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(54) **UPLINK SIGNAL TRANSMISSION METHOD AND USER EQUIPMENT, AND UPLINK SIGNAL RECEPTION METHOD AND BASE STATION**

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(57) **ABSTRACT**

A user equipment receives a first multiple access (MA) time resource information indicating static MA time resources in which uplink (UL) grant-free UL transmission is permitted among time resources corresponding to a fixed time length Twindow. If UL data (hereafter referred to as first UL data) to be transmitted in one (hereafter referred to as a first static MA time resource) of the static MA time resources exists, the UE transmits the first UL data in the first static MA time resource by means of a predetermined frequency resource. The UE can monitor downlink control information (hereafter referred to as first DCI) in non-static MA time resources, which are not the static MA time resources, among the time resources, and, if the first DCI is received, can transmit UL data (hereafter referred to as second UL data) to the first DCI or receive DL data.

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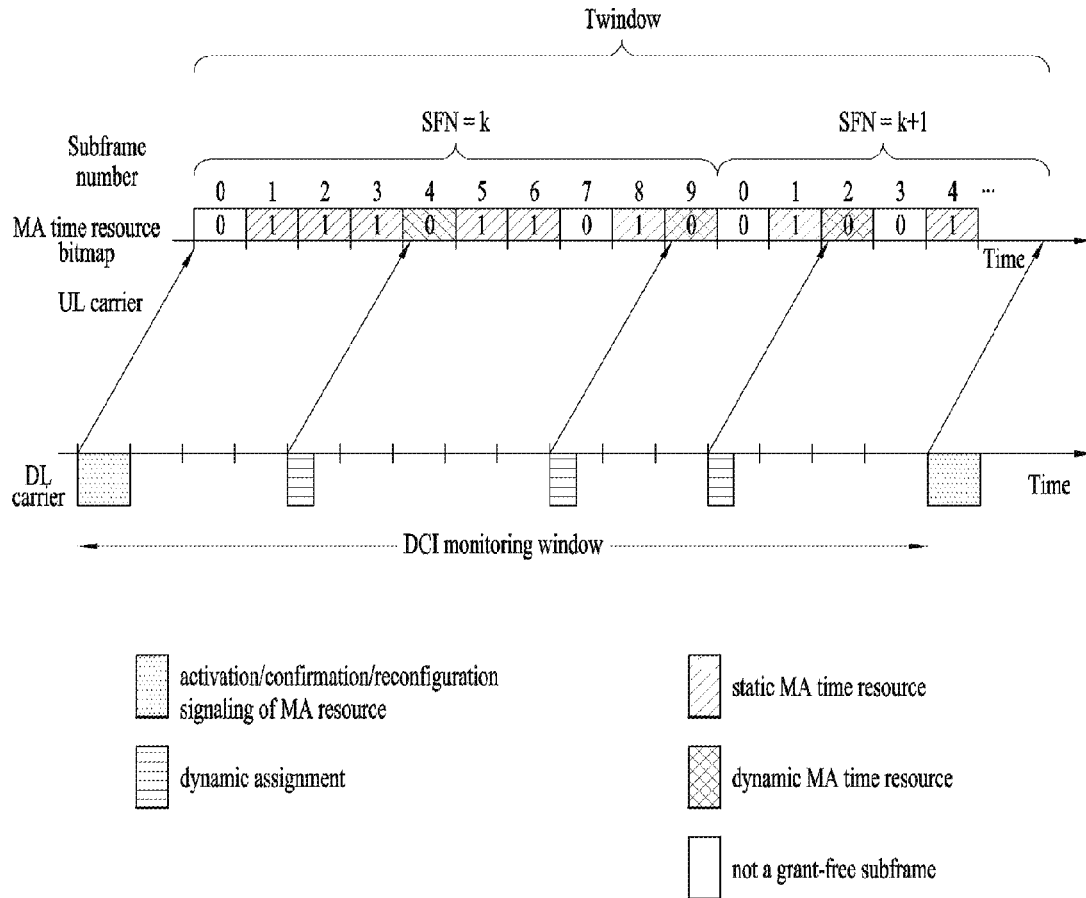


