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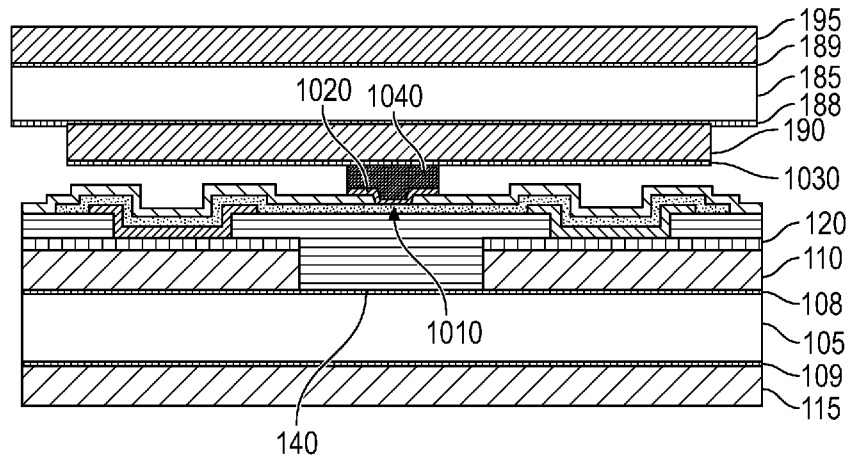


FIG. 10C

(57) Abstract: The disclosure is related to structures and method of making thermoelectric devices. The structures include an electrically nonconductive and thermally conductive substrate with direct bonded or electroplated copper. Thermoelement pairs are formed on a barrier layer deposited on the outer layers of the substrate in gaps formed from insulator material deposited on the barrier layer. Openings in the barrier layer may be filled with an insulator to isolate thermoelements, which may then be bridged by a metal layer. Thermoelement pairs may be combined to form larger devices.



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## THERMOELECTRIC DEVICES ON CERAMICS

### BACKGROUND OF THE DISCLOSURE

#### 1. Field of the Disclosure

**[0001]** The present disclosure relates to apparatuses and methods for making thermoelectric devices, and, in particular, manufacturing thermoelement pairs on ceramics for heating, cooling, and power generation.

#### 2. Description of the Related Art

**[0002]** Thermoelectric devices have been used since the 1950s to heat, cool, and generate power; however, widespread use has been held back due to poor performance and low efficiency when compared with other available heating, cooling, and power generation technologies. Key factors in understanding the performance of thermoelectric devices include the coefficient of performance (COP), which is the ratio of the thermal output power and the electrical input power of a thermoelectric device, the temperature differential across the hot and cold sides of the thermoelectric device, and the reliability of the thermoelectric device.

**[0003]** A shortcoming in prior art thermoelectric devices is backflow of heat which result in low COP and efficiency. Another shortcoming is low reliability due to heat-related expansion and contraction of the thermoelements and adjacent structures with dissimilar coefficients of thermal expansion, including the need for solder between layers, which can result in failures. Another shortcoming is the interdiffusion of thermoelectric materials, solders, and metals for interconnections. Another shortcoming is the difficulty in assembly and manufacture of thermoelectric devices that increases the cost of the thermoelectric devices.

**[0004]** What are needed are thermoelectric device structures that reduce thermal losses, do not rely on soldered connections, have low-resistance metal interconnections, can operate over large temperature range (-200°C to 1000°C), resist diffusion of thermoelements into substrate materials, and increase performance.

#### BRIEF SUMMARY OF THE DISCLOSURE

**[0005]** In aspects, the present disclosure is related to an apparatus and method for making thermoelectric devices, and, in particular, preparing thermoelements on ceramics for heating, cooling, and power generation.

