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#### (54) CATALYTIC TRANSESTERIFICATION OF ESTER COMPOUNDS WITH GROUPS **REACTIVE UNDER TRANSESTERIFICATION** CONDITIONS

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#### (57)ABSTRACT

Disclosed are methods for the catalytic transesterification of compounds having one or more ester groups and groups reactive under transesterification conditions, such as 1,1-disubstituted alkene compounds, with alcohols or esters and novel compositions prepared therefrom. Further disclosed are novel compounds and compositions prepared as a result of the methods.

#### CATALYTIC TRANSESTERIFICATION OF ESTER COMPOUNDS WITH GROUPS REACTIVE UNDER TRANSESTERIFICATION CONDITIONS

#### FIELD

**[0001]** Disclosed are methods for the catalytic transesterification of compounds having one or more ester groups and groups reactive under transesterification conditions, such as 1,1-disubstituted alkene compounds, with alcohols or esters and novel compositions prepared therefrom. Further disclosed are novel compounds and compositions prepared as a result of the methods.

#### BACKGROUND

[0002] Transesterification is a process whereby an ester group's functionality is modified by exchanging groups with a suitable reagent (such as an alcohol). This process is wellknown as disclosed in March, Advanced Organic Chemistry, 2d Ed, section 0-25 pp 365-7, 1977 McGraw Hill, New York, New York; and Morrison and Boyd, Organic Chemistry, 4th Ed, pp 831 and 836-8, 1983 Allyn Bacon, Inc. Boston, Mass. The process may often require high temperatures, such as 150° C. or above, and a relatively high amount of the catalyst (s). Many transesterification reactions are base catalyzed, which is accomplished by assisting in the removal a proton from the reagent (such as an alcohol) making it more nucleophilic to undergo transesterification. Transesterification is easily identified as an equilibrium process benefiting from the removal of byproducts to achieve higher yields of the desired product(s). These exemplary characteristics of the process can often limit its commercial utilization-especially in relation to the various reactants and/or reagents utilized where the stability of the reaction can be compromised.

[0003] Transesterification of highly reactive unsaturated esters, such as 1,1-disubstituted alkene compounds which have one or more ester groups as substituents, can be problematic under some well-known transesterification conditions. 1,1-Disubstituted alkene compounds readily undergo anionic polymerization in the presence of weak bases and nucleophiles under ambient conditions, as well as free radical initiated polymerization and auto-polymerization upon exposure to elevated temperatures for prolonged periods of time. The transesterification of these types of reactive species precludes employing base catalyzed transesterification and the use of basic and/or nucleophilic catalysts. Malofsky et al. WO 2013/059473, incorporated herein by reference in its entirety for all purposes, discloses the preparation of multifunctional methylene malonates by multiple synthetic schemes. One disclosed process involves reacting a methylene malonate with a polyol in the presence of a catalyst to prepare compounds wherein one of the ester groups on the methylene malonates undergoes transesterification to react with the polyol and form multifunctional compounds (multifunctional meaning the presence of more than one methylene malonate core unit).

**[0004]** The compounds formed via transesterification have two or more methylene malonate moieties bonded to the oxygen atoms on the polyol. Additionally, the use of enzyme catalysis is disclosed. Enzymatic catalysts work well but can be expensive and recyclability attempts often result in drastically deficient or no observable catalytic activity for these transesterification reactions. The use of expensive catalysts and lack of recyclability can restrict scale-up opportunities concerning these monomers. The alternative process for preparing various ester and diester 1,1-disubstituted alkene compounds is to first form the precursor 1,1-diester alkylate compounds (i.e., the non-reactive or anionically polymerizable compounds). This is a multistep process and complex separation processes may be required depending on the monomers synthesized (see Malofsky et al., U.S. Pat. Nos. 8,609,885 and 8,884,051). Additionally, each 1,1-disubstituted alkene compound requires different catalytic cracking and product separation conditions. This suggests that multiple reactors and isolated separation hardware modules are required for each individual compound. Ultimately, under these described processing conditions, the capital and change over costs for manufacturing different 1,1-disubstituted alkene compounds in viable commercial quantities would be quite high.

[0005] Ester containing compounds that contain reactive functional groups (such as 1,1-disubstituted alkenes) can undergo undesired side reactions under typical transesterification reaction conditions. Exemplary side reactions include polymerization through the reactive alkene functionality via free radical and/or anionic mechanisms, Michael addition of reagent alcohol to the alkene functional group, Michael addition of the reaction byproduct alcohol with the alkene functionality, and the like. Exemplary classes of compounds that can undergo such side reactions include 1,1-disubstituted alkenes (such as methylene malonates) wherein the substituents are electron withdrawing, cyanoacrylates, acrylates, methacrylates, and the like. The possibility of such side reactions can limit the use of transesterification for such reactive compounds. Furthermore, the implementation of base catalysts for transesterification of such reactive systems is not possible, as the nucleophilic nature of the catalyst would anionically initiate polymerization-a competing reaction to transesterification if that were to occur.

**[0006]** Thus, a method for transesterifying ester containing reactive compounds (including 1,1-disubstituted alkene compounds) is needed which utilizes a cost efficient and compatible catalyst system. Additionally, a process that efficiently transesterifies compounds that may alternatively undergo undesired side reactions via typical transesterification conditions is needed. Also needed is an improved, more defined synthetic or manufacturing process for preparing multiple 1,1-disubstituted alkene compounds with varied ester substituent groups or monomer functionality.

#### SUMMARY

[0007] Disclosed are methods comprising: contacting a first ester compound having one or more ester groups with hydrocarbyl moieties as part of each of the ester groups and a functional group which may undergo undesired side reactions under transesterification reaction conditions, with one or more alcohols having a hydrocarbon backbone and one or more hydroxyl groups or one or more second ester compounds having one or more ester groups with hydrocarbyl moieties different from the hydrocarbyl moieties on the first ester compounds, in the presence of one or more acids having a pKa in a polar aprotic solvent (i.e., acetonitrile) of about -5to about 14 or esters of the acid under conditions that at least one of the hydrocarbyl moieties on the first ester compound is replaced by the alcohol hydrocarbon backbone or hydrocarbyl moieties from the second ester compounds. The hydrocarbon moieties removed from the first ester compound forms a second alcohol or a third ester compound wherein the second alcohol or third ester compound formed is a byproduct of the reaction and is removed. In some embodiments, the alcohols comprise a mixture of alcohols or the second ester compounds comprise a mixture of ester compounds. In some embodiments, the mixture of alcohols comprise at least one alcohol having one hydroxyl group and at least one alcohol having more than one hydroxyl group or the mixture of second ester compounds comprise at least one ester compound having one ester group and at least one ester compound having more than one ester group. In the embodiment wherein the second ester compound has two or more ester groups a hydrocarbon backbone may bond to the two or more ester groups through oxygen atoms. The acid or the ester of an acid is present in a sufficient amount to catalyze the replacement of one or more hydrocarbon moieties of the first ester compound with the hydrocarbon backbone of the one or more alcohols or a hydrocarbyl moiety from the one or more second compounds having one or more ester groups. In some embodiments, the one or more second compounds having one or more ester groups is an acetate ester or a formate ester.

**[0008]** The first ester compound may be a compound having another functional group which may react to form undesired byproducts under transesterification reaction conditions. The first ester compound may contain an unsaturated group. In some embodiments the first ester compounds is an  $\alpha$ ,  $\beta$ -unsaturated monoester, a,  $\beta$ -unsaturated 1,1-diester or  $\alpha$ ,  $\beta$ -unsaturated-1-cyano-1-ester. In some embodiments the first ester compound which is located sufficiently near to an electron withdrawing group such that the unsaturated group exhibits enhanced reactivity, for instance will react with other compounds or polymerize more easily.

[0009] Disclosed are methods comprising: contacting a 1,1-disubstituted alkene compound with one or more alcohols having a hydrocarbon backbone and one or more hydroxyl groups or one or more second ester compounds with hydrocarbyl moieties different from the hydrocarbyl moieties on the 1,1-disubstituted alkene compounds, in the presence of one or more acids having a pKa in a polar aprotic solvent of about -5 to about 14 (for example superacids or esters of the acids) under conditions that one or both of the hydrocarbyl moieties on the first ester compound are replaced by the alcohol hydrocarbon backbone or a hydrocarbyl moieties from the one or more second ester compounds. The method allows for cost efficient preparation of: a wide variety of 1,1-disubstituted alkene compounds including diesters with different ester substituent groups (often referred to as asymmetric diesters), compounds with two or more core units of 1,1-disubstituted alkene compounds (often referred to as multifunctional compounds and mixtures of 1,1-disubstituted alkene compounds), and one or more multifunctional 1,1disubstituted alkene compounds. The process of the invention allows for the synthesis of such mixtures to occur in one reactor vessel. In some embodiments the mixtures can be formed simultaneously. The acid or ester thereof, such as the super acid or ester thereof, is present in a sufficient amount to catalyze the replacement of one or more hydrocarbon moieties on the first ester compounds (such as 1,1-disubstituted alkene compounds). In some embodiments, the acid or ester thereof, super acid or ester thereof, may be present in an amount of about 0.1 molar equivalents or less, and in some embodiments about 0.01 molar equivalents or less based on the equivalents of the first ester compounds present (such as 1,1-disubstituted alkene compounds). In some embodiments it is desirable to remove the second alcohol or third ester compound byproduct formed from the leaving hydrocarbon moiety during the process.

**[0010]** Disclosed is a method as described previously, wherein excess molar equivalents of 1,1-disubstituted alkene compounds are contacted with one or more alcohols having two or more hydroxyl groups or second ester group containing compounds having two or more ester groups, to form compounds comprising two or more 1,1-disubstituted alkene compounds bonded directly to the alcohol hydrocarbon backbone or a hydrocarbon backbone disposed between two of the ester groups and bonded to the oxygen atom of the hydrocarbon backbone.

**[0011]** The method disclosed provides a cost effective method of replacing one or more of the hydrocarbon moieties on the ester groups of compounds such as 1,1-disubstituted alkene compounds and the preparation of multifunctional 1,1-disubstituted alkene compounds. The method facilitates the utilization of a processing plant which manufactures core 1,1-disubstituted alkene compounds in bulk and then facilitates subsequent transesterification of the core 1,1-disubstituted alkene compounds with varied ester or diester substituents. The method allows for the manufacture of such mixtures in the same reactor and under certain conditions simultaneously.

#### DETAILED DESCRIPTION

**[0012]** The explanations and illustrations presented herein are intended to acquaint others skilled in the art with the invention, its principles, and its practical application. The specific embodiments of the present invention as set forth are not intended to be exhaustive or limiting of the invention. The scope of the invention should be determined with reference to the appended claims, along with the full scope of equivalents to which such claims are entitled. The disclosures of all articles and references, including patent applications and publications, are incorporated by reference for all purposes. Other combinations are also possible as will be gleaned from the following claims, which are also hereby incorporated by reference into this written description.

**[0013]** Unless defined otherwise, all technical and scientific terms used herein have the meaning commonly understood by a person skilled in the art to which this disclosure belongs. The following references provide one of skill with a general definition of many of the terms used in this disclosure: Singleton et al., Dictionary of Microbiology and Molecular Biology (2nd ed. 1994); The Cambridge Dictionary of Science and Technology (Walker ed., 1988); The Glossary of Genetics, 5th Ed., R. Rieger et al. (eds.), Springer Verlag (1991); and Hale & Marham, The Harper Collins Dictionary of Biology (1991). As used herein, the following terms have the meanings ascribed to them below, unless specified otherwise.