FIG. 1

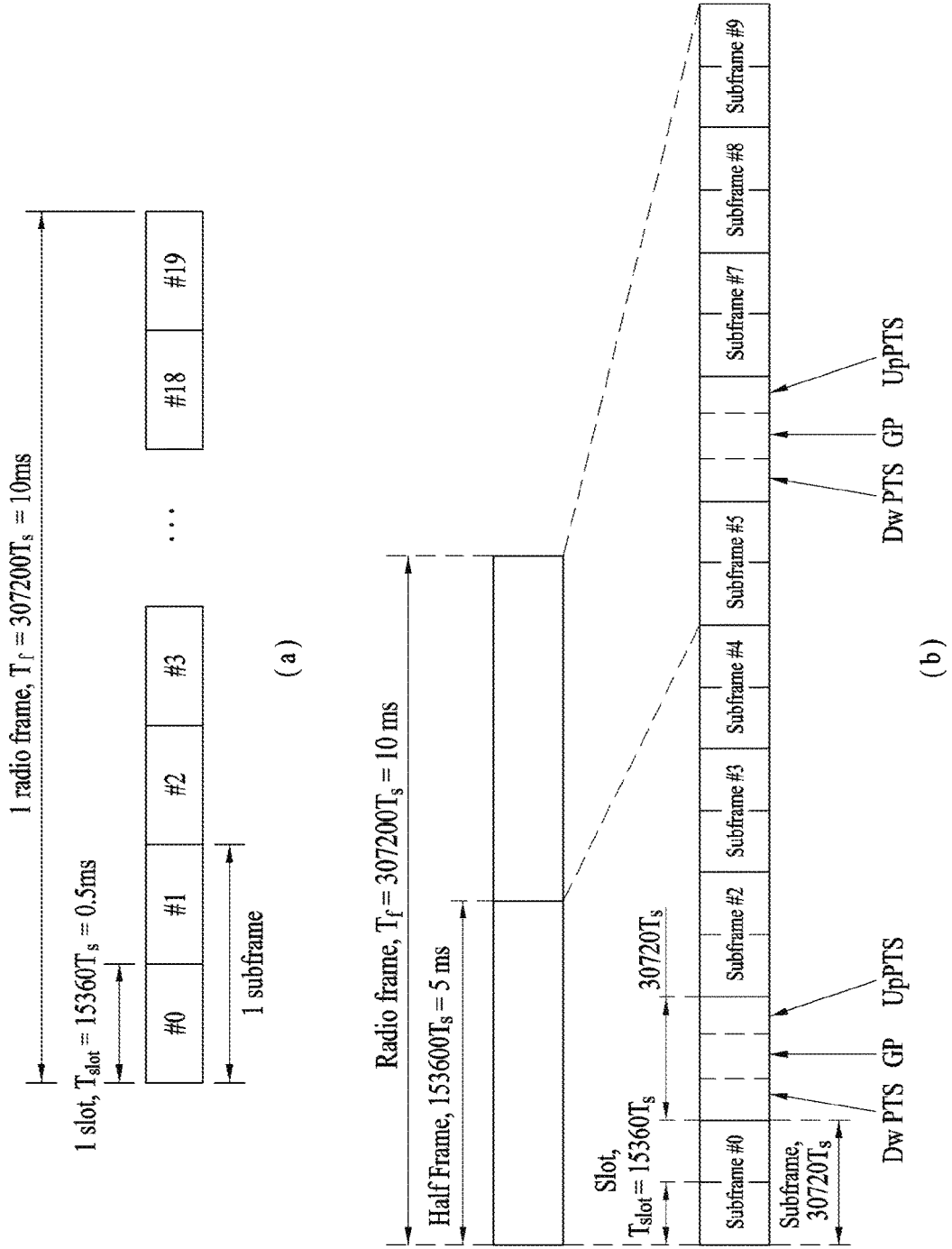


FIG. 2

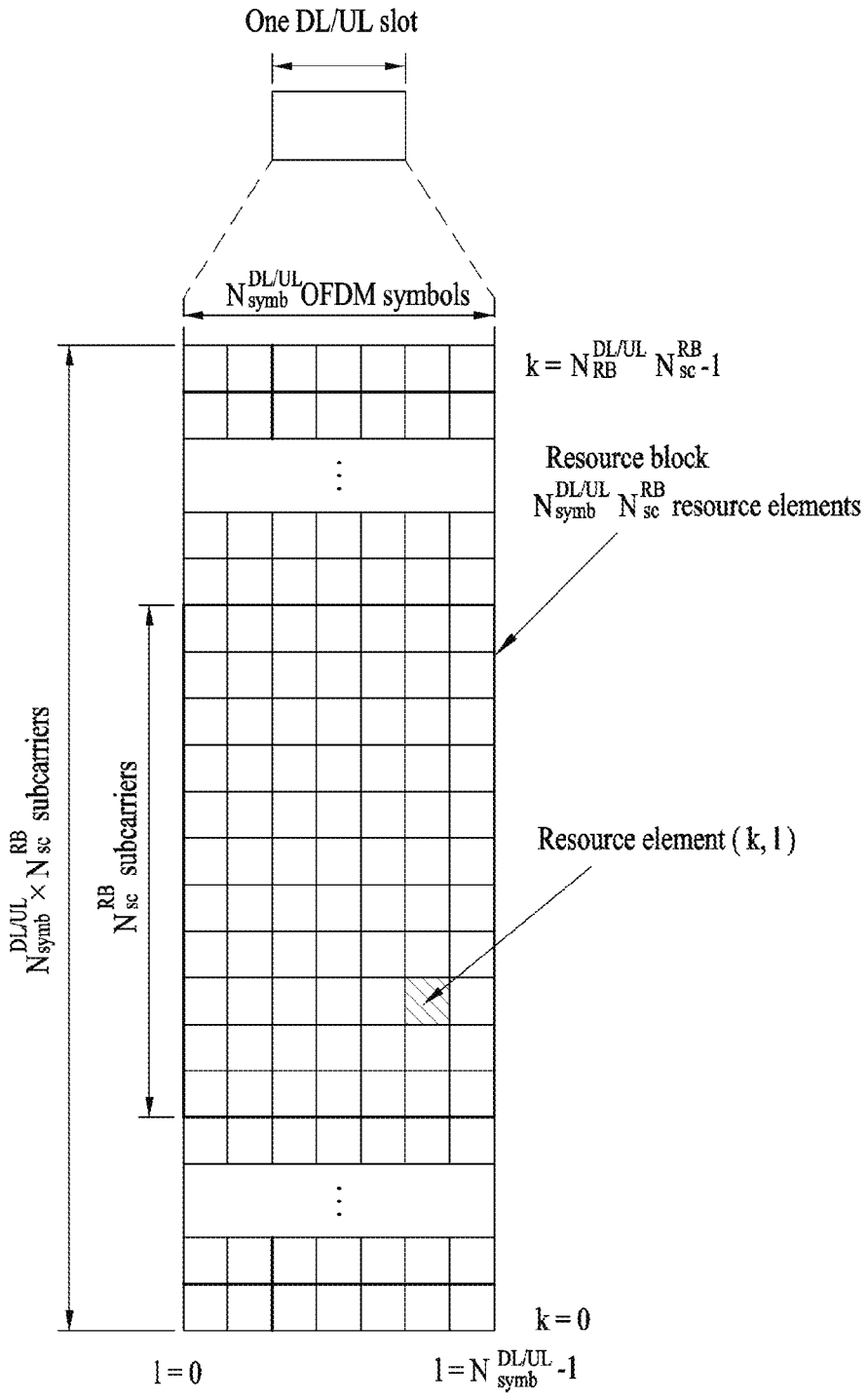


FIG. 3

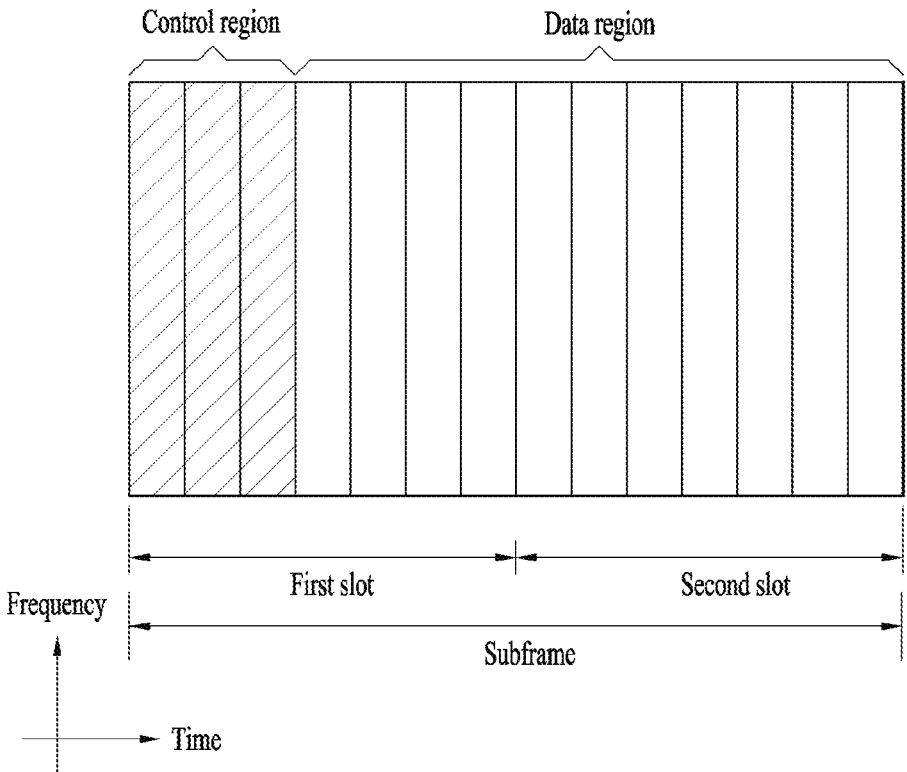


FIG. 4

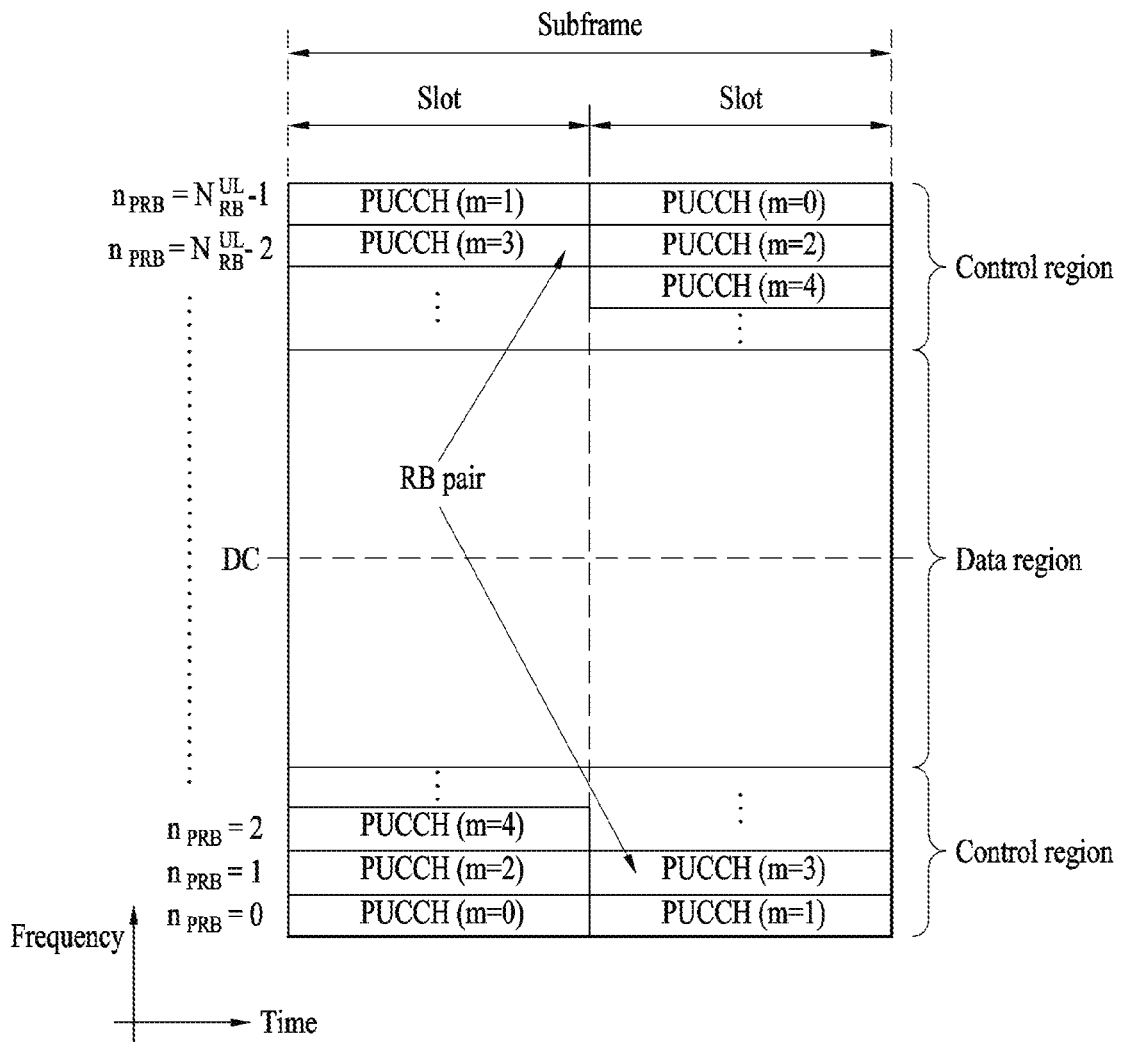


FIG. 5

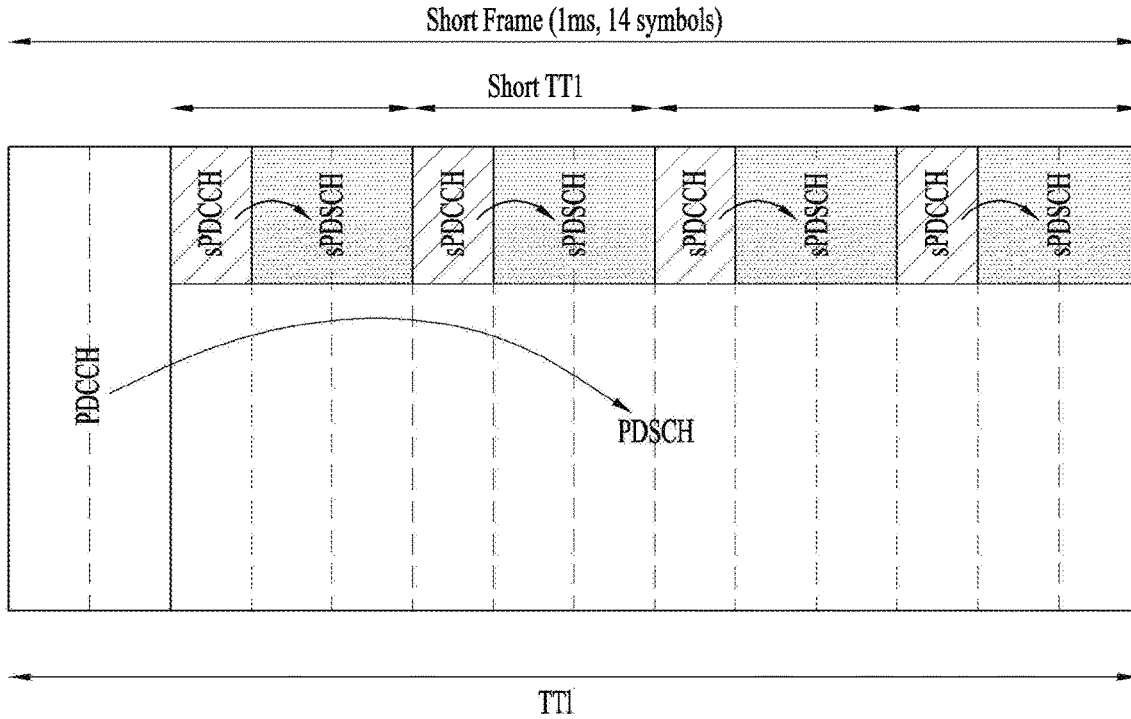


FIG. 6

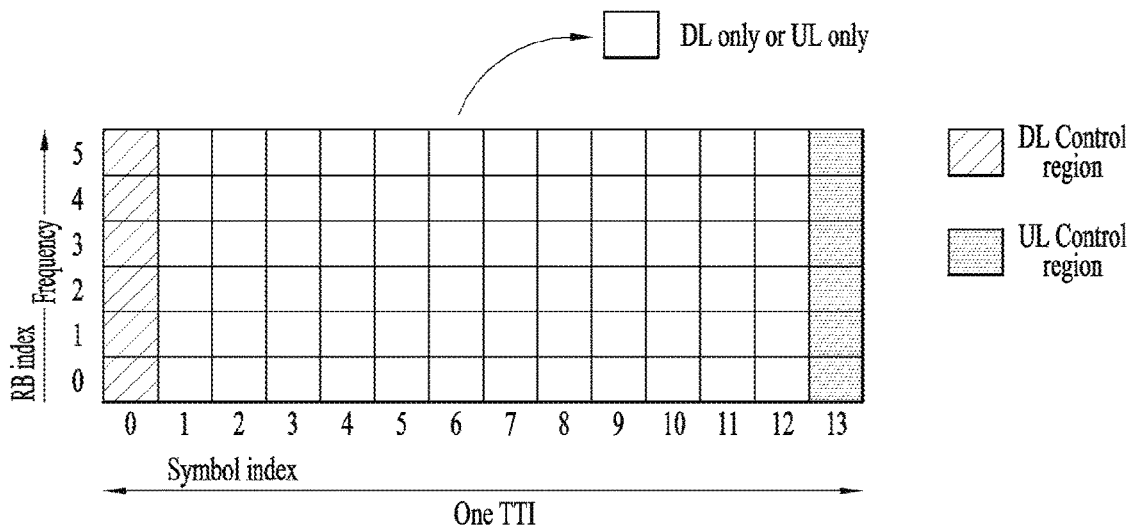


FIG. 7

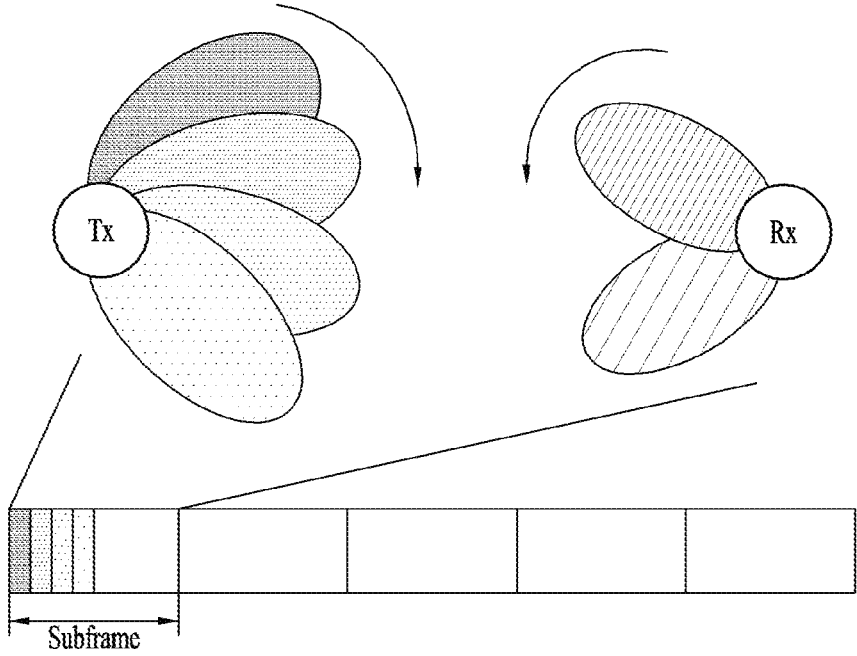


FIG. 8

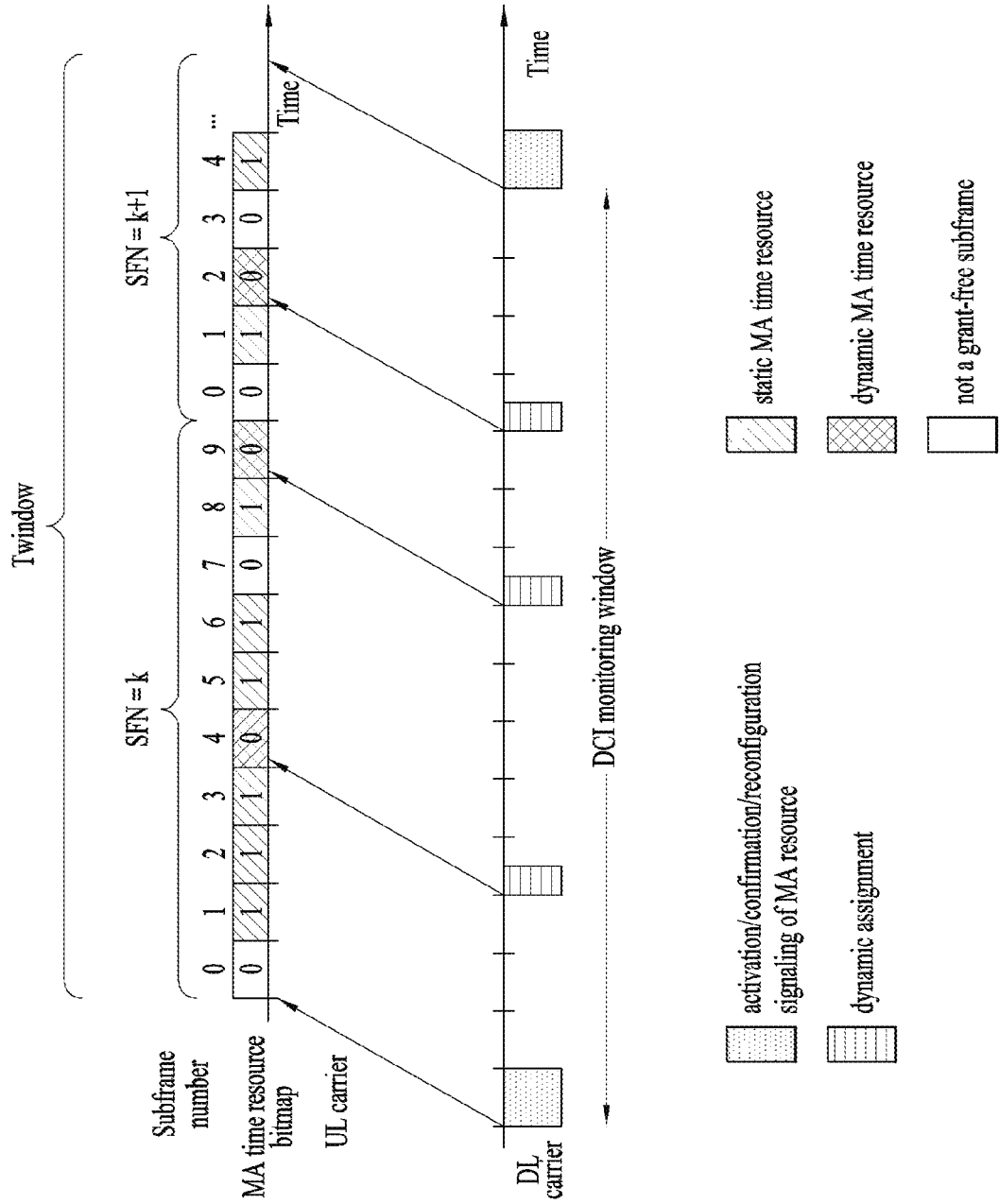




FIG. 9

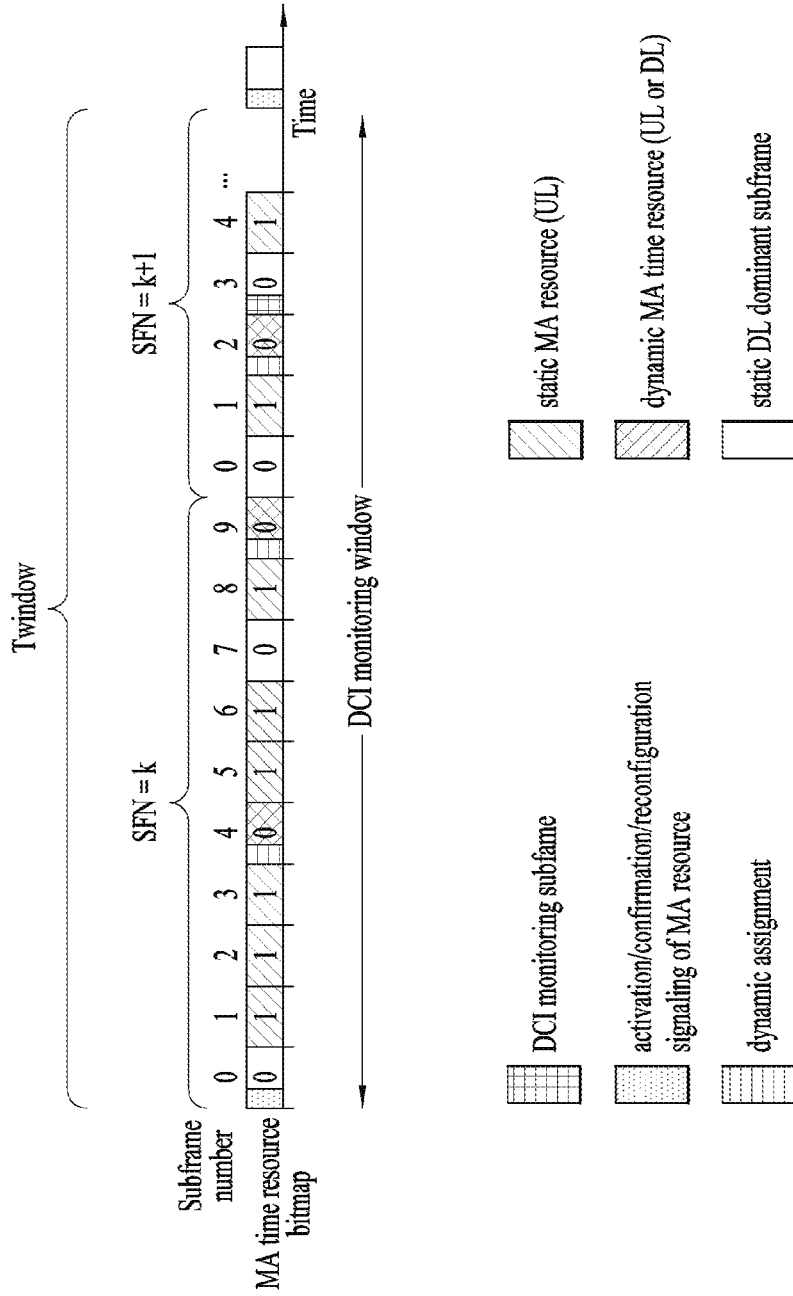


FIG. 10

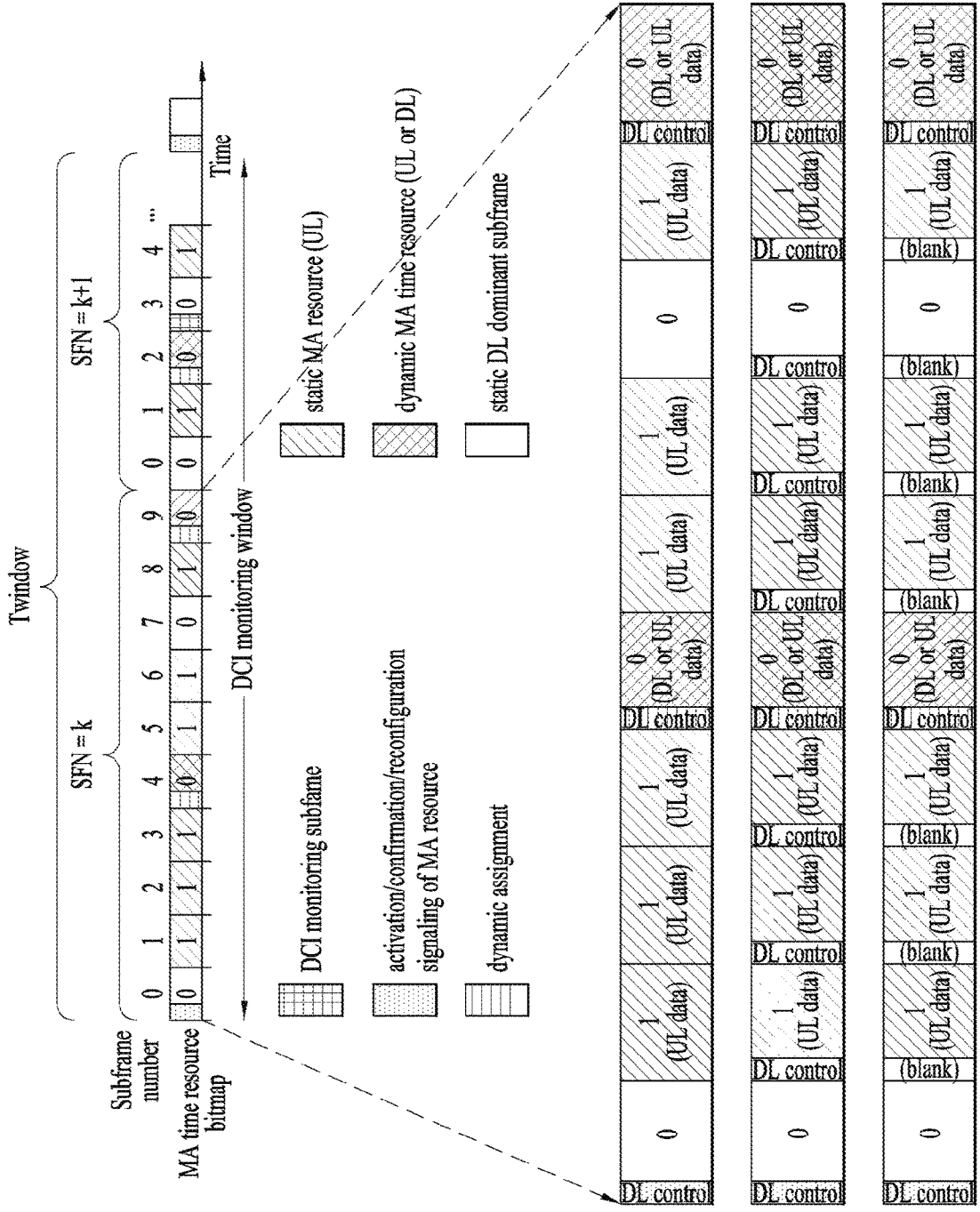
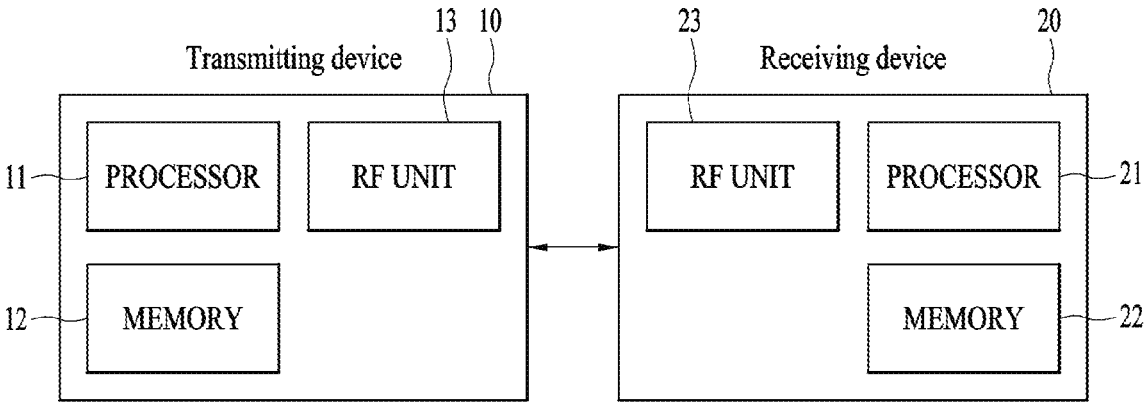


FIG. 11



**UPLINK SIGNAL TRANSMISSION METHOD  
AND USER EQUIPMENT, AND UPLINK  
SIGNAL RECEPTION METHOD AND BASE  
STATION**

TECHNICAL FIELD

**[0001]** The present invention relates to a wireless communication system, and more particularly, to a method and apparatus for transmitting/receiving uplink signals.

BACKGROUND ART

**[0002]** With appearance and spread of machine-to-machine (M2M) communication and a variety of devices such as smartphones and tablet PCs and technology demanding a large amount of data transmission, data throughput needed in a cellular network has rapidly increased. To satisfy such rapidly increasing data throughput, carrier aggregation technology, cognitive radio technology, etc. for efficiently employing more frequency bands and multiple input multiple output (MIMO) technology, multi-base station (BS) cooperation technology, etc. for raising data capacity transmitted on limited frequency resources have been developed.

**[0003]** A general wireless communication system performs data transmission/reception through one downlink (DL) band and through one uplink (UL) band corresponding to the DL band (in case of a frequency division duplex (FDD) mode), or divides a prescribed radio frame into a UL time unit and a DL time unit in the time domain and then performs data transmission/reception through the UL/DL time unit (in case of a time division duplex (TDD) mode). A base station (BS) and a user equipment (UE) transmit and receive data and/or control information scheduled on a prescribed time unit basis, e.g. on a subframe basis. The data is transmitted and received through a data region configured in a UL/DL subframe and the control information is transmitted and received through a control region configured in the UL/DL subframe. To this end, various physical channels carrying radio signals are formed in the UL/DL subframe. In contrast, carrier aggregation technology serves to use a wider UL/DL bandwidth by aggregating a plurality of UL/DL frequency blocks in order to use a broader frequency band so that more signals relative to signals when a single carrier is used can be simultaneously processed.

**[0004]** In addition, a communication environment has evolved into increasing density of nodes accessible by a user at the periphery of the nodes. A node refers to a fixed point capable of transmitting/receiving a radio signal to/from the UE through one or more antennas. A communication system including high-density nodes may provide a better communication service to the UE through cooperation between the nodes.

**[0005]** As more communication devices have demanded higher communication capacity, there has been necessity of enhanced mobile broadband (eMBB) relative to legacy radio access technology (RAT). In addition, massive machine type communication (mMTC) for providing various services at any time and anywhere by connecting a plurality of devices and objects to each other is one main issue to be considered in next generation communication.

**[0006]** Further, a communication system to be designed in consideration of a service/UE sensitive to reliability and standby time is under discussion. Introduction of next generation radio access technology has been discussed by taking

into consideration eMBB communication, mMTC, ultra-reliable and low-latency communication (URLLC), and the like.

DISCLOSURE

Technical Problem

**[0007]** Due to introduction of new radio communication technology, the number of user equipments (UEs) to which a BS should provide a service in a prescribed resource region increases and the amount of data and control information that the BS should transmit to the UEs increases. Since the amount of resources available to the BS for communication with the UE(s) is limited, a new method in which the BS efficiently receives/transmits uplink/downlink data and/or uplink/downlink control information using the limited radio resources is needed.

**[0008]** With development of technologies, overcoming delay or latency has become an important challenge. Applications whose performance critically depends on delay/latency are increasing. Accordingly, a method to reduce delay/latency compared to the legacy system is demanded.

**[0009]** Also, with development of smart devices, a new scheme for efficiently transmitting/receiving a small amount of data or efficiently transmitting/receiving data occurring at a low frequency is required.

**[0010]** In addition, a signal transmission/reception method is required in the system supporting new radio access technologies using high frequency bands.

**[0011]** The technical objects that can be achieved through the present invention are not limited to what has been particularly described hereinabove and other technical objects not described herein will be more clearly understood by persons skilled in the art from the following detailed description.

Technical Solution

**[0012]** According to an aspect of the present invention, provided herein is a method of transmitting an uplink (UL) signal by a user equipment in a wireless communication system. The method includes: receiving first multiple access (MA) time resource information indicating static MA time resources on which UL transmission is permitted without a UL grant among time resources corresponding to a predetermined time length  $T_{window}$ ; when UL data (hereinafter, first UL data) to be transmitted on one (hereinafter, a first static MA time resource) of the static MA time resources is present, transmitting the first UL data on the first static MA time resource using a predetermined frequency resource, and monitoring downlink control information (hereinafter, first DCI) on non-static MA time resources, which are not the static MA time resources among the time resources, and, upon receiving the first DCI, transmitting UL data (hereinafter, second UL data) or receiving downlink (DL) data, according to the first DCI.

**[0013]** According to another aspect of the present invention, provided herein is a user equipment for transmitting an uplink (UL) signal in a wireless communication system. The user equipment includes a radio frequency (RF) unit, and a processor configured to control the RF unit. The processor is configured to: control the RF unit to receive first multiple access (MA) time resource information indicating static MA time resources on which UL transmission is permitted

without a UL grant among time resources corresponding to a predetermined time length Twindow; when UL data (hereinafter, first UL data) to be transmitted on one (hereinafter, a first static MA time resource) of the static MA time resources is present, control the RF unit to transmit the first UL data on the first static MA time resource using a predetermined frequency resource; and monitor downlink control information (DCI) on non-static MA time resources, which are not the static MA time resources among the time resources, and control the RF unit to transmit UL data (hereinafter, second UL data) or receive downlink (DL) data on a non-static MA time resource (hereinafter, a first non-static MA time resource) on which the DCI (hereinafter, first DCI) is received among the non-static MA time resources.