One embodiment according to the present disclosure includes a thermoelectric apparatus, the apparatus including: a first stage including: a direct bond copper substrate that includes: a first copper layer with an opening; a substrate; and a second copper layer (optional); a barrier layer disposed on the first copper layer with an opening coterminous with the opening in the first copper layer; an insulator disposed on the substrate through the opening in the first copper layer and the opening in the barrier layer and on the barrier layer to form a first insulator gap on one side of the opening in the barrier layer and a second insulator gap on other side of the opening in the barrier layer; an n-type thermoelectric layer disposed in the first insulator gap; a p-type thermoelectric layer disposed in the second insulator gap; and a metal layer disposed on the n-type thermoelectric layer, the p-type thermoelectric layer, and on the insulator between the n-type thermoelectric layer and the p-type thermoelectric layer. The apparatus may also include a plurality of energy filter layers disposed between the n-type thermoelectric layer and the barrier layer and between the p-type thermoelectric layer and the barrier layer. The plurality of energy filter layers may include graphene. The substrate may be a ceramic that is not electrically

conductive and is thermally conductive. The barrier layer may include a plurality of sublayers and at least one of the plurality of sublayers is made up of at least one of: cobalt, iridium, nickel, osmium, palladium, platinum, rhenium, ruthenium, and rhodium. The n-type thermoelectric layer is made of one or more of: Bi-Te-Se, SiGe-P,  $Mg_3Bi_2$ , Mg-Sb-B-Te, In-Sb, Bi-Sb, Pb-Se, Sn-S-Se, Half-Heusler alloys, full Heusler alloys, Fe-VW-Al, Yb-Ba-Co-Sb, La-Te, Pr-Te, SiGe-C, and Yb-Al; and the p-type thermoelectric layer is made of one or more of: Bi-Sb-Te, Si-Ge-B, Zintl compounds, Yb-Mn-Sb, Ce-Fe-Co-Sb, Ce-Pd, Cs-Bi-Te, Pb-Te, and Zn-Sb. The metal layer is made of a plurality of sublayers and at least one of the plurality of sublayers is made of at least one of aluminum, cobalt, gold, iridium, nickel, osmium, palladium, platinum, rhenium, ruthenium, rhodium, and titanium tungsten. A sublayer of the plurality of sublayers that is distal to the barrier layer is made of at least one of: cobalt, iridium, osmium, palladium, gold, platinum, rhenium, ruthenium, and rhodium. The apparatus may also include an overcoat layer disposed on the metal layer with a gap disposed between the n-type thermoelement layer and the p-type thermoelement layer; a metal pad disposed in the gap and adjacent on the overcoat layer; a solder layer in contact with metal pad; a surface layer in contact with the solder layer; a second copper layer disposed on the surface layer; and a second substrate disposed on the second copper layer; where the surface layer is made of one of Ni/Au, Ni/Pd/Au, and Au; and where the solder layer is made of one of Au/Sn, Sn-Ag-Cu, and Bi-Sn.

**[0006]** The apparatus may also include a bonding layer disposed on the metal layer and made of at least one of: sintered silver, AuSn, eutectic solder, metallic ink, and solder. The apparatus may have a second direct bond copper substrate disposed on the bonding layer. The apparatus may include a second stage identical to the first stage and disposed on the first stage in an inverted position so that complementing thermoelectric n-type and p-type pairs are formed.

[0007] Another embodiment according to the present disclosure includes a thermoelectric apparatus, the apparatus including: a first stage including: a first stage copper substrate including: a first stage first copper layer with a first stage opening; a first stage substrate; and a first stage second copper layer (optional), wherein the first stage first copper layer and the first stage second copper layer (optional) are direct bonded or electroplated onto opposite faces of the first stage substrate; a first stage barrier layer disposed on the first stage first copper layer with a first stage opening coterminous with the first stage opening in the first stage copper layer; a first stage insulator disposed on the first stage substrate through the opening in the first stage first copper layer and the first stage opening in the first stage barrier layer and on the first stage barrier layer to form a first stage first insulator gap on one side of the first stage opening in the first stage barrier layer and a first stage second insulator gap on other side of the first stage opening in the first stage barrier layer; a thermoelectric layer disposed in the first stage first insulator gap, wherein the thermoelectric layer one of an n-type thermoelectric material and a p-type thermoelectric material; a first stage metal layer disposed on the thermoelectric layer in the first stage first insulator gap and in the first stage second insulator gap; and a first stage bonding layer disposed on the first stage metal layer; a second stage including: a second stage direct bond copper substrate including: a second stage first copper layer; a second stage substrate; and a second stage second copper layer (optional), wherein the second stage first copper layer and the second stage second copper layer (optional) are direct bonded or electroplated onto opposite faces of the second stage substrate, and wherein the second stage first copper layer is narrower than the second stage substrate to expose end surfaces of the second stage substrate; a second stage barrier layer disposed on the second stage first copper layer; a second stage insulator disposed on the second stage barrier layer with openings to form a first stage first insulator gap and a second stage insulator gap; a thermoelectric