**[0014]** Disclosed is a process for transesterifying a compound having one or more ester groups and a functional group which may undergo undesired side reactions under typical transesterification reaction conditions to replace the hydrocarbyl moiety on the ester group of the compound with a different hydrocarbyl moiety. The transesterification is performed by contacting the first ester compound with one or more alcohols or one or more second ester compounds wherein the hydrocarbon backbones of the one or more alcohol compounds or one or more of the hydrocarbyl moieties of the second ester compounds replace the one or more of the hydrocarbyl moieties on one or more ester groups of the first ester compounds. The process can be performed at comparatively mild temperatures, such as 130° C. or less, with the aid of a catalyst at comparatively lower reaction loadings. Generally this process is illustrated by Equation 1 where an alcohol reagent is used to illustrate the transesterification reaction:

$$\begin{bmatrix} O \\ R \\ \hline \\ OR_1 \end{bmatrix}_a + R_2 \longrightarrow OH \xrightarrow{\text{cat}} \begin{bmatrix} O \\ R_1 O \\ \hline \\ B_1 O \\ \hline \\ B_2 \\ \hline \\ B_1 O \\ \hline \\ B_2 \\ \hline \\ CR_2 \\ \hline CR_2 \\ \hline \\ CR_2 \\ \hline CR_2 \\ \hline CR_2 \\ \hline \\ CR_2 \\ \hline CR_2$$

wherein R is, separately in each occurrence, a hydrocarbyl group substituted with a functional group which may undergo undesired side reactions under transesterification reaction conditions.  $R_1$  and  $R_2$  are, separately in each occurrence, hydrocarbyl groups. a is an integer of 1 or more and b is an integer of 0 or more, wherein b is equal to or less than a. This process is generally illustrated by Equation 2 where a second ester compound reagent is used to perform the transesterification:

$$\begin{bmatrix} O \\ R \end{bmatrix}_{a} + \begin{bmatrix} O \\ R_{3} \end{bmatrix}_{b} \begin{bmatrix} O \\ R_{1}O \end{bmatrix}_{b} \begin{bmatrix} O \\ R_{2} \end{bmatrix}_{a,b} + \begin{bmatrix} O \\ R_{3} \end{bmatrix}_{a,b} + \begin{bmatrix} O \\ R_{3} \end{bmatrix}_{cab} + \begin{bmatrix} O \\ R_{3$$

wherein R,  $R_1$ ,  $R_2$ , a, and b are as described hereinbefore and  $R_3$  is a hydrocarbyl group. The product produced is based on the starting reactants, conditions, and ratios of reactants.

**[0015]** Ester compounds refer to any compound containing one or more ester groups. The first ester compounds, which can be subject to ester group exchange or transesterification, are any compounds with one or more ester groups wherein hydrocarbyl moieties on the ester groups can leave and be replaced with other hydrocarbyl moieties and which contain a functional group which may undergo undesired side reactions under transesterification reaction conditions. Preferably the first ester compounds correspond to the formula:

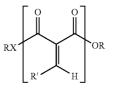


wherein R,  $R_1$ , and a are previously defined. As used herein, the first ester compound is an ester of the 1,1-disubstituted alkene compound which is subjected to the transesterification

process and which is then altered by the process to form a desired product which can further be used as modified or can be used to synthesize other compounds or compositions. As used herein the second ester compound refers to a reagent ester that is present to provide a replacement hydrocarbyl moiety for the hydrocarbyl moiety leaving the first ester compound. In essence the first and second ester compounds after reaction will have different hydrocarbyl moieties on the ester groups. Third ester compound is a byproduct formed from the second ester compound and a hydrocarbyl moiety leaving the first ester compound.

**[0016]** 1,1-Disubstituted alkene compounds refer to compounds having one or more ester containing, electron withdrawing substituents including 1,1-diester alkenes, 1,1-disubstituted-1-alkenes, 1,1-diestersubstituted-1-alkenes, 1,1diestersubstituted-1-alkylenes, methylene malonates, and methylene  $\beta$ -ketoesters. 1,1-Disubstituted alkene compounds refer to compounds having a carbon with a double bond attached thereto and which is further bonded to at least one carbonyl carbon atom of an ester as shown in Formula 1:

Formula 1



wherein R' is preferably hydrogen (illustrating the reactive alkene functionality) and X is another oxygen of an ester group (providing two substituent ester groups) or direct bond (such as a methylene  $\beta$ -ketoester). In some embodiments, R' may correspond to an alkyl or alkylene group thereby potentially rearranging the reactive center of the compound (as illustrated in Formula 1); nevertheless, these alternative compounds are herein referred to as 1,1-disubstituted alkene compounds. The 1,1-disubstituted alkene compounds can be prepared as disclosed in Malofsky et al., U.S. Pat. Nos. 8,609,885 and 8,884,051; and Malofsky et al. WO 2013/059473. The methylene beta-keto esters can be prepared as disclosed in Malofsky et al. US Publication 2014/0288230 incorporated herein by reference in its entirety for all purposes.

[0017] The term "monofunctional" refers to the first ester compounds (such as 1,1-disubstituted alkene compounds) having only one core unit. The core unit is represented within the brackets in Formula 1 depicting the associated reactive alkene functionality. The term "difunctional" refers to the first ester compounds or the desired ester product of the reaction, (such as 1,1-disubstituted alkenes compounds) having two core formulas (such as reactive alkene functionality) bound through a hydrocarbylene linkage between one oxygen atom on each of two core formulas. The term "multifunctional" refers to the first ester compounds or the desired ester product of the reaction (such as 1,1-disubstituted alkene compounds) having more than one core unit (such as reactive alkene functionality) which forms a chain through a hydrocarbylene linkage between one oxygen atom on each of two adjacent core formulas.

**[0018]** Acid catalyst, as used herein, is an acidic species that catalyzes the transesterification reaction while minimizing or not contributing to side reactions. The term alcohol hydrocarbon backbone refers to a backbone having carbon

and hydrogen atoms, and may contain other heteroatoms, to which the hydroxyl of an alcohol is bonded. The term second ester hydrocarbon backbone refers to a backbone having carbon and hydrogen atoms, and may contain other heteroatoms, to which an ester compound is bonded (such as an acetate or a formate).

[0019] One or more as used herein means that at least one, or more than one, of the recited components may be used as disclosed. Nominal as used with respect to functionality refers to the theoretical functionality-generally this can be calculated from the stoichiometry of the ingredients used. Heteroatom refer to atoms that are not carbon or hydrogen such as nitrogen, oxygen, sulfur, and phosphorus; more preferred heteroatoms include nitrogen and oxygen. Hydrocarbyl, as used herein, refers to a group containing one or more carbon atom backbones and hydrogen atoms, which may optionally contain one or more heteroatoms. Where the hydrocarbyl group contains heteroatoms, the heteroatoms may form one or more functional groups well-known to one skilled in the art. Hydrocarbyl groups may contain cycloaliphatic, aliphatic, aromatic, or any combination of such segments. The aliphatic segments can be straight or branched. The aliphatic and cycloaliphatic segments may include one or more double and/or triple bonds. Included in hydrocarbyl groups are alkyl, alkenyl, alkynyl, aryl, cycloalkyl, cycloalkenyl, alkaryl, and aralkyl groups. Cycloaliphatic groups may contain both cyclic portions and noncyclic portions. Hydrocarbylene means a hydrocarbyl group or any of the described subsets having more than one valence, such as alkylene, alkenylene, alkynylene, arylene, cycloalkylene, cycloalkenylene, alkarylene and aralkylene. As used herein percent by weight or parts by weight refer to, or are based on, the weight or the compounds or compositions described unless otherwise specified.

[0020] The term "ketal" refers to a molecule having a ketal functionality; i.e., a molecule containing a carbon bonded to two —OR groups, where O is oxygen and R represents any alkyl group or hydrogen. The terms "volatile" and "nonvolatile" refers to a compound which is capable of evaporating readily at normal temperatures and pressures, in the case of volatile; or which is not capable of evaporating readily at normal temperatures and pressures, in the case of non-volatile. As used herein, the term "stabilized" (e.g., in the context of "stabilized" 1,1-disubstituted alkene compounds or compositions comprising the same) refers to the tendency of the compounds (or their compositions) to substantially not polymerize with time, to substantially not harden, form a gel, thicken, or otherwise increase in viscosity with time, and/or to substantially show minimal loss in cure speed (i.e., cure speed is maintained) with time.

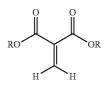
**[0021]** The starting 1,1-disubstituted alkene compound preferably is prepared using a method which results in a sufficiently high purity so that it can be polymerized. The purity of the 1,1-disubstituted alkene compound may be sufficiently high so that 70 mole percent or more, preferably 80 mole percent or more, more preferably 90 mole percent or more, and most preferably 99 mole percent or more of the 1,1-disubstituted alkene compound is converted to polymer during a polymerization process. The purity of the 1,1-disubstituted alkene compound preferably is about 85 mole percent or more, even more preferably about 90 mole percent or more, even more preferably about 90 mole percent or more, even more preferably about 90 mole percent or more, even more preferably about 90 mole percent or more, even more preferably about 95 mole percent or m

ably about 97 mole percent or more, and most preferably about 99 mole percent or more, based on the total weight of the 1,1-disubstituted alkene compound. If the 1,1-disubstituted alkene compound includes the analogous 1,1-disubstituted alkane impurity it should preferably be about 10 mole percent or less, or more preferably about 1 mole percent or less. The concentration of any impurities containing a dioxane group preferably is about 2 mole percent or less, more preferably about 1 mole percent or less, even more preferably about 0.2 mole percent or less, and most preferably about 0.05 mole percent or less, based on the total weight of the 1,1disubstituted alkene compound. The total concentration of any impurity having the alkene group replaced by an analogous hydroxyalkyl group (e.g., by a Michael addition of the alkene with water) preferably is about 3 mole percent or less, more preferably about 1 mole percent or less, even more preferably about 0.1 mole percent or less, and most preferably about 0.01 mole percent or less, based on the total moles in the 1,1-disubstituted alkene compound. Preferred 1,1-disubstituted alkene compounds are prepared by a process including one or more (e.g., two or more) steps of distilling a reaction product or an intermediate reaction product (e.g., a reaction product or intermediate reaction product of a source of formaldehyde and a malonic acid ester).

[0022] The hydrocarbyl moieties on the ester groups must be capable of transesterifying under the conditions of the method disclosed herein and contain functional groups that may be reactive under typical transesterification conditions. The first ester compound can be a monofunctional ester having bonded to the carbonyl group of the ester group a hydrocarbyl group. In some embodiments the first ester compound is a compound with multiple functional groups that may be reactive under typical transesterification conditions, at least one of the functional groups is an ester group. Any compounds that have one or more ester groups and another functional groups that may react under typical transesterification conditions may be the first ester compound that is subject to transesterification according to the processes disclosed herein. Included in functional groups that can undergo side reactions under transesterification conditions are unsaturated groups, especially those that are adjacent to one or more electron withdrawing groups; i.e., a, b-unsaturated monoester or  $\alpha$ ,  $\beta$ -unsaturated 1.1-diester or  $\alpha$ ,  $\beta$ -unsaturated-1-cyano-1-ester, and the like. Exemplary side reactions include addition polymerization, by anionic or free radical polymerization, Michael addition of alcohols to unsaturated groups, and the like. Exemplary classes of compounds include 1,1-disubstituted alkenes, cyanoacrylates, acrylates, methacrylates, and the like. The product of the method of the invention preferably contains a low amount of byproducts such as Michael addition products or polymerization products. In some embodiments the total concentration of any impurity having the alkene group replaced by an analogous hydroxyalkyl group (e.g., by a Michael addition of the alkene with water), preferably is about 3 mole percent or less, more preferably about 1 mole percent or less, even more preferably about 0.1 mole percent or less, and most preferably about 0.01 mole percent or less, based on the total moles in the transesterified 1,1-disubstituted alkene compound. In some embodiments the total concentration of any impurity formed by polymerization is preferably about 1 mole percent or less, more preferably about 0.5 mole percent or less, even more preferably about 0.1 mole percent or less, and most preferably

about 0.01 mole percent or less, based on the total moles in the transesterified 1,1-disubstituted alkene compound.

**[0023]** A preferred class of 1,1-disubstituted alkene compounds are the methylene malonates which refer to compounds having the following representative formula:

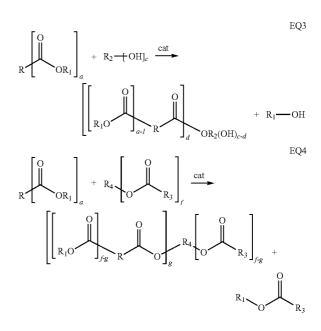


[0024] Transesterification is an equilibrium process and is typically performed under conditions to remove the byproduct formed during the exchange, meaning the product formed by the hydrocarbyl moieties leaving the esters undergoing transesterification. In some desired embodiments the hydrocarbyl moieties leaving the ester group of the first ester compound are smaller than the hydrocarbyl moieties replacing them so as to make the byproducts more volatile than the transesterified first ester compound. The smaller byproducts will generally be more volatile than the transesterified first ester compound, which facilitates removal of the byproduct due to their volatile nature. The process disclosed can be used with any process conditions that remove the byproduct formed from the leaving hydrocarbyl moieties. Exemplary process conditions or steps that may be used to remove the byproduct formed from the leaving hydrocarbyl moieties may include one or more of the following: distillation, membrane transport, inert gas purge, and the like.