**[0014]** According to another aspect of the present invention, provided herein is a method of receiving an uplink (UL) signal by a base station in a wireless communication system. The method includes: transmitting first multiple access (MA) time resource information indicating static MA time resources on which UL transmission is permitted without a UL grant among time resources corresponding to a predetermined time length Twindow; monitoring reception of UL data from one or more user equipments on the static MA time resources; and transmitting downlink control information (hereinafter, first DCI) to a specific user equipment on one (hereinafter, a first non-static MA time resource) of non-static MA time resources, which are not the static MA time resources among the time resources, and receiving UL data of the specific user equipment or transmitting downlink (DL) data to the specific user equipment, according to the first DCI.

**[0015]** According to another aspect of the present invention, provided herein is a base station for receiving an uplink (UL) signal in a wireless communication system. The base station includes a radio frequency (RF) unit, and a processor configured to control the RF unit. The processor is configured to: control the RF unit to transmit first multiple access (MA) time resource information indicating static MA time resources on which UL transmission is permitted without a UL grant among time resources corresponding to a predetermined time length Twindow; monitor reception of UL data from one or more user equipments on the static MA time resources; and control the RF unit to transmit downlink control information (hereinafter, first DCI) to a specific user equipment on one (hereinafter, a first non-static MA time resource) of non-static MA time resources, which are not the static MA time resources among the time resources, and control the RF unit to receive UL data of the specific user equipment or transmit downlink (DL) data to the specific user equipment, according to the first DCI.

**[0016]** In each aspect of the present invention, the base station may transmit second downlink control information (DCI) indicating activation or deactivation of the static MA time resources on a start time resource every Twindow. The user equipment may attempt to receive the second downlink control information (DCI) indicating activation or deactivation of the static MA time resources on the start time resource every Twindow. When the second DCI indicates activation, the predetermined frequency resource may be available for UL transmission on the static MA time resources during Twindow after a time resource on which the second DCI is received and, when the second DCI indicates deactivation, the predetermined frequency resource may not be available for UL transmission on the

static MA time resources during Twindow after the time resource on which the second DCI is received.

**[0017]** In each aspect of the present invention, the base station may transmit at least one of UL transmission power related information, frequency resource information, and modulation and coding scheme related information, which are to be applied to the static MA time resources. The user equipment may receive at least one of the UL transmission power related information, the frequency resource information, and the modulation and coding scheme related information, which are to be applied to the static MA time resources.

**[0018]** In each aspect of the present invention, the first DCI may include UL transmission power related information, frequency resource information, and modulation and coding scheme related information which are different from the UL transmission power related information, the frequency resource information, and the modulation and coding scheme applied to the static MA time resources.

**[0019]** The above technical solutions are merely some parts of the examples of the present invention and various examples into which the technical features of the present invention are incorporated can be derived and understood by persons skilled in the art from the following detailed description of the present invention.

#### Advantageous Effects

**[0020]** According to the present invention, uplink/downlink signals can be efficiently transmitted/received. Therefore, overall throughput of a radio communication system can be improved.

**[0021]** According to an example of the present invention, delay/latency occurring during communication between a user equipment and a base station may be reduced.

**[0022]** In addition, owing to development of smart devices, it is possible to efficiently transmit/receive not only a small amount of data but also data which occurs infrequently.

**[0023]** Moreover, signals can be transmitted/received in the system supporting new radio access technologies.

**[0024]** It will be appreciated by persons skilled in the art that that the effects that can be achieved through the present invention are not limited to what has been particularly described hereinabove and other advantages of the present invention will be more clearly understood from the following detailed description.

#### BRIEF DESCRIPTION OF THE DRAWINGS

**[0025]** The accompanying drawings, which are included to provide a further understanding of the invention, illustrate examples of the invention and together with the description serve to explain the principle of the invention.

**[0026]** FIG. 1 illustrates the structure of a radio frame used in the LTE/LTE-A based wireless communication system.

**[0027]** FIG. 2 illustrates the structure of a downlink (DL)/uplink (UL) slot in the LTE/LTE-A based wireless communication system.

**[0028]** FIG. 3 illustrates the structure of a DL subframe used in the LTE/LTE-A based wireless communication system.

**[0029]** FIG. 4 illustrates the structure of a UL subframe used in the LTE/LTE-A based wireless communication system.

**[0030]** FIG. 5 illustrates an example of a short TTI and a transmission example of a control channel and a data channel in the short TTI.

**[0031]** FIG. 6 illustrates a subframe structure.

**[0032]** FIG. 7 illustrates an application example of analog beamforming.

**[0033]** FIG. 8 illustrates a grant-free uplink multiple access method according to the present invention.

**[0034]** FIG. 9 illustrates another grant-free uplink multiple access method in a system according to the present invention.

**[0035]** FIG. 10 illustrates another grant-free uplink multiple access method in a system according to the present invention.

**[0036]** FIG. 11 is a block diagram illustrating elements of a transmitting device 10 and a receiving device 20 for implementing the present invention.

#### MODE FOR THE INVENTION

**[0037]** Reference will now be made in detail to the exemplary examples of the present invention, examples of which are illustrated in the accompanying drawings. The detailed description, which will be given below with reference to the accompanying drawings, is intended to explain exemplary examples of the present invention, rather than to show the only examples that can be implemented according to the invention. The following detailed description includes specific details in order to provide a thorough understanding of the present invention. However, it will be apparent to those skilled in the art that the present invention may be practiced without such specific details.

**[0038]** In some instances, known structures and devices are omitted or are shown in block diagram form, focusing on important features of the structures and devices, so as not to obscure the concept of the present invention. The same reference numbers will be used throughout this specification to refer to the same or like parts.

