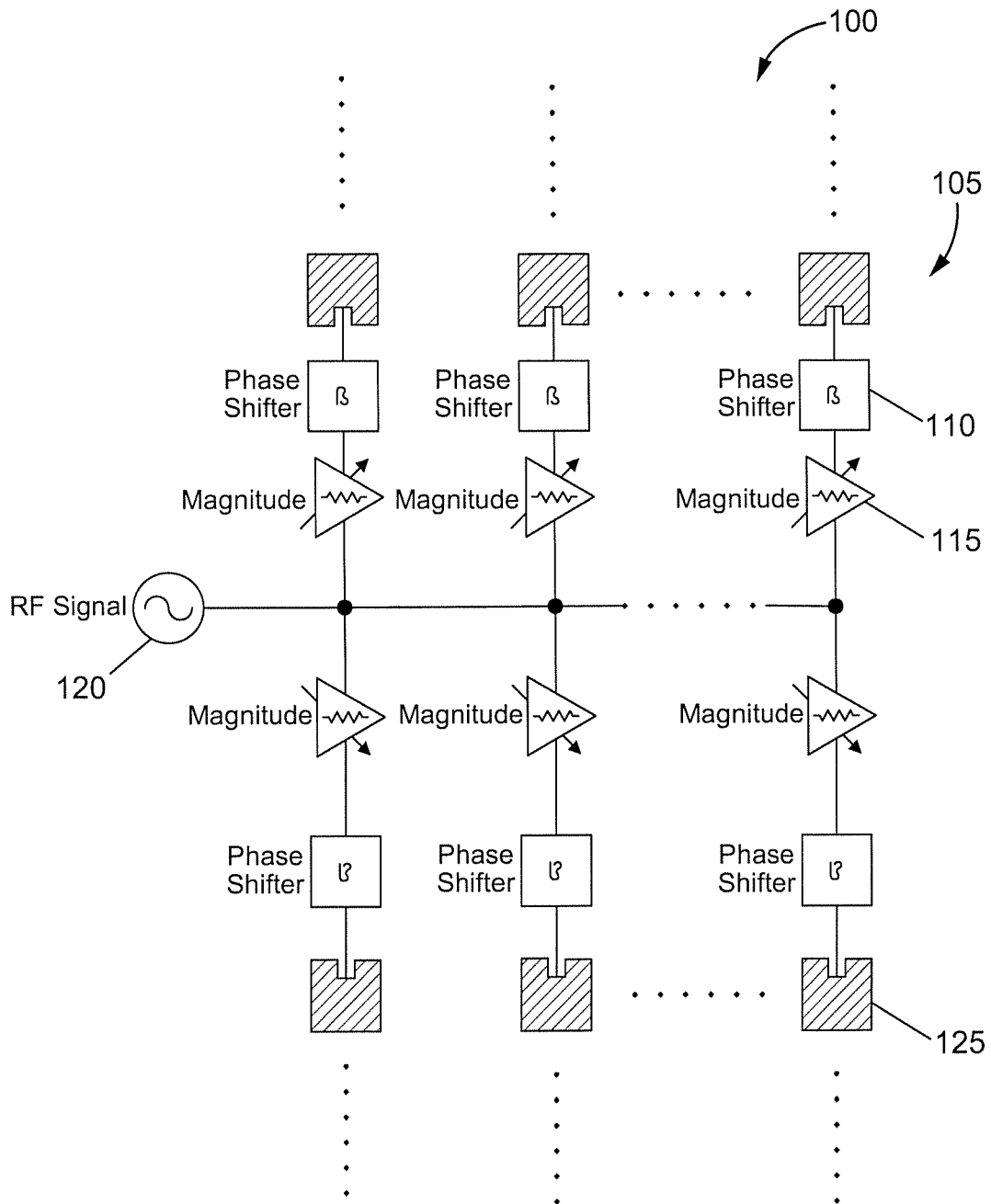901

Apply a beam steering phase shift

905

Apply a non-uniform phase offset in addition to the beam steering phase shift

910

Shift a beam angle of the antenna array

915

Repeatedly dither a beam pointing direction of the antenna array

FIG. 1

FIG. 2

α = beam steering phase

α = bam steering phase
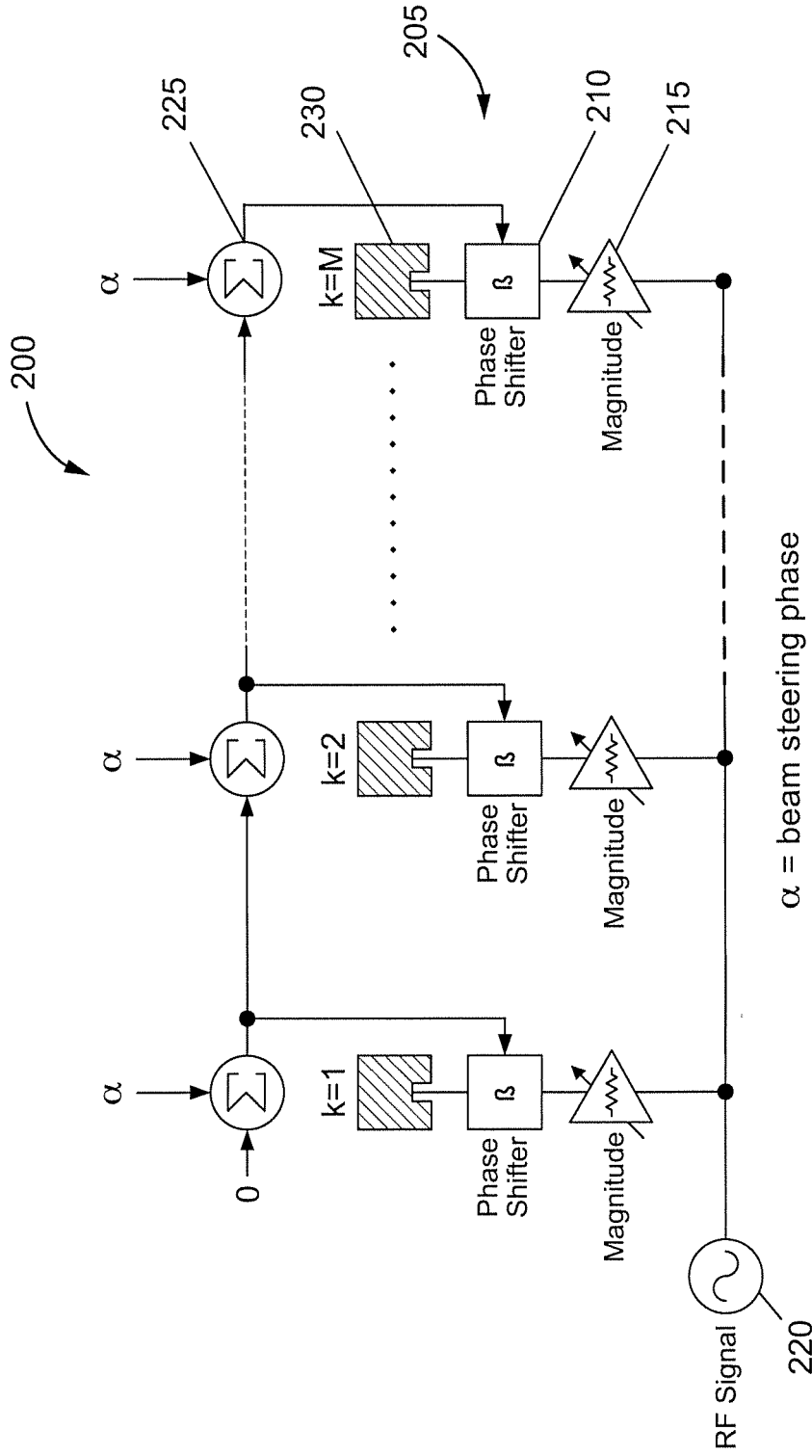
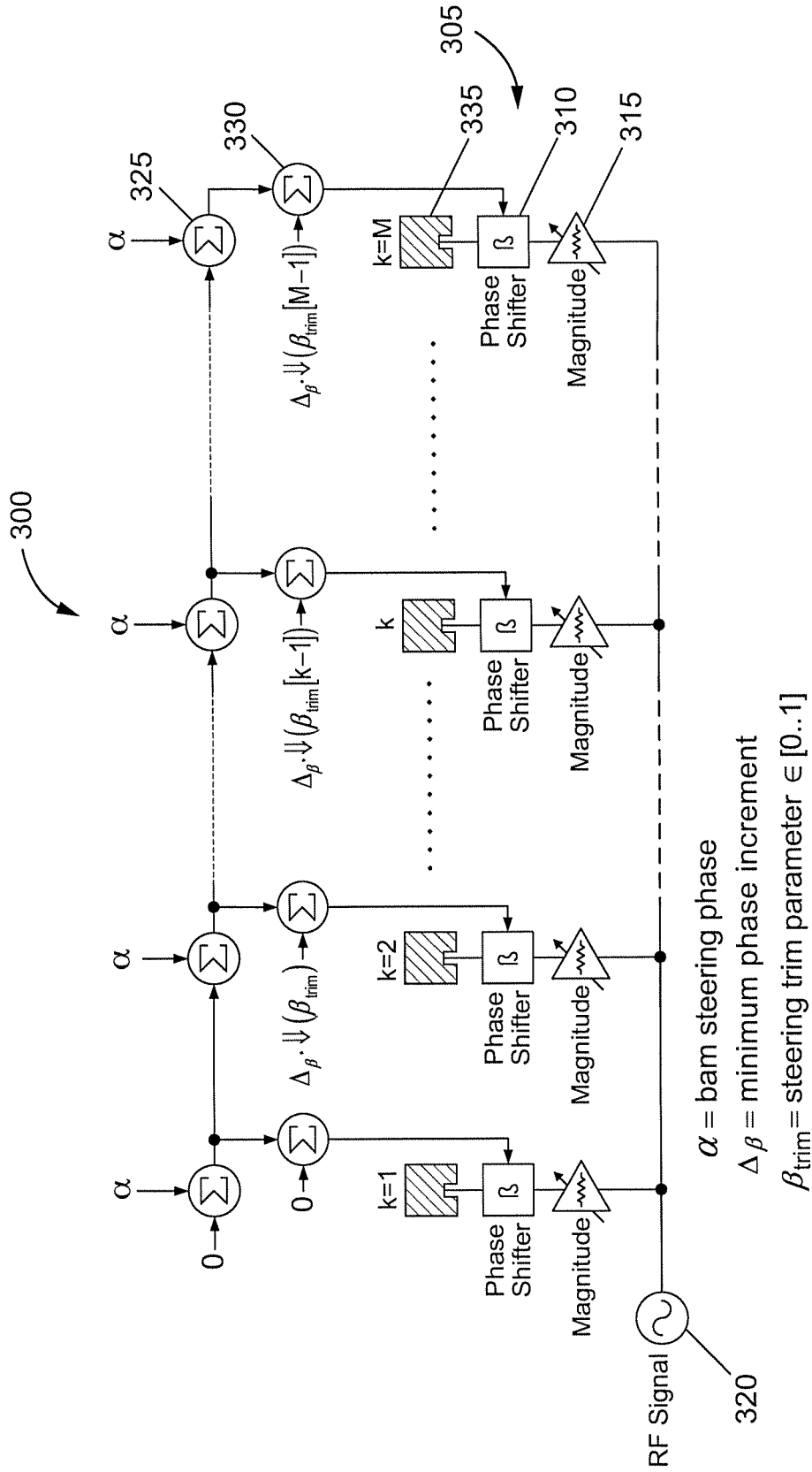Δ_β = minimum phase increment
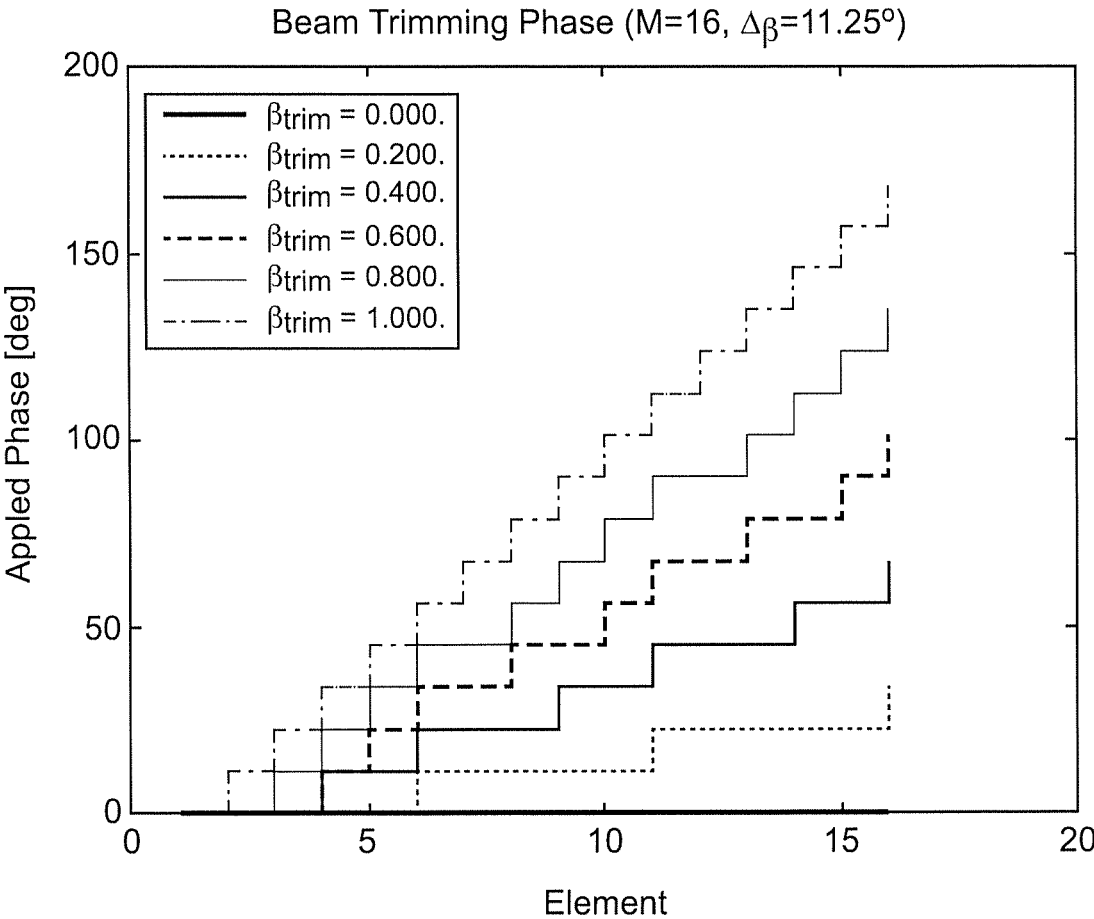
β_{trim} = steering trim parameter $\in [0..1]$