[0025] In some embodiments disclosed is a method of transesterifying one or more ester groups of one or more 1,1-disubstituted alkene compounds by contacting with one or more alcohols having one or more hydroxyls or one or more second ester compounds in the presence of an acid catalyst or ester thereof, for instance a super acid or ester thereof, under conditions such that one or more of the esters of the 1,1-disubstituted alkene compounds undergo transesterification. In essence the alcohol hydrocarbon backbone replaces the hydrocarbyl moiety or the hydrocarbyl moiety on the second ester replaces the hydrocarbyl moiety on the first ester compound. The resulting product comprises one or more 1,1-disubstituted alkene compounds having one or more of the hydrocarbyl moieties replaced. A mixture of alcohols and or second ester compounds may be used to prepare a mixture of compounds. Where the alcohol or second ester compound is multifunctional (i.e., has more than one hydroxyl group or ester group) the resulting product will contain a number of difunctional and/or multifunctional compounds. Where the alcohols or second esters comprise a mixture of monofunctional compounds, having one hydroxyl group or ester group and polyfunctional alcohols or second esters, the resulting products will be a mixture of monofunctional compounds and difunctional and/or polyfunctional compounds. In some embodiments the process is illustrated by Equations 1 and 2.

**[0026]** In embodiments wherein the alcohol or second ester compound are multifunctional the resulting product can have one or more of the alcohol or hydrocarbyl moieties from an ester groups replaced with a core unit of the first ester. Thus all of the hydroxyl groups of the alcohol or hydrocarbyl groups of the second ester compound may be replaced with the core

unit of the first ester compound or only some of them may be replaced. In the latter case the resulting compounds may have both ester terminal groups and hydroxyl terminal groups or terminal groups based on the unreacted portion of the multifunctional second ester compound. Equations 3 and 4 illustrate these reactions:

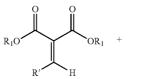


wherein R,  $R_1$ ,  $R_3$ , and a are as described hereinbefore; c is separately in each occurrence, an integer of 2 or more; d is separately in each occurrence an integer of 1 or more and is equal to or less than c; f is separately in each occurrence an integer of 2 or greater and g is an integer of 1 or greater with the proviso that g must be less than f and is equal to or less than a.  $R_4$  is separately in each occurrence an f-valent hydrocarbyl group.

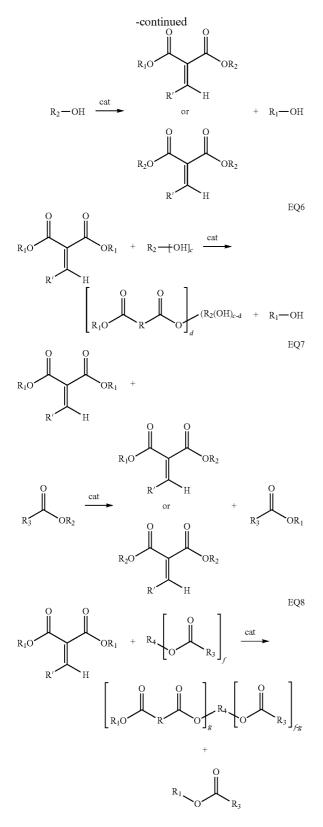
**[0027]** In some embodiments of the first ester compound is a 1,1-disubstituted alkene compound where a is 2 and R is



In this embodiment the processes disclosed are illustrated by the equations 5 to 8:



EQ5



**[0028]** Preferably as used herein R' is hydrogen, alkyl or alkylene group. Preferably R' is hydrogen or  $C_{1-10}$  alkyl or alkylene. More preferably R' is hydrogen of  $C_{1-4}$  alkyl or

alkylene. R' is more preferably hydrogen or alkyl. Most preferably R' is hydrogen. In the embodiment where R' is hydrogen the compounds are commonly referred to a methylene malonates.

[0029] R<sub>1</sub> is separately in each occurrence a group that can undergo replacement or transesterification under the conditions of the method disclosed herein. Preferably  $R_1$  is separately in each occurrence alkyl, alkenyl, C3-C9 cycloalkyl, heterocyclyl, alkyl heterocyclyl, aryl, aralkyl, alkaryl, heteroaryl, or alkheteroaryl, or polyoxyalkylene, or both of the R<sup>1</sup>s form a 5-7 membered cyclic or heterocyclic ring. More preferably  $R_1$  is separately in each occurrence  $C_1$ - $C_{15}$  alkyl,  $\rm C_2\text{-}C_{15}$ alkenyl,  $\rm C_3\text{-}C_9$ cycloalkyl,  $\rm C_{2\text{-}20}$ heterocyclyl,  $\rm C_{3\text{-}20}$ alkheterocyclyl,  $\rm C_{6-18}$ aryl,  $\rm C_{7-25}$ alkaryl,  $\rm C_{7-25}$ aralkyl,  $\rm C_{5-18}$ heteroaryl or C6-25 alkyl heteroaryl, or polyoxyalkylene, or both of the R1 groups form a 5-7 membered cyclic or heterocyclic ring. The recited groups may be substituted with one or more substituents, which do not interfere with the transesterification reaction. Preferred substituents include halo alkylthio, alkoxy, hydroxyl, nitro, azido, cyano, acyloxy, carboxy, or ester. More preferably R<sup>1</sup> is separately in each occurrence  $\rm C_1\text{-}C_{15}$ alkyl,  $\rm C_3\text{-}C_6$ cycloalkyl,  $\rm C_{4\text{-}18}$ heterocyclyl,  $\rm C_{4\text{-}18}$ alkheterocyclyl,  $\rm C_{6\text{-}18}$ aryl,  $\rm C_{7\text{-}25}$ alkaryl,  $\rm C_{7\text{-}25}$ aralkyl,  $\rm C_{5\text{-}18}$ heteroaryl or  $C_{6-25}$  alkyl heteroaryl, or polyoxyalkylene. More preferably  $R^1$  is separately in each occurrence a  $C_{1-4}$ alkyl. Most preferably  $R^1$  is separately in each occurrence methyl or ethyl. Most preferably the  $R^1$  is the same for each ester group on the 1,1-disubstituted alkene compounds. More preferred compounds are dimethyl, diethyl, ethylmethyl, dipropyl, dibutyl, diphenyl, and ethyl-ethylgluconate malonates. Most preferred compounds are dimethyl and diethyl methylene malonate (R is hydrogen and R1 is either methyl or ethyl).

**[0030]** Cyanoacrylates are acrylate compounds having a cyano group double bond and acrylate ester bonded to a carbon atom. Cyanoacrylates correspond to the formula:

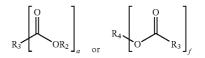


wherein R<sub>5</sub> is separately in each occurrence C<sub>1-15</sub> alkyl, alkoxyalkyl, cycloalkyl, alkenyl, aralkyl, aryl, allyl or haloalkyl groups. Exemplary cyanoacrylates are selected from methyl cyanoacrylate, ethyl-2-cyanoacrylate, propyl cyanoacrylates, butyl cyanoacrylates (such as n-butyl-2-cyanoacrylate), octyl cyanoacrylates, allyl cyanoacrylate,  $\beta$ -methoxyethyl cyanoacrylate and combinations thereof. A particularly desirable one is ethyl-2-cyanoacrylate. In some embodiments, R<sub>5</sub> is separately in each occurrence C<sub>1-8</sub> alkyl, alkoxyalkyl, C<sub>1-8</sub> alkenyl or allyl groups. In some embodiments, R<sub>5</sub> is separately in each occurrence methyl, ethyl, propyl, butyl, octyl, allyl, and  $\beta$ -methoxyethyl.

**[0031]** The alcohols can be one or more alcohols capable of transesterifying or replacing the hydrocarbon moieties on the 1,1-disubstituted alkene compounds. The alcohols can be monofunctional, one hydroxyl group, or polyfunctional, more than one hydroxyl group. Preferably the alcohol may have from 1 to 10 hydroxyl groups, more preferably 1 to 4 hydroxyl groups and most preferably 1 to 3 hydroxyl groups. Monofunctional alcohols are utilized when monofunctional

compounds are the desired product. Polyfunctional alcohols are utilized when difunctional or polyfunctional products are desired. A mixture of alcohols may be used where a mixture of products is desired. Preferably the alcohols correspond to the formula R<sub>2</sub>-(OH)<sub>c</sub> wherein R<sub>2</sub> may be any group that can transesterify a 1,1-disubstituted alkene compound, that is replace the hydrocarbyl moiety. Preferably R<sub>2</sub> is, separately in each occurrence, alkyl, alkenyl, C3-C9 cycloalkyl, heterocyclyl, alkyl heterocyclyl, aryl, aralkyl, alkaryl, heteroaryl, or alkheteroaryl, or polyoxyalkylene. More preferably R<sub>2</sub> is separately in each occurrence C1-C15 alkyl, C2-C15 alkenyl,  $\rm C_3\text{-}C_9$  cycloalkyl,  $\rm C_{2\text{-}20}$  heterocyclyl,  $\rm C_{3\text{-}20}$  alkheterocyclyl,  $\mathrm{C}_{6\text{-}18}$  aryl,  $\mathrm{C}_{7\text{-}25}$  alkaryl,  $\mathrm{C}_{7\text{-}25}$  aralkyl,  $\mathrm{C}_{5\text{-}18}$  heteroaryl or C<sub>6-25</sub> alkyl heteroaryl, or polyoxyalkylene. The recited groups may be substituted with one or more substituents which do not interfere with the transesterification reaction. Preferred substituents include halo alkylthio, alkoxy, hydroxyl, nitro, azido, cyano, acyloxy, carboxy, or ester. More preferably R<sub>2</sub> is separately in each occurrence C<sub>1</sub>-C<sub>15</sub> alkyl,  $C_3$ - $C_6$  cycloalkyl,  $C_{4\cdot18}$  heterocyclyl,  $C_{4\cdot18}$  alkheterocyclyl, C<sub>6-18</sub> aryl, C<sub>7-25</sub> alkaryl, C<sub>7-25</sub> aralkyl, C<sub>5-18</sub> heteroaryl or  $\mathrm{C}_{6\text{-}25}$  alkyl heteroaryl, or polyoxyalkylene. Where the alcohol is polyfunctional each of the recited possibilities of R<sub>2</sub> may be used with a bond for each of the hydroxyl groups, for instance if the alcohol has three hydroxyl groups then the recited core will have three bonds for each hydroxyl group. More preferably  $R_2$  is one or more  $C_{1-4}$  alkyl groups different than the hydrocarbyl moiety of the ester group, a cycloalkyl group, an alkyl substituted cycloalkyl group, a cycloalkyl substituted alkyl group, an alkaryl group, an aralkyl group or a polyoxyalkylene group which may be substituted with any substituent that does not interfere with the diester ligand replacement. Most preferably R<sub>2</sub> is, separately in each occurrence, methyl or ethyl, which is different than the diester ligand, C3-8 alkyl, cyclohexyl, fenchyl, C7-18 alkaryl poly-yl, or  $C_{7-18}$  alkyl cycloalkyl poly-yl. In the embodiment wherein the alcohol is multifunctional,  $R_2$  may be a C<sub>1-15</sub> alk-polyl, a C<sub>3-8</sub> cycloalk-polyl; a polyoxyalkylene oxide containing a number of branches and ether units, C7-18 alkyl cycloalkyl. Among preferred alkaryl polyols are polyols with the structure of -aryl-alkyl-aryl- (such as -phenyl-methyl-phenyl- or -phenyl-propyl-phenyl-) and the like. In some embodiments c is an integer of 20 or less, 10 or less, 4 or less, 3 or less or 2 or less. Among preferred alkyl cycloalkyl polyyls are those with the structure of -cycloalkyl-alkyl-cycloalkyl-(such as -cyclohexyl-methyl-cyclohexyl- or -cyclohexyl-propyl-cyclohexyl-) and the like. In some embodiments the alcohol can be multifunctional and contain other functional groups such as acrylate groups. In some embodiments the alcohol can be one or more hydroxyl alkyl (meth)acrylates and may contain more than one hydroxyl and or (meth)acrylate group. Exemplary hydroxyl alkyl (meth) acrylate groups include hydroxyethyl acrylate, hydroxyethyl methacrylate, 3-hydroxypropyl methacrylate, hydroxyhexyl acrylate, hydroxyoctyl methacrylate, hydroxybutyl methacrylate, hydroxybutylacrylate, 3-hydroxypentyl acrylate, 6-hydroxynonyl acrylate, 3-hydroxypropyl methacrylate, 2-hydroxypentyl methacrylate, 5-hydroxypentyl methacrylate, 7-hydroxyheptyl methacrylate, 5-hydroxydecyl methacrylate, diethylene glycol monoacrylate, diethylene glycol monomethacrylate, glycerin dimethacrylate, tri-methylol propane dimethacrylate, alkoxylated hydroxyethyl acrylate, trimethylolpropane diacrylate, alkoxylated trimethylolpropane diacrylate, reaction products of polyether glycols of acrylic or methacrylic acid, the monoacrylate or monomethacrylate esters of bisphenol-A, the fully hydrogenated derivative of bisphenol-A, cyclohexyl diol, and the like. More preferably the compounds containing one or more active hydrogen containing groups and one or more acrylate groups include hydroxymethyl (meth)acrylate, 2-hydroxyethyl hydroxyethyl (meth)acrylate, hydroxylpropyl (meth) acrylate, and 2-hydroxy propyl (meth)acrylate. In some embodiments the alcohols are primary or secondary alcohols. In some embodiments the alcohols are primary.

