## **PCT**

## WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



### INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6:

C12N 15/82, A01N 63/00, A01H 5/00, 4/00

(11) International Publication Number:

WO 97/42332

(43) International Publication Date:

13 November 1997 (13.11.97)

(21) International Application Number:

PCT/EP97/02201

**A3** 

(22) International Filing Date:

29 April 1997 (29.04.97)

(30) Priority Data:

9609358.8

3 May 1996 (03.05.96)

GB

(71) Applicants (for all designated States except US): INSTITUT FRANÇAIS DE RECHERCHE SCIENTIFIQUE POUR LE DEVELOPPEMENT EN COOPERATION (ORSTOM) [FR/FR]; 213, rue la Fayette, F-75480 Paris Cedex 10 (FR). THE SCRIPPS RESEARCH INSTITUTE (T.S.R.I.) [US/US]; 10666 North Torrey, Pines Road, La Jolla, CA 92037 (US).

(72) Inventors; and

- (75) Inventors/Applicants (for US only): FAUQUET, Claude [FR/US]; 13339 Grand Via Point, Del Mar Heights, CA 92130 (US). BEACHY, Roger, N. [US/US]; 7351 Caminito Bassano, La Jolla, CA 92037 (US). SCHOPKE, Christian [DE/US]; 11331 Porreca Point, San Diego, CA 92126 (US). GONZALEZ DE SCHOPKE, Aura [GT/US]; 11331 Porreca Point, San Diego, CA 92126 (US).
- (74) Agents: PEAUCELLE, Chantal et al.; Cabinet Armengaud Aine, 3, avenue Bugeaud, F-75116 Paris (FR).

(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ARIPO patent (GH, KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).

#### **Published**

With international search report.

Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

(88) Date of publication of the international search report: 24 December 1997 (24.12.97)

(54) Title: GENETICALLY TRANSFORMED CASSAVA CELLS AND REGENERATION OF TRANSGENIC CASSAVA PLANTS

(57) Abstract

The invention relates to methods for the stable genetic transformation and regeneration of Cassava.

### FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
ΑU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
ΑZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	LT.	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav	TM	Turkmenistan
BF	Burkina Faso	GR	Greece		Republic of Macedonia	TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's	NZ	New Zealand		
CM	Cameroon		Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		
		•					

Intern ial Application No PCT/EP 97/02201

A. CLASSIFICATION OF SUBJECT MATTER 1PC 6 C12N15/82 A01 Ã01N63/00 A01H5/00 A01H4/00 According to International Patent Classification (IPC) or to both national classification and IPC **B. FIELDS SEARCHED** Minimum documentation searched (classification system followed by classification symbols) C12N A01N A01H Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practical, search terms used) C. DOCUMENTS CONSIDERED TO BE RELEVANT Relevant to claim No. Citation of document, with indication, where appropriate, of the relevant passages SCHOEPKE C ET AL: "STABLE TRANSFORMATION 1,2, Χ OF CASSAVA PLANTS (MANIHOT ESCULENIA 5-11, 15-20, CRANTZ) BY PARTICLE BOMBARDMENT OF 25-30 EMBRYOGENIC SUSPENSION CULTURES" IN VITRO CELLULAR AND DEVELOPMENTAL BIOLOGY. vol. 32, no. 3, PART 02, March 1996, page 67A XP002021188 3,12-14 see abstract P-1003 Υ WO 92 02139 A (AGRICULTURAL GENETICS CO) 3 γ 20 February 1992 see page 5, line 1 - line 3 -/--Patent family members are listed in annex. Further documents are listed in the continuation of box C Special categories of cited documents: \*T\* later document published after the international filing date or priority date and not in conflict with the application but "A" document defining the general state of the art which is not considered to be of particular relevance cited to understand the principle or theory underlying the invention "E" earlier document but published on or after the international \*X\* document of particular relevance; the claimed invention filing date cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. "O" document referring to an oral disclosure, use, exhibition or other means \*P\* document published prior to the international filing date but later than the priority date claimed "&" document member of the same patent family Date of mailing of the international search report Date of the actual completion of the international search 1 8, 11, 97 28 July 1997 Name and mailing address of the ISA Authorized officer European Patent Office, P.B. 5818 Patentiaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo ni, MADDOX, A Fax: (+31-70) 340-3016