**[0039]** The following techniques, apparatuses, and systems may be applied to a variety of wireless multiple access systems. Examples of the multiple access systems include a code division multiple access (CDMA) system, a frequency division multiple access (FDMA) system, a time division multiple access (TDMA) system, an orthogonal frequency division multiple access (OFDMA) system, a single carrier frequency division multiple access (SC-FDMA) system, and a multicarrier frequency division multiple access (MC-FDMA) system. CDMA may be embodied through radio technology such as universal terrestrial radio access (UTRA) or CDMA2000. TDMA may be embodied through radio technology such as global system for mobile communications (GSM), general packet radio service (GPRS), or enhanced data rates for GSM evolution (EDGE). OFDMA may be embodied through radio technology such as institute of electrical and electronics engineers (IEEE) 802.11 (Wi-Fi), IEEE 802.16 (WiMAX), IEEE 802.20, or evolved UTRA (E-UTRA). UTRA is a part of a universal mobile telecommunications system (UMTS). 3rd generation partnership project (3GPP) long term evolution (LTE) is a part of evolved UMTS (E-UMTS) using E-UTRA. 3GPP LTE employs OFDMA in DL and SC-FDMA in UL. LTE-advanced (LTE-A) is an evolved version of 3GPP LTE. For convenience of description, it is assumed that the present invention is applied to 3GPP LTE/LTE-A. However, the technical features of the present invention are not limited

thereto. For example, although the following detailed description is given based on a mobile communication system corresponding to a 3GPP LTE/LTE-A system, aspects of the present invention that are not specific to 3GPP LTE/LTE-A are applicable to other mobile communication systems.

**[0040]** For example, the present invention is applicable to contention based communication such as Wi-Fi as well as non-contention based communication as in the 3GPP LTE/LTE-A system in which an eNB allocates a DL/UL time/frequency resource to a UE and the UE receives a DL signal and transmits a UL signal according to resource allocation of the eNB. In a non-contention based communication scheme, an access point (AP) or a control node for controlling the AP allocates a resource for communication between the UE and the AP, whereas, in a contention based communication scheme, a communication resource is occupied through contention between UEs which desire to access the AP. The contention based communication scheme will now be described in brief. One type of the contention based communication scheme is carrier sense multiple access (CSMA). CSMA refers to a probabilistic media access control (MAC) protocol for confirming, before a node or a communication device transmits traffic on a shared transmission medium (also called a shared channel) such as a frequency band, that there is no other traffic on the same shared transmission medium. In CSMA, a transmitting device determines whether another transmission is being performed before attempting to transmit traffic to a receiving device. In other words, the transmitting device attempts to detect presence of a carrier from another transmitting device before attempting to perform transmission. Upon sensing the carrier, the transmitting device waits for another transmission device which is performing transmission to finish transmission, before performing transmission thereof. Consequently, CSMA can be a communication scheme based on the principle of "sense before transmit" or "listen before talk". A scheme for avoiding collision between transmitting devices in the contention based communication system using CSMA includes carrier sense multiple access with collision detection (CSMA/CD) and/or carrier sense multiple access with collision avoidance (CSMA/CA). CSMA/CD is a collision detection scheme in a wired local area network (LAN) environment. In CSMA/CD, a personal computer (PC) or a server which desires to perform communication in an Ethernet environment first confirms whether communication occurs on a network and, if another device carries data on the network, the PC or the server waits and then transmits data. That is, when two or more users (e.g. PCs, UEs, etc.) simultaneously transmit data, collision occurs between simultaneous transmission and CSMA/CD is a scheme for flexibly transmitting data by monitoring collision. A transmitting device using CSMA/CD adjusts data transmission thereof by sensing data transmission performed by another device using a specific rule. CSMA/CA is a MAC protocol specified in IEEE 802.11 standards. A wireless LAN (WLAN) system conforming to IEEE 802.11 standards does not use CSMA/CD which has been used in IEEE 802.3 standards and uses CA, i.e. a collision avoidance scheme. Transmission devices always sense carrier of a network and, if the network is empty, the transmission devices wait for determined time according to locations thereof registered in a list and then transmit data. Various methods are used to determine priority of the transmission devices in the list and

to reconfigure priority. In a system according to some versions of IEEE 802.11 standards, collision may occur and, in this case, a collision sensing procedure is performed. A transmission device using CSMA/CA avoids collision between data transmission thereof and data transmission of another transmission device using a specific rule.

**[0041]** In examples of the present invention described below, the term “assume” may mean that a subject to transmit a channel transmits the channel in accordance with the corresponding “assumption”. This may also mean that a subject to receive the channel receives or decodes the channel in a form conforming to the “assumption”, on the assumption that the channel has been transmitted according to the “assumption”.

**[0042]** In the present invention, puncturing a channel on a specific resource means that the signal of the channel is mapped to the specific resource in the procedure of resource mapping of the channel, but a portion of the signal mapped to the punctured resource is excluded in transmitting the channel. In other words, the specific resource which is punctured is counted as a resource for the channel in the procedure of resource mapping of the channel, a signal mapped to the specific resource among the signals of the channel is not actually transmitted. The receiver of the channel receives, demodulates or decodes the channel, assuming that the signal mapped to the specific resource is not transmitted. On the other hand, rate-matching of a channel on a specific resource means that the channel is never mapped to the specific resource in the procedure of resource mapping of the channel, and thus the specific resource is not used for transmission of the channel. In other words, the rate-matched resource is not counted as a resource for the channel in the procedure of resource mapping of the channel. The receiver of the channel receives, demodulates, or decodes the channel, assuming that the specific rate-matched resource is not used for mapping and transmission of the channel.

**[0043]** In the present invention, a user equipment (UE) may be a fixed or mobile device. Examples of the UE include various devices that transmit and receive user data and/or various kinds of control information to and from a base station (BS). The UE may be referred to as a terminal equipment (TE), a mobile station (MS), a mobile terminal (MT), a user terminal (UT), a subscriber station (SS), a wireless device, a personal digital assistant (PDA), a wireless modem, a handheld device, etc. In addition, in the present invention, a BS generally refers to a fixed station that performs communication with a UE and/or another BS, and exchanges various kinds of data and control information with the UE and another BS. The BS may be referred to as an advanced base station (ABS), a node-B (NB), an evolved node-B (eNB), a base transceiver system (BTS), an access point (AP), a processing server (PS), etc. In describing the present invention, a BS will be referred to as an eNB.

**[0044]** In the present invention, a node refers to a fixed point capable of transmitting/receiving a radio signal through communication with a UE. Various types of eNBs may be used as nodes irrespective of the terms thereof. For example, a BS, a node B (NB), an e-node B (eNB), a pico-cell eNB (PeNB), a home eNB (HeNB), a relay, a repeater, etc. may be a node. In addition, the node may not be an eNB. For example, the node may be a radio remote head (RRH) or a radio remote unit (RRU). The RRH or RRU generally has a lower power level than a power level of an

eNB. Since the RRH or RRU (hereinafter, RRH/RRU) is generally connected to the eNB through a dedicated line such as an optical cable, cooperative communication between RRH/RRU and the eNB can be smoothly performed in comparison with cooperative communication between eNBs connected by a radio line. At least one antenna is installed per node. The antenna may mean a physical antenna or mean an antenna port or a virtual antenna.

**[0045]** In the present invention, a cell refers to a prescribed geographical area to which one or more nodes provide a communication service. Accordingly, in the present invention, communicating with a specific cell may mean communicating with an eNB or a node which provides a communication service to the specific cell. In addition, a DL/UL signal of a specific cell refers to a DL/UL signal from/to an eNB or a node which provides a communication service to the specific cell. A node providing UL/DL communication services to a UE is called a serving node and a cell to which UL/DL communication services are provided by the serving node is especially called a serving cell. Furthermore, channel status/quality of a specific cell refers to channel status/quality of a channel or communication link formed between an eNB or node which provides a communication service to the specific cell and a UE. The UE may measure DL channel state received from a specific node using cell-specific reference signal(s) (CRS(s)) transmitted on a CRS resource and/or channel state information reference signal(s) (CSI-RS(s)) transmitted on a CSI-RS resource, allocated by antenna port(s) of the specific node to the specific node. Detailed CSI-RS configuration may be understood with reference to 3GPP TS 36.211 and 3GPP TS 36.331 documents.

**[0046]** Meanwhile, a 3GPP LTE/LTE-A system uses the concept of a cell in order to manage radio resources and a cell associated with the radio resources is distinguished from a cell of a geographic region.

**[0047]** A “cell” of a geographic region may be understood as coverage within which a node can provide service using a carrier and a “cell” of a radio resource is associated with bandwidth (BW) which is a frequency range configured by the carrier. Since DL coverage, which is a range within which the node is capable of transmitting a valid signal, and UL coverage, which is a range within which the node is capable of receiving the valid signal from the UE, depends upon a carrier carrying the signal, the coverage of the node may be associated with coverage of the “cell” of a radio resource used by the node. Accordingly, the term “cell” may be used to indicate service coverage of the node sometimes, a radio resource at other times, or a range that a signal using a radio resource can reach with valid strength at other times.

**[0048]** Meanwhile, the 3GPP LTE-A standard uses the concept of a cell to manage radio resources. The “cell” associated with the radio resources is defined by combination of downlink resources and uplink resources, that is, combination of DL CC and UL CC. The cell may be configured by downlink resources only, or may be configured by downlink resources and uplink resources. If carrier aggregation is supported, linkage between a carrier frequency of the downlink resources (or DL CC) and a carrier frequency of the uplink resources (or UL CC) may be indicated by system information. For example, combination of the DL resources and the UL resources may be indicated by linkage of system information block type 2 (SIB2). The

carrier frequency means a center frequency of each cell or CC. A cell operating on a primary frequency may be referred to as a primary cell (Pcell) or PCC, and a cell operating on a secondary frequency may be referred to as a secondary cell (Scell) or SCC. The carrier corresponding to the Pcell on downlink will be referred to as a downlink primary CC (DL PCC), and the carrier corresponding to the Pcell on uplink will be referred to as an uplink primary CC (UL PCC). A Scell means a cell that may be configured after completion of radio resource control (RRC) connection establishment and used to provide additional radio resources. The Scell may form a set of serving cells for the UE together with the Pcell in accordance with capabilities of the UE. The carrier corresponding to the Scell on the downlink will be referred to as downlink secondary CC (DL SCC), and the carrier corresponding to the Scell on the uplink will be referred to as uplink secondary CC (UL SCC). Although the UE is in RRC-CONNECTED state, if it is not configured by carrier aggregation or does not support carrier aggregation, a single serving cell configured by the Pcell only exists.

**[0049]** 3GPP LTE/LTE-A standards define DL physical channels corresponding to resource elements carrying information derived from a higher layer and DL physical signals corresponding to resource elements which are used by a physical layer but which do not carry information derived from a higher layer. For example, a physical downlink shared channel (PDSCH), a physical broadcast channel (PBCH), a physical multicast channel (PMCH), a physical control format indicator channel (PCFICH), a physical downlink control channel (PDCCH), and a physical hybrid ARQ indicator channel (PHICH) are defined as the DL physical channels, and a reference signal and a synchronization signal are defined as the DL physical signals. A reference signal (RS), also called a pilot, refers to a special waveform of a predefined signal known to both a BS and a UE. For example, a cell-specific RS (CRS), a UE-specific RS (UE-RS), a positioning RS (PRS), and channel state information RS (CSI-RS) may be defined as DL RSs. Meanwhile, the 3GPP LTE/LTE-A standards define UL physical channels corresponding to resource elements carrying information derived from a higher layer and UL physical signals corresponding to resource elements which are used by a physical layer but which do not carry information derived from a higher layer. For example, a physical uplink shared channel (PUSCH), a physical uplink control channel (PUCCH), and a physical random access channel (PRACH) are defined as the UL physical channels, and a demodulation reference signal (DM RS) for a UL control/data signal and a sounding reference signal (SRS) used for UL channel measurement are defined as the UL physical signals.

**[0050]** In the present invention, a physical downlink control channel (PDCCH), a physical control format indicator channel (PCFICH), a physical hybrid automatic retransmit request indicator channel (PHICH), and a physical downlink shared channel (PDSCH) refer to a set of time-frequency resources or resource elements (REs) carrying downlink control information (DCI), a set of time-frequency resources or REs carrying a control format indicator (CFI), a set of time-frequency resources or REs carrying downlink acknowledgement (ACK)/negative ACK (NACK), and a set of time-frequency resources or REs carrying downlink data, respectively. In addition, a physical uplink control channel (PUCCH), a physical uplink shared channel (PUSCH) and a

physical random access channel (PRACH) refer to a set of time-frequency resources or REs carrying uplink control information (UCI), a set of time-frequency resources or REs carrying uplink data and a set of time-frequency resources or REs carrying random access signals, respectively. In the present invention, in particular, a time-frequency resource or RE that is assigned to or belongs to PDCCH/PCFICH/PHICH/PDSCH/PUCCH/PUSCH/PRACH is referred to as PDCCH/PCFICH/PHICH/PDSCH/PUCCH/PUSCH/PRACH RE or PDCCH/PCFICH/PHICH/PDSCH/PUCCH/PUSCH/PRACH time-frequency resource, respectively. Therefore, in the present invention, PUCCH/PUSCH/PRACH transmission of a UE is conceptually identical to UCI/uplink data/random access signal transmission on PUSCH/PUCCH/PRACH, respectively. In addition, PDCCH/PCFICH/PHICH/PDSCH transmission of an eNB is conceptually identical to downlink data/DCI transmission on PDCCH/PCFICH/PHICH/PDSCH, respectively.

**[0051]** Hereinafter, OFDM symbol/subcarrier/RE to or for which CRS/DMRS/CSI-RS/SRS/UE-RS/TRS is assigned or configured will be referred to as CRS/DMRS/CSI-RS/SRS/UE-RS/TRS symbol/carrier/subcarrier/RE. For example, an OFDM symbol to or for which a tracking RS (TRS) is assigned or configured is referred to as a TRS symbol, a subcarrier to or for which the TRS is assigned or configured is referred to as a TRS subcarrier, and an RE to or for which the TRS is assigned or configured is referred to as a TRS RE. In addition, a subframe configured for transmission of the TRS is referred to as a TRS subframe. Moreover, a subframe in which a broadcast signal is transmitted is referred to as a broadcast subframe or a PBCH subframe and a subframe in which a synchronization signal (e.g. PSS and/or SSS) is transmitted is referred to a synchronization signal subframe or a PSS/SSS subframe. OFDM symbol/subcarrier/RE to or for which PSS/SSS is assigned or configured is referred to as PSS/SSS symbol/subcarrier/RE, respectively.

**[0052]** In the present invention, a CRS port, a UE-RS port, a CSI-RS port, and a TRS port refer to an antenna port configured to transmit a CRS, an antenna port configured to transmit a UE-RS, an antenna port configured to transmit a CSI-RS, and an antenna port configured to transmit a TRS, respectively. Antenna ports configured to transmit CRSs may be distinguished from each other by the locations of REs occupied by the CRSs according to CRS ports, antenna ports configured to transmit UE-RSs may be distinguished from each other by the locations of REs occupied by the UE-RSs according to UE-RS ports, and antenna ports configured to transmit CSI-RSs may be distinguished from each other by the locations of REs occupied by the CSI-RSs according to CSI-RS ports. Therefore, the term CRS/UE-RS/CSI-RS/TRS ports may also be used to indicate a pattern of REs occupied by CRSs/UE-RSs/CSI-RSs/TRSs in a predetermined resource region. In the present invention, both a DMRS and a UE-RS refer to RSs for demodulation and, therefore, the terms DMRS and UE-RS are used to refer to RSs for demodulation.

**[0053]** For terms and technologies which are not specifically described among the terms of and technologies employed in this specification, 3GPP LTE/LTE-A standard documents, for example, 3GPP TS 36.211, 3GPP TS 36.212, 3GPP TS 36.213, 3GPP TS 36.321 and 3GPP TS 36.331 may be referenced.

**[0054]** FIG. 1 illustrates the structure of a radio frame used in a wireless communication system.



**[0055]** Specifically, FIG. 1(a) illustrates an exemplary structure of a radio frame which can be used in frequency division multiplexing (FDD) in 3GPP LTE/LTE-A and FIG. 1(b) illustrates an exemplary structure of a radio frame which can be used in time division multiplexing (TDD) in 3GPP LTE/LTE-A.