[0032] In the context of this disclosure the second ester compound is an ester compound that provides a hydrocarbyl moiety or hydrocarbon backbone to replace a hydrocarbyl moiety on the first ester compound. Generally the hydrocarbyl moiety or backbone of the second ester compound is different than the hydrocarbyl moiety leaving the first ester compound. In some embodiments the hydrocarbyl moiety from the second ester compound is larger than the leaving moiety from the first ester compound so that the byproduct formed by the leaving hydrocarbyl moiety is more volatile than the other compounds in the reaction mixture; for instance, the second ester, first ester, and the transesterification product. This higher volatility facilitates removal of the byproduct so as to push the equilibrium in the direction of the desired transesterification product. The second ester can be illustrated by the following formulas:



wherein R2 and a are as described hereinbefore, R3 is a hydrocarbyl group, and R4 is an f-valent hydrocarbyl group. Preferably R<sub>3</sub> is, separately in each occurrence, hydrogen, alkyl, alkenyl, C<sub>3</sub>-C<sub>9</sub> cycloalkyl, heterocyclyl, alkyl heterocyclyl, aryl, aralkyl, alkaryl, heteroaryl, or alkheteroaryl, or polyoxyalkylene. More preferably R3 is separately in each occurrence hydrogen, C1-C15 alkyl, C2-C15 alkenyl, C3-C9 cycloalkyl,  $C_{2-20}$  heterocyclyl,  $C_{3-20}$  alkheterocyclyl,  $C_{6-18}$  aryl,  $C_{7-25}$  alkaryl,  $C_{7-25}$  aralkyl,  $C_{5-18}$  heteroaryl or  $C_{6-25}$  alkyl heteroaryl, or polyoxyalkylene. Even more preferably  $R_3$  is separately in each occurrence hydrogen or C1-C15 alkyl. Even more preferably R<sub>3</sub> is separately in each occurrence hydrogen or  $C_1$ - $C_4$  alkyl. Most preferably  $R_3$  is separately in each occurrence hydrogen or methyl. The recited groups may be substituted with one or more substituents which do not interfere with the transesterification reaction, such as disclosed hereinbefore. Preferably  $R_4$  is, separately in each occurrence, an f-valent alkyl, alkenyl,  $\rm C_3\text{-}C_9$  cycloalkyl, heterocyclyl, alkyl heterocyclyl, aryl, aralkyl, alkaryl, heteroaryl, or alkheteroaryl, or polyoxyalkylene group. More preferably R4 is, separately in each occurrence, an f-valent C1-C15 alkyl, C<sub>2</sub>-C<sub>15</sub> alkenyl, C<sub>3</sub>-C<sub>9</sub> cycloalkyl, C<sub>2-20</sub> heterocyclyl, C<sub>3-20</sub> alkheterocyclyl, C6-18 aryl, C7-25 alkaryl, C7-25 aralkyl, C5-18 heteroaryl or C<sub>6-25</sub> alkyl heteroaryl, or polyoxyalkylene. Most preferably R4 is separately in each occurrence an f-valent C1-C15 alkyl or polyoxyalkylene polyol. Preferred substituents include halo alkylthio, alkoxy, hydroxyl, nitro, azido, cyano, acyloxy, carboxy, or ester. More preferably R2 is, separately in each occurrence, C1-C15 alkyl, C3-C6 cycloalkyl,  $C_{4-18}$  heterocyclyl,  $C_{4-18}$  alkheterocyclyl,  $C_{6-18}$  aryl,  $C_{7-25}$  alkaryl,  $C_{7-25}$  aralkyl,  $C_{5-18}$  heteroaryl or  $C_{6-25}$  alkyl heteroaryl, or polyoxyalkylene. In some embodiments a is an integer of 20 or less, 10 or less, 4 or less, 3 or less or 2 or less. In some embodiments f is an integer of 20 or less, 10 or less, 4 or less, 3 or less or 2 or less. Exemplary classes of second esters include hydrocarbyl carboxylates, hydrocarbyl acetates, hydrocarbyl formates, and the like. Among second esters that may be used in certain embodiments are hydrocarbyl acetates, hydrocarbyl formates, and the like. In some embodiments the hydrocarbyl groups on the second esters are alkyls, alkenyl, alkaryl or cycloalkyl substituted alkyl. Among second esters that may be used in certain embodiments are hydrocarbyl acetates, for instance butyl acetate, isobutyl acetate, tert-butyl acetate, pentyl acetate, isopentyl acetate, prenyl acetate, allyl acetate, benzyl acetate, diethylene glycol diacetate, triethylene glycol diacetate, and the like. Among second esters that may be used in certain embodiments are hydrocarbyl formates, for instance butyl formate, isobutyl formate, pentyl formate, isopentyl formate, hexyl formate, heptyl formate, phenyl formate, phenethyl formate, anisyl formate, benzyl formate, and the like.

[0033] In the context of the equations presented herein in some embodiments c and f can be separately in each occurrence an integer of 20 or less, 10 or less, 4 or less, or 3 or less. [0034] Where the process is performed using a second ester compound, for instance an acetate or formate, the formation of Michael addition products is minimized or eliminated in the transesterification process. Preferably the total concentration of any impurity having the alkene group replaced by an analogous hydroxyalkyl group (e.g., by a Michael addition of the alkene with water), preferably is about 3 mole percent or less, more preferably about 2 mole percent or less, even more preferably about 1 mole percent or less, and most preferably about 0.5 mole percent or less, based on the total moles in the 1,1-disubstituted alkene compound.

[0035] The catalyst is an acid or an ester thereof. Any acid or ester thereof that catalyzes transesterification while minimizing side reactions may be used. In some embodiments the acid or acid utilized to form an ester is an acid having a pKa in a polar aprotic solvent, such as acetonitrile or dioxane, as disclosed hereinafter. In particular the pKa is chosen to efficiently catalyze the transesterification reaction while minimizing side reaction and the concentration of catalyst in a reaction mixture. In some embodiments the acid used has a pKa of about -5 or greater, more preferably about -3 or greater, and most preferably about 1.0 or greater. In some embodiments the acid used has a pKa of about 14 or less, more preferably about 11 or less, and most preferably about 9 or less. The acid can be a Bronsted acid having a pKa as disclosed. In some embodiments the catalyst is a superacid or an ester thereof. Superacid means an acid having an acidic strength greater than the strength of 100 percent sulfuric acid. Ester thereof, in the context of the acid catalysts, refer to compounds wherein the hydrogen on the acid is replaced with a hydrocarbyl group, preferably an alkyl group.

**[0036]** Superacids are acids having a strength greater than the strength of 100 percent sulfuric acid, a pKa less than 100 percent sulfuric acid, that is less than 8, more preferably less than about 5, and most preferably less than about 2. The measurement of acid strength is based on Kutt et al. "Equilibrium Acidities of Super Acids," Journal of Organic Chemistry Vol 76 pages 391 to 395, 2011, published on the Web Dec. 17, 2010, which is incorporated herein by reference. Preferred super acids include trifluoromethanesulfonic acid (triflic acid), sulfated tin oxide, triflated tin oxide, sulfated zirconia, triflated zirconia, and triflated HZSM-5. The most preferred super acids are triflic acid and fluorosulfonic acid. [0037] Preferred acid catalysts include triflic acid, fluorosulfonic acid, and sulfuric acid. For reactions requiring monosubstitution (only one hydroxyl group on the alcohol or one ester group on the second ester is being replaced by transesterification), weaker acids with pKa values equal to or higher than sulfuric acid may be desired. Examples of such acids include sulfuric acid or methanesulfonic acid. For reactions requiring disubstitution (two hydroxyl groups on the alcohol or two ester groups on the second ester are being replaced by transesterification), stronger acids with pKa values equal to or lower than sulfuric acid may be desired. Examples of such acids include sulfuric acid, fluorosulfonic acid, and triflic acid. For reactions requiring polysubstitution (more than 2 hydroxyl groups on the alcohol and more than 2 ester groups on the second ester compound), choice of acid catalysts can be similar to that for disubstitution reactions but reaction time may need to be increased. Preferred esters of acids useful as catalysts include alkyl triflates.

[0038] The catalyst can be mixed with the reactants or can be supported on a substrate such as a membrane or an inert carrier such as a porous support structure (the catalysts can be heterogeneous). Catalysts which are not supported are commonly referred to as homogeneous. The catalyst can be used in any concentration that catalyzes the reaction of alcohols or the second ester compounds with the first ester compound, such as 1,1-disubstituted alkene compounds, to replace the hydrocarbyl moiety on an ester group. The amount of catalyst utilized for the reaction depends on the type of catalyst being chosen and the desired level of substitution of the hydroxyl groups in the alcohol or ester groups in the second ester compound. Preferably the concentration of catalyst is about 0.1 molar equivalents or less per equivalent of the first ester compound; more preferably about 0.01 molar equivalents or less; even more preferably about 0.009 molar equivalents or less; and more preferably about 0.006 molar equivalents or less. Preferably the concentration of catalyst is about 0.001 molar equivalents or greater per equivalent of the first ester compound; and most preferably about 0.0015 molar equivalents or greater. Higher concentrations of catalysts than recited may be utilized. As disclosed in Malofsky et al., U.S. Pat. Nos. 8,609,885 and 8,884,051; and Malofsky et al. WO 2013/059473 the presence of acid in the 1,1-disubstituted alkene compounds recovered can present problems with respect to use of the compounds and low concentrations of acid in the products in use is desired. If high levels of acid are contained in the final product, additional purification or removal steps may be required. The preferred amounts recited achieve the balance between efficient catalysis and the need for low acid concentrations in the product for use. In embodiments when the catalyst is selected from sulfuric acid or those acids having pKa values greater than that of sulfuric acid, the concentration of such catalysts in the reaction mixture is preferably at the upper end of the ranges recited herein

**[0039]** The choice of alcohol and/or second ester compound and the relative moles of alcohol and/or second ester compound to the first ester compound, such as a 1,1-disubstituted alkene compound, will impact the product of the process. To prepare symmetric 1,1-disubstituted alkene compounds it is desirable to replace all of the hydrocarbyl moieties on the 1,1-disubstituted alkene compounds and the molar ratio is chosen to achieve this result. Preferably the molar ratio of alcohol and/or second ester compound to 1,1-

disubstituted alkene compounds is about 2:1 or greater and preferably about 4:1 or greater in embodiments where preparation of symmetrical products is desired. In embodiments where in the alcohol or second ester compound is polyfunctional it is desirable to react all of the hydroxyl groups or ester oxygen of the second ester and the molar ratio of such compounds to 1,1-disubstituted alkene compounds is chosen to achieve this result and the equivalents ratio is chosen such that there is an excess of 1,1-disubstituted alkene compounds. Preferably the mole ratio of polyfunctional alcohol or second ester compound to 1,1-disubstituted alkene compounds is about 1:2 or less and more preferably about 1:3 or less. To prepare asymmetric 1,1-disubstituted alkene compounds the molar equivalents of monofunctional alcohol or second ester compound to 1,1-disubstituted alkene compounds are chosen so as to result the desired product and it is believed that expected statistical results can be achieved.