4

Intern: al Application No
PCT/EP 97/02201

	PC1/EP 97/02201			
C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT  Category Citation of document, with indication, where appropriate, of the relevant passages  Relevant to claim No.				
Citation of document, with indication, where appropriate, of the relevant passages	Newyall to claim No.			
WO 95 26634 A (AXIS GENETICS LIMITED ;GEOGHEGAN IRENE (GB); ROBERTSON WALTER (GB)) 12 October 1995 see page 7, paragraph 3	3			
LI, HQ., ET AL.: "Genetic transformation of cassava (Manihot esculenta Crantz)" NATURE BIOTECHNOLOGY, vol. 14, no. 6, June 1996, pages 736-740, XP002036203	12-14			
& ARTICLES CITED AS REFERENCES 13,15,17,21-23 OF LI ET AL.,	12-14			
SCHÖPKE, C., ET AL.: "Regeneration of transgenic cassava plants (Manihot esculenta Crantz) from microbombarded embryogenic suspension cultures" NATURE BIOTECHNOLOGY, vol. 14, no. 6, June 1996, pages 731-735, XP002036204 see the whole document	1,2, 5-11, 15-20, 25-30			
KONAN N K ET AL: "OPTIMIZATION OF PLANT REGENERATION FROM EMBRYOGENIC SUSPENSION CULTURES OF CASSAVA ( MANIHOT ESCULENTA CRANTZ)" IN VITRO CELLULAR AND DEVELOPMENTAL BIOLOGY, vol. 32, no. 3, PART 02, March 1996, page 89A/90A XP000615296	1-3, 5-20, 25-30			
LUONG, H.T., ET AL.: "Transient gene expression in cassava somatic embryos by tissue electroporation" PLANT SCIENCE, vol. 107, 1995, pages 105-115, XP002035999 see the whole document	11			
ARIAS-GARZON, D.I., ET AL.: "Optimization of transient transformation in cassava (Manihot esculenta Crantz)" PLANT PHYSIOLOGY SUPPLEMENT, vol. 108, no. 2, June 1995, page 152 XP002036205 see abstract 802	12-14			
	WO 95 26634 A (AXIS GENETICS LIMITED; GEOGHEGAN IRENE (GB); ROBERTSON WALTER (GB)) 12 October 1995 see page 7, paragraph 3  LI, HQ., ET AL.: "Genetic transformation of cassava (Manihot esculenta Crantz)"  NATURE BIOTECHNOLOGY, vol. 14, no. 6, June 1996, pages 736-740, XP002036203 see the whole document & ARTICLES CITED AS REFERENCES 13,15,17,21-23 OF LI ET AL.,  SCHÖPKE, C., ET AL.: "Regeneration of transgenic cassava plants (Manihot esculenta Crantz) from microbombarded embryogenic suspension cultures" NATURE BIOTECHNOLOGY, vol. 14, no. 6, June 1996, pages 731-735, XP002036204 see the whole document  KONAN N K ET AL: "OPTIMIZATION OF PLANT REGENERATION FROM EMBRYOGENIC SUSPENSION CULTURES OF CASSAVA (MANIHOT ESCULENTA CRANTZ)"  IN VITRO CELLULAR AND DEVELOPMENTAL BIOLOGY, vol. 32, no. 3, PART 02, March 1996, page 89A/90A XP000615296  LUONG, H.T., ET AL.: "Transient gene expression in cassava somatic embryos by tissue electroporation" PLANT SCIENCE, vol. 107, 1995, pages 105-115, XP002035999 see the whole document  ARIAS-GARZON, D.I., ET AL.: "Optimization of transient transformation in cassava (Manihot esculenta Crantz)" PLANT PHYSIOLOGY SUPPLEMENT, vol. 108, no. 2, June 1995, page 152 XP002036205			