layer disposed in the second stage second insulator gap that is the other of the n-type thermoelectric material and the p-type thermoelectric material disposed in the first stage first insulator gap; and a second stage metal layer disposed on the thermoelectric layer in the second stage second insulator gap and in the second stage first insulator gap; and a second stage bonding layer disposed on the second stage metal layer. The apparatus may also include a plurality of energy filter layers disposed between each of the thermoelectric layers and their respective barrier layers. The plurality of energy filter layers may include graphene. The first stage substrate and the second stage substrate may be ceramic and not electrically conductive and is thermally conductive. Each of the first stage barrier layer and the second stage barrier layer may include a plurality of sublayers and at least one of the plurality of sublayers is made up of at least one of: cobalt, iridium, nickel, osmium, palladium, platinum, rhenium, ruthenium, and rhodium. The n-type thermoelectric layer is made of one or more of: Bi-Te-Se, SiGe-P, Mg<sub>3</sub>Bi<sub>2</sub>, Mg-Sb-B-Te, In-Sb, Bi-Sb, Pb-Se, Sn-S-Se, Half-Heusler alloys, full Heusler alloys, Fe-V-W-Al, Yb-Ba-Co-Sb, La-Te, Pr-Te, SiGe-C, and Yb-Al; and the p-type thermoelectric layer is made of one or more of: Bi-Sb-Te, SiGe-B, Zintl compounds, Yb-Mn-Sb, Ce-Fe-Co-Sb, Ce-Pd, Cs-Bi-Te, Pb-Te, and Zn-Sb. The metal layer may be made of a plurality of sublayers and at least one of the plurality of sublayers is made of at least one of aluminum, cobalt, gold, iridium, nickel, osmium, palladium, platinum, rhenium, ruthenium, rhodium, tantalum/tantalum nitride, and titanium tungsten. A sublayer of the plurality of sublayers that is distal to the barrier layer may be made of at least one of: cobalt, iridium, osmium, palladium, gold, platinum, rhenium, ruthenium, and rhodium. Each of the first stage bonding layer and the second stage bonding layer may be made of at least one of: sintered silver, AuSn, eutectic solder, metallic ink, and solder.

**[0008]** Another embodiment according to the present disclosure includes a method of fabricating a thermoelectric device including the steps of: depositing a barrier layer on a first copper layer of a direct bonded or electroplated copper substrate; removing a portion of the barrier layer and the first copper layer to form a recess that exposes a substrate of the direct bonded or electroplated copper substrate; depositing an insulator layer on the substrate in the recess and on the barrier layer forming a first insulator gap and a second insulator gap; adding an n-type thermoelectric layer to the first insulator gap; adding a p-type thermoelectric layer to the second insulator gap; and depositing a metal layer on and between the n-type thermoelectric layer and the p-type thermoelectric layer. The method may also include the step of depositing an energy filter layer on the barrier layer in each of the first insulator gap and the second insulator gap or depositing an energy filter layer on the barrier layer on the first copper layer prior to the deposition of the insulator layer. The method may also include a step of depositing an overcoat layer on the metal layer. The method may also include the steps of forming a gap in the overcoat layer between the n-type thermoelement layer and the p-type thermoelement layer; disposing a metal pad in and adjacent to the gap; forming a second substrate with bonded copper on at least one side; disposing a surface layer on the bonded copper; disposing solder on the surface layer; and moving the first substrate and the second substrate relative to one another to join the solder to the metal pad and form a solder bond.

**[0009]** The method may also include a step of depositing a bonding layer on the metal layer.

**[0010]** Another embodiment of the present disclosure includes a method of fabricating a thermoelectric device including the steps of: forming a first stage by: depositing a first stage barrier layer on a first stage first copper layer of a first stage direct bonded or electroplated copper substrate; removing a portion of the first stage barrier layer and the first stage first copper layer to