**[0056]** Referring to FIG. 1, a 3GPP LTE/LTE-A radio frame is 10 ms ( $307,200T_s$ ) in duration. The radio frame is divided into 10 subframes of equal size. Subframe numbers may be assigned to the 10 subframes within one radio frame, respectively. Here,  $T_s$  denotes sampling time where  $T_s=1/(2048*15 \text{ kHz})$ . Each subframe is 1 ms long and is further divided into two slots. 20 slots are sequentially numbered from 0 to 19 in one radio frame. Duration of each slot is 0.5 ms. A time interval in which one subframe is transmitted is defined as a transmission time interval (TTI). Time resources may be distinguished by a radio frame number (or radio frame index), a subframe number (or subframe index), a slot number (or slot index), and the like.

**[0057]** A TTI refers to an interval at which data may be scheduled. For example, the transmission opportunity of a UL grant or DL grant is given every 1 ms in the current LTE/LTE-A system. The UL/DL grant opportunity is not given several times within a time shorter than 1 ms. Accordingly, the TTI is 1 ms in the current LTE-LTE-A system.

**[0058]** A radio frame may have different configurations according to duplex modes. In FDD mode for example, since DL transmission and UL transmission are discriminated according to frequency, a radio frame for a specific frequency band operating on a carrier frequency includes either DL subframes or UL subframes. In TDD mode, since DL transmission and UL transmission are discriminated according to time, a radio frame for a specific frequency band operating on a carrier frequency includes both DL subframes and UL subframes.

**[0059]** FIG. 2 illustrates the structure of a DL/UL slot structure in the LTE/LTE-A based wireless communication system.

**[0060]** Referring to FIG. 2, a slot includes a plurality of orthogonal frequency division multiplexing (OFDM) symbols in the time domain and includes a plurality of resource blocks (RBs) in the frequency domain. The OFDM symbol may refer to one symbol duration. Referring to FIG. 2, a signal transmitted in each slot may be expressed by a resource grid including  $N^{DL/UL}_{RB} * N^{RB}_{sc}$  subcarriers and  $N^{DL/UL}_{symbol}$  OFDM symbols.  $N^{DL}_{RB}$  denotes the number of RBs in a DL slot and  $N^{UL}_{RB}$  denotes the number of RBs in a UL slot.  $N^{DL}_{RB}$  and  $N^{UL}_{RB}$  depend on a DL transmission bandwidth and a UL transmission bandwidth, respectively.  $N^{DL}_{symbol}$  denotes the number of OFDM symbols in a DL slot,  $N^{UL}_{symbol}$  denotes the number of OFDM symbols in a UL slot, and  $N^{RB}_{sc}$  denotes the number of subcarriers configuring one RB.

**[0061]** An OFDM symbol may be referred to as an OFDM symbol, a single carrier frequency division multiplexing (SC-FDM) symbol, etc. according to multiple access schemes. The number of OFDM symbols included in one slot may be varied according to channel bandwidths and CP lengths. For example, in a normal cyclic prefix (CP) case, one slot includes 7 OFDM symbols. In an extended CP case, one slot includes 6 OFDM symbols. Although one slot of a subframe including 7 OFDM symbols is shown in FIG. 2 for convenience of description, examples of the present invention are similarly applicable to subframes having a different

number of OFDM symbols. Referring to FIG. 2, each OFDM symbol includes  $N^{DL/UL}_{RB} * N^{RB}_{sc}$  subcarriers in the frequency domain. The type of the subcarrier may be divided into a data subcarrier for data transmission, a reference signal (RS) subcarrier for RS transmission, and a null subcarrier for a guard band and a DC component. The null subcarrier for the DC component is unused and is mapped to a carrier frequency  $f_0$  in a process of generating an OFDM signal or in a frequency up-conversion process. The carrier frequency is also called a center frequency  $f_c$ .

**[0062]** If a UE is powered on or newly enters a cell, the UE performs an initial cell search procedure of acquiring time and frequency synchronization with the cell and detecting a physical cell identity  $N^{cell}_{ID}$  of the cell. To this end, the UE may establish synchronization with the eNB by receiving synchronization signals, e.g. a primary synchronization signal (PSS) and a secondary synchronization signal (SSS), from the eNB and obtain information such as a cell identity (ID).

**[0063]** FIG. 3 illustrates the structure of a DL subframe used in the LTE/LTE-A based wireless communication system.

**[0064]** Referring to FIG. 3, a DL subframe is divided into a control region and a data region in the time domain. Referring to FIG. 3, a maximum of 3 (or 4) OFDM symbols located in a front part of a first slot of a subframe corresponds to the control region. Hereinafter, a resource region for PDCCH transmission in a DL subframe is referred to as a PDCCH region. OFDM symbols other than the OFDM symbol(s) used in the control region correspond to the data region to which a physical downlink shared channel (PDSCH) is allocated. Hereinafter, a resource region available for PDSCH transmission in the DL subframe is referred to as a PDSCH region.

**[0065]** Examples of a DL control channel used in 3GPP LTE include a physical control format indicator channel (PCFICH), a physical downlink control channel (PDCCH), a physical hybrid ARQ indicator channel (PHICH), etc.

**[0066]** The control information transmitted through the PDCCH will be referred to as downlink control information (DCI). The DCI includes resource allocation information for a UE or UE group and other control information. Transmit format and resource allocation information of a downlink shared channel (DL-SCH) are referred to as DL scheduling information or DL grant. Transmit format and resource allocation information of an uplink shared channel (UL-SCH) are referred to as UL scheduling information or UL grant. The size and usage of the DCI carried by one PDCCH are varied depending on DCI formats. The size of the DCI may be varied depending on a coding rate. In the current 3GPP LTE system, various formats are defined, wherein formats 0 and 4 are defined for a UL, and formats 1, 1A, 1B, 1C, 1D, 2, 2A, 2B, 2C, 3 and 3A are defined for a DL. Combination selected from control information such as a hopping flag, RB allocation, modulation coding scheme (MCS), redundancy version (RV), new data indicator (NDI), transmit power control (TPC), cyclic shift, cyclic shift demodulation reference signal (DM RS), UL index, channel quality information (CQI) request, DL assignment index, HARQ process number, transmitted precoding matrix indicator (TPMI), precoding matrix indicator (PMI) information is transmitted to the UE as the DCI. The following table shows examples of DCI formats.

**[0067]** The PDCCH is transmitted on an aggregation of one or a plurality of continuous control channel elements (CCEs). The CCE is a logic allocation unit used to provide a coding rate based on the status of a radio channel to the PDCCH. The CCE corresponds to a plurality of resource element groups (REGs). For example, each CCE contains 9 REGs, which are distributed across the first 1/2/3 (4 if needed for a 1.4 MHz channel) OFDM symbols and the system bandwidth through interleaving to enable diversity and to mitigate interference. One REG corresponds to four REs. Four QPSK symbols are mapped to each REG. A resource element (RE) occupied by the reference signal (RS) is not included in the REG. Accordingly, the number of REGs within given OFDM symbols is varied depending on the presence of the RS. The REGs are also used for other downlink control channels (that is, PDFICH and PHICH).

**[0068]** Assuming that the number of REGs not allocated to the PCFICH or the PHICH is  $N_{REG}$ , the number of available CCEs in a DL subframe for PDCCH(s) in a system is numbered from 0 to  $N_{CCE}-1$ , where  $N_{CCE}=\text{floor}(N_{REG}/9)$ . A PDCCH including  $n$  consecutive CCEs may be transmitted only on CCEs fulfilling “ $i \bmod n=0$ ” wherein  $i$  is a CCE number.

**[0069]** In a 3GPP LTE/LTE-A system, a set of CCEs on which a PDCCH can be located for each UE is defined. A CCE set in which the UE can detect a PDCCH thereof is referred to as a PDCCH search space or simply as a search space (SS). An individual resource on which the PDCCH can be transmitted in the SS is called a PDCCH candidate. The set of PDCCH candidates that the UE is to monitor is defined in terms of SSs, where a search space  $S^{(L)}_k$  at aggregation level  $L \in \{1,2,4,8\}$  is defined by a set of PDCCH candidates. SSs for respective PDCCH formats may have different sizes and a dedicated SS and a common SS are defined. The dedicated SS is a UE-specific SS (USS) and is configured for each individual UE. The common SS (CSS) is configured for a plurality of UEs.

**[0070]** FIG. 4 illustrates the structure of a UL subframe used in the LTE/LTE-A based wireless communication system.

**[0071]** Referring to FIG. 4, a UL subframe may be divided into a data region and a control region in the frequency domain. One or several PUCCHs may be allocated to the control region to deliver UCI. One or several PUSCHs may be allocated to the data region of the UE subframe to carry user data.

**[0072]** In the UL subframe, subcarriers distant from a direct current (DC) subcarrier are used as the control region. In other words, subcarriers located at both ends of a UL transmission BW are allocated to transmit UCI. A DC subcarrier is a component unused for signal transmission and is mapped to a carrier frequency  $f_0$  in a frequency up-conversion process. A PUCCH for one UE is allocated to an RB pair belonging to resources operating on one carrier frequency and RBs belonging to the RB pair occupy different subcarriers in two slots. The PUCCH allocated in this way is expressed by frequency hopping of the RB pair allocated to the PUCCH over a slot boundary. If frequency hopping is not applied, the RB pair occupies the same subcarriers.

**[0073]** For PUSCH demodulation, a PUSCH DM-RS may be transmitted in a PUSCH region and, for PUCCH demodulation, a PUCCH DM-RS may be transmitted in a PUCCH region. Meanwhile, a sounding reference signal (SRS) may

be allocated to the PUSCH region. The SRS is a UL RS which is not associated with PUSCH or PUCCH transmission. The SRS is transmitted in an OFDM symbol which is located at the last part of a UL subframe in the time domain and in a data transmission band of the UL subframe, that is, in the PUSCH region, in the frequency domain. The eNB may measure a UL channel state between the UE and the eNB using the SRS. SRSs of multiple UEs transmitted/received in the last OFDM symbol of the same subframe may be distinguished according to frequency position/sequence. Since the PUCCH DM-RS, the PUSCH DM-RS, and the SRS are UE-specifically generated by a specific UE and are transmitted to the eNB, these signals may be regarded as UL UE-specific RSs (hereinafter, UL UE-RSs). A UL UE-RS is defined by a cyclic shift of a base sequence  $r_{u,v}(n)$  according to a predetermined rule. For the PUCCH DM-RS, the PUSCH DM-RS, and the SRS, a plurality of base sequences are defined. For example, the base sequences may be defined using a root Zadoff-Chu sequence. The base sequences  $r_{u,v}(n)$  are divided into a plurality of base sequence groups. Each base sequence group includes one or more base sequences. Among the plural base sequences, a base sequence for the UL UE-RS is determined based on a cell identifier, an index of a slot to which the UL UE-RS is mapped, and the like. The cell identifier may be a physical layer cell identifier acquired by the UE from a synchronization signal or a virtual cell identifier provided by a higher layer signal. A cyclic shift value used for cyclic shift of the base sequence is determined based on the cell identifier, a cyclic shift related value given by DCI and/or higher layers, an index of a slot to which the UL UE-RS is mapped, and the like.

**[0074]** Recently, machine type communication (MTC) has come to the fore as a significant communication standard issue. MTC refers to exchange of information between a machine and an eNB without involving persons or with minimal human intervention. For example, MTC may be used for data communication for measurement/sensing/reporting such as meter reading, water level measurement, use of a surveillance camera, inventory reporting of a vending machine, etc. and may also be used for automatic application or firmware update processes for a plurality of UEs. In MTC, the amount of transmission data is small and UL/DL data transmission or reception (hereinafter, transmission/reception) occurs occasionally. In consideration of such properties of MTC, it would be better in terms of efficiency to reduce production cost and battery consumption of UEs for MTC (hereinafter, MTC UEs) according to data transmission rate. Since the MTC UE has low mobility, the channel environment thereof remains substantially the same. If an MTC UE is used for metering, reading of a meter, surveillance, and the like, the MTC UE is very likely to be located in a place such as a basement, a warehouse, and mountain regions which the coverage of a typical eNB does not reach. In consideration of the purposes of the MTC UE, it is better for a signal for the MTC UE to have wider coverage than the signal for the conventional UE (hereinafter, a legacy UE).

**[0075]** When considering the usage of the MTC UE, there is a high probability that the MTC UE requires a signal of wide coverage compared with the legacy UE. Therefore, if the eNB transmits a PDCCH, a PDSCH, etc. to the MTC UE using the same scheme as a scheme of transmitting the PDCCH, the PDSCH, etc. to the legacy UE, the MTC UE has difficulty in receiving the PDCCH, the PDSCH, etc.

Therefore, the present invention proposes that the eNB apply a coverage enhancement scheme such as subframe repetition (repetition of a subframe with a signal) or subframe bundling upon transmission of a signal to the MTC UE having a coverage issue so that the MTC UE can effectively receive a signal transmitted by the eNB. For example, the PDCCH and PDSCH may be transmitted to the MTC UE having the coverage issue in a plurality of subframes (e.g. about 100 subframes).

**[0076]** The examples of the present invention can be applied to not only the 3GPP LTE/LTE-A system but also a new radio access technology (RAT) system. As a number of communication devices have required much higher communication capacity, the necessity of mobile broadband communication, which is much enhanced compared to the conventional RAT, has increased. In addition, massive MTC capable of providing various services anytime and anywhere by connecting a number of devices or things to each other has been considered as a main issue in the next generation communication system. Moreover, the design of a communication system capable of supporting services/UEs sensitive to reliability and latency has also been discussed. That is, the introduction of the next generation RAT considering the enhanced mobile broadband communication, massive MTC, Ultra-reliable and low latency communication (URLLC), etc. has been discussed. For convenience of description, the corresponding technology is simply referred to as a new RAT in this specification.

**[0077]** In the next system of LTE-A, a method to reduce latency of data transmission is considered. Packet data latency is one of the performance metrics that vendors, operators and also end-users (via speed test applications) regularly measure. Latency measurements are done in all phases of a radio access network system lifetime, when verifying a new software release or system component, when deploying a system and when the system is in commercial operation.

**[0078]** Better latency than previous generations of 3GPP RATs was one performance metric that guided the design of LTE. LTE is also now recognized by the end-users to be a system that provides faster access to internet and lower data latencies than previous generations of mobile radio technologies.

**[0079]** However, with respect to further improvements specifically targeting the delays in the system little has been done. Packet data latency is important not only for the perceived responsiveness of the system; it is also a parameter that indirectly influences the throughput. HTTP/TCP is the dominating application and transport layer protocol suite used on the internet today. According to HTTP Archive (<http://httparchive.org/trends.php>) the typical size of HTTP-based transactions over the internet are in the range from a few 10<sup>3</sup>'s of Kbytes up to 1 Mbyte. In this size range, the TCP slow start period is a significant part of the total transport period of the packet stream. During TCP slow start the performance is latency limited. Hence, improved latency can rather easily be shown to improve the average throughput, for this type of TCP-based data transactions. In addition, to achieve really high bit rates (in the range of Gbps), UE L2 buffers need to be dimensioned correspondingly. The longer the round trip time (RTT) is, the bigger the buffers need to be. The only way to reduce buffering requirements in the UE and eNB side is to reduce latency.

**[0080]** Radio resource efficiency could also be positively impacted by latency reductions. Lower packet data latency could increase the number of transmission attempts possible within a certain delay bound; hence higher block error ration (BLER) targets could be used for the data transmissions, freeing up radio resources but still keeping the same level of robustness for users in poor radio conditions. The increased number of possible transmissions within a certain delay bound, could also translate into more robust transmissions of real-time data streams (e.g. VoLTE), if keeping the same BLER target. This would improve the VoLTE voice system capacity.