**[0040]** Where the reactants are liquid under reaction conditions it is desired to contact the reactants and catalysts in neat form (i.e., without a solvent or dispersant). If the use of a solvent is desired, a solvent that does not react with the reactants or the catalyst is preferred. Another consideration in the choice of solvents is the boiling point of the solvent chosen. It is desired that the solvent have a boiling point of about  $15^{\circ}$  C., preferably about  $20^{\circ}$  C., or higher than the temperature at which the reaction is conducted. Aprotic solvents are preferred and more preferred solvents are long chain alkanes having a boiling point above the reaction temperature as described herein; exemplary solvents are decane or dodecane.

**[0041]** The reactants are contacted at any temperature at which the transesterification will proceed. Preferably the reactants are contacted at a temperature of about  $80^{\circ}$  C. or greater and most preferably about  $100^{\circ}$  C. or greater. Preferably the reactants are contacted at a temperature of about  $160^{\circ}$  C. or less, even more preferably  $140^{\circ}$  C. or less, and most preferably about  $130^{\circ}$  C. or less.

**[0042]** The reactants are contacted for a sufficient time to prepare the desired transesterified product. It is preferred to perform the process such that the starting first ester compound, such as a 1,1-disubstituted alkene compound, is substantially completely reacted with the alcohol or second ester compound to prepare the desired product. Preferably the reactants are contacted for about 1 hour or greater. Preferably the reactants are contacted is 4 hours or less and more preferably about 2 hour or less.

[0043] It is desired to perform the process under conditions that enhance contact of the 1,1-disubstituted alkene compound and the alcohol or second ester compound to allow the replacement of the original hydrocarbyl moieties on the ester groups. Some form of agitation is desired to enhance this contact. Exemplary methods of agitation include the use of stirrers, sparging with an inert gas, and the like. A preferred method is to use vigorous stirring and/or vigorous sparging with nitrogen. The transesterification reaction is believed to be an equilibrium reaction. Performing the process under conditions to drive the reaction in the direction of the desired product is recommended. Exemplary ways to achieve this include adding an excess of one reactant, removing the alcohol or ester byproduct formed by the leaving hydrocarbyl moiety, and the like. In embodiments wherein the second alcohol or third ester compound formed from the leaving hydrocarbyl moiety is volatile it can be removed through the use of a vacuum, use of conditions at which the leaving alcohol or ester can be distilled off and the other reactants and products do not distill away.

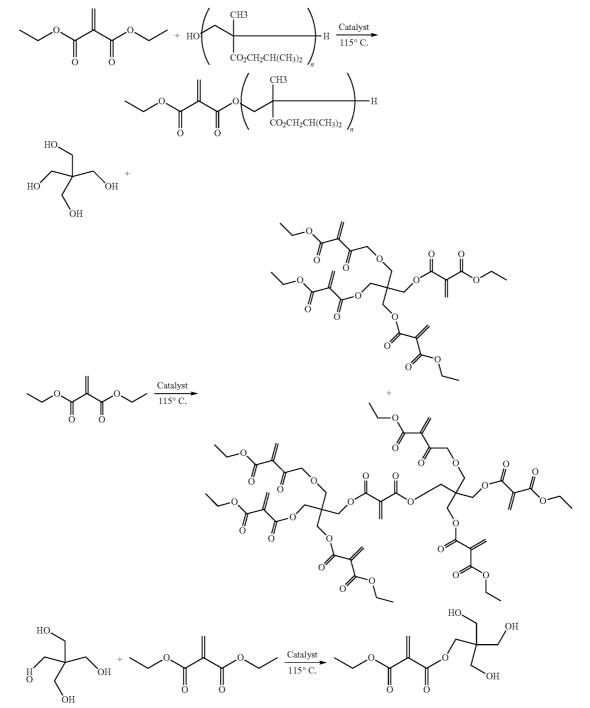
[0044] The alcohols or the second ester compound and the first ester compound, such as 1,1-disubstituted alkene compounds, may be reacted in the presence of free radical stabilizers and anionic polymerization inhibitors as described in Malofsky et al., U.S. Pat. Nos. 8,609,885 and 8,884,051; and Malofsky et al. WO 2013/059473, relevant parts incorporated herein by reference. In some embodiments, to prevent production of polymeric products, it is desirable to include an acid which inhibits polymerization but does not significantly participate in catalysis of the transesterification. Preferably the acid used to inhibit polymerization has a pKa greater than 100 percent sulfuric acid. According to certain embodiments, stabilizers can be included in compositions containing the transesterified products to increase and improve the shelf life and to prevent spontaneous polymerization. Generally, one or more anionic polymerization stabilizers and or free-radical stabilizers may be added to the compositions. Anionic polymerization stabilizers are generally electrophilic compounds that scavenge electrons from the composition or growing polymer chain. The use of anionic polymerization stabilizers can terminate additional polymer chain propagation. Exemplary anionic polymerization stabilizers are acids, exemplary acids are carboxylic acids, sulfonic acids, phosphoric acids, and the like. Exemplary stabilizers include liquid phase stabilizers (e.g., methanesulfonic acid ("MSA")) and vapor phase stabilizers (e.g., trifluoroacetic acid ("TFA")). In some embodiments it is desirable to utilize relatively weak acids to inhibit polymerization. Generally such weak acids exhibit a pKa in acetonitrile of greater than -1.5 and more preferably greater than about 2. Among preferred acids used to inhibit anionic polymerization are alkyl substituted aryl sulfonic acids, such as dodecylbenzenesulfonic acid, p-toluenesulfonic acid, and the like. As the catalyst in the method of the invention is an acid a second anionic polymerization inhibitor may not be required in performing the method disclosed herein. It is desired to include a free radical stabilizer or polymerization inhibitor in performing the method disclosed herein. The concentrations of the stabilizers, or polymerization inhibitors, useful in the method are disclosed hereinafter. [0045] Free radical stabilizers preferably include phenolic compounds (e.g., 4-methoxyphenol, mono methyl ether of ("MeHQ") butylated hydroxytoluene hydroquinone ("BHT")). Stabilizer packages for 1,1-disubstituted alkenes are disclosed in U.S. Pat. No. 8,609,885 and U.S. Pat. No. 8,884,051, each incorporated by reference. Additional free radical polymerization inhibitors are disclosed in U.S. Pat. No. 6,458,956 and are hereby incorporated by reference. Generally, only minimal quantities of a stabilizer are needed and, in certain embodiments only about 5000 parts-per-million ("ppm") or less can be included. In certain embodiments, a blend of multiple stabilizers can be included; for example, a blend of anionic stabilizers (MSA) and free radical stabilizers (MeHO)

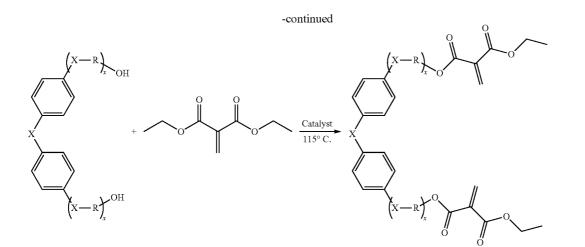
**[0046]** The one or more anionic polymerization stabilizers are present in sufficient amount to prevent premature polymerization. Preferably, the anionic polymerization stabilizers are present in an amount of about 1 ppm or greater based on the weight of the first ester compound (1,1-disubstituted alkene), more preferably about 5 ppm by weight or greater. Preferably, the anionic polymerization stabilizers are present in an amount of about 10 ppm by weight or greater. Preferably, the anionic polymerization stabilizers are present in an amount of about 500 ppm by weight or less based on the weight of the first ester compound (1,1-disubstituted alkene), more preferably about 250 ppm by weight or less. The one or more free radical stabilizers are present in sufficient amount of polymerization. Preferably, the free radical stabilizers are present in an amount of stabilizers are present in an amount of polymerization. Preferably, the free radical stabilizers are present in an amount of polymerization stabilizers are present in an amount of polymerization.

about 10 ppm or greater based on the weight of the first ester compound (1,1-disubstituted alkene), more preferably about 100 ppm by weight or greater, and most preferably about 1000 ppm by weight or greater. Preferably, the free radical polymerization stabilizers are present in an amount of about 10,000 ppm by weight or less based on the weight of the first ester compound (1,1-disubstituted alkene), more preferably about 8000 ppm by weight or less, and most preferably about 5000 ppm by weight or less. [0047] The process of this invention can prepare com-

pounds and polymers which are end capped with compounds

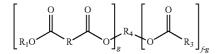
containing activated alkene groups, such as 1,1-disubstituted alkene compounds or cyanoacrylates. This process can be used to substitute the compounds containing activated alkene groups, such as 1,1-disubstituted alkene compounds, on any hydroxyl of a compound or polymer. For instance the process can prepare polyoxyalkylene compounds or polymers having their hydroxyl groups replaced with compounds containing activated alkene groups, such as 1,1-disubstituted alkene compounds. Bisphenol compounds, such as bisphenol A or F can be end-capped as described. Exemplary reactions are illustrated hereinafter.



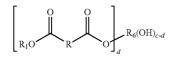


**[0048]** The products can be recovered by and purified by distillation as disclosed in Malofsky et al., U.S. Pat. Nos. 8,609,885 and 8,884,051.

**[0049]** The methods disclosed herein prepare a number of novel compounds and compositions. In some embodiments 1,1-disubstituted alkenes having one or more ester groups bonded to a hydrocarbyl backbone containing an ester group, such as acetate or formate group can be prepared by the method disclosed. Disclosed is a composition comprising one or more 1,1-disubstituted alkenes linked by oxygen atoms to the hydrocarbon backbone of one or more second ester compounds wherein the hydrocarbon backbone of the one or more ester groups. In some embodiments, the hydrocarbon back bone is bonded to an acrylate, acetate or formate group. Such compounds can be illustrated by the following formula:

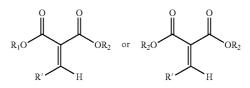


wherein f-g is 1 or greater and  $R_3$  is methyl or hydrogen. In some embodiments a 1,1-disubstituted alkene can be transesterified with a hydroxyl alkyl acrylate. Where the hydroxyacrylate has 2 or more hydroxyl acrylate groups and the equivalents ratio of the 1,1-disubstituted alkene can be transesterified to the hydroxyl alkyl acrylate is less than 1 the product includes a 1,1-disubstituted alkene bonded to through an oxygen bond to the alkyl group which is further bonded to a hydroxyl alkyl acrylate. In embodiments wherein the hydroxyl alkyl acrylate contains two or more hydroxy alkyl groups and not all of the hydroxyl groups are reacted the compositions are novel. Such compounds can be represented by the following formula:

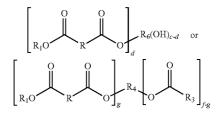


wherein, R' and R<sub>1</sub> are as described; R<sub>6</sub> is the residue of an alkyl acrylate; c is separately in each occurrence an integer of 3 or more; d is separately in each occurrence an integer of 2 or more and is less than c.

[0050] In embodiments where a mixture of monofunctional and polyfunctional alcohols or second esters are used the resulting compositions may be novel. Exemplary novel compositions include compositions comprising one or more 1,1disubstituted alkenes and one or more compounds containing two or more core units of 1,1-disubstituted alkenes linked by oxygen atoms to the hydrocarbon backbone of one or more alcohols having two or more hydroxyl groups or the hydrocarbon backbone of one or more second ester compounds having two or more ester groups wherein the concentration of and one or more compounds containing two or more core units of 1,1-disubstituted alkenes is about 1 percent by weight or greater, in some embodiments about 5 percent by weight and in some embodiments greater than 15 percent by weight. In some embodiments the amount of multifunctional compounds can be about 50 percent by weight or less. In some embodiments the 1,1-disubstituted alkenes correspond to the formulas:



and one or more compounds containing two or more core units of 1,1-disubstituted alkenes correspond to the following formulas:



wherein:  $R_1$ ,  $R_2$ , and  $R_3$  are separately in each occurrence hydrocarbyl groups;  $R_4$  is, separately in each occurrence, an f-valent hydrocarbyl group; R' is separately in each occurrence hydrocarbyl or hydrogen; c is, separately in each occur-

rence, an integer of 2 or more; d is, separately in each occurrence, an integer of 2 or more and equal to or less than c; f is, separately in each occurrence, an integer of 1 or greater; and g is an integer of 2 or greater. In some embodiments the one or more compounds containing two or more core units of 1,1-disubstituted alkenes comprise a number of such compounds wherein the hydrocarbyl moieties on the terminal ester groups are different. This can occur under conditions wherein not all of the original hydrocarbyl moieties on the starting 1,1-disubstituted alkenes are replaced in the transesterification reaction. Where a mixture of mono and poly functional alcohol or second ester reagents are reacted with the 1,1-disubstituted alkenes and there is a stoichiometric excess of ester equivalents available from the 1,1-disubstituted alkenes as compared to the equivalents of the hydroxyl units of the alcohol reagent or the ester equivalents of the second ester reagents mixtures of compounds which are monofunctional and polyfunctional and contain compounds with different hydrocarbyl moieties on the ester groups can be prepared. In this embodiment, R1 is different on the same compound. In this embodiment the mixture may also contain monofunctional compounds that are asymmetric, wherein the hydrocarbyl moieties on the ester groups are different, some from the starting 1,1-disubstituted alkenes and some wherein an ester exchange is completed with an alcohol reagent or a second ester reagent. In these embodiments the amount of multifunctional compounds may be greater than 1 percent by weight, more preferably 5 percent by weight or greater. In some embodiments the mixture prepared can have greater than 1 percent by weight. In some embodiments the amount of multifunctional monomer can be 50 percent by weight or less.