FIG. 3

FIG. 4
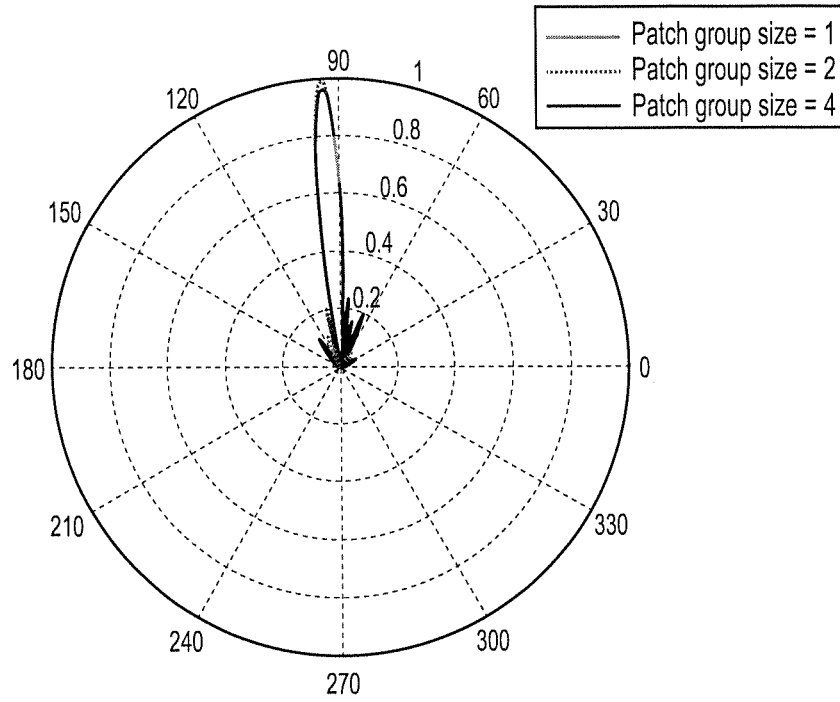
FIG. 5(A)
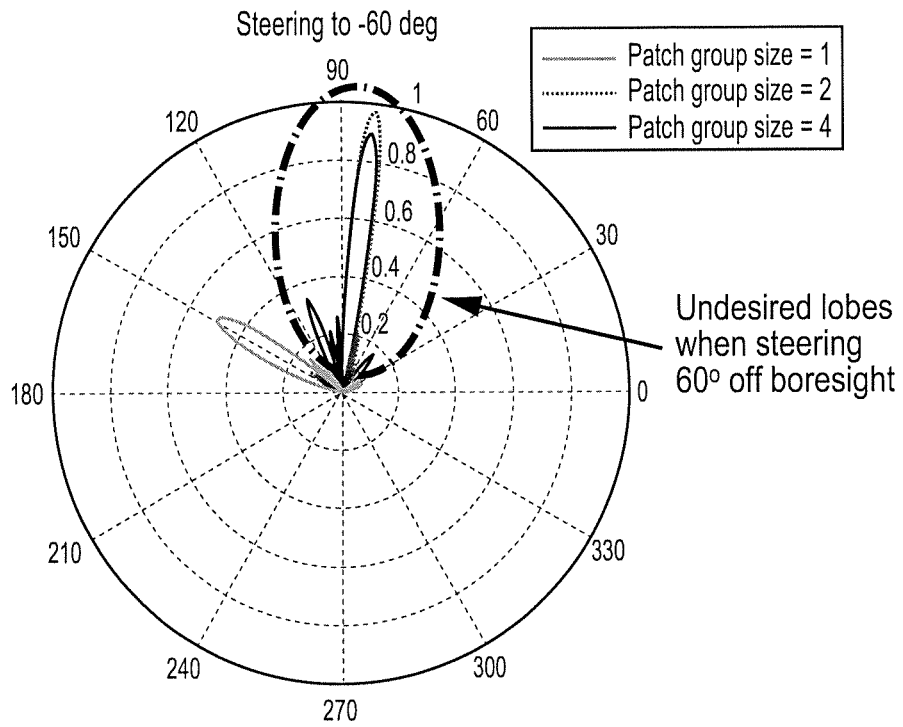
FIG. 5(B)

FIG. 6(A)

FIG. 6(B)

FIG. 7

FIG. 8

901

| Apply a beam steering phase shift |

905

| Apply a non-uniform phase offset in addition to the beam steering phase shift |

910

| Shift a beam angle of the antenna array |

915

| Repeatedly dither a beam pointing direction of the antenna array |

FIG. 9

15

14

Memory

10

12

Processor

18

Transceiver

FIG. 10(A)