form a first stage recess that exposes a first stage substrate of the first stage direct bonded or electroplated copper substrate; depositing a first stage insulator layer on the first stage substrate in the first stage recess and on the first stage barrier layer forming a first stage first insulator gap and a first stage second insulator gap; adding a thermoelectric layer to the first stage first insulator gap, wherein the thermoelectric layer is one of: an n-type thermoelectric material and a p-type thermoelectric material; adding a p-type thermoelectric layer to the second insulator gap; and depositing a first stage metal layer on the thermoelectric layer in the first stage first insulator gap and in the first stage second insulator gap; depositing a first stage barrier layer on a first stage first copper layer of a first stage direct bonded or electroplated copper substrate; removing a portion of the first stage barrier layer and the first stage first copper layer to form a first stage recess that exposes a first stage substrate of the first stage direct bonded or electroplated copper substrate; depositing a first stage insulator layer on the first stage substrate in the first stage recess and on the first stage barrier layer forming a first stage first insulator gap and a first stage second insulator gap; adding a thermoelectric layer to the first stage first insulator gap, wherein the thermoelectric layer in the first stage first insulator gap is one of: an n-type thermoelectric material and a p-type thermoelectric material; adding a p-type thermoelectric layer to the second insulator gap; depositing a first stage metal layer on the thermoelectric layer in the first stage first insulator gap and in the first stage second insulator gap; and depositing a first stage bonding layer on the first stage metal layer; forming a second stage by: depositing a second stage barrier layer on a second stage first copper layer of a second stage direct bonded or electroplated copper substrate; removing a portion of the second stage barrier layer and the second stage first copper layer to form a second stage recess that exposes a second stage substrate of the second stage direct bonded or electroplated copper substrate; depositing a second stage insulator layer on the second stage substrate in the

second stage recess and on the second stage barrier layer forming a second stage first insulator gap and a second stage second insulator gap; adding a thermoelectric layer to the second stage first insulator gap, wherein the thermoelectric layer is one of: an n-type thermoelectric material and a p-type thermoelectric material; adding a p-type thermoelectric layer to the second insulator gap; and depositing a second stage metal layer on the thermoelectric layer in the second stage first insulator gap and in the second stage second insulator gap; depositing a second stage barrier layer on a second stage first copper layer of a second stage direct bonded or electroplated copper substrate; removing a portion of the second stage barrier layer and the second stage first copper layer to form a second stage recess that exposes a second stage substrate of the second stage direct bonded or electroplated copper substrate; depositing a second stage insulator layer on the second stage substrate in the second stage recess and on the second stage barrier layer forming a second stage first insulator gap and a second stage second insulator gap; adding a thermoelectric layer to the second stage first insulator gap, wherein the thermoelectric layer in the second stage second insulator gap is the other of: the n-type thermoelectric material and the p-type thermoelectric material in the first stage first insulator gap; depositing a second stage metal layer on the thermoelectric layer in the second stage first insulator gap and in the second stage second insulator gap; depositing a second stage bonding layer on the second stage metal layer; and combining the first stage and the second stage to form a thermoelectric pair. The method may also include the step of depositing an energy filter layer on the barrier layer in each of the first insulator gap and the second insulator gap of the first stage and the second stage.

**[0011]** Another embodiment according to the present disclosure includes a thermoelectric apparatus, the apparatus including a first stage that includes a copper substrate that includes a first copper layer with an opening; a substrate; and a second copper layer (optional), wherein the first

copper layer and the second copper layer (optional) are direct bonded or electroplated onto opposite faces of the substrate; a barrier layer disposed on the first copper layer with an opening coterminous with the opening in the first copper layer; an n-type thermoelectric layer disposed on the barrier layer on a first side of the opening; a p-type thermoelectric layer disposed on the barrier layer on a second side of the opening; metal layers disposed on and partially covering the n-type thermoelectric layer and the p-type thermoelectric layer; insulator layers disposed on portions of the n-type thermoelectric layer and the p-type thermoelectric layer that are not covered by the metal layers and the barrier layer; electroless plating layers disposed on the metal layers; and a second stage including: an interconnect fabric; a copper layer disposed on the interconnect fabric; and an electroless plating layer disposed on the copper layer; wherein bonding layers are disposed between the first stage electroless plating layer and the second stage electroless plating layer. The apparatus may also include a plurality of energy filter layers disposed between the n-type thermoelectric layer and the barrier layer and between the p-type thermoelectric layer and the barrier layer and the plurality of energy filter layers may include graphene. The substrate may be a ceramic that is not electrically conductive and is thermally conductive. The barrier layer may include a plurality of sublayers and at least one of the plurality of sublayers is made up of at least one of: cobalt, iridium, nickel, osmium, palladium, platinum, rhenium, ruthenium, rhodium, and tantalum/tantalum nitride. The n-type material may be made of one or more of: Bi-Te-Se, SiGe-P, Mg<sub>3</sub>Bi<sub>2</sub>, Mg-Sb-B-Te, In-Sb, Bi-Sb, Pb-Se, Sn-S-Se, Half-Heusler alloys, full Heusler alloys, Fe-V-W-Al, Yb-Ba-Co-Sb, La-Te, Pr-Te, SiGe-C, and Yb-Al; and the p-type thermoelectric layer may be made of one or more of: Bi-Sb-Te, SiGe-B, Zintl compounds, Yb-Mn-Sb, Ce-Fe-Co-Sb, Ce-Pd, Cs-Bi-Te, Pb-Te, and Zn-Sb. The metal layer may be made of a plurality of sublayers and at least one of the plurality of sublayers is made of at least one of aluminum, cobalt, gold, iridium,