4

Interr nal Application No
PCT/EP 97/02201

ation) DOCUMENTS CONSIDERED TO BE RELEVANT	
Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
DATABASE CAB CAB INTERNATIONAL, WALLINGFORD, OXON, GB dn 941600229, FAUQUET, C.M.: "Status of cassava regeneration and transformation" XP002036208 see abstract & CBN NEWSLETTER, (1993) VOL. 1, NO. 1, PP. 7-9.,	12-14
TAYLOR, N.J., ET AL.: "Development of friable embryogenic callus and embryogenic suspension culture systems in cassava (Manihot esculenta Crantz)" NATURE BIOTECHNOLOGY, vol. 14, no. 6, June 1996, pages 726-730, XP002036206 see the whole document	1-3, 5-20, 25-30
CHEMICAL ABSTRACTS, vol. 126, no. 15, 14 April 1997 Columbus, Ohio, US; abstract no. 197455, BELLOTTI, ANTHONY C. ET AL: "Cassava cyanogenic potential and resistance to pests and diseases" XP002036207	3
see abstract & ACTA HORTIC. (1994), 375(INTERNATIONAL WORKSHOP ON CASSAVA SAFETY, 1994), 141-151,	3
RAEMAKERS C J J M ET AL: "Production of transgenic cassava (Manihot esculenta Crantz) plants by particle bombardment using luciferase activity as selection marker."  MOLECULAR BREEDING 2 (4). 1996. 339-349. ISSN: 1380-3743, XP002036000	12-14
See reference 28 & SARRIA, R., ET AL.: "Agrobacterium mediated transformation of cassava." ABSTRACTS OF SECOND INTERNATIONAL SCIENTIFIC MEETING OF THE CASSAVA BIOTECHNOLOGY NETWORK- CBNII, 22-26 AUGUST, 1994, BOGOR, INDONESIA, 1995, P47.,	12-14
	DATABASE CAB CAB INTERNATIONAL, WALLINGFORD, OXON, GB dn 941600229, FAUQUET, C.M.: "Status of cassava regeneration and transformation" XP002036208 see abstract & CBN NEWSLETTER, (1993) VOL. 1, NO. 1, PP. 7-9.,  TAYLOR, N.J., ET AL.: "Development of friable embryogenic callus and embryogenic suspension culture systems in cassava (Manihot esculenta Crantz)" NATURE BIOTECHNOLOGY, vol. 14, no. 6, June 1996, pages 726-730, XP002036206 see the whole document  CHEMICAL ABSTRACTS, vol. 126, no. 15, 14 April 1997 Columbus, Ohio, US; abstract no. 197455, BELLOTTI, ANTHONY C. ET AL: "Cassava cyanogenic potential and resistance to pests and diseases" XP002036207 see abstract & ACTA HORTIC. (1994), 375(INTERNATIONAL WORKSHOP ON CASSAVA SAFETY, 1994), 141-151,  RAEMAKERS C J J M ET AL: "Production of transgenic cassava (Manihot esculenta Crantz) plants by particle bombardment using luciferase activity as selection marker." MOLECULAR BREEDING 2 (4). 1996. 339-349. ISSN: 1380-3743, XP002036000 see reference 28 & SARRIA, R., ET AL.: "Agrobacterium mediated transformation of cassava." ABSTRACTS OF SECOND INTERNATIONAL SCIENTIFIC MEETING OF THE CASSAVA BIOTECHNOLOGY NETWORK- CBNII, 22-26 AUGUST, 1994, BOGOR, INDONESIA, 1995,

4

In ational application No.