70

25

24

Memory

20

22

Processor

28

Transceiver
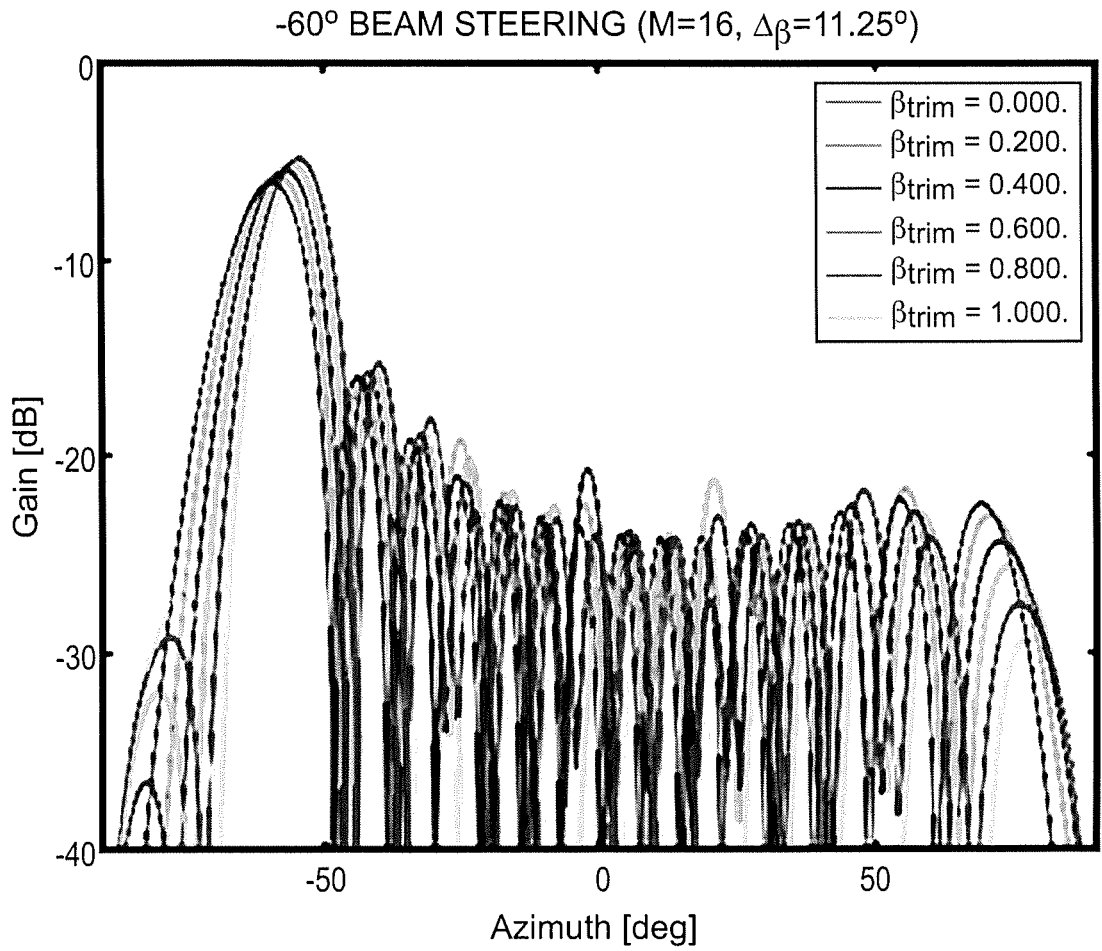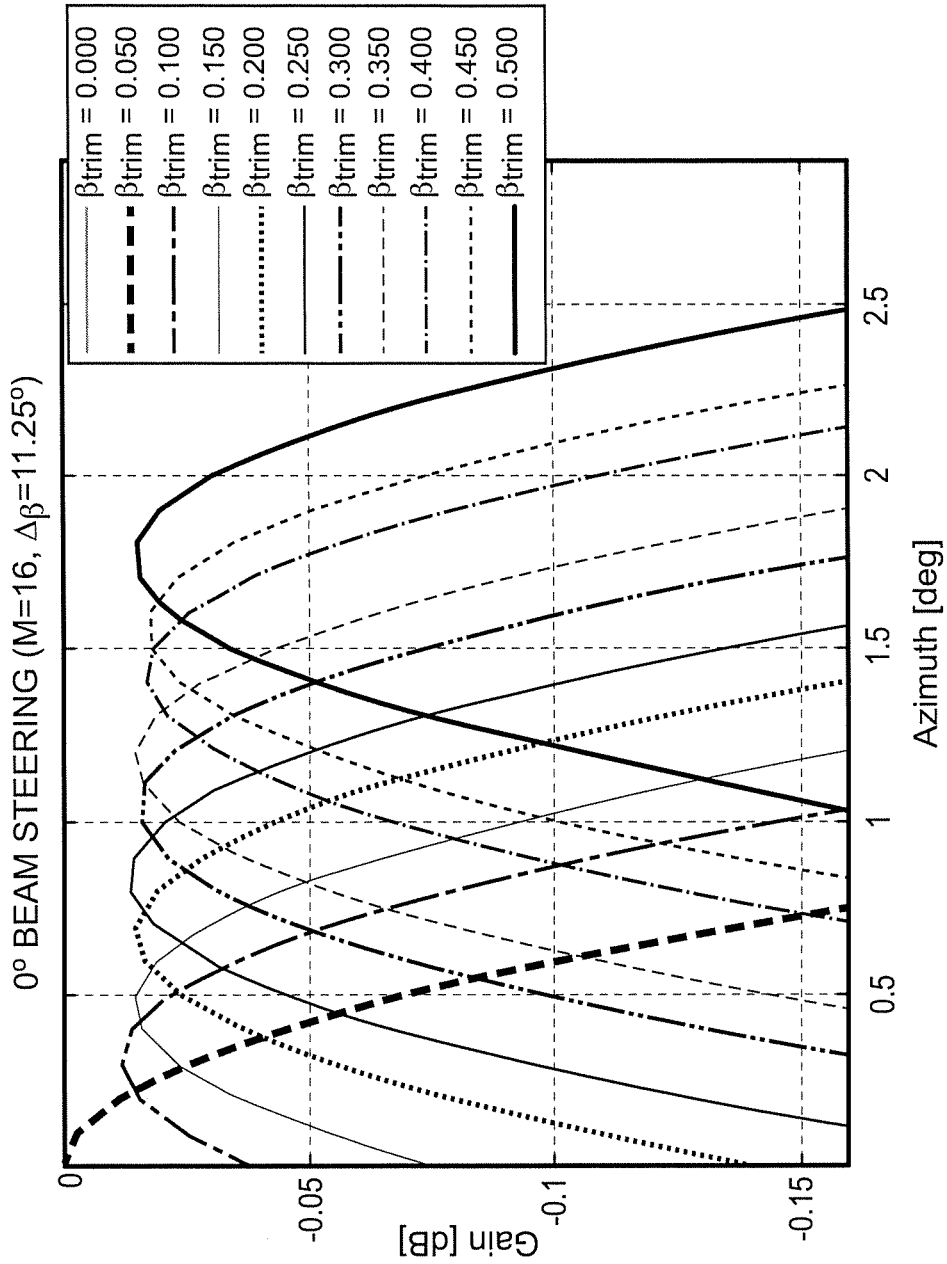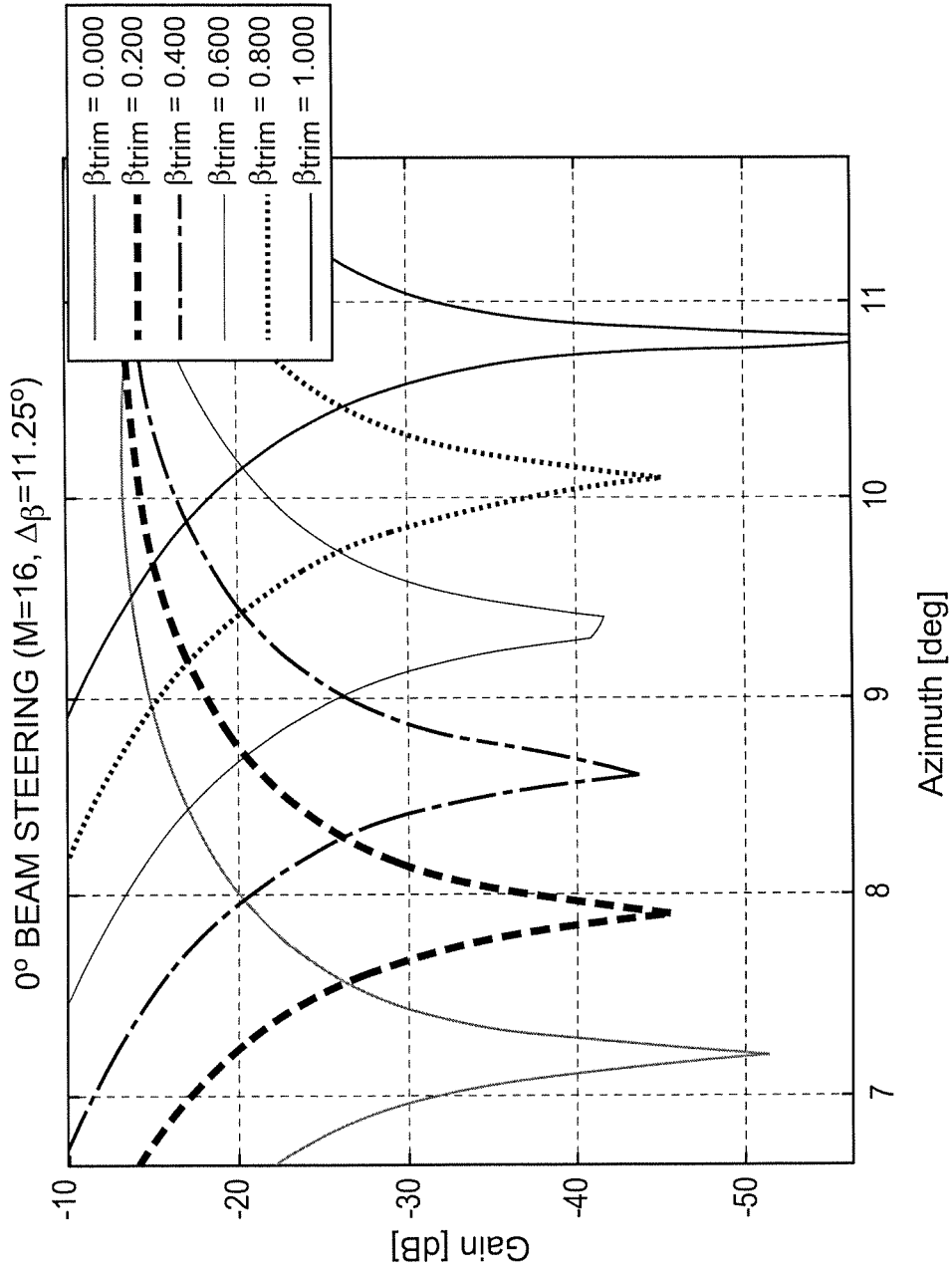
FIG. 10(B)

BEAM STEERING RESOLUTIONS ENHANCEMENT

FIELD

[0001] Some example embodiments may generally relate to mobile or wireless telecommunication systems, such as Long Term Evolution (LTE) or fifth generation (5G) radio access technology or new radio (NR) access technology, or other communications systems. For example, certain embodiments may relate to beam steering resolution enhancement techniques to support beam dithering in high aspect ratio arrays.