#### Illustrative Embodiments of the Invention

**[0051]** The following examples are provided to illustrate the invention, but are not intended to limit the scope thereof. All parts and percentages are by weight unless otherwise indicated.

**[0052]** A typical reaction procedure is described as follows: a three neck 100 mL round bottom flask with a distillation head, thermometer, vacuum adapter, and collection flask are assembled using high vacuum grade grease along with a heating mantle, thermocouple, and a magnetic stir bar. The reaction mixture is subjected to agitation typically ranging from 400-600 rpm. Vacuum is used to remove subsequent byproducts from the reaction mixture and the various pressures are indicated below along with the mix time in each case. In some cases, nitrogen gas is used to purge the mixture in lieu of vacuum and, if applicable, is indicated below. In each case, the mole equivalent is relative to the diethyl methylene malonate ("DEMM") monomer used.

**[0053]** NMR spectroscopy is employed using a 300 MHz NMR to analyze reaction mixtures. Samples were prepared using chloroform-d ( $CDCl_3$ ) and hexamethyldisiloxane as an internal standard appearing at about 0 ppm. For 1,1-disubstituted alkene compounds with symmetrical substituents (e.g., DEMM), the reactive alkene functionality (i.e., the double bond) appears at about 6.45 ppm. For 1,1-disubstituted alkene compounds with asymmetrical substituents (e.g., ethyl pentyl methylene malonate or "EPMM"), the reactive alkene functionality appears as a doublet at about 6.45 ppm. In most cases, four NMR scans are run on each samples specimen with a 20 second delay between scans.

**[0054]** GC-MS is employed to determine conversion of starting materials to the desired transesterified product(s) and

detect the presence of any byproducts. A helium gas (carrier gas) purge of about 1 mL/min is employed to aid the ionized in sample reaching the MS detector. Typical sample injection volumes of  $1-2 \mu$ L of about 2-5% of the reaction mixture in dichloromethane (CH<sub>2</sub>Cl<sub>2</sub>) are used for injecting into the GC-MS instrument. The GC-MS profile method involves maintaining the oven at 100° C., followed by a ramp of 15° C./min to 250° C. Typical run times range from 18-23 minutes. Retention times of 1,1-disubstituted alkene compounds, based on the above mentioned method, range from 4.5-17 min and are strongly dependent on the substituents and the ease of ionization of the particular molecule in the GC chamber.

**[0055]** For the examples herein disclosed, the conversion of starting reactant materials (i.e., a first ester or 1,1-disubstituted alkene compound) to the desired transesterified 1,1-disubstituted alkene compounds with the use of a suitable transesterification reagent (i.e., an alcohol, acetate, or formate) is calculated as follows unless otherwise indicated: The starting weight of the limiting reagent in each reaction is used as the baseline measurement and constitutes 100% theoretical maximum conversion. Conversion is then obtained by dividing the percent composition of the transesterified product provided via GC-MS in the final reaction mixture by the theoretical maximum conversion.

- [0056] Ingredients and Products
- [0057] BEMM Benzyl ethyl methylene malonate (ethyl benzyl-1-methylene-1,1-dicarboxylate)
- [0058] BHT Butylated hydroxytoluene
- [0059] DBSA Dodecylbenzenesulfonic acid
- [0060] DEMM Diethyl methylene malonate (diethyl 1-methylene-1,1-dicarboxylate)
- [0061] Di-EDiEGMM Disubstituted ethyl diethylene glycol methylene malonate (ethyl diethyleneglycol-1-methylene-1,1-dicarboxylate)
- **[0062]** Di-EHMM Disubstituted ethyl hexyl methylene malonate (ethyl hexyl-1-methylene-1,1-dicarboxylate)
- [0063] EAMM Ethyl allyl methylene malonate (ethyl allyl-1-methylene-1,1-dicarboxylate)
- [0064] EBMM Ethyl butyl methylene malonate (ethyl butyl-1-methylene-1,1-dicarboxylate)
- [0065] EDiEGMM Ethyl diethylene glycol methylene malonate (ethyl diethyleneglycol-1-methylene-1,1-dicarboxylate)
- [0066] EEmMM Ethyl ethylmethacrylate methylene malonate (ethyl ethylmethacrylate-1-methylene-1,1-dicarboxylate)
- **[0067]** EHMM Ethyl hexanol methylene malonate (ethyl hexanol-1-methylene-1,1-dicarboxylate)
- [0068] EIpMM Ethyl isopentyl methylene malonate (ethyl isopentyl-1-methylene-1,1-dicarboxylate)
- **[0069]** EPMM Ethyl pentyl methylene malonate (ethyl pentyl-1-methylene-1,1-dicarboxylate)
- **[0070]** EPrMM Ethyl prenyl methylene malonate (ethyl isopentenyl-1-methylene-1,1-dicarboxylate)
- [0071] FEMM Fenchyl ethyl methylene malonate (ethyl fenchyl-1-methylene-1,1-dicarboxylate
- [0072] HEMA Hydroxyethyl methacrylate
- [0073] HEMM Ethyl hexyl methylene malonate (ethyl hexyl-1-methylene-1,1-dicarboxylate)
- [0074] MeHQ Mono methyl ether hydroquinone
- [0075] MEMM Menthyl ethyl methylene malonate (ethyl menthyl-1-methylene-1,1-dicarboxylate)

[0076] MePPEMM 2-Methyl-1-phenyl-2-propyl ethyl methylene malonate (2-Methyl-1-phenyl-2-propyl ethyl-1-methylene-1,1-dicarboxylate)

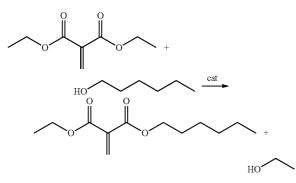
[0077] MSA Methanesulfonic acid

- [0078] PEMM 2-Phenyl-1-propyl ethyl methylene malonate (2-Phenyl-1-propyl-ethyl-1-methylene-1,1-dicarboxylate)
- [0079] PPEMM 2-Phenyl-2-propyl ethyl methylene malonate (2-Phenyl-2-propyl-ethyl-1-methylene-1,1-dicarboxylate)
- [0080] TFMSA Trifluoromethanesulfonic acid or triflic acid

#### Example 1

#### Preparation of HEMM

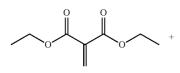
**[0081]** The reactor is charged with 25 g (1 equivalent) of DEMM, 5.9 g (0.4 equivalents) of hexanol, 0.82 g (0.25 equivalents) of BHT, and 0.154 g of sulfuric acid (about 5 weight percent based on DEMM and hexanol). The reaction mixture is heated to  $130^{\circ}$  C. and the ethanol byproduct is removed using about 500 mm Hg of reduced pressure. GC-MS results are obtained and used to calculate conversion: about 72.5 percent to HEMM under these conditions. This has an elution time of 8.8 minutes by GC-MS. This reaction is illustrated by the following equation:

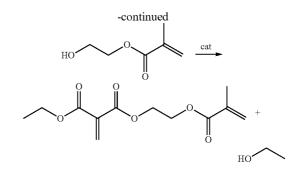


#### Example 2

#### Preparation of EEmMM

**[0082]** To the round bottom flask set-up, a mixture of 20 g (1 equivalent) of DEMM, 3.7792 g (0.25 equivalents) of HEMA, 1.442 g (0.1 equivalent) of MeHQ, 1.8962 g (0.05 equivalents) of DBSA, and 0.1742 (0.01 equivalents) of TFMSA is combined with agitation. Heat is applied to the reaction mixture and maintained at about 90° C. and mixed for 4 hours while vigorously purging with nitrogen gas. Ethanol is collected as the reaction byproduct. GC-MS results are obtained and used to calculate conversion: 75.8 percent to EEmMM under these conditions. This has an elution time of about 9.96 minutes by GC-MS. This reaction is illustrated by the following equation:

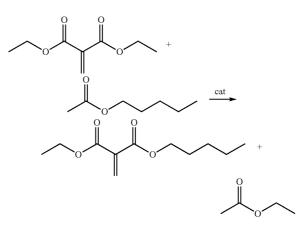




#### Example 3

#### Preparation of EPMM

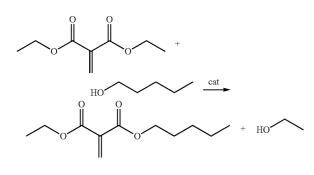
**[0083]** To the round bottom flask set-up, a mixture of 30 g (1 equivalent) of DEMM, 7.561 g (0.33 equivalents) pentyl acetate 2.163 (0.1 equivalent) of MeHQ, 2.844 g (0.05 equivalents) of DBSA, and 0.342 (0.02 equivalents) of sulfuric acid is combined with agitation. Heat is applied to the reaction mixture and maintained at about 130° C. and mixed for 4 hours applying reduced pressure at about 450 mmHg. Ethyl acetate is collected as the reaction byproduct. GC-MS results are obtained and used to calculate conversion: 88.3% to EPMM under these conditions. This has an elution time of about 7.93 minutes by GC-MS. This reaction is illustrated by the following equation:



#### Example 4

#### Preparation of EPMM

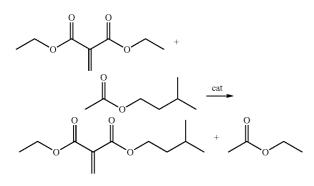
**[0084]** To the round bottom flask set-up, a mixture of 30 g (1 equivalent) of DEMM, 5.120 g (0.33 equivalents) of pentanol, 2.163 (0.1 equivalent) of MeHQ, 2.844 g (0.05 equivalents) of DBSA, and 0.342 (0.02 equivalents) of sulfuric acid is combined with agitation. Heat is applied to the reaction mixture and maintained at about 130° C. and mixed for 4 hours applying reduced pressure at about 450 mmHg. Ethanol is collected as the reaction byproduct. GC-MS results are obtained and used to calculate conversion: 74.4% to EPMM under these conditions. This has an elution time of about 7.93 minutes by GC-MS. This reaction is illustrated by the following equation:



Example 5

#### Preparation of EIpMM

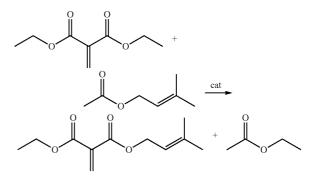
**[0085]** To the round bottom flask set-up, a mixture of 30 g (1 equivalent) of DEMM, 7.561 g (0.33 equivalents) of isopentyl acetate, 2.163 g (0.1 equivalent) of MeHQ, 2.844 g (0.05 equivalents) of DBSA, and 0.261 (0.01 equivalents) of TFMSA is combined with agitation. Heat is applied to the reaction mixture and maintained at about 130° C. and mixed for 3 hours applying reduced pressure at about 450 mmHg. Ethyl acetate is collected as the reaction byproduct. GC-MS results were obtained and used to calculate conversion: 65.6% to EIpMM under these conditions. This has an elution time of about 7.55 minutes by GC-MS. This reaction is illustrated by the following equation:



#### Example 6

#### Preparation of EPrMM

**[0086]** To the round bottom flask set-up, a mixture of 30 g (1 equivalent) of DEMM, 7.444 g (0.33 equivalents) of prenyl acetate, 2.163 g (0.1 equivalent) of MeHQ, 2.844 g (0.05 equivalents) of DBSA, and 0.261 (0.01 equivalent) of TFMSA is combined with agitation. Heat is applied to the reaction mixture and maintained at about  $130^{\circ}$  C. and mixed for 1 hour while applying reduced pressure at about 450 mmHg. Ethyl acetate is collected as the reaction byproduct. GC-MS results are obtained and used to calculate conversion: 53.2% to EPrMM under these conditions. This has an elution time of about 7.92 minutes by GC-MS. This reaction is illustrated by the following equation:



### Examples 7 and 8 Preparation of EPMM and the Effects of Anionic Stabilizers

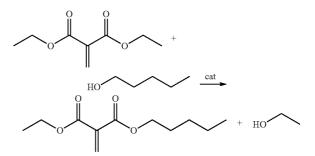
[0087] Two reactions are performed with pentanol as the reagent alcohol with either DBSA or MSA as the anionic stabilizer. To the round bottom flask set-up, a mixture of 30 g (1 equivalent) of DEMM, 5.120 g (0.33 equivalents) of pentanol, 2.163 (0.1 equivalent) of MeHQ, 2.844 g (0.05 equivalents) of DBSA or 0.167 g (0.01 equivalent) of MSA, and 0.261 (0.02 equivalents) of TFMSA is combined with agitation. Heat is applied to the reaction mixture and maintained at about 130° C. and mixed for 3 hours while applying reduced pressure at about 450 mmHg. Ethanol is collected as the reaction byproduct. GC-MS results are obtained and used to calculate conversion. EPMM has an elution time of about 7.93 minutes by GC-MS.