**[0081]** There are more over a number of existing applications that would be positively impacted by reduced latency in terms of increased perceived quality of experience: examples are gaming, real-time applications like VoLTE/OTT VoIP and video telephony/conferencing.

**[0082]** Going into the future, there will be a number of new applications that will be more and more delay critical. Examples include remote control/driving of vehicles, augmented reality applications in e.g. smart glasses, or specific machine communications requiring low latency as well as critical communications.

**[0083]** FIG. 5 illustrates an example of a short TTI and a transmission example of a control channel and a data channel in the short TTI.

**[0084]** To reduce a user plane (U-plane) latency to 1 ms, a shortened TTI (sTTI) shorter than 1 ms may be configured. For example, for the normal CP, an sTTI consisting of 2 OFDM symbols, an sTTI consisting of 4 OFDM symbols and/or an sTTI consisting of 7 OFDM symbols may be configured.

**[0085]** In the time domain, all OFDM symbols constituting a default TTI or the OFDM symbols except the OFDM symbols occupying the PDCCH region of the TTI may be divided into two or more sTTIs on some or all frequency resources in the frequency band of the default TTI.

**[0086]** In the following description, a default TTI or main TTI used in the system is referred to as a TTI or subframe, and the TTI having a shorter length than the default/main TTI of the system is referred to as an sTTI. For example, in a system in which a TTI of 1 ms is used as the default TTI as in the current LTE/LTE-A system, a TTI shorter than 1 ms may be referred to as the sTTI. The method of transmitting/receiving a signal in a TTI and an sTTI according to examples described below is applicable not only to the system according to the current LTE/LTE-A numerology but also to the default/main TTI and sTTI of the system according to the numerology for the new RAT environment.

**[0087]** In the downlink environment, a PDCCH for transmission/scheduling of data within an sTTI (i.e., sPDCCH) and a PDSCH transmitted within an sTTI (i.e., sPDSCH) may be transmitted. For example, referring to FIG. 5, a plurality of the sTTIs may be configured within one subframe, using different OFDM symbols. For example, the OFDM symbols in the subframe may be divided into one or more sTTIs in the time domain. OFDM symbols constituting an sTTI may be configured, excluding the leading OFDM symbols on which the legacy control channel is transmitted. Transmission of the sPDCCH and sPDSCH may be performed in a TDM manner within the sTTI, using different OFDM symbol regions. In an sTTI, the sPDCCH and sPDSCH may be transmitted in an FDM manner, using different regions of PRB(s)/frequency resources.

**[0088]** In a new RAT (NR) system, a time unit in which a data channel may be scheduled may be referred to as other terms, for example, a slot, instead of a subframe. The number of slots in a radio frame of the same time length may differ according to a time length of a slot. In the present invention, the terms “subframe”, “TTI”, and “slot” are interchangeably used to indicate a basic time unit of scheduling.

**[0089]** <OFDM Numerology>

**[0090]** The new RAT system uses an OFDM transmission scheme or a similar transmission scheme. For example, the new RAT system may follow the OFDM parameters defined in the following table. Or although the new RAT system still use a legacy LTE/LTE-A numerology, the new RAT system may have a wider system bandwidth (e.g., 100 MHz). Or one cell may support a plurality of numerologies. That is, UEs operating with different numerologies may co-exist within one cell.

**[0091]** <Analog Beamforming>

**[0092]** In millimeter wave (mmW), the wavelength is shortened, and thus a plurality of antenna elements may be installed in the same area. For example, a total of 100 antenna elements may be installed in a 5-by-5 cm panel in a 30 GHz band with a wavelength of about 1 cm in a 2-dimensional array at intervals of  $0.5\lambda$  (wavelength). Therefore, in mmW, increasing the coverage or the throughput by increasing the beamforming (BF) gain using multiple antenna elements is taken into consideration.

**[0093]** If a transceiver unit (TXRU) is provided for each antenna element to enable adjustment of transmit power and phase, independent beamforming is possible for each frequency resource. However, installing TXRU in all of the about 100 antenna elements is less feasible in terms of cost. Therefore, a method of mapping a plurality of antenna elements to one TXRU and adjusting the direction of a beam using an analog phase shifter is considered. This analog beamforming method may only make one beam direction in the whole band, and thus may not perform frequency selective beamforming (BF), which is disadvantageous.

**[0094]** Hybrid BF with B TXRUs that are fewer than Q antenna elements as an intermediate form of digital BF and analog BF may be considered. In the case of hybrid BF, the number of directions in which beams may be transmitted at the same time is limited to B or less, which depends on the method of collection of B TXRUs and Q antenna elements.

**[0095]** <Subframe Structure>

**[0096]** FIG. 6 illustrates a new RAT (NR) subframe structure.

**[0097]** To minimize a data transmission delay, a subframe structure in which a control channel and a data channel are multiplexed in time division multiplexing (TDM) is considered in 5G new RAT.

**[0098]** In FIG. 6, the hatched area represents the transmission region of a DL control channel (e.g., PDCCH) carrying the DCI, and the black area represents the transmission region of a UL control channel (e.g., PUCCH) carrying the UCI. Here, the DCI is control information that the eNB transmits to the UE. The DCI may include information on cell configuration that the UE should know, DL specific information such as DL scheduling, and UL specific information such as UL grant. The UCI is control information that the UE transmits to the eNB. The UCI may include a HARQ ACK/NACK report on the DL data, a CSI report on the DL channel status, and a scheduling request (SR).

**[0099]** In FIG. 6, the region of symbols from symbol index 1 to symbol index 12 may be used for transmission of a physical channel (e.g., a PDSCH) carrying downlink data, or may be used for transmission of a physical channel (e.g., PUSCH) carrying uplink data. According to the self-contained subframe structure, DL transmission and UL transmission may be sequentially performed in one subframe, and thus transmission/reception of DL data and reception/transmission of UL ACK/NACK for the DL data may be performed in one subframe. As a result, the time taken to retransmit data when a data transmission error occurs may be reduced, thereby minimizing the latency of final data transmission.

**[0100]** In such a self-contained subframe structure, a time gap is needed for the process of switching from the transmission mode to the reception mode or from the reception mode to the transmission mode of the eNB and UE. On behalf of the process of switching between the transmission mode and the reception mode, some OFDM symbols at the time of switching from DL to UL in the self-contained subframe structure are set as a guard period (GP).

**[0101]** In a legacy LTE/LTE-A system, a DL control channel is TDMed with a data channel (refer to FIG. 3) and a PDCCH, which is a control channel, is transmitted throughout an entire system band. However, in new RAT, it is expected that a bandwidth of one system reaches approximately a minimum of 100 MHz. Therefore, it is difficult to distribute the control channel throughout the entire band for transmission of the control channel. For data transmission/reception of the UE, if the entire band is monitored to receive the DL control channel, this may cause increase in battery consumption of the UE and deterioration of efficiency. Accordingly, the present invention proposes a scheme in which the DL control channel can be locally transmitted or distributively transmitted in a partial frequency band in a system band, i.e., a channel band.

**[0102]** FIG. 7 illustrates a transmission/reception method of a radio signal using an analog beam. Particularly, FIG. 7 illustrates a transmission/reception method of a radio signal by transmission/reception analog beam scanning.

**[0103]** Referring to FIG. 7, if an eNB transmits a synchronization signal in a cell or a carrier while switching beams, a UE performs synchronization with the cell/carrier using the synchronization signal detected in the cell/carrier and discovers a most suitable (beam) direction for the UE. The UE should be capable of acquiring a cell ID and a beam ID (corresponding to the beam direction) by performing this procedure. The UE may acquire signals, particularly, RS information, transmitted in the beam direction, for example, an RS sequence, seed information, and location, while acquiring the beam ID. The eNB may allocate a group ID to UEs that have acquired a specific beam ID, i.e., UEs capable of receiving a DL channel in a specific beam direction. Cell-common information may be temporally/spatially divided on a beam ID basis and then transmitted to the UE. The cell-common information may be transmitted to the UE by a beam ID common scheme.

**[0104]** Upon acquiring the beam ID in a cell, the UE may receive cell-specific information as beam ID or group ID specific information. The beam ID or group ID specific information may be information that UEs of a corresponding group commonly receive.

**[0105]** Introduction of next-generation radio access technology (hereinafter, NR) is under discussion in consider-

ation of eMBB, mMTC, URLLC, and the like, as described above. The eMBB service should support higher spectral efficiency and high transmission rate. mMTC should support wide coverage and high energy efficiency while supporting services for the larger number of UEs. URLLC should support very reliable low error rate and requires low latency. Requirements of data rate of URLLC are wide in range from low data rate to very high data rate.

**[0106]** In a next-generation 5G system, various methods have been proposed to raise data rate, improve connectivity, or reduce latency and, particularly, a multiple access scheme capable of satisfying such requirements is needed.

**[0107]** The present invention proposes a grant-free based multiple access scheme as a method for satisfying such requirements. Hereinbelow, the present invention will be described focusing on UL transmission. Grant-free transmission refers to a scheme in which the UE autonomously transmits data under no dynamic control from the eNB when data that should be transmitted by the UE is generated within a specific time/frequency resource. In other words, in grant-free transmission, a resource used for transmission is pre-configured or predetermined and a transmitting device attempts to perform transmission if there is data to be transmitted thereby within the resource. According to the grant-free transmission scheme, direct scheduling by the eNB is not involved and thus contention between different UEs is inevitably generated. In the present invention, grant-free is used as the term indicating grant-free/autonomous/contention-based transmission scheme. A representative system of the contention-based MA scheme may be, for example, a Wi-Fi system. A scheme of applying carrier sensing and random backoff in a state in which contention-based transmission is performed may be included in the grant-free transmission scheme.

**[0108]** A grant-free based multiple access scheme may be performed only after resources available for grant-free based multiple access are allocated to the UE after the UE performs initial access. The resources available for grant-free based multiple access are as follows. A multiple access (MA) resource is determined by a combination of an MA physical resource and an MA signature.

**[0109]** MA physical resource: Time/frequency resource on which grant-free based MA can be performed.

**[0110]** Time resource: A the time resource may be signaled by a specific time duration and a time interval. If only the time resource is signaled, the UE may perform grant-free based MA in an entire system band, a maximum band limited by the capability of the UE, or a frequency band limited by a specific use case or service.

**[0111]** Frequency resource: A specific frequency unit or subband, RBs, or RB indexes may be signaled as the frequency resource. If only the frequency resource is signaled, the UE may perform a grant-free based operation at any time within the corresponding frequency resource.

**[0112]** Resource configured by a combination of time and frequency: A resource configured by a combination of the time resource and the frequency resource. If the time resource and the frequency resource are signaled, the UE may perform grant-free based MA only within a designated time resource and frequency resource.

**[0113]** MA signature pool: An MA signature pool or a plurality of MA signatures, used to distinguish between UEs or data, when grant-free based MA is performed.

**[0114]** An MA signature is a resource used to distinguish between UEs and may include a time and/or frequency resource, codes, codebook(s), sequences, interleaver or interleaver patterns, and a spatial domain resource, which are defined as a subset of the above-described MA physical resource. A signature used for multi-user detection (MUD) in one system may be configured by a combination of one of the above-mentioned signature candidates or a combination of one or more thereof. For convenience of description of the present invention, it is assumed that one type of signature is used in one system.

**[0115]** Although the UE selects one signature at a data transmission timing, a plurality of signatures should be allocated to the UE so as to enable the UE to operate in a grant-free mode. Hereinafter, a plurality of signatures allocated to the UE is referred to as a signature pool.

**[0116]** MA DM-RS resource pool: The MA DM-RS resource pool is used by the eNB to perform channel estimation for data transmitted by an arbitrary UE when grant-free based MA is performed. To distinguish between UEs or data, a DM-RS resource (e.g., an OFDM/SC-FDM symbol or a DM-RS sequence) may be used together with the MA signature.

**[0117]** Hereinafter, a resource allocation method and an operation method of the UE, for enabling grant-free based UL MA, will be described. After the UE acquires initial synchronization, if the eNB desires to command the UE to perform UL access of a grant-free scheme, the eNB may allocate the MA physical resource by the following methods. When the eNB signals information about the MA physical resource, the meaning of time information and frequency information is as follows.

**[0118]** 1) Frequency information (e.g., MA subband): A frequency band to which the grant-free scheme is applied, i.e., a subband size and a subband position, are signaled to the UE.

**[0119]** 2) Time information (e.g., MA time): The eNB may signal only specific time information to which the grant-free scheme is applied to the UE. A method in which the eNB signals the time information includes:

**[0120]** A. method of signaling a time period and offset at which the MA time resource is activated;

**[0121]** B. method of signaling a time length during which the MA time resource is activated; and

**[0122]** C. method of semi-statically allocating a timing (e.g., a subframe number or a system frame number) at which the UE may access an MA subband by the grant-free scheme.

**[0123]** In each of Method A, B, and C, the eNB may signal the time information using a subframe, a slot, a subframe number, a system frame number, or an absolute time. For example, if the eNB transmits signaling having the meaning indicating that the UE may use the MA physical resource during N subframes from now on and if one frame consists of 10 subframes, the eNB may signal, in the form of a bitmap for subframes belonging to arbitrary M frames, whether the MA physical resource is available. In the case in which the UE needs to intermittently wake up to perform UL signal transmission due to a service characteristic, signaling of

designating a time duration using a subframe number may be very inefficient. If the UE needs to intermittently wake up to perform UL signal transmission due to a service characteristic, the eNB may signal the time information using an absolute time.

**[0124]** Hereinbelow, a time resource management scheme for causing the UE to perform grant-free based or contention-based UL MA, a signaling method thereof, and an operation of the UE according thereto will be described in more detail.

**[0125]** FIG. 8 illustrates a grant-free UL MA method according to the present invention.

**[0126]** Assuming that a unit of a time resource for grant-free or contention-based UL MA is one subframe, a basic unit larger than the subframe may be considered so that the eNB can inform the UE of information about subframes in which grant-free MA is permitted. In the present invention, this unit is referred to as an MA time resource signaling unit and the MA time resource signaling unit is denoted as Twindow. Twindow may correspond to a period at which resource configuration or update information, for grant-free/contention-based MA, is transmitted. For convenience of description, an example in which time resource information for grant-free/contention-based MA is signaled in the form of a bitmap is illustrated in FIG. 8. The time resource information for grant-free/contention-based MA may also be signaled by other methods described above as well as by the bitmap. The time resource information for grant-free/contention-based MA should be previously transmitted to the UE through higher layer signaling before the UE performs contention-based MA after performing initial access. If the eNB desires to distinguish between UEs performing grant-free/contention-based MA using an additional code, sequence, and/or interleaver pattern or between data transmitted by the UEs, then even an MA signature pool available to the UE should be previously signaled to the UE.

**[0127]** If it is desired to use resources for grant-free/contention-based MA on a per UE group basis, the eNB may group UEs into UE groups and allocate resources for grant-free/contention-based MA to each UE group. For example, UE grouping may be performed according to path loss of UEs, service types (e.g., the average length of transmission data and a traffic arrival period), and/or classes of UEs. MA resources that can be used by a specific UE group may be differently configured according to UE groups. When UEs are grouped, the eNB may assign a group ID to a corresponding UE group.

**[0128]** Even though the UE has previously received information about an MA physical resource and MA signature for grant-free/contention-based MA from the eNB, an operation in which the eNB confirms an accurate timing at which MA is actually permitted and confirms whether MA is actually permitted is needed for the UE. Alternatively, the eNB may not permit a corresponding resource for grant-free/contention-based MA at a specific timing. For example, when a time resource for MA is configured in units of Twindow, whether grant-free/contention-based MA will be permitted every Twindow or whether to disable grant-free/contention-based MA at a specific timing even though a time resource for grant-free/contention-based MA is configured every Twindow may vary according to a system operation scheme.

**[0129]** Accordingly, the present invention proposes a method capable of determining whether the eNB uses the MA physical resource by a grant-free/contention-based

scheme with more degrees of freedom or based on a grant-based scheme. For example, generally, since grant-free/contention-based UL transmission will use preset power and/or an MCS, the eNB may disable grant-free/contention-based MA and control the UE to perform grant-based transmission, when the eNB desires to adjust UL transmission power of the UE and/or the MCS.