BACKGROUND

[0002] Examples of mobile or wireless telecommunication systems may include the Universal Mobile Telecommunications System (UMTS) Terrestrial Radio Access Network (UTRAN), Long Term Evolution (LTE) Evolved UTRAN (E-UTRAN), LTE-Advanced (LTE-A), MulteFire, LTE-A Pro, and/or fifth generation (5G) radio access technology or new radio (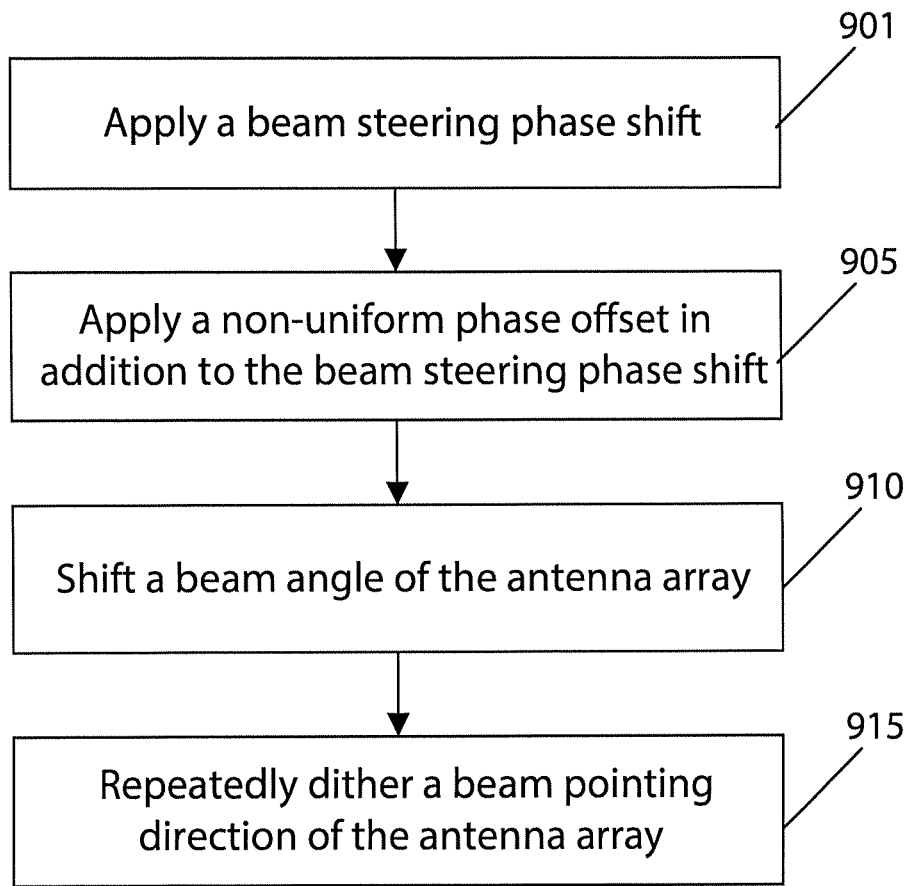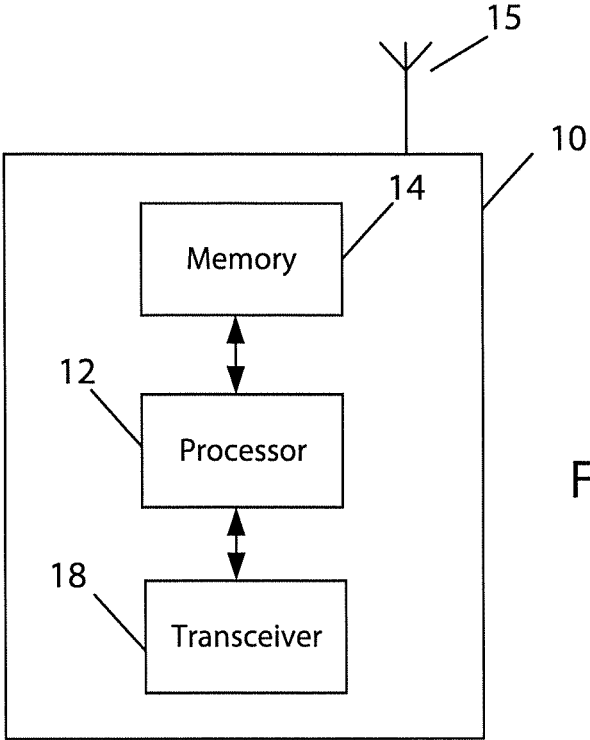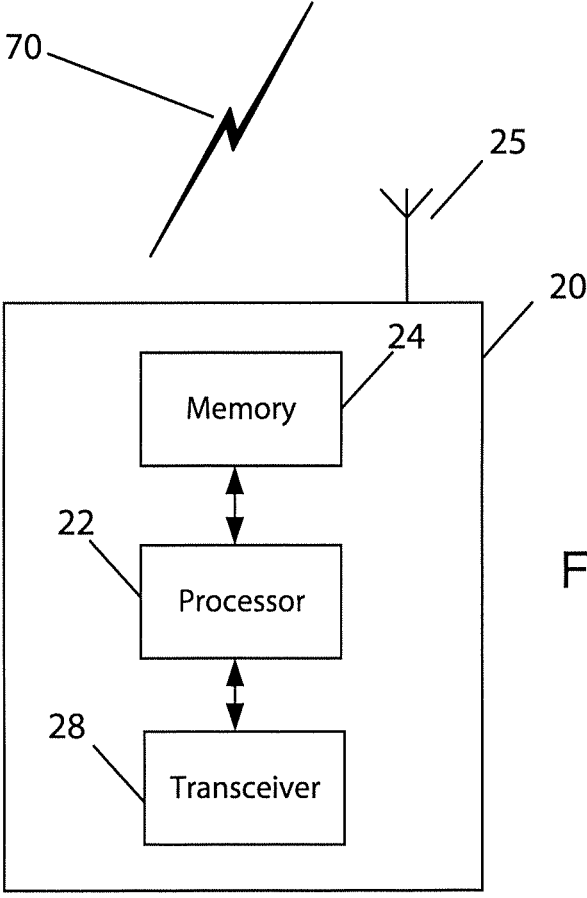NR) access technology. Fifth generation (5G) or new radio (NR) wireless systems refer to the next generation (NG) of radio systems and network architecture. It is estimated that NR will provide bitrates on the order of 10-20 Gbit/s or higher, and will support at least enhanced mobile broadband (eMBB) and ultra-reliable low-latency-communication (URLLC) as well as massive machine type communication (mMTC). NR is expected to deliver extreme broadband and ultra-robust, low latency connectivity and massive networking to support the Internet of Things (IoT). With IoT and machine-to-machine (M2M) communication becoming more widespread, there will be a growing need for networks that meet the needs of lower power, low data rate, and long battery life. It is noted that, in 5G or NR, the nodes that can provide radio access functionality to a user equipment (i.e., similar to Node B in E-UTRAN or eNB in LTE) may be referred to as a next generation or 5G Node B (gNB).

SUMMARY

[0003] One embodiment may be directed to a method which may include applying a beam steering phase shift to a plurality of antenna array elements in an antenna array. The method may also include applying a non-uniform phase offset to each of the antenna array elements in addition to the beam steering phase shift. In addition, the method may include shifting a beam angle of the antenna array based on a combination of the beam steering phase shift and the non-uniform phase offset at each of the antenna array elements.

[0004] Another embodiment may be directed to an apparatus. The apparatus may include at least one processor, and at least one memory including computer program code. The at least one memory and computer program code may be configured, with the at least one processor, to cause the apparatus at least to apply a beam steering phase shift to a plurality of antenna array elements in an antenna array. The at least one memory and computer program code may also be configured, with the at least one processor, to cause the apparatus at least to apply a non-uniform phase offset to each of the antenna array elements in addition to the beam steering phase shift. The at least one memory and computer program code may further be configured, with the at least one processor, to cause the apparatus at least to shift a beam angle of the antenna array based on a combination of the beam steering phase shift and the non-uniform phase offset at each of the antenna array elements.

[0005] Another embodiment may be directed to an apparatus which may include circuitry configured to apply a beam steering phase shift to a plurality of antenna array elements in an antenna array. The apparatus may also include circuitry configured to apply a non-uniform phase offset to each of the antenna array elements in addition to the beam steering phase shift. In addition, the apparatus may include circuitry configured to shift a beam angle of the antenna array based on a combination of the beam steering phase shift and the non-uniform phase offset at each of the antenna array elements.

[0006] Another embodiment may be directed to an apparatus which may include means for applying a beam steering phase shift to a plurality of antenna array elements in an antenna array. The apparatus may also include means for applying a non-uniform phase offset to each of the antenna array elements in addition to the beam steering phase shift. In addition, the apparatus may include means for shifting a beam angle of the antenna array based on a combination of the beam steering phase shift and the non-uniform phase offset at each of the antenna array elements.