PCT/EP 97/02201

Box I	Observations where certain claims were found unsearchable (Continuation of item 1 of first sneet)
This Int	ernational Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
1.	Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:
2. X	Claims Nos.:  because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
	Claims searched incompletely: 1-3,5-20,25-30 Reason: The wording pest resistance does not adequately define the subject matter for which protection is sought. The search has been restricted to resistance to invertebrate pests.
3.	Claims Nos.:  Claims Nos.:  because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box II	Observations where unity of invention is lacking (Continuation of item 2 of first sheet)
This Int	ernational Searching Authority found multiple inventions in this international application, as follows:
se	ee continuation-sheet
1.	As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2.	As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3.	As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. X	No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
	1-3,5-20,25-30 all partially (subject 1.)
Remar	k on Protest  The additional search fees were accompanied by the applicant's protest.
	No protest accompanied the payment of additional search fees.

### FURTHER INFORMATION CONTINUED FROM PCT/ISAL10

1: Claims 1-3, 5-20, 25-30 all partially.

Method for the introduction of pest resistance trait in cassava, by stable genetic transformation with DNA whose expression confers said resistance. Cassava cell suspension, tissues and transgenic plants obtained by the method.

2: Claims 1-20,25-30 all partially.

Method for the introduction of disease resistance trait in cassava, by stable genetic transformation with DNA whose expression confers said resistance. Said DNA encoding for example viral coat protein, viral replicases, viral trans-activators, viral movement proteins, viral transmission factors. Cassava cell suspension, tissues and transgenic plants obtained by the method.

3: Claims 1-3, 5-20, 25-30 all partially.

Method for the introduction of environmental stress resistance in cassava, by stable genetic transformation with DNA whose expression confers said resistance. Cassava cell suspension, tissues and transgenic plants obtained by the method.

4: Claims 1-20, 25-30 all partially.

Method for the introduction of improved starch quality/quantity trait in cassava, by stable genetic transformation with DNA whose expression confers said trait. Said DNA encoding for example antisense DNA for cassava GBSS (Granule bound starch synthase), and starch modifying enzymes. Cassava cell suspension, tissues and transgenic plants obtained by the method.

5: Claims 1-3, 5-20, 25-30 all partially.

Method for the introduction of increased tuber protein-content trait in cassava, by stable genetic transformation with DNA whose expression confers said trait. Cassava cell suspension, tissues and transgenic plants obtained by the method.

6: Claims 1-20, 25-30 all partially.

Method for the introduction of foreign secondary metabolite or foreign protein trait in cassava, by stable genetic transformation with DNA whose expression confers said trait. Said DNA encoding for example proteins involved in the production of PHA/PHB's. Cassava cell suspension, tissues and transgenic plants obtained by the method.

#### FURTHER INFORMATION CONTINUED FROM PCT/ISA£10

7: Claims 1-20, 25-30 all partially.

Method for the introduction of reduced cyanogenic glucoside trait in cassava, by stable genetic transformation with DNA whose expression confers said trait. Said DNA encoding for example, linamarase related enzymes or alphahydroxynitrile lyase related enzymes. Cassava cell suspension, tissues and transgenic plants obtained by the method.

8: Claims 1-3, 5-20, 25-30 all partially.

Method for the introduction of extended shelf-life trait in cassava, by stable genetic transformation with DNA whose expression confers said trait. Cassava cell suspension, tissues and transgenic plants obtained by the method.

9: Claims 1-20, 25-30 all partially.

Method for the introduction of traits not covered by any previous group of claims in cassava, by stable genetic transformation with DNA whose expression confers said trait. Cassava cell suspension, tissues and transgenic plants obtained by the method.

10: Claims 21-24 all completely, 29 partially.

Method for regeneration of transformed embryogenic cassava structures using the starting tissues and culture conditions as defined in claims 21-24.

Note that further searches may give rise to further objections concerning lack of unity.

Information on patent family members

Interr nal Application No
PCT/EP 97/02201

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9202139 A	20-02-92	AU 654496 B AU 8315291 A EP 0542833 A JP 6502299 T US 5545820 A	10-11-94 02-03-92 26-05-93 17-03-94 13-08-96
WO 9526634 A	12-10-95	AU 2079895 A CA 2186737 A EP 0752816 A	23-10-95 12-10-95 15-01-97