TABLE 1

Acidic Stabilizer	Conversion to EPMM	DEMM + Ethanol Michael Byproduct	Total Reaction Polymeric Byproduct
MSA	4.8%	27.4%	14.1%
DBSA	74.4%	3.9%	2.2%

The reaction is illustrated by the following equation:

[0088]



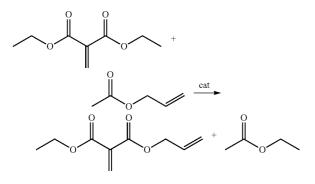
**[0089]** These examples illustrate how higher molecular weight acid compounds have the potential to improve the overall reaction by decreasing the amount of undesired Michael addition product (e.g., DEMM in the presence of a low molecular weight alcohol such as ethanol) as well as polymeric byproducts.

#### Example 9

#### Preparation of EAMM

**[0090]** To the round bottom flask set-up, a mixture of 30 g (1 equivalent) of DEMM, 5.815 g (0.33 equivalents) of allyl

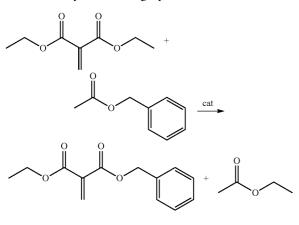
acetate, 4.362 (0.2 equivalents) of MeHQ, 2.844 g (0.05 equivalents) of DBSA, and 0.342 (0.02 equivalents) of sulfuric acid is combined with agitation. Heat is applied to the reaction mixture and maintained at about  $80^{\circ}$  C. and mixed for 6 hours while applying reduced pressure at about 450 mmHg. Ethyl acetate is collected as the reaction byproduct. GC-MS results were obtained and used to calculate conversion: 21.8% to EAMM under these conditions. This has an elution time of about 5.77 minutes by GC-MS. The reaction is illustrated by the following equation:



#### Example 10

#### Preparation of BEMM

**[0091]** To the round bottom flask set-up, a mixture of 30 g (1 equivalent) of DEMM, 8.722 g(0.33 equivalents) of benzyl acetate, 2.163 (0.1 equivalent) of MeHQ, 2.844 g (0.05 equivalents) of DBSA, and 0.342 (0.02 equivalents) of sulfuric acid is combined with agitation. Heat is applied to the reaction mixture and maintained at about 130° C. and mixed for 3 hours while applying reduced pressure at about 450 mmHg. Ethyl acetate is collected as the reaction byproduct. GC-MS results were obtained and used to calculate conversion: 39.6% to BEMM under these conditions. This has an elution time of about 10.27 minutes by GC-MS. The reaction is illustrated by the following equation:

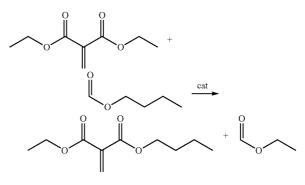


Example 11

#### Preparation of EBMM

**[0092]** To the round bottom flask set-up, a mixture of 30 g (1 equivalent) of DEMM, 5.932 g (0.33 equivalents) of butyl formate, 2.163 (0.1 equivalent) of MeHQ, 2.844 g (0.05

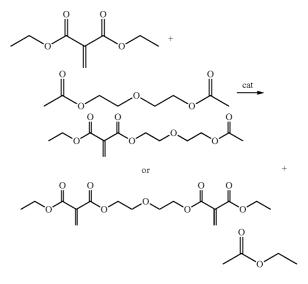
equivalents) of DBSA, and 0.342 (0.02 equivalents) of sulfuric acid is combined with agitation. Heat was applied to the reaction mixture and maintained at about 90° C. and mixed for 3 hours while applying reduced pressure at about 450 mmHg. Ethyl formate is collected as the reaction byproduct. GC-MS results are obtained and used to calculate conversion: 46.9% to EBMM under these conditions. This has an elution time of about 6.97 minutes by GC-MS. The reaction is illustrated by the following equation:



#### Example 12

#### Preparation EDiEGMM and Di-EDiEGMM

**[0093]** To the round bottom flask set-up, a mixture of 30 g (1 equivalent) of DEMM, 6.628 g (0.2 equivalents) of diethyleneglycol diacetate, 2.163 (0.1 equivalent) of MeHQ, 2.844 g (0.05 equivalents) of DBSA, and 0.342 (0.02 equivalents) of sulfuric acid is combined with agitation. Heat is applied to the reaction mixture and maintained at about 130° C. and mixed for 3 hours while applying reduced pressure at about 450 mmHg. Ethyl acetate is collected as the reaction byproduct. GC-MS results are obtained and used to calculate conversion: 22.8% to monosubstituted EDiEGMM with an elution time of about 10.93 minutes by GC-MS and 22.3% to Di-EDiEGMM with an elution time of about 14.21 minutes by GC-MS. The reaction is illustrated by the following equation:

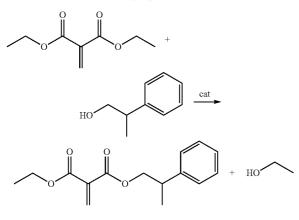


#### Example 13

#### Preparation of PEMM

**[0094]** To the round bottom flask set-up, a mixture of 20 g (1 equivalent) of DEMM, 6.09 g (0.33 equivalents) of 2-phe-

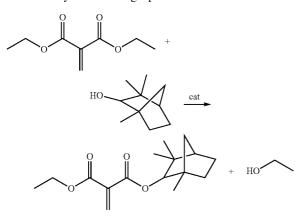
nyl-1-propanol, and 0.99 g (0.025 equivalents) of BHT is charged. Reduced pressure of about 500 mmHg is maintained using a vacuum pump. The reaction mixture is then heated and maintained at about 130° C. Once the temperature reaches about 90° C., 0.27 g (0.025 equivalents) of sulfuric acid is added to the reaction mixture. The reaction is then stirred for 2 hours. Ethanol is collected as the reaction byproduct. Yield is calculated for this reaction and based on amount of product isolated from reaction mixture by distillation: 50.0% to PEMM under these conditions. This has an elution time of about 11.3 minutes by GC-MS. The reaction is illustrated by the following equation:



## Example 14

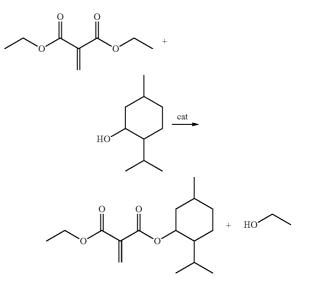
### Preparation of FEMM

**[0095]** To the round bottom flask set-up, a mixture of 20 g (1 equivalent) of DEMM, 6.09 g (0.33 equivalents) of fenchol, and 0.99 g (0.036 equivalents) of BHT is charged. Reduced pressure of about 500 mmHg was maintained using a vacuum pump. The reaction mixture is then heated and maintained at about 130° C. Once the temperature reaches about 90° C., 0.27 g (0.025 equivalents) of sulfuric acid is added to the reaction mixture. The reaction byproduct. GC-MS results were obtained and used to calculate conversion: 29.5% to FEMM under these conditions. This has an elution time of about 10.55 minutes by GC-MS. The reaction is illustrated by the following equation:

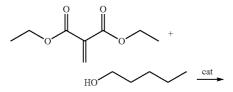


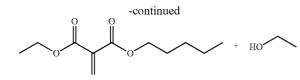
#### Example 15

Preparation of MEMM [0096] To the round bottom flask set-up, a mixture of 20 g (1 equivalent) of DEMM, 6.09 g (0.3 equivalents) of menthol and 0.67 g (0.025 equivalents) of BHT is charged. Reduced pressure of about 500 mmHg is maintained using a vacuum pump. The reaction mixture is then heated and maintained at about  $130^{\circ}$  C. Once the temperature reaches  $90^{\circ}$  C., 0.27 g (0.025 equivalents) of sulfuric acid was added to the reaction mixture. The reaction is then stirred for 2 hours. Ethanol is collected as the reaction byproduct. GC-MS results were obtained and used to calculate conversion: 69.4% to MEMM under these conditions. This has an elution time of about 11.0 minutes by GC-MS. The reaction is illustrated by the following equation:

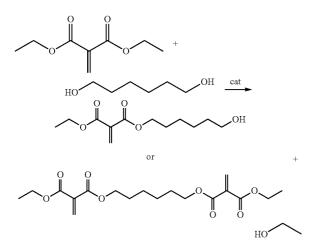


[0097] Example 16 Preparation of EPMM and Di-EHMM using a Number of Catalysts The pentanol and pentyl acetate reactions are performed using these representative reaction conditions: the reaction temperature maintained at about 115-130° C. and about 300-500 mmHg of reduced pressure was used. The point at which optimum conversion is seen is reported along with the reaction time below in Table 2. Total reaction time is limited to 4 hours for these reactions. In these examples, the monomer to alcohol or acetate ratio is about 3:1. The homogeneous catalysts are added at about 0.01 equivalent to DEMM monomer. The heterogeneous catalysts are added at about 5 weight percent of the total monomer. The remaining materials are incorporated at similar equivalents for each reaction: DBSA (0.05) and MeHQ or BHT (0.1). The results below show the percent conversion via GC-MS to the targeted product, which in this case is EPMM having an elution time of about 7.93 minutes by GC-MS. The results are compiled in Table 2. The reaction is illustrated by the following equation:





[0098] The reactions with hexane diol are performed at about 130° C. and 300-500 mmHg of reduced pressure for a period of time up to 3 hours using various acid catalysts. For reactions using TMFSA, Nafion, and ethyl triflate, the formation of polymeric side products is problematic and prevalent for this reaction reagent. In these cases catalyst loading is 0.01 equivalent to DEMM. DBSA is used as a stabilizer at 0.05 equivalent to DEMM. For all other liquid catalysts listed, 0.5 weight percent of total reactant mixture is utilized. DBSA was not added as an anionic stabilizer. For Amberlyst, 5 weight percent of the total reaction mixture is used along with BHT in amounts between 0.025 to 0.1 mole equivalents to DEMM monomer. The ratio of DEMM to 1,6 hexanediol in each of these reactions is about 5:1. The desired product is Di-EHMM which has an elution time of about  $15.45_{[PS1]}$ minutes by GC-MS. The results are compiled in Table 2. Monosubstituted EHMM (i.e., with an unreacted primary hydroxyl group) has also been observed under certain reaction conditions. This has an elution time of about 11.05 minutes by GC-MS. The reaction is illustrated by the following equation:



Catalyst	Pentyl acetate Conversion/ Time	Pentanol Conversion/ Time	Hexane Diol Conversion/ Time
Phosphoric acid pKa: 2.148	35.4%/3 h	64.7%/3 h	6.8%/3 h
Tetrafluoroboric acid diethyl ether complex	64.8%/3 h	58.4%/1 h	2.5%/3 h
Methanesulfonic acid	0.1% 3 h	84.9% 3 h	9.4% 3 h
Sulfuric acid	88.3% 4 h	74.4% 1 h	51.3% 2 h
Amberlyst 15 hydrogen	40.3%	35.0%	0%
form (Heterogeneous sulfuric acid)	3 h	3 h	3 h

TABLE 2-continued

Catalyst	Pentyl acetate Conversion/ Time	Pentanol Conversion/ Time	Hexane Diol Conversion/ Time
Fluorosulfonic acid	82.3%	62.4%	78.6%
	3 h	3 h	3 h
TFMSA	52.3%	25.7%	32.4%
	3 h	3 h	30 min
Nafion NR50	18.9%	11.6%	88.9%
(Heterogeneous TFMSA)	3 h	3 h	2 h
Ethyl triflate	45.0%	31.8%	40.2%
	4 h	4 h	2 h
Dibutyl sulfate	47.4%	64.7%	5.1%
•	3 h	2 h	3 h

**[0099]** The results show choice of a catalyst system greatly affects the final yield of the desired product in proportion to the side reactions caused by Michael addition across the methylene double bond and polymerization. Weaker acids transesterify appreciable amounts of the starting reactants to give desired products where monosubstitution is desired (ethyl pentyl methylene malonate). Stronger acids are desired to obtain appreciable yields of transesterified difunctional products.