[0007] In an example embodiment, the method may also include repeatedly dithering a beam pointing direction of the antenna array by a fraction of a shift that is normally limited by a phase shifter step size. In another example embodiment, the non-uniform phase offset may be a minimum phase increment. According to a further example embodiment, the beam steering phase shift may be an accumulating steering phase shift between successive antenna array elements. According to an example embodiment, the non-uniform phase offset may be different for each antenna array element. In a further example embodiment, the non-uniform phase offset may be an integer multiple of a minimum phase shift. According to another example embodiment, the antenna array may be a linear antenna array.

[0008] Another embodiment may be directed to a non-transitory computer readable medium, which may include program instructions stored thereon for performing the functions of any one or more of the various example embodiments described above.

BRIEF DESCRIPTION OF THE DRAWINGS

[0009] For proper understanding of the invention, reference should be made to the accompanying drawings, wherein:

[0010] FIG. 1 illustrates a rectangular antenna array according to an example embodiment.

[0011] FIG. 2 illustrates a linear antenna array according to an example embodiment.

[0012] FIG. 3 illustrates a linear antenna array with beam trimming according to an example embodiment.

[0013] FIG. 4 illustrates a phase "bias" resulting from a beam trimming algorithm according to an example embodiment.

[0014] FIG. 5(A) illustrates a patch group steering according to an example embodiment.

[0015] FIG. 5(B) illustrates another patch group steering according to an example embodiment.

[0016] FIG. 6(A) illustrates effects of sidelobes as a result of beam steering at 0° according to an example embodiment.

[0017] FIG. 6(B) illustrates effects of sidelobes as a result of beam steering at 60° according to an example embodiment.

[0018] FIG. 7 illustrates results of beam trimming resolution of 0.3° versus 3.5° according to an example embodiment.

[0019] FIG. 8 illustrates that null patterns follow a shift in the main lobe according to an example embodiment.

[0020] FIG. 9 illustrates an example flow diagram of a method according to an example embodiment.

[0021] FIG. 10(A) illustrates a block diagram of an apparatus according to an example embodiment

[0022] FIG. 10(B) illustrates a block diagram of another apparatus according to an example embodiment.

DETAILED DESCRIPTION

[0023] It will be readily understood that the components of certain example embodiments, as generally described and illustrated in the figures herein, may be arranged and designed in a wide variety of different configurations. Thus, the following detailed description of some example embodiments of systems, methods, apparatuses, and computer program products for beam steering, is not intended to limit the scope of certain embodiments but is representative of selected example embodiments.

[0024] The features, structures, or characteristics of example embodiments described throughout this specification may be combined in any suitable manner in one or more example embodiments. For example, the usage of the phrases "certain embodiments," "an example embodiment," "some embodiments," or other similar language, throughout this specification refers to the fact that a particular feature, structure, or characteristic described in connection with an embodiment may be included in at least one embodiment. Thus, appearances of the phrases "in certain embodiments," "an example embodiment," "in some embodiments," "in other embodiments," or other similar language, throughout this specification do not necessarily all refer to the same group of embodiments, and the described features, structures, or characteristics may be combined in any suitable manner in one or more example embodiments.

[0025] Additionally, if desired, the different functions or steps discussed below may be performed in a different order and/or concurrently with each other. Furthermore, if desired, one or more of the described functions or steps may be optional or may be combined. As such, the following description should be considered as merely illustrative of the principles and teachings of certain example embodiments, and not in limitation thereof.

[0026] An antenna array may have various sizes. As one example, an antenna array size may be M=16. According to certain example embodiments, beam forming integrated circuits (ICs) may use a 5-bit phase shifter which leads to a minimum phase step of 11.25°. This minimum phase step·$\Delta\Psi$ determines the minimum increment in the steering angle $\Delta\varphi$ in the vicinity of boresite where S is the spacing of the elements and λ is the operating wavelength:

$$\Delta\varphi \approx -\left(\frac{1}{2\pi}\right) \cdot \left(\frac{\lambda}{s}\right) \cdot \Delta\Psi$$

For the optimum elements spacing S=λ/2 the minimum steering angle increment becomes 3.6°, which is inadequate for certain applications.

[0027] However, there may be certain external and internal indications which favor tall and narrow arrays rather than square-shaped arrays. For instance, while maintaining the same gain as a 16×16 array (256 elements), it may be better to configure an 85×3 array (still 256 elements) because it may significantly improve the overall propagation link budget by up to approximately 8 dB.

[0028] An implication of the above-described antenna array, however, is that when M=85, the needed phase shift step may drop to 2.1°. This phase shift step is much smaller than the 11.25° capability of devices. There have been solutions involving use of either analogue (non-quantized) control or higher resolution phase shifters, or a combination of analogue control and higher resolution phase shifters. However, none seems to allow for more accurate steering control that was not generally (or practically) available. As such, new opportunities for array synthesis have become available.

[0029] To mitigate path loss at high frequencies, high gain antenna arrays may be used. However, one trait of antenna arrays is that the increase in power gain G_p may result in narrower beam width. Conversely, a higher gain may result in narrower beams with the half power beam width (HPBW) being approximately proportional to $G_p^{-1/2}$. The beam width may narrow to the point where fine steering of the very narrow beam becomes a necessity.

[0030] Further, when a (bandwidth)×(users density) index is low such that interference is not a limiting factor, an intuitive approach of maximizing the desired signal may be adopted. For instance, simply maximizing the signal level by steering the beam in an appropriate direction may be one control strategy. Just off boresight, the beam gain may change slowly at first, roughly similar to a cos( ) function. However, the beam gain may then start to decrease, becoming very rapid at the null pattern. Since discrete steering angles may not, in general, match the direction of the target user, the average signal level may be lower than what is achievable. However, according to certain example embodiments, it may be possible to mitigate this handicap because the beam may be steered with much finer angular resolution than the limit dictated by the minimum phase step increment.

[0031] As a comparative example, a 16-element linear array may be provided. The 16-element linear array may use a phase shifter capable of 11.25° phase increments. Such an array may result in steering angle steps in the order of 3.5°. However, considering that the array HPBW is also the same order, the signal power may diminish to about 50% at this beam angle. However, by employing the techniques described herein, the beam may be controlled to within about 0.6°, resulting in a lowest power level of 97% as compared to being down by as much as 50%.

[0032] There may also be deployments where the (bandwidth)×(users density) index is high (typified by urban environments and point of interfaces (POIs)), where interference between cell sites becomes limiting over signal strength, especially in 5G deployments. In these cases, it may be necessary to maximize the signal to interference and noise ratio (SINR). While SINR may improve by steering the beam towards the desired station, the optimum SINR will likely occur when the pattern null is steered towards the

3

interfering station. This strategy may exploit the fact that antenna array nulls are deep, but they are also sharp and therefore require fine steering capability. If achieved, it may be possible to direct a null towards an interferer and suppress it by tens of dB yet only impact the signal by tenths of dB and, thus, improving the overall signal to interference ratio by tens of dB. This method, which may be referred to as zero-forcing, may provide a large gain and significantly improve coverage and throughput.

[0033] Beam steering in an array, such as an antenna array, may generally be achieved by applying a successive phase advance to each element of the array. Alternatively, in the case of array synthesis, a computed phase and amplitude may be applied for each patch in the array. In modern communications equipment, these computations may be done digitally and the signal amplitude and phase control may be digital. More specifically, the control signals may become quantized resulting in some minimum phase and amplitude increment. Thus, regardless of how the beam is formed, it may be that the direction required cannot be achieved because of quantization. That is, one value may be too small and the next available value may be too large.

[0034] Certain example embodiments may provide a solution to at least this problem by providing a small beam steering displacement, one which is less than what the minimum amplitude and/or phase steps would normally allow. As such, certain example embodiments may provide a direction bias.

[0035] An associated antenna array challenge concerns control of a sidelobe level for minimizing or at least bounding the interference level. Synthesis of such arrays may involve determination of the amplitude that each element in the array is driven with. This may become more exacting as the level of suppression is increased. Such a procedure may be referred to as "tapering," and as with phase steering, the amplitudes may also be quantized which may result in less than expected performance. However, according to certain example embodiments, a basic technique may be applied to calculate the element amplitude weights, but restricted to the subset of elements that are incrementally related to each other.

[0036] According to certain example embodiments, an array steering procedure may be provided for an array, such as an antenna array. The array steering procedure may include an accumulating steering phase k·α, which may be applied to each element of the array, where "k" refers to the kth element in the array. This successive phase may result in beam steering of the antenna array. In general, phase shifters (and magnitude scalers) may be digitally controlled and, as such, the smallest value of a that can be applied is quantized. For example, a shifter capable of 360° but controlled with a 5-bit word, may have a step size of 11.25°. If this component was used in a 16-element array (half-wavelength element spacing), the minimum beam steering angle may be in the order of 3.5°. However, if this beam was 3.5° in error from the desired target the signal level may be down by about 50%. Thus, it may be desirable to be able to steer the beam on a finer basis.

[0037] In certain example embodiments, it may be possible to apply a small non-uniform phase offset to each element in the array, and accomplish a small shift of the beam angle. To accommodate the discrete nature of the phase shifter, the offset used may be an integer multiple of the minimum phase shift, and the beam steering achieved

may be equivalent to the average slope of the phase steps. In an example embodiment, this slope may be less than that imposed by the minimum a. Furthermore, the small beam shift may shift the array null and may not have any significant degradation to sidelobes, both on axis and when steered substantially off axis.

[0038] In an example embodiment, arrays may be made up of an arrangement of individual elements driven from a common source with specific amplitudes and phases. While the geometry of the array may be arbitrary, regular geometric structures may lend themselves to closed form solutions, which may be convenient for synthesis. In addition, while certain example embodiments described herein refer to rectangular and linear arrays, other example embodiments may include, for example, a circular array or any other shape array.