nickel, osmium, palladium, platinum, rhenium, ruthenium, rhodium, tantalum/tantalum nitride, and titanium tungsten. One of the sublayers of the plurality of sublayers that is distal to the barrier layer is made of at least one of: cobalt, iridium, osmium, palladium, gold, platinum, rhenium, ruthenium, and rhodium. The apparatus may include a bonding layer disposed on the metal layer and made of at least one of: sintered silver, AuSn, eutectic solder, metallic ink, and solder. The first stage electroless plating layer and the second stage electroless plating layer both include a first sublayer of at least one of nickel and palladium; and a second sublayer of gold.

**[0012]** Another embodiment according to the present disclosure includes a method of fabricating a thermoelectric device including the steps of: depositing a barrier layer on a first copper layer of a direct bonded or electroplated copper substrate; removing a portion of the barrier layer and the first copper layer to form a recess that exposes a substrate of the direct bonded or electroplated copper substrate; adding an n-type thermoelectric layer to the barrier layer on a first side of the recess; adding a p-type thermoelectric layer to the barrier layer on a second side of the recess; depositing a metal layer on the n-type thermoelectric layer and the p-type thermoelectric layer; depositing an insulator layer on the barrier layer, the n-type thermoelectric layer and the p-type thermoelectric layer, and the metal layer; removing parts of the insulator layers to expose each of the metal layers; depositing an electroless plating layer on each of the metal layers; and adding interconnect fabric plated with copper and an electroless plating layer using a bonding layer between 1) each of the electroless plating layer and insulator layers corresponding to each of the n-type thermoelectric material and the p-type thermoelectric material and 2) the electroless plating layer associated with the interconnect fabric. The method may also include a step of depositing an energy filter layer on the barrier layer on the first side and the second side.

[0013] Examples of the more important features of the disclosure have been summarized rather broadly in order that the detailed description thereof that follows may be better understood and in order that the contributions they represent to the art may be appreciated. There are, of course, additional features of the disclosure that will be described hereinafter and which will form the subject of the claims appended hereto.

#### BRIEF DESCRIPTION OF THE DRAWINGS

[0014] For a detailed understanding of the present disclosure, reference should be made to the following detailed description of the embodiments, taken in conjunction with the accompanying drawings, in which like elements have been given like numerals, wherein:

**FIG. 1A** is a diagram of a cross-section of a bonded substrate for a thermoelement according to one embodiment of the present disclosure;

**FIG. 1B** is a diagram of a cross-section of the bonded substrate of **FIG. 1A** with a barrier layer according to the present disclosure;

**FIG. 1C** is a diagram of a cross-section of the bonded or electroplated copper substrate of **FIG. 1B** after a recess volume has been removed according to one embodiment of the present disclosure;

**FIG. 1D** is a diagram of a cross-section of the bonded substrate of **FIG. 1C** after an insulator layer has been added to form a pair of insulator layer gaps on the barrier layer according to one embodiment of the present disclosure;

**FIG. 1E** is a diagram of a cross-section of the bonded substrate of **FIG. 1D** after a thermoelectric material has been added to one of the pairs of insulator layer gaps according to one embodiment of the present disclosure;

**FIG. 1F** is a diagram of a cross-section of the bonded substrate of **FIG. 1E** after a thermoelectric material has been added to the other of the pair of insulator layer gaps according to one embodiment of the present disclosure;

**FIG. 1G** is a diagram of a cross-section of a thermoelement pair made of the bonded substrate of **FIG. 1F** with a metal layer deposited on and between the thermoelectric materials according to one embodiment of the present disclosure.