**[0130]** FIG. 8 illustrates a method of previously allocating, to the UE, an MA physical resource and a signature resource for grant-free/contention-based MA using an MA time resource bitmap and providing information about an MA resource through DCI and/or a DL data channel every MA physical period, i.e., every Twindow. In FIG. 8, FDD is assumed.

**[0131]** The eNB may signal, through DCI, whether grant-free/contention-based MA is permitted or not after M (e.g., M=4) subframes from subframe n in which the DCI is transmitted, i.e., whether grant-free/contention-based MA is permitted starting from subframe n+N, or signal whether an MA resource is changed or not. For example, the DCI may be used for activation/deactivation/reactivation/reconfiguration for the MA resource. If the DCI received by the UE signals activation or reactivation of the MA resource, the UE may perform grant-free/contention-based UL MA within a time resource indicated within Twindow using an MA resource on which the UE has already received information thereabout, known to the UE. If the DCI received by the UE signals deactivation of the MA resource, the UE cannot perform grant-free/contention-based MA during Twindow after receiving the DCI and may perform only grant-based UL MA. For example, the UE may monitor DL/UL grant DCI in corresponding subframe(s) during Twindow after receiving the DCI indicating deactivation. If the DCI received by the UE includes information about reconfiguration, this means that there is change in the MA resource. Then, the DCI should indicate information about a DL data channel and the UE should newly acquire information about the changed MA resource by receiving/decoding the DL data channel indicated by the DCI. A specific format of the DCI may be defined as a format indicating whether the MA resource is available. Alternatively, according to setting of a specific field of the DCI, for example, 00 may be defined to indicate information about (re)activation, 01 may be defined to indicate information about deactivation, and 10 may be defined to indicate information about reconfiguration.

**[0132]** Upon performing grant-free/contention-based MA, the UE should perform DCI monitoring in a subframe in which the DCI indicating activation/deactivation/reactivation/reconfiguration for an MA resource which is present at least once within Twindow is transmitted. That is, the UE may perform grant-free/contention-based MA within Twindow only after receiving DL control signaling related to the MA resource.

**[0133]** For a UE that wakes up after maintaining a sleep mode for a long time, when there is change in the MA resource, a paging indicator may be transmitted to the UE to indicate that the MA resource has been changed so as to cause the UE to receive SIB information. This method may be limitedly used when information about the MA resource is transmitted through an SIB. The present invention proposes a method in which, upon waking up from a sleep mode for a long time, the UE determines whether an MA resource known to the UE is valid through the DCI, rather than reception of an additional paging indicator or SIB. The eNB

may signal the DCI indicating activation/deactivation/reconfiguration for the MA resource using one of the following methods.

**[0134]** Cell-Specific Signaling (or Broadcast)

**[0135]** Cell-specific signaling is the simplest and easiest method of reducing blind detection (BD) burden for the DCI of the UE. This signaling method is not targeted at a specific UE and informs the UE of activation/deactivation/reconfiguration for the MA resource. If the DCI transmits a simple indication without transmitting additional information, the eNB may transmit information about activation/deactivation/reconfiguration for the MA resource in a similar format to a PCFICH of a legacy LTE system. A position of an RE in which corresponding signaling is transmitted is a cell-specific position previously known to the eNB and the UE and the corresponding signaling should be capable of transmitting the above-mentioned states (e.g., 0: activation, 1: deactivation, 2: reconfiguration). If this signaling method is used to inform the UE of whether the MA resource is available, the burden of a network is not heavy and, in a situation in which traffic of the UE greatly varies, the signaling may be transmitted in every subframe within Twindow in an extreme case.

**[0136]** UE Group-Specific Signaling

**[0137]** An activation/deactivation/reconfiguration message may be transmitted to a specific UE group. UE group-specific signaling is performed on the premise that UE grouping should be previously performed and a UE group ID has been assigned. When DCI is transmitted with being masked with a specific UE group ID, a UE belonging to a corresponding UE group, i.e., a UE having a corresponding ID as a UE group ID thereof, conforms to a command transmitted through the DCI. The eNB may activate/deactivate the MA resource only with respect to a specific UE group through UE group-specific signaling and perform reconfiguration for the MA resource of the specific UE group.

**[0138]** UE-Specific Signaling

**[0139]** The eNB may perform activation/deactivation/reconfiguration to use the MA resource for a specific UE. DCI including information about activation/deactivation/reconfiguration for the MA resource may be transmitted with being masked with a specific UE ID.

**[0140]** If the eNB UE group-specifically or UE-specifically signals information about activation/deactivation/reconfiguration for the MA resource, the number of times of BD that should be performed in one subframe to reduce DCI BD burden of the UE may be divided according to a UE group ID and a UE ID. If possible, it is desirable to configure a search space for a corresponding ID in consideration of the number of times of BD.

**[0141]** Hereinbelow, a method capable of raising time resource use efficiency in signaling a time resource among MA physical resources will be described. The time resource among the MA physical resources may be broadly divided into two groups.

**[0142]** Static MA time resource: A time resource (e.g., subframe) used by a grant-free/contention-based scheme, semi-statically configured according to MA resource related information which is transmitted through RRC signaling or every Twindow. The static MA time resource is a time resource that the eNB does not randomly change to a time resource for grant-based access within Twindow.

**[0143]** Dynamic MA time resource: The eNB may inform the UE of a subframe having a possibility of being used for grant-free transmission and the UE may confirm, through DCI reception of the subframe, whether the subframe is used to perform a grant-free or grant-based operation. According to a UL traffic situation, the eNB may adjust usage of the dynamic MA time resource. Since the UE monitors DCI of a corresponding subframe, e.g., DCI of a DL subframe corresponding to a UL subframe having a possibility of being used for grant-free transmission, the eNB may cause the UE to perform scheduling for the corresponding DL subframe or for DL data in the corresponding DL subframe. The UE may monitor a DL grant in the DL subframe corresponding to the UL subframe having a possibility of being used for grant-free transmission. (Then,) the UE may receive DL data in other subframes by receiving a DL control signal/information only in an indicated subframe, even without unnecessarily monitoring a DL control channel in every subframe for DL data reception. In this case, DL scheduling for a plurality of subframes may be performed in one subframe.

**[0144]** Hereinafter, a method using the dynamic MA time resource will be described. The dynamic MA time resource refers to a resource usable as a grant-free/contention-based MA resource or a grant-based resource by autonomous selection of the eNB although the resource is not signaled as the static MA time resource among MA time resources. Information about the dynamic MA time resource may be additionally signaled to the UE. The UE may perform DCI BD in a corresponding subframe, e.g., a DL subframe corresponding to a UL subframe available for grant-free transmission. If DCI transmitted in the corresponding DL subframe signals that the UL subframe may be used as the grant-free/contention-based MA resource, the UE may use the UL subframe as the grant-free/contention-based MA resource. However, if there is no such signaling, the UE cannot randomly perform grant-free/contention-based UL access in the dynamic MA time resource.

**[0145]** The following methods may be considered as a DL control signaling method to cause the eNB to dynamically allocate the grant-free/contention-based MA time resource.

**[0146]** DCI capable of dynamically allocating/changing the MA resource (hereinafter, dynamic MA) may be transmitted only in a subframe designated as the dynamic MA time resource as described above. If the length of Twindow is very long and a relatively large amount of dynamic MA time resources is present, the DCI may indicate information about a subframe duration in which a DCI command is valid or a subframe length of a predetermined length using a subframe bitmap, in order to minimize consumption of transmission power due to DCI BD/decoding of the UE. In this case, the UE need not to read the DCI on every dynamic MA resource.

**[0147]** Whether a subframe is usable as the grant-free/contention-based MA resource may be signaled through dynamic MA DCI.

**[0148]** A change in a specific MA resource may be commanded through the dynamic MA DCI. If the eNB causes the UE to perform grant-free/contention-based MA in a corresponding subframe, the dynamic MA DCI may accompany, for example, a change in a signature set, designation of the one or limited number

of MCSs, designation of UL transmission power, or a power-ramping or power-deramping command of a predetermined value. Upon receiving the dynamic MA DCI, the UE performs UL access according to an indicated change during a time duration during which the dynamic MA DCI is valid.

**[0149]** The dynamic MA DCI may be signaled through cell-common broadcast. That is, the dynamic MA DCI may not be targeted at a specific UE as information that can be received by all UEs in a cell.

**[0150]** As another method, the dynamic MA DCI may be targeted at specific UEs. This method should be performed on the premise that the eNB groups UEs. For example, UE grouping may be performed according to path loss of UEs, service types (e.g., according to the length of average transmission data and a traffic arrival period), or classes of UEs. MA resources usable by a specific UE group may be differently configured according to UE groups. The eNB performing UE grouping also assigns a group ID to a corresponding UE group. Therefore, if the dynamic MA DCI is targeted at a specific UE group ID, only UEs corresponding to a corresponding UE group may access a corresponding resource and the eNB may command UEs of the UE group to change a specific parameter, an MA resource, transmission power, or an MCS, through dynamic MA DCI.

**[0151]** Meanwhile, the dynamic MA time resource may be defined to indicate a subframe having a possibility of being used for grant-based transmission, instead of a subframe having a possibility of being used for grant-free transmission. In this case, for example, the eNB sets bits of an MA time resource bitmap for subframes desired to be used for grant-based UL transmission during Twindow to 0 and sets bits of the MA time resource bitmap for subframes desired to be used for grant-free UL transmission to 0. Thereafter, the eNB may transmit the MA time resource bitmap. Subframes corresponding to bits set to 1 among bits of the MA time resource bitmap correspond to the static MA time resource. The static MA time resource is continuously used for grant-free transmission within Twindow and usage thereof is not changed to grant-based transmission according to a command of DCI. In contrast, subframes corresponding to bits set to 0 among the bits of the MA time resource bitmap may mean subframes which may be used or may not be used for UL transmission according to the command of the DCI. For example, if UL grant DCI for a corresponding subframe of a bit set to 0 in the MA time resource bitmap is not present, the UE does not use the subframe for UL transmission and, if the UL grant DCI for the subframe is present, the UE may use the subframe for UL transmission.

**[0152]** The static MA time resource of the present invention is different from a semi-persistent scheduling (SPS) of a legacy LTE/LTE-A system as follows. A UL SPS resource is configured with respect to UL subframes or subframes of UL carrier. If a UL SPS resource configured for the UE is activated, the UE informs the eNB that a PDCCH indicating that the UL SPS resource should be activated has been received, by transmitting even a padding bit on the UL SPS resource although there is no UL data. In contrast, if there is no UL data to be transmitted in a subframe corresponding to the static MA time resource of the present invention, the UE does not attempt to perform UL transmission. In addition, while a UL SPS resource configuration is dedicated to a

specific UE, the MA time resource of the present invention is common to a plurality of UES as a time resource on which the plural UEs can attempt to perform UL transmission without any grant. Meanwhile, a UL SPS resource of the legacy LTE/LTE-A system is configured in a UL subframe or a FDD UL carrier according to a TDD UL-DL configuration of a cell, whereas the MA time resource of the present invention corresponds to a subframe in which at least a data region is configured as UL out of a time region of a subframe according to the subframe structure of FIG. 6. Accordingly, if a data region of a subframe which may be a UL subframe or a DL subframe is configured as the static MA time resource, the data region is fixed to a UL data region. The UE may monitor a DL control channel in a subframe in which the static MA time resource is released or a subframe which is not configured as the static MA time resource and use a data region of the corresponding subframe for UL transmission or DL reception according to the DL control channel. If a DL SPS resource of the legacy LTE/LTE-A system is configured in a DL subframe or a DL carrier and a subframe corresponding to the DL SPS resource corresponds to an on-duration rather than a (discontinuous reception (DRX)) time duration, the UE should monitor the DL control channel. In contrast, the UE may not perform DCI monitoring in an activated subframe which is configured as the static MA time resource of the present invention to save battery consumption. The UE may monitor DCI only in subframes which are not configured as the static MA time resource to confirm activation/deactivation/reactivation of the static MA time resource or to receive scheduling information for UL transmission or DL reception within a subframe which is not configured as the static MA time resource. For example, referring to FIG. 8, the UE may assume that UL transmission can be performed on a predefined or preset frequency resource in a subframe corresponding to a bit set to 1 and attempt to perform UL transmission using the frequency resource when there is UL data to be transmitted in the corresponding subframe. In this case, the UE may attempt to UL transmission using a UL DM-RS resource allocated to the UE or a UL group to which the UE belongs. The UE may not monitor DCI in a subframe corresponding a bit set to 1. The eNB may not transmit DCI in a subframe corresponding to the static MA time resource. The UE may monitor DCI in a subframe corresponding to a bit set to at least 0. The eNB may transmit DCI in a subframe which does not correspond to the static MA time resource.

**[0153]** Hereinabove, the MA resource management method based on FDD has been described. An MA resource management method considering a TDD system will be described hereinbelow.

**[0154]** FIG. 9 illustrates another grant-free UL MA method in a system according to the present invention.

**[0155]** In a new RAT system, one subframe may include DL control region+DL or UL data region+UL control region as illustrated in FIG. 6. In some cases, the UL control region may not be present. The subframe of FIG. 6 may be referred to as a DL dominant subframe when DL data is transmitted (or more symbols are used for DL signal transmission) or a UL dominant subframe when UL data is transmitted (or more symbols are used for UL signal transmission), rather than a complete UL subframe or a complete DL subframe. Considering this viewpoint, time resources among MA physical resources in a TDD system may be divided and signaled as follows.



**[0156]** Static MA resource and dynamic MA resource (UL or DL).

**[0157]** Dynamic DL resource (DL dominant subframe). The dynamic DL resource (e.g., DL dominant subframe) may be divided into a static (UL or DL) MA resource and a dynamic (UL or DL) MA resource and may be signaled. Alternatively, a static DL resource may not be explicitly signaled. However, if the UE should necessarily perform DCI BD for DL signaling reception, a restriction on this operation may reduce battery consumption. DCI BD for the dynamic MA resource may be UE-selectively performed (according to the amount of traffic of the UE).

**[0158]** For a specific subframe configuration, the static MA resource should be pre-scheduled between the eNB and the UE. Alternatively, symbols usable for data transmission among symbols in the static MA resource may be fixedly designated within Twindow. The UE may perform grant-free/contention-based MA only in an indicated UL data transmission duration. In the dynamic MA resource, a symbol duration to be used for UL data transmission may be determined by DL control signaling.

**[0159]** FIG. 10 illustrates another grant-free UL MA method in a system according to the present invention.

**[0160]** The present invention using an MA resource will now be described in more detail with reference to FIG. 10. FIG. 10 illustrates an enlargement of one frame of FIG. 9. One subframe may be configured in the form of DL control region+UL or DL data region+UL control region. Particularly, a UL dominant subframe may be configured in the form of DL control region+gap (e.g., DL to UL switching gap)+UL data region (+UL control region) and a time resource usable as a UL MA resource may be limited to a region except for the DL control region and the gap in the UL dominant subframe. In addition, if a specific symbol duration (e.g., the last OFDM symbol of a subframe) is reserved for UL control signal/information transmission, a corresponding UL control symbol may also be limited. That is, only a UL region except for a duration of the corresponding UL control symbol may be used as the UL MA resource. In FIG. 10, a static MA time resource may mean a UL dominant subframe and a dynamic MA time resource may indicate a DL dominant subframe or a UL dominant subframe by a DL control signal/information transmitted in the corresponding subframe. The UE may not monitor the DL control signal/information in a static DL dominant subframe and in a static MA time resource except for the dynamic MA time resource. In this case, a symbol number in which UL data transmission can be started may be started from a symbol except for DL control region+gap and the symbol number in which UL data transmission can be started may be pre-scheduled between the eNB and the UE. A UL data start symbol number may be determined, under the assumption that the maximum number of symbols that a DL control region can span is a specific number, or the UL data start symbol may be prescheduled between the UE and the eNB. The UE may receive the DL control signal/information in a corresponding subframe. In this case, the UE may acquire information about the actual number of DL control symbols. However, to multiplex with UEs that do not receive/decode the DL control signal/information in the corresponding symbol, it may be assumed that a timing predetermined by the eNB is a UL data transmission start timing. According to

configuration, it may be assumed that DL control region+gap=0 symbols in the static MA time resource and UL data may be started from the first symbol of a corresponding subframe. The UE may interpret/understand one subframe depending on Method 1, Method 2, or Method 3 of FIG. 10 according to interpretation of the MA time resource of UEs performing grant-free/contention-based MA and according to whether DL control is received. According to Method 1, the UE monitors DCI in a subframe in which activation/confirmation/reconfiguration signaling of the MA time resource may be present and only in subframes configured as the dynamic MA resource. In Method 1, a DL control region is not considered in a subframe corresponding to the static MA time resource and the UE performs UL transmission from a start time symbol. Unlike Method 1, Method 2 uses, for UL transmission, time symbols except for time symbols corresponding to a DL control region in consideration of the case in which DCI for other UEs or other UE groups may be present in the DL control region. According to Method 3, in consideration of the case in which DCI for other UEs or other UE groups may be present in the DL control region, the UE blank-processes, i.e., nulls, the DL control region in order to reduce interference for other UEs or UE groups.