[0039] FIG. 1 illustrates a rectangular antenna array 100 according to an example embodiment. The rectangular antenna array 100 may include one or more elements that are placed on a Cartesian plane with the elements spaced on a regular basis. For example, the rectangular antenna array 100 may include one or more array elements 105. As illustrated in FIG. 1, each array element 105 may include a phase shifter 110, a magnitude scaler 115, and a radiating element 125. The radiating element 125 may be a patch as depicted but can be any structure that radiates an electromagnetic signal such as but not limited to, a bow-tie, slot, waveguide or another array of elements. Elements 110 and 115 allow adjustment of the magnitude and phase of the electromagnetic field radiated by each element 105. In so doing, this shapes the radiation pattern of the net field which may be a vector summation of the contributions from each element at some point in space far removed from the structure 100. In addition, the rectangular array 100 may include a radio frequency (RF) source 120 that is common and drives each of the elements 105 in the rectangular antenna array. In certain example embodiments, each of the elements may have a specifically contrived and unique setting of magnitude by way of 115 and phase by way of 110 to create the desired array pattern and beam steering.

[0040] According to certain example embodiments, deliberate selection of the magnitude and phase of the signal feeding each patch may cause a prescribed addition or cancellation of the far field radiated signal known as the antenna array pattern. To simplify the calculation, it may be necessary to first consider one row and calculate its array factor. Then, a column may be selected its array factor may be determined. The product of these two array factors may be that of a 2D array. However, in other example embodiments, this method may be applicable to other types of linear arrays.

[0041] FIG. 2 illustrates a linear antenna array 200 according to an example embodiment. Similar to FIG. 1, the linear antenna array 200 illustrated in FIG. 2 may include one or more array elements 205. As illustrated in FIG. 2, each array element 205 may include a phase shifter 210, magnitude scaler 215, and a radiating element 230. The phase shifter 210, magnitude scaler 215 and radiating element 230 may have the same function as their corresponding elements in FIG. 1. The difference is the addition of the summers 225 which, in aggregate, may create an accumulating phase. Moreover, as illustrated in FIG. 2, a beam steering phase a is applied to each of the summers 225. As illustrated in FIG. 2, the result of this is a cascade of sums of the phase shift

a from each **225** that is applied to each array element resulting in an accumulating phase shift of a between successive array elements. According to an example embodiment, this successive phase shift may result in the actual beam steering in the antenna array. In addition, each patch **205** may be driven by a common RF signal source **220**.

[0042] As previously noted, the digital implementation of current generation phase shifters (and magnitude scalers) quantizes the smallest value of a that can be applied, and therefore the smallest shift in the beam direction. According to certain example embodiments, it may be possible therefore to apply a small non-uniform phase offset to each element in the array.

[0043] FIG. **3** illustrates a linear antenna array with beam trimming according to an example embodiment. In particular, FIG. **3** illustrates the beam steering functionality of FIG. **2** being applied to a linear antenna array **300**. Similar to FIG. **2**, the linear antenna array **300** illustrated in FIG. **3** may include one or more array elements **305** which is referenced by its index k. The first element in the array is k=1 and the last is k=M. Further, each array element **305** may include a corresponding phase shifter **310**, magnitude scaler **315**, and radiating element **335**. The function of these are the same as their counterparts in FIG. **1** and FIG. **2**. As illustrated in FIG. **3**, each array element **305** may be driven by a common RF signal source **320**. In addition, each array element **305** may have a corresponding beam steering phase a applied to the summers **325** applied thereto. Similar to that illustrated in FIG. **2**, the cascade of sums of the phase shift a from each summer **325** may cause an accumulating phase shift of a between successive elements. This successive phase shift may therefore result in the actual beam steering in the antenna array.

[0044] As can be seen from FIGS. **2** and **3**, a difference between these figures is that in FIG. **3**, there is an additional non-uniform phase offset **330** added to each array element **305**. In contrast to the beam steering phase a **325**, the non-uniform phase offset **330** is not allowed to accumulate on a patch-by-patch basis. In an example embodiment, the added non-uniform phase offset **330** may be calculated according to equation (1) as follows:

$$\text{AddedPhase}_k = \Delta_\beta \cdot \Downarrow (\beta_{trim}[k-1]) \tag{1}$$

In equation (1), α represents a beam steering phase, Δ_β represents a minimum phase increment, β_{trim} represents a steering trim parameter $\in [0 \ldots 1]$, and $\Downarrow$ denotes the floor operation. This is one way, but not the only way, to generate a set of phase offsets that increment less slowly than by Δ_β for each element in the array. The parameter that controls the number of array elements that get the same phase offset is β_{trim}, and the effect it has on the phase offset is illustrated in FIG. **4**. It can be thought of as fine tuning the steering angle between the otherwise discrete steering angles dictated by the phase shifter resolution where $\beta_{trim}=0$ is the beam dictated by α, and $\beta_{trim}=1$ is the next possible beam position.

[0045] From equation (1), it can be seen that the number of array elements between each phase step of Δ_β is

$$\frac{1}{\beta_{trim}}.$$

Over the span of the array, the net effect of this is an average phase advance less than Δ_β, meaning that the array steering

resolution has been effectively reduced below the limit that would be set by Δ_β of the phase shifter. In fact,

$$\Delta_{\beta_{effective}} = \frac{\Delta_\beta}{M}$$

which, in the case of the example 16-element array that had a minimum steering increment of 3.5°, it reduces to 0.22° effective.

[0046] FIG. **4** illustrates a phase "bias" resulting from a beam trimming algorithm according to an example embodiment and as described in the preceding paragraph. In particular, it is the additional phase "bias" that results from the operations corresponding to FIG. **3** is a staircase that, when averaged, has different slopes as a function of the β_{trim} parameter. In an example embodiment, this operation may be implemented in the digital domain such as in application-specific integrated circuit (ASIC), field-programmable gate array (FPGA) or digital signal processing (DSP) since it represents manipulating the least significant bits (LSB's) of the phase shifter control, and does not require any complicated or floating point mathematics to be done.

[0047] One prior method that has been used involves treating the array as sub arrays and then steering the "groups" of sub-arrays. While this method works so long as the steering angle is small, the resolution is quite limited and for large steering angles large "grating" sidelobes are generated which limits the usefulness. This is illustrated in FIG. **5**(A) with a small steering angle and then in FIG. **5**(B) with a large steering angle and showing the large grating lobe that can result. Specifically, aside from the increased steering resolution and relative implementation simplicity in a digitally controlled system, with certain example embodiments, it may be possible to avoid any sidelobe generation at all.

[0048] Whereas FIG. **6** illustrates effects of sidelobes as a result of beam steering according to an example embodiment. As illustrated in FIG. **6**, the method according to certain example embodiments has virtually no impact to the sidelobes. That is, certain example embodiments may inhibit the formation of undesired sidelobes that may limit the usefulness of the antenna array whilst obtaining fine control of the steering angle.

[0049] Further, FIG. **7** illustrates results of beam trimming resolution of 0.3° versus 3.5° according to an example embodiment. That is, as illustrated in FIG. **7**, certain example embodiments may allow for very fine or granular directional control of the main beam.

[0050] FIG. **8** illustrates that null patterns follow a shift in the main lobe according to an example embodiment. Specifically, FIG. **8** illustrates null patterns that follow a shift in the main lobe that is nominally at 0° beam steering, meaning $\alpha=0$, but with the β_{trim} parameter employed to finely adjust the beam direction. According to an example embodiment, the angular position of the null may also move with the beam trim. Further, the angular position of the null may be significant to interference suppression techniques such as zero-forcing arrays. In an example embodiment, trimming in a single axis may be shown for clarity. However, in other example embodiments, the method may be extendable to additional dimensions due to the properties of array factor multiplication.

[0051] FIG. **9** illustrates an example flow diagram of a method according to an example embodiment. In certain

example embodiments, the flow diagram of FIG. **9** may be performed by a network node, such as a base station, node B, eNB, gNB, or any other access node, or one or more servers in a cloud configuration. For example, at **901**, the method may include applying a beam steering phase shift to a plurality of antenna array elements in an antenna array. The method may also include, at **905**, applying a non-uniform phase offset to each of the antenna array elements in addition to the beam steering phase shift. In addition, the method may include, at **910**, shifting a beam angle of the antenna array based on a combination of the beam steering phase shift and the non-uniform phase offset at each of the antenna array elements. In addition, the method may include, at **915**, repeatedly dithering a beam pointing direction of the antenna array by a fraction of a shift that is normally limited by a phase shifter step size.

[0052] By the same token, in certain example embodiments, shifting or dithering the beam by some small amount may also be done autonomously by the array control logic to effect, for example, null filling by repeatedly "dithering" the beam pointing direction by a small amount. This is one approach to mitigate null blindness. The small amount, in certain example embodiments, may be a fraction of the shift that is normally limited by the phase shifter step size. For instance, this may be a situation where the β_{trim} parameter falls between 0 and 1.

[0053] In an example embodiment, the non-uniform phase offset may be set to a minimum phase increment. In another example embodiment, the beam steering phase shift may be an accumulating steering phase shift between successive antenna array elements. Further, in another example embodiment, the non-uniform phase offset may be defined by the equation: $\mathrm{AddedPhase}_k = \Delta_\beta \cdot \Downarrow (\beta_{trim}[k-1])$.

[0054] According to another example embodiment, the non-uniform phase offset is different for each antenna array element. Moreover, in an example embodiment, the non-uniform phase offset is an integer multiple of a minimum phase shift Δ_β and is applied in conjunction with α. In addition, according to an example embodiment, the antenna array may be a linear antenna array.

[0055] FIG. **10**(A) illustrates an example of an apparatus **10** according to an example embodiment. In an example embodiment, apparatus **10** may be a node, host, or server in a communications network or serving such a network. For example, apparatus **10** may be a base station, a Node B, an evolved Node B (eNB), 5G Node B or access point, next generation Node B (NG-NB or gNB), WLAN access point, mobility management entity (MME), and/or subscription server associated with a radio access network, such as a GSM network, LTE network, 5G or NR.