**FIG. 1H** is a diagram of a cross-section of the thermoelement pair of **FIG. 1G** with an overcoat layer covering one surface according to one embodiment of the present disclosure;

**FIG. 1I** is a diagram of a cross-section of a thermoelectric device including of a thermoelement pair of **FIG. 1G** according to one embodiment of the present disclosure;

**FIG. 2** is a diagram of a cross-section of a thermoelectric device including of two thermoelement pairs of **FIG. 1G** according to one embodiment of the present disclosure;

**FIG. 3A** is a diagram of a cross-section of a bottom portion of a thermoelectric device according to one embodiment of the present disclosure;

**FIG. 3B** is a diagram of a cross-section of a top portion of a thermoelectric device according to one embodiment of the present disclosure;

**FIG. 3C** is a diagram of a cross-section of a thermoelectric device formed by combining the bottom portion of **FIG. 3A** and the top portion of **FIG. 3B** for form a single thermoelectric pair according to one embodiment of the present disclosure;

**FIG. 4A** is a flow chart of a method for making a thermoelectric device of **FIG. 1H** according to one embodiment of the present disclosure;

**FIG. 4B** is a flow chart of a method of making at thermoelectric device of **FIG. 1I** according to one embodiment of the present disclosure;

**FIG. 4C** is a flow chart of a method of making at thermoelectric device of **FIG. 2** according to one embodiment of the present disclosure;

**FIG. 5** is a flow chart of a method of making at thermoelectric device of **FIG. 3C** according to one embodiment of the present disclosure;

**FIG. 6A** is a diagram of a cross-section of a thermally oxidized silicon substrate according to one embodiment of the present disclosure;

**FIG. 6B** is a diagram of a cross-section of the thermally oxidized silicon substrate of **FIG. 6A** with a portion of the thermal oxide layer removed according to one embodiment of the present disclosure;

**FIG. 6C** is a diagram of a cross-section of the thermally oxidized silicon substrate of **FIG. 6B** with a portion of the silicon substrate removed according to one embodiment of the present disclosure;

**FIG. 6D** is a diagram of a cross-section of the thermally oxidized silicon substrate of **FIG. 6C** with the exposed surface face of the silicon substrate oxidized according to one embodiment of the present disclosure;

**FIG. 6E** is a diagram of a cross-section of the thermally oxidized silicon substrate of **FIG. 6D** with an atomic-layer deposition seed layer according to one embodiment of the present disclosure;

**FIG. 6F** is a diagram of a cross-section of the thermally oxidized silicon substrate of **FIG. 6E** with a plating layer according to one embodiment of the present disclosure;

**FIG. 6G** is a diagram of a cross-section of the thermally oxidized silicon substrate of **FIG. 6F** with a barrier layer added to the plating layer according to one embodiment of the present disclosure;

**FIG. 6H** is a diagram of a cross-section of the thermally oxidized silicon substrate of **FIG. 6G** after a recess volume has been removed according to one embodiment of the present disclosure;

**FIG. 6I** is a diagram of a cross-section of the thermally oxidized silicon substrate of **FIG. 6H** after an insulator layer has been added to form a pair of insulator layer gaps on the barrier layer according to one embodiment of the present disclosure;

**FIG. 6J** is a diagram of a cross-section of the thermally oxidized silicon substrate of **FIG. 6I** after a thermoelectric material has been added to one of the pairs of insulator layer gaps according to one embodiment of the present disclosure;

**FIG. 6K** is a diagram of a cross-section of the thermally oxidized silicon substrate of **FIG. 6J** after a thermoelectric material has been added to the other of the pair of insulator layer gaps according to one embodiment of the present disclosure;

**FIG. 6L** is a diagram of a cross-section of a thermoelement pair made of the thermally oxidized silicon substrate of **FIG. 6K** with a metal layer deposited on and between the thermoelectric materials according to one embodiment of the present disclosure;