**[0161]** A symbol in which UL data transmission may be started in the dynamic MA time resource may be determined by DCI in a corresponding subframe.

**[0162]** FIG. 11 is a block diagram illustrating elements of a transmitting device 10 and a receiving device 20 for implementing the present invention.

**[0163]** The transmitting device 10 and the receiving device 20 respectively include Radio Frequency (RF) units 13 and 23 capable of transmitting and receiving radio signals carrying information, data, signals, and/or messages, memories 12 and 22 for storing information related to communication in a wireless communication system, and processors 11 and 21 operationally connected to elements such as the RF units 13 and 23 and the memories 12 and 22 to control the elements and configured to control the memories 12 and 22 and/or the RF units 13 and 23 so that a corresponding device may perform at least one of the above-described examples of the present invention.

**[0164]** The memories 12 and 22 may store programs for processing and controlling the processors 11 and 21 and may temporarily store input/output information. The memories 12 and 22 may be used as buffers.

**[0165]** The processors 11 and 21 generally control the overall operation of various modules in the transmitting device and the receiving device. Especially, the processors 11 and 21 may perform various control functions to implement the present invention. The processors 11 and 21 may be referred to as controllers, microcontrollers, microprocessors, or microcomputers. The processors 11 and 21 may be implemented by hardware, firmware, software, or a combination thereof. In a hardware configuration, application specific integrated circuits (ASICs), digital signal processors (DSPs), digital signal processing devices (DSPDs), programmable logic devices (PLDs), or field programmable gate arrays (FPGAs) may be included in the processors 11 and 21. Meanwhile, if the present invention is implemented using firmware or software, the firmware or software may be configured to include modules, procedures, functions, etc. performing the functions or operations of the present invention. Firmware or software configured to perform the present

invention may be included in the processors **11** and **21** or stored in the memories **12** and **22** so as to be driven by the processors **11** and **21**.

**[0166]** The processor **11** of the transmitting device **10** performs predetermined coding and modulation for a signal and/or data scheduled to be transmitted to the outside by the processor **11** or a scheduler connected with the processor **11**, and then transfers the coded and modulated data to the RF unit **13**. For example, the processor **11** converts a data stream to be transmitted into  $K$  layers through demultiplexing, channel coding, scrambling, and modulation. The coded data stream is also referred to as a codeword and is equivalent to a transport block which is a data block provided by a MAC layer. One transport block (TB) is coded into one codeword and each codeword is transmitted to the receiving device in the form of one or more layers. For frequency up-conversion, the RF unit **13** may include an oscillator. The RF unit **13** may include  $N_r$  (where  $N_r$  is a positive integer) transmit antennas.

**[0167]** A signal processing process of the receiving device **20** is the reverse of the signal processing process of the transmitting device **10**. Under control of the processor **21**, the RF unit **23** of the receiving device **20** receives radio signals transmitted by the transmitting device **10**. The RF unit **23** may include  $N_r$  (where  $N_r$  is a positive integer) receive antennas and frequency down-converts each signal received through receive antennas into a baseband signal. The processor **21** decodes and demodulates the radio signals received through the receive antennas and restores data that the transmitting device **10** intended to transmit.

**[0168]** The RF units **13** and **23** include one or more antennas. An antenna performs a function for transmitting signals processed by the RF units **13** and **23** to the exterior or receiving radio signals from the exterior to transfer the radio signals to the RF units **13** and **23**. The antenna may also be called an antenna port. Each antenna may correspond to one physical antenna or may be configured by a combination of more than one physical antenna element. The signal transmitted from each antenna cannot be further deconstructed by the receiving device **20**. An RS transmitted through a corresponding antenna defines an antenna from the view point of the receiving device **20** and enables the receiving device **20** to derive channel estimation for the antenna, irrespective of whether the channel represents a single radio channel from one physical antenna or a composite channel from a plurality of physical antenna elements including the antenna. That is, an antenna is defined such that a channel carrying a symbol of the antenna can be obtained from a channel carrying another symbol of the same antenna. An RF unit supporting a MIMO function of transmitting and receiving data using a plurality of antennas may be connected to two or more antennas.

**[0169]** In the examples of the present invention, a UE operates as the transmitting device **10** in UL and as the receiving device **20** in DL. In the examples of the present invention, an eNB operates as the receiving device **20** in UL and as the transmitting device **10** in DL. Hereinafter, a processor, an RF unit, and a memory included in the UE will be referred to as a UE processor, a UE RF unit, and a UE memory, respectively, and a processor, an RF unit, and a memory included in the eNB will be referred to as an eNB processor, an eNB RF unit, and an eNB memory, respectively.

**[0170]** The eNB processor may allocate an MA resource and/or an MA signature according to the present invention. The eNB processor may control the eNB RF unit to transmit MA configuration information about the MA resource and/or the MA signature. The eNB processor may monitor UL signals transmitted by one or more UEs on an MA (time/frequency) resource based on the MA configuration information. The eNB processor may control the eNB RF unit to transmit information indicating a static MA time resource. The eNB processor may attempt to receive a UL signal using a frequency resource determined according to a service, a use case, and/or UE capability on the static MA time resource or using a frequency resource pre-sigaled by the eNB processor to UE(s). The eNB processor may control the eNB RF unit to transmit a UL grant or a DL grant on a time resource which is not configured as the static MA time resource (hereinafter, a non-static MA time resource) or for the non-static MA time resource. The eNB processor may control the eNB RF unit to receive UL data or transmit DL data on the non-static MA time resource according to the UL grant or the DL grant. The eNB processor may apply the MA configuration information every specific period Twindow. The eNB processor may control the eNB RF unit to transmit activation/deactivation information indicating activation or deactivation of static MA time resources within Twindow every Twindow. The eNB processor may control the eNB RF unit to transmit the activation/deactivation information on a start time resource of every Twindow. The eNB processor may control the eNB RF unit to transmit information indicating a grant-free or grant-based operable dynamic MA time resource among the non-static MA time resources within Twindow. The eNB processor may control the eNB RF unit to transmit scheduling information on the dynamic MA time resource or scheduling information for the dynamic MA time resource. The eNB processor may decode UL data signals received on the static MA time resource using UL DM-RS resources which are differently configured according to a UE or a UE group.

**[0171]** The UE processor may control the UE RF unit to receive the MA configuration information about the MA resource and/or the MA signature according to the present invention. The UE processor may control the UE RF unit to transmit UL data (if UL data to be transmitted is present) based on the MA configuration information. The UE processor may control the UE RF unit to receive the information indicating the static MA time resource. The UE processor may attempt to transmit UL data using a frequency resource determined according to a service, a use case, and/or UE capability on the static MA time resource or using a frequency resource pre-sigaled by the eNB. The UE processor may control the UE RF unit to receive a UL grant or a DL grant on a time resource which is not configured as the static MA time resource (hereinafter, a non-static MA time resource) or for the non-static MA time resource. The UE processor may control the UE RF unit to transmit UL data or receive DL data on the non-static MA time resource according to the UL grant or the DL grant. The UE processor may apply the MA configuration information every specific period Twindow. The UE processor may control the UE RF unit to receive activation/deactivation information indicating activation or deactivation of static MA time resources within Twindow every Twindow. The UE processor may control the UE RF unit to receive the activation/deactivation information on a start time resource of every Twindow. The

UE processor may control the UE RF unit to receive the information indicating the grant-free or grant-based operable dynamic MA time resource among the non-static MA time resources within Twindow. The UE processor may control the UE RF unit to receive the scheduling information on the dynamic MA time resource or the scheduling information for the dynamic MA time resource. The UE processor may control the UE RF unit to transmit UL data or a DM-RS for demodulating the UL data on the static MA time resource using UL DM-RS resources which are differently configured according to a UE or a UE group to which the UE belongs.

[0172] As described above, the detailed description of the preferred examples of the present invention has been given to enable those skilled in the art to implement and practice the invention. Although the invention has been described with reference to exemplary examples, those skilled in the art will appreciate that various modifications and variations can be made in the present invention without departing from the spirit or scope of the invention described in the appended claims. Accordingly, the invention should not be limited to the specific examples described herein, but should be accorded the broadest scope consistent with the principles and novel features disclosed herein.

#### INDUSTRIAL APPLICABILITY

[0173] The examples of the present invention are applicable to a base station, a user equipment, or other devices in a wireless communication system.

1. A method of transmitting an uplink (UL) signal by a user equipment in a wireless communication system, the method comprising:

receiving first multiple access (MA) time resource information indicating static MA time resources on which UL transmission is permitted without a UL grant among time resources corresponding to a predetermined time length Twindow;

when UL data (hereinafter, first UL data) to be transmitted on one (hereinafter, a first static MA time resource) of the static MA time resources is present, transmitting the first UL data on the first static MA time resource using a predetermined frequency resource, and

monitoring downlink control information (hereinafter, first DCI) on non-static MA time resources, which are not the static MA time resources among the time resources, and, upon receiving the first DCI, transmitting UL data (hereinafter, second UL data) or receiving downlink (DL) data, according to the first DCI.

2. The method of claim 1, further comprising:

receiving second downlink control information (DCI) indicating activation or deactivation of the static MA time resources on a start time resource every Twindow, wherein, when the second DCI indicates activation, the predetermined frequency resource is available for UL transmission on the static MA time resources during Twindow after a time resource on which the second DCI is received and, when the second DCI indicates deactivation, the predetermined frequency resource is not available for UL transmission on the static MA time resources during Twindow after the time resource on which the second DCI is received.

3. The method of claim 1, further comprising

receiving at least one of UL transmission power related information, frequency resource information, and

modulation and coding scheme related information, which are to be applied to the static MA time resources.

4. The method of claim 3,

wherein the first DCI includes UL transmission power related information, frequency resource information, and modulation and coding scheme related information which are different from the UL transmission power related information, the frequency resource information, and the modulation and coding scheme applied to the static MA time resources.

5. A user equipment for transmitting an uplink (UL) signal in a wireless communication system, the user equipment comprising,

a radio frequency (RF) unit, and

a processor configured to control the RF unit, the processor configured to:

control the RF unit to receive first multiple access (MA) time resource information indicating static MA time resources on which UL transmission is permitted without a UL grant among time resources corresponding to a predetermined time length Twindow;

when UL data (hereinafter, first UL data) to be transmitted on one (hereinafter, a first static MA time resource) of the static MA time resources is present, control the RF unit to transmit the first UL data on the first static MA time resource using a predetermined frequency resource; and

monitor downlink control information (DCI) on non-static MA time resources, which are not the static MA time resources among the time resources, and control the RF unit to transmit UL data (hereinafter, second UL data) or receive downlink (DL) data on a non-static MA time resource (hereinafter, a first non-static MA time resource) on which DCI (hereinafter, first DCI) is received among the non-static MA time resources.

6. The user equipment of claim 5,

wherein the processor is configured to control the RF unit to receive second downlink control information (DCI) indicating activation or deactivation of the static MA time resources on a start time resource every Twindow, and

when the second DCI indicates activation, the predetermined frequency resource is available for UL transmission on the static MA time resources during Twindow after a time resource on which the second DCI is received and, when the second DCI indicates deactivation, the predetermined frequency resource is not available for UL transmission on the static MA time resources during Twindow after the time resource on which the second DCI is received.

7. The user equipment of claim 5,

wherein the processor is configured to control the RF unit to receive at least one of UL transmission power related information, frequency resource information, and modulation and coding scheme related information, which are to be applied to the static MA time resources.

8. The user equipment of claim 7,

wherein the first DCI includes UL transmission power related information, frequency resource information, and modulation and coding scheme related information which are different from the UL transmission power related information, the frequency resource information, and the modulation and coding scheme applied to the static MA time resources.

**9.** A method of receiving an uplink (UL) signal by a base station in a wireless communication system, the method comprising:

transmitting first multiple access (MA) time resource information indicating static MA time resources on which UL transmission is permitted without a UL grant among time resources corresponding to a predetermined time length Twindow;

monitoring reception of UL data from one or more user equipments on the static MA time resources; and

transmitting downlink control information (hereinafter, first DCI) to a specific user equipment on one (hereinafter, a first non-static MA time resource) of non-static MA time resources, which are not the static MA time resources among the time resources, and receiving UL data of the specific user equipment or transmitting downlink (DL) data to the specific user equipment, according to the first DCI.

**10.** The method of claim **9**, further comprising:

transmitting second downlink control information (DCI) indicating activation or deactivation of the static MA time resources on a start time resource every Twindow, wherein, when the second DCI indicates activation, the predetermined frequency resource is available for UL transmission on the static MA time resources during Twindow after a time resource on which the second DCI is transmitted and, when the second DCI indicates deactivation, the predetermined frequency resource is not available for UL transmission on the static MA time resources during Twindow after the time resource on which the second DCI is transmitted.

**11.** The method of claim **9**, further comprising

transmitting at least one of UL transmission power related information, frequency resource information, and modulation and coding scheme related information, which are to be applied to the static MA time resources.

**12.** The method of claim **11**,

wherein the first DCI includes UL transmission power related information, frequency resource information, and modulation and coding scheme related information which are different from the UL transmission power related information, the frequency resource information, and the modulation and coding scheme applied to the static MA time resources.

**13.** A base station for receiving an uplink (UL) signal in a wireless communication system, the base station comprising,

a radio frequency (RF) unit, and

a processor configured to control the RF unit, the processor configured to:

control the RF unit to transmit first multiple access (MA) time resource information indicating static MA time resources on which UL transmission is permitted without a UL grant among time resources corresponding to a predetermined time length Twindow;

monitor reception of UL data from one or more user equipments on the static MA time resources; and

control the RF unit to transmit downlink control information (hereinafter, first DCI) to a specific user equipment on one (hereinafter, a first non-static MA time resource) of non-static MA time resources, which are not the static MA time resources among the time resources, and control the RF unit to receive UL data of the specific user equipment or transmit downlink (DL) data to the specific user equipment, according to the first DCI.

**14.** The base station of claim **13**,

wherein the processor is configured to control the RF unit to transmit second downlink control information (DCI) indicating activation or deactivation of the static MA time resources on a start time resource every Twindow, and

when the second DCI indicates activation, the predetermined frequency resource is available for UL transmission on the static MA time resources during Twindow after a time resource on which the second DCI is transmitted and, when the second DCI indicates deactivation, the predetermined frequency resource is not available for UL transmission on the static MA time resources during Twindow after the time resource on which the second DCI is transmitted.

**15.** The base station of claim **13**,

wherein the processor is configured to control the RF unit to transmit at least one of UL transmission power related information, frequency resource information, and modulation and coding scheme related information, which are to be applied to the static MA time resources.

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