[0056] It should be understood that, in some example embodiments, apparatus **10** may be comprised of an edge cloud server as a distributed computing system where the server and the radio node may be stand-alone apparatuses communicating with each other via a radio path or via a wired connection, or they may be located in a same entity communicating via a wired connection. For instance, in certain example embodiments where apparatus **10** represents a gNB, it may be configured in a central unit (CU) and distributed unit (DU) architecture that divides the gNB functionality. In such an architecture, the CU may be a logical node that includes gNB functions such as transfer of user data, mobility control, radio access network sharing, positioning, and/or session management, etc. The CU may

control the operation of DU(s) over a front-haul interface. The DU may be a logical node that includes a subset of the gNB functions, depending on the functional split option. It should be noted that one of ordinary skill in the art would understand that apparatus **10** may include components or features not shown in FIG. **10**(A).

[0057] As illustrated in the example of FIG. **10**(A), apparatus **10** may include a processor **12** for processing information and executing instructions or operations. Processor **12** may be any type of general or specific purpose processor. In fact, processor **12** may include one or more of general-purpose computers, special purpose computers, microprocessors, digital signal processors (DSPs), field-programmable gate arrays (FPGAs), application-specific integrated circuits (ASICs), and processors based on a multi-core processor architecture, as examples. While a single processor **12** is shown in FIG. **10**(A), multiple processors may be utilized according to other embodiments. For example, it should be understood that, in certain embodiments, apparatus **10** may include two or more processors that may form a multiprocessor system (e.g., in this case processor **12** may represent a multiprocessor) that may support multiprocessing. In certain embodiments, the multiprocessor system may be tightly coupled or loosely coupled (e.g., to form a computer cluster).

[0058] Processor **12** may perform functions associated with the operation of apparatus **10**, which may include, for example, precoding of antenna gain/phase parameters, encoding and decoding of individual bits forming a communication message, formatting of information, and overall control of the apparatus **10**, including processes related to management of communication resources.

[0059] Apparatus **10** may further include or be coupled to a memory **14** (internal or external), which may be coupled to processor **12**, for storing information and instructions that may be executed by processor **12**. Memory **14** may be one or more memories and of any type suitable to the local application environment, and may be implemented using any suitable volatile or nonvolatile data storage technology such as a semiconductor-based memory device, a magnetic memory device and system, an optical memory device and system, fixed memory, and/or removable memory. For example, memory **14** can be comprised of any combination of random access memory (RAM), read only memory (ROM), static storage such as a magnetic or optical disk, hard disk drive (HDD), or any other type of non-transitory machine or computer readable media. The instructions stored in memory **14** may include program instructions or computer program code that, when executed by processor **12**, enable the apparatus **10** to perform tasks as described herein.

[0060] In an embodiment, apparatus **10** may further include or be coupled to (internal or external) a drive or port that is configured to accept and read an external computer readable storage medium, such as an optical disc, USB drive, flash drive, or any other storage medium. For example, the external computer readable storage medium may store a computer program or software for execution by processor **12** and/or apparatus **10**.

[0061] In some embodiments, apparatus **10** may also include or be coupled to one or more antennas **15** for transmitting and receiving signals and/or data to and from apparatus **10**. Apparatus **10** may further include or be coupled to a transceiver **18** configured to transmit and

receive information. The transceiver **18** may include, for example, a plurality of radio interfaces that may be coupled to the antenna(s) **15**. The radio interfaces may correspond to a plurality of radio access technologies including one or more of GSM, NB-IoT, LTE, 5G, WLAN, Bluetooth, BT-LE, NFC, radio frequency identifier (RFID), ultrawideband (UWB), MulteFire, and the like. The radio interface may include components, such as filters, converters (for example, digital-to-analog converters and the like), mappers, a Fast Fourier Transform (FFT) module, and the like, to generate symbols for a transmission via one or more downlinks and to receive symbols (for example, via an uplink).

[0062] As such, transceiver **18** may be configured to modulate information on to a carrier waveform for transmission by the antenna(s) **15** and demodulate information received via the antenna(s) **15** for further processing by other elements of apparatus **10**. In other example embodiments, transceiver **18** may be capable of transmitting and receiving signals or data directly. Additionally or alternatively, in some example embodiments, apparatus **10** may include an input and/or output device (I/O device).

[0063] In an embodiment, memory **14** may store software modules that provide functionality when executed by processor **12**. The modules may include, for example, an operating system that provides operating system functionality for apparatus **10**. The memory may also store one or more functional modules, such as an application or program, to provide additional functionality for apparatus **10**. The components of apparatus **10** may be implemented in hardware, or as any suitable combination of hardware and software.

[0064] According to some embodiments, processor **12** and memory **14** may be included in or may form a part of processing circuitry or control circuitry. In addition, in some embodiments, transceiver **18** may be included in or may form a part of transceiving circuitry.

[0065] As used herein, the term "circuitry" may refer to hardware-only circuitry implementations (e.g., analog and/or digital circuitry), combinations of hardware circuits and software, combinations of analog and/or digital hardware circuits with software/firmware, any portions of hardware processor(s) with software (including digital signal processors) that work together to cause an apparatus (e.g., apparatus **10**) to perform various functions (such as those described herein), and/or hardware circuit(s) and/or processor(s), or portions thereof, that use software for operation but where the software may not be present when it is not needed for operation. As a further example, as used herein, the term "circuitry" may also cover an implementation of merely a hardware circuit or processor (or multiple processors), or portion of a hardware circuit or processor, and its accompanying software and/or firmware. The term circuitry may also cover, for example, a baseband integrated circuit in a server, cellular network node or device, or other computing or network device.

[0066] As introduced above, in certain embodiments, apparatus **10** may be a network node or RAN node, such as a base station, access point, Node B, eNB, gNB, WLAN access point, or the like. According to certain embodiments, apparatus **10** may be controlled by memory **14** and processor **12** to perform the functions associated with any of the embodiments described herein, such as those illustrated in FIGS. **1-9**. In some example embodiments, for instance, apparatus **10** may be configured to perform a process for beam steering in an antenna array made up of a plurality of antenna array elements.

[0067] For example, in some example embodiments, apparatus **10** may be controlled by memory **14** and processor **12** to apply a beam steering phase shift to a plurality of antenna array elements in an antenna array. Apparatus **10** may also be controlled by memory **14** and processor **12** to apply a non-uniform phase offset to each of the antenna array elements in addition to the beam steering phase shift. Apparatus **10** may further be controlled by memory **14** and processor **12** to shift a beam angle of the antenna array based on a combination of the beam steering phase shift and the non-uniform phase offset at each of the antenna array elements. In addition, the apparatus **10** may be controlled by memory **14** and processor **12** to repeatedly dither a beam pointing direction of the antenna array by a fraction of a shift that is normally limited by a phase shifter step size.

[0068] FIG. **10**(B) illustrates an example of an apparatus **20** according to another example embodiment. In an example embodiment, apparatus **20** may be a node or element in a communications network or associated with such a network, such as a UE, mobile equipment (ME), mobile station, mobile device, stationary device, IoT device, or other device. As described herein, UE may alternatively be referred to as, for example, a mobile station, mobile equipment, mobile unit, mobile device, user device, subscriber station, wireless terminal, tablet, smart phone, IoT device or NB-IoT device, or the like. As one example, apparatus **20** may be implemented in, for instance, a wireless handheld device, a wireless plug-in accessory, or the like.

[0069] In some example embodiments, apparatus **20** may include one or more processors, one or more computer-readable storage medium (for example, memory, storage, or the like), one or more radio access components (for example, a modem, a transceiver, or the like), and/or a user interface. In some embodiments, apparatus **20** may be configured to operate using one or more radio access technologies, such as GSM, LTE, LTE-A, NR, 5G, WLAN, WiFi, NB-IoT, Bluetooth, NFC, MulteFire, and/or any other radio access technologies. It should be noted that one of ordinary skill in the art would understand that apparatus **20** may include components or features not shown in FIG. **10**(B).

[0070] As illustrated in the example of FIG. **10**(B), apparatus **20** may include or be coupled to a processor **22** for processing information and executing instructions or operations. Processor **22** may be any type of general or specific purpose processor. In fact, processor **22** may include one or more of general-purpose computers, special purpose computers, microprocessors, digital signal processors (DSPs), field-programmable gate arrays (FPGAs), application-specific integrated circuits (ASICs), and processors based on a multi-core processor architecture, as examples. While a single processor **22** is shown in FIG. **10**(B), multiple processors may be utilized according to other embodiments. For example, it should be understood that, in certain embodiments, apparatus **20** may include two or more processors that may form a multiprocessor system (e.g., in this case processor **22** may represent a multiprocessor) that may support multiprocessing. In certain embodiments, the multiprocessor system may be tightly coupled or loosely coupled (e.g., to form a computer cluster).

[0071] Processor **22** may perform functions associated with the operation of apparatus **20** including, as some examples, precoding of antenna gain/phase parameters, encoding and decoding of individual bits forming a communication message, formatting of information, and overall control of the apparatus **20**, including processes related to management of communication resources.

[0072] Apparatus **20** may further include or be coupled to a memory **24** (internal or external), which may be coupled to processor **22**, for storing information and instructions that may be executed by processor **22**. Memory **24** may be one or more memories and of any type suitable to the local application environment, and may be implemented using any suitable volatile or nonvolatile data storage technology such as a semiconductor-based memory device, a magnetic memory device and system, an optical memory device and system, fixed memory, and/or removable memory. For example, memory **24** can be comprised of any combination of random access memory (RAM), read only memory (ROM), static storage such as a magnetic or optical disk, hard disk drive (HDD), or any other type of non-transitory machine or computer readable media. The instructions stored in memory **24** may include program instructions or computer program code that, when executed by processor **22**, enable the apparatus **20** to perform tasks as described herein.