**FIG. 7** is a flow chart of a method for forming a thermoelectric device of **FIG. 6K** according to one embodiment of the present disclosure;

**FIG. 8A** is a diagram of a cross-section of a substrate of **FIG. 1C** after thermoelectric materials have been added to the barrier layer on opposite sides of the recess according to one embodiment of the present disclosure;

**FIG. 8B** is a diagram of a cross-section of a thermoelement pair of **FIG. 8A** after metal layers have been deposited on the thermoelectric materials according to one embodiment of the present disclosure;



**FIG. 8C** is a diagram of a cross-section of a thermoelement pair of **FIG. 8B** after insulator layers have been deposited on the barrier layers, metal layers, and thermoelectric materials according to one embodiment of the present disclosure;

**FIG. 8D** is a diagram of a cross-section of a thermoelement pair of **FIG. 8C** after removal of the insulator layers over the metal layers and addition of electroless plating layers according to one embodiment of the present disclosure;

**FIG. 8E** is a diagram of a cross section of a thermoelectric pair of **FIG. 8D** after its bonding to an interconnect fabric according to one embodiment of the present disclosure; and

**FIG. 9** is a flow chart of a method of making a thermoelectric device of **FIG. 8E** according to one embodiment of the present disclosure;

**FIG. 10A** is a diagram of a cross-section of the overcoated thermoelectric pair of **FIG. 1H** with metal pad disposed in and adjacent to a gap in the overcoat according to one embodiment of the present disclosure;

**FIG. 10B** is a diagram of a cross-section of the thermoelectric pair of **FIG. 10A** with a second substrate and solder positioned opposite the thermoelectric pair according to one embodiment of the present disclosure;

**FIG. 10C** is a diagram of a cross-section of the thermoelectric pair of **FIG. 10B** after soldering to the metal pad according to one embodiment of the present disclosure; and

**FIG. 11** is a flow chart of a method of making a thermoelectric device of **FIG. 10C** by furthering the method 400 of **FIG. 4A** according to one embodiment of the present disclosure.

## DETAILED DESCRIPTION OF THE DISCLOSURE

**[0015]** Generally, the present disclosure relates to apparatuses and methods making apparatus for converting a heat energy to electrical energy and converting electrical energy into heat energy, and, in particular, generating temperature differentials and generating electrical power. The present disclosure is susceptible to embodiments of different forms. They are shown in the drawings, and herein will be described in detail, specific embodiments of the present disclosure with the understanding that the present disclosure is to be considered an exemplification of the principles of the present disclosure and is not intended to limit the present disclosure to that illustrated and described herein. The figures may show exaggerated thicknesses of some of the elements so that all the elements are easily viewable.

**[0016]** **FIGs. 1A-1G** show a series of cross-section diagrams of steps of preparation of a thermoelement pair **100** on a substrate **105**, such as, but not limited to, a ceramic substrate. **FIG. 1A** shows a cross-section diagram of a substrate **105** bonded to and sandwiched between a first copper layer **110** and a second copper layer **115**. In some embodiments, the second copper layer **115** may be optional. The substrate **105** may be made of a material that is thermally conductive and not an electrical conductor. Thus, the substrate **105** may be an electrical insulator or semiconductor that is also thermally conductive. Substrate **105** may be, but is not limited to, one of: alumina, aluminum nitride, aluminum oxide, beryllium oxide, silicon, silicon carbide, diamond, boron nitride, sapphire, diamond, polyimide glass, FR4, nylon, and silicon nitride. The substrate **105** may have its surfaces covered with a thin (about 50 nanometer) electrically insulating, thermally conducting layers **108**, **109**. The layers **108**, **109** is made of an electrical insulator and thin enough (around 50 nanometers) to not significantly impede the transmission of heat across the layer. Suitable materials for the electrically insulating, thermally conducting layer

include, but are not limited to, silicon dioxide and silicon nitride. The layers **108**, **109** are optional and may be used when the substrate **105** is not an electrical insulator. In some instances, the layer **108**, **109** may be grown on the surface of the substrate **105** by exposing the substrate **105** to an atmosphere with oxygen or nitrogen and using suitable growing techniques as would be understood by a person of skill in the art. The copper layers **110**, **115** may be about 1 micrometer to 1 millimeter in thickness. The exposed surfaces of the copper layers **110**