#### Example 17

#### Preparation of Various 1,1-Disubstituted Alkenes Using Sulfuric Acid as the Reaction Catalyst

**[0100]** The examples are performed substantially as described in Example 16. The first three runs are the sulfuric acid catalyzed reactions in Example 17. The results are compiled in Table 3.

TABLE 3

Alcohol/Second ester	Conversion %	Time hours
Pentyl acetate	88.3	4
Pentanol	74.4	2
Hexane Diol	51.3	2
2-phenyl-1-propanol	50.0	2
Fenchol	29.5	2
Menthol	69.4	2

[0101] Parts by weight as used herein refers to 100 parts by weight of the composition specifically referred to. Any numerical values recited in the above application include all values from the lower value to the upper value in increments of one unit provided that there is a separation of at least 2 units between any lower value and any higher value. As an example, if it is stated that the amount of a component or a value of a process variable such as, for example, temperature, pressure, time and the like is, for example, from 1 to 90, preferably from 20 to 80, more preferably from 30 to 70, it is intended that values such as 15 to 85, 22 to 68, 43 to 51, 30 to 32, etc., are expressly enumerated in this specification. For values which are less than one, one unit is considered to be 0.0001, 0.001, 0.01 or 0.1 as appropriate. These are only examples of what is specifically intended and all possible combinations of numerical values between the lowest value, and the highest value enumerated are to be considered to be expressly stated in this application in a similar manner. Unless otherwise stated, all ranges include both endpoints and all numbers between the endpoints. The use of "about" or "approximately" in connection with a range applies to both ends of the range. Thus, "about 20 to 30" is intended to cover "about 20 to about 30", inclusive of at least the specified endpoints. The term "consisting essentially of" to describe a combination shall include the elements, ingredients, components or steps identified, and such other elements ingredients, components or steps that do not materially affect the basic and novel characteristics of the combination. The use of the terms "comprising" or "including" to describe combinations of elements, ingredients, components or steps herein also contemplates embodiments that consist essentially of the elements, ingredients, components or steps. Plural elements, ingredients, components or steps can be provided by a single integrated element, ingredient, component or step. Alternatively, a single integrated element, ingredient, component or step might be divided into separate plural elements, ingredients, components or steps. The disclosure of "a" or "one" to describe an element, ingredient, component or step is not intended to foreclose additional elements, ingredients, components or steps.

#### 1-4. (canceled)

**5**. A method according to claim **8** wherein the first ester compound comprises one or more 1,1-disubstituted alkenes, cyanoacrylates, or methacrylates.

6.-7. (canceled)

**8**. A method comprising: contacting a first ester compound having one or more ester groups with hydrocarbyl moieties as part of each of the ester groups and a functional group which may undergo undesired side reactions under transesterification reaction conditions, with one or more alcohols having a hydrocarbon backbone and one or more hydroxyl groups or one or more second ester compounds having one or more ester groups with hydrocarbyl moieties different from the hydrocarbyl moieties on the first ester compounds, in the presence of one or more acids having a pKa in a polar aprotic solvent of about -5 to about 14 or esters of the acid under conditions that at least one of the hydrocarbyl moieties on the first ester compound is replaced by the alcohol hydrocarbon backbone or hydrocarbyl moieties from the second ester compounds;

wherein the alcohols comprise a mixture of alcohols or the second ester compounds comprise a mixture of ester compounds.

**9**. A method according to claim **8** wherein the mixture of alcohols comprise at least one alcohol having one hydroxyl group and at least one alcohol having more than one hydroxyl group or the mixture of second ester compounds comprise at least one ester compound having one ester group and at least one ester group and at least one ester group.

10. A method according to claim 11 wherein the acid or the ester of the acid is present in a sufficient amount to catalyze the replacement of one or more hydrocarbon moieties of the first compound having one or more ester groups with the hydrocarbon backbone of the one or more alcohols or a hydrocarbyl moiety from the one or more second compounds having one or more ester groups, wherein the acid or the ester of the acid is present in an amount of about 0.1 molar equivalents or less of the acid or the ester of acid per molar equivalent of the first compound having one or more ester groups.

11. A method comprising: contacting a first ester compound having one or more ester groups with hydrocarbyl moieties as part of each of the ester groups and a functional group which may undergo undesired side reactions under transesterification reaction conditions, with one or more alcohols having a hydrocarbon backbone and one or more hydroxyl groups or one or more second ester compounds having one or more ester groups with hydrocarbyl moieties different from the hydrocarbyl moieties on the first ester compounds, in the presence of one or more acids having a pKa in a polar aprotic solvent of about –5 to about 14 or esters of the acid under conditions that at least one of the hydrocarbyl moieties on the first ester compound is replaced by the alcohol hydrocarbon backbone or hydrocarbyl moieties from the second ester compounds;

wherein the method is performed at a temperature of about  $50^{\circ}$  C. to about  $160^{\circ}$  C.

12. A method according to claim 11 wherein the first ester compound is contacted with the one or more second ester compounds to prepare a transesterification product and a third ester;

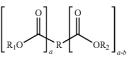
wherein the first ester corresponds to the formula



the second ester corresponds to the formula;



the transesterification product corresponds to the formula:



and;

a third ester corresponds to the formula;

wherein R is separately in each occurrence a hydrocarbyl group substituted with a functional group which may undergo undesired side reactions under transesterification reaction conditions,  $R_1$ ,  $R_2$ , and  $R_3$  are separately in each occurrence hydrocarbyl groups; a is an integer of 1 or more; and b is an integer of 0 or more, wherein b is equal to or less than a.

13. A method comprising: contacting a first ester compound having one or more ester groups with hydrocarbyl moieties as part of each of the ester groups and a functional group which may undergo undesired side reactions under transesterification reaction conditions, with one or more alcohols having a hydrocarbon backbone and one or more hydroxyl groups or one or more second ester compounds having one or more ester groups with hydrocarbyl moieties different from the hydrocarbyl moieties on the first ester compounds, in the presence of one or more acids having a pKa in a polar aprotic solvent of about -5 to about 14 or esters of the acid under conditions that at least one of the hydrocarbyl moieties on the first ester compound is replaced by the alcohol hydrocarbon backbone or hydrocarbyl moieties from the second ester compounds;

wherein the one or more second compounds having one or

more ester moieties is an acetate ester or a formate ester. 14. A method according to claim 13 wherein the transesterified first ester compound contains Michael addition products of the alkene with water of about 1 mole percent or less.

**15**. A method according to claim **11** wherein the one or more first ester compounds are contacted with one or more alcohols to prepare a transesterification product and an alcohol byproduct;

wherein the first ester compounds correspond to the formula:



the one or more alcohols correspond to the formula; R<sub>2</sub> OH;

the transesterification product corresponds to the formula:

and

- the alcohol by-product corresponds to the formula; R<sub>1</sub> OH wherein R is, separately in each occurrence, a hydrocarbyl group substituted with a functional group which may undergo undesired side reactions under transesterification reaction conditions wherein:
- $R_1$  and  $R_2$  are, separately in each occurrence, hydrocarbyl groups;
- a is an integer of 1 or more; and

b is an integer of 0 or more, wherein b is equal to or less than a.

16. A method comprising: contacting a first ester compound having one or more ester groups with hydrocarbyl moieties as part of each of the ester groups and a functional group which may undergo undesired side reactions under transesterification reaction conditions, with one or more alcohols having a hydrocarbon backbone and one or more hydroxyl groups or one or more second ester compounds having one or more ester groups with hydrocarbyl moieties different from the hydrocarbyl moieties on the first ester compounds, in the presence of one or more acids having a pKa in a polar aprotic solvent of about -5 to about 14 or esters of the acid under conditions that at least one of the hydrocarbyl moieties on the first ester compound is replaced by the alcohol hydrocarbon backbone or hydrocarbyl moieties from the second ester compounds:

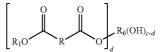
wherein the first ester compound is one or more 1,1-disubstituted alkene compounds which are contacted with one or more alcohols having two or more hydroxyl groups or one or more second ester compounds having two or more ester groups wherein the resulting product contains the core unit of two or more 1,1-disubstituted alkene compounds linked by the hydrocarbon backbone of the alcohols or the second ester compounds.

17. A method of according to claim 16 wherein the first ester compound is contacted with the one or more alcohols or one of more second ester compounds in the presence of a free radical polymerization stabilizer.

18.-19. (canceled)

**20**. A composition comprising a 1,1-disubstituted alkenes bonded to through an oxygen bond to the alkyl group which is further bonded to a hydroxyl alkyl acrylate

which is illustrated by the formula:



wherein:

R<sub>1</sub> is separately in each occurrence hydrocarbyl groups;

R is separately in each occurrence a hydrocarbyl group substituted with a functional group which may undergo undesired side reactions under transesterification reaction conditions;

 $R_6$  is the residue of an alkyl acrylate;

- c is separately in each occurrence an integer of 3 or more;
- d is separately in each occurrence an integer of 2 or more and is less than c.

**21**. A method according to claim **5** wherein the first ester compound comprises one or more 1,1-disubstituted alkenes.

**22**. A method of according to claim **5** wherein the first ester compound is contacted with the one or more alcohols or one of more second ester compounds in the presence of a free radical polymerization stabilizer.

23. A method according to claim 8 wherein the acid or the ester of a the acid is present in a sufficient amount to catalyze the replacement of one or more hydrocarbon moieties of the first compound having one or more ester groups with the hydrocarbon backbone of the one or more alcohols or a hydrocarbyl moiety from the one or more second compounds having one or more ester groups, wherein the acid or the ester of the acid is present in an amount of about 0.1 molar equivalents or less of the acid or the ester of acid per molar equivalent of the first compound having one or more ester groups.

24. A method according to claim 8 wherein the method is performed at a temperature of about  $50^{\circ}$  C. to about  $160^{\circ}$  C.

25. A method according to claim 14 wherein the acid or the ester of the acid is present in a sufficient amount to catalyze the replacement of one or more hydrocarbon moieties of the first compound having one or more ester groups with the hydrocarbon backbone of the one or more alcohols or a hydrocarbyl moiety from the one or more second compounds having one or more ester groups, wherein the acid or the ester of the acid is present in an amount of about 0.1 molar equivalents or less of the acid or the ester of acid per molar equivalent of the first compound having one or more ester groups.

26. A method according to claim 14 wherein the method is performed at a temperature of about  $50^{\circ}$  C. to about  $160^{\circ}$  C.

27. A method of according to claim 14 wherein the first ester compound is contacted with the one or more alcohols or

radical polymerization stabilizer. 28. A method according to claim 11 wherein the acid or the ester of the acid is present in a sufficient amount to catalyze the replacement of one or more hydrocarbon moieties of the first compound having one or more ester groups with the hydrocarbon backbone of the one or more alcohols or a hydrocarbyl moiety from the one or more second compounds having one or more ester groups, wherein the acid or the ester of the acid is present in an amount of about 0.1 molar equivalents or less of the acid or the ester of acid per molar equivalent of the first compound having one or more ester groups.

**29**. A method according to claim **16** wherein the method is performed at a temperature of about  $50^{\circ}$  C. to about  $160^{\circ}$  C.

**30**. A method of according to claim **11** wherein the first ester compound comprises one or more 1,1-disubstituted alkenes and is contacted with the one or more alcohols or one of more second ester compounds in the presence of a free radical polymerization stabilizer.

\* \* \* \* \*