[0073] In an embodiment, apparatus **20** may further include or be coupled to (internal or external) a drive or port that is configured to accept and read an external computer readable storage medium, such as an optical disc, USB drive, flash drive, or any other storage medium. For example, the external computer readable storage medium may store a computer program or software for execution by processor **22** and/or apparatus **20**.

[0074] In some embodiments, apparatus **20** may also include or be coupled to one or more antennas **25** for receiving a downlink signal and for transmitting via an uplink from apparatus **20**. Apparatus **20** may further include a transceiver **28** configured to transmit and receive information. The transceiver **28** may also include a radio interface (e.g., a modem) coupled to the antenna **25**. The radio interface may correspond to a plurality of radio access technologies including one or more of GSM, LTE, LTE-A, 5G, NR, WLAN, NB-IoT, Bluetooth, BT-LE, NFC, RFID, UWB, and the like. The radio interface may include other components, such as filters, converters (for example, digital-to-analog converters and the like), symbol demappers, signal shaping components, an Inverse Fast Fourier Transform (IFFT) module, and the like, to process symbols, such as OFDMA symbols, carried by a downlink or an uplink.

[0075] For instance, transceiver **28** may be configured to modulate information on to a carrier waveform for transmission by the antenna(s) **25** and demodulate information received via the antenna(s) **25** for further processing by other elements of apparatus **20**. In other example embodiments, transceiver **28** may be capable of transmitting and receiving signals or data directly. Additionally or alternatively, in some example embodiments, apparatus **10** may include an input and/or output device (I/O device). In certain example embodiments, apparatus **20** may further include a user interface, such as a graphical user interface or touch-screen.

[0076] In an embodiment, memory **24** stores software modules that provide functionality when executed by pro-

cessor **22**. The modules may include, for example, an operating system that provides operating system functionality for apparatus **20**. The memory may also store one or more functional modules, such as an application or program, to provide additional functionality for apparatus **20**. The components of apparatus **20** may be implemented in hardware, or as any suitable combination of hardware and software. According to an example embodiment, apparatus **20** may optionally be configured to communicate with apparatus **10** via a wireless or wired communications link **70** according to any radio access technology, such as NR.

[0077] According to some embodiments, processor **22** and memory **24** may be included in or may form a part of processing circuitry or control circuitry. In addition, in some embodiments, transceiver **28** may be included in or may form a part of transceiving circuitry.

[0078] As discussed above, according to some embodiments, apparatus **20** may be a UE, mobile device, mobile station, ME, IoT device and/or NB-IoT device, for example. According to certain embodiments, apparatus **20** may be controlled by memory **24** and processor **22** to perform the functions associated with example embodiments described herein.

[0079] The above embodiments may provide for significant improvements to beam steering accuracy needed by high aspect ratio antenna arrays or large arrays with very narrow beams, in addition to supporting beam dithering. For example, the above example embodiments may be applied to calculation of the element amplitude weights in an antenna array, and be restricted to the subset of elements that are incrementally related to each other. Other example embodiments may provide the ability to steer an array, such as an antenna array, with finer resolutions than the phase shifts allow to support beam dithering in arrays that have a high aspect ratio.

[0080] Additional example embodiments may be able to mitigate problems with matching the direction fo the target user by steering the beam with much finer angular resolution than the limit dictated by the minimum phase step increment. Moreover, it may be possible to improve coverage and throughput, and control the beam to achieve a lowest power level as compared to conventional techniques. Further, certain example embodiments may allow for effective steering resolution to be much smaller than that of the phase shifter step size, and allow for more accurate steering control that have not been available in conventional techniques. In addition, according to certain example embodiments, it may be possible to provide a steering resolution that can be controlled so that there is no impact to sidelobes.

[0081] In some example embodiments, the functionality of any of the methods, processes, signaling diagrams, algorithms or flow charts described herein may be implemented by software and/or computer program code or portions of code stored in memory or other computer readable or tangible media, and executed by a processor.

[0082] In some example embodiments, an apparatus may be included or be associated with at least one software application, module, unit or entity configured as arithmetic operation(s), or as a program or portions of it (including an added or updated software routine), executed by at least one operation processor. Programs, also called program products or computer programs, including software routines, applets

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and macros, may be stored in any apparatus-readable data storage medium and include program instructions to perform particular tasks.

[0083] A computer program product may comprise one or more computer-executable components which, when the program is run, are configured to carry out some example embodiments. The one or more computer-executable components may be at least one software code or portions of it. Modifications and configurations required for implementing functionality of an example embodiment may be performed as routine(s), which may be implemented as added or updated software routine(s). Software routine(s) may be downloaded into the apparatus.

[0084] As an example, software or a computer program code or portions of it may be in a source code form, object code form, or in some intermediate form, and it may be stored in some sort of carrier, distribution medium, or computer readable medium, which may be any entity or device capable of carrying the program. Such carriers may include a record medium, computer memory, read-only memory, photoelectrical and/or electrical carrier signal, telecommunications signal, and software distribution package, for example. Depending on the processing power needed, the computer program may be executed in a single electronic digital computer or it may be distributed amongst a number of computers. The computer readable medium or computer readable storage medium may be a non-transitory medium.

[0085] In other example embodiments, the functionality may be performed by hardware or circuitry included in an apparatus (e.g., apparatus 10 or apparatus 20), for example through the use of an application specific integrated circuit (ASIC), a programmable gate array (PGA), a field programmable gate array (FPGA), or any other combination of hardware and software. In yet another example embodiment, the functionality may be implemented as a signal, a non-tangible means that can be carried by an electromagnetic signal downloaded from the Internet or other network.

[0086] According to an example embodiment, an apparatus, such as a node, device, or a corresponding component, may be configured as circuitry, a computer or a microprocessor, such as single-chip computer element, or as a chipset, including at least a memory for providing storage capacity used for arithmetic operation and an operation processor for executing the arithmetic operation.

[0087] One having ordinary skill in the art will readily understand that the invention as discussed above may be practiced with steps in a different order, and/or with hardware elements in configurations which are different than those which are disclosed. Therefore, although the invention has been described based upon these preferred embodiments, it would be apparent to those of skill in the art that certain modifications, variations, and alternative constructions would be apparent, while remaining within the spirit and scope of the invention. Although the above embodiments refer to 5G NR and LTE technology, the above embodiments may also apply to any other present or future 3GPP technology, such as LTE-advanced, and/or fourth generation (4G) technology.

Partial Glossary	
dB	Decibels
G_p	Power Gain

-continued

Partial Glossary	
eNB	Enhanced Node B (LTE base station)
gNB	5G or NR Base Station
HPBW	Half Power Beam Width
LSB's	Least Significant Bits
LTE	Long Term Evolution
MIMO	Multiple Input Multiple Output
NR	New Radio
NR-U	New Radio Unlicensed
RF	Radio Frequency
UE	User Equipment

1. A method, comprising:

applying a beam steering phase shift to a plurality of antenna array elements in an antenna array;

applying a non-uniform phase offset to each of the antenna array elements in addition to the beam steering phase shift; and

shifting a beam angle of the antenna array based on a combination of the beam steering phase shift and the non-uniform phase offset at each of the antenna array elements,

wherein the non-uniform phase offset is a minimum phase increment.

2. The method according to claim 1, further comprising repeatedly dithering a beam pointing direction of the antenna array by a fraction of a shift that is normally limited by a phase shifter step size.

3. The method according to claim 1, wherein the beam steering phase shift is an accumulating steering phase shift between successive antenna array elements.

4. The method according to claim 1, wherein the non-uniform phase offset is different for each antenna array element.

5. The method according to claim 1, wherein the non-uniform phase offset is an integer multiple of a minimum phase shift.

6. The method according to claim 1, wherein the antenna array is one of a linear antenna array, a rectangular antenna array, or a circular antenna array.

7. The method according to claim 1,

wherein each of the antenna array elements comprises a magnitude scaler, a phase shifter, and a radiating element, and

wherein the antenna array comprises a radio frequency source that is common and drives each of the antenna array elements.

8. An apparatus, comprising:

at least one processor; and

at least one memory comprising computer program code,

the at least one memory and computer program code configured, with the at least one processor to cause the apparatus at least to

apply a beam steering phase shift to a plurality of antenna array elements in an antenna array;

apply a non-uniform phase offset to each of the antenna array elements in addition to the beam steering phase shift; and

shift a beam angle of the antenna array based on a combination of the beam steering phase shift and the non-uniform phase offset at each of the antenna array elements, wherein the non-uniform phase offset is a minimum phase increment.

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9. The apparatus according to claim **8**, wherein the at least one memory and computer program code are further configured, with the at least one processor, to cause the apparatus at least to repeatedly dither a beam pointing direction of the antenna array by a fraction of a shift that is normally limited by a phase shifter step size.

10. The apparatus according to claim **8**, wherein the beam steering phase shift is an accumulating steering phase shift between successive antenna array elements.

11. The apparatus according to claim **8**, wherein the non-uniform phase offset is different for each antenna array element.

12. The apparatus according to claim **8**, wherein the non-uniform phase offset is an integer multiple of a minimum phase shift.

13. The apparatus according to claim **8**, wherein the antenna array is one of a linear antenna array, a rectangular antenna array, or a circular antenna array.

14. The apparatus according to claim **8**,

wherein each of the antenna array elements comprises a magnitude scaler, a phase shifter, and a radiating element, and

wherein the antenna array comprises a radio frequency source that is common and drives each of the antenna array elements.

15. An apparatus, comprising:

circuitry configured to apply a beam steering phase shift to a plurality of antenna array elements in an antenna array;

circuitry configured to apply a non-uniform phase offset to each of the antenna array elements in addition to the beam steering phase shift; and

circuitry configured to shift a beam angle of the antenna array based on a combination of the beam steering phase shift and the non-uniform phase offset at each of the antenna array elements,

wherein the non-uniform phase offset is a minimum phase increment.

16. (canceled)

17. A non-transitory computer readable medium comprising program instructions stored thereon, wherein when executed on a processor, the program instructions cause the processor to perform the method according to claim **1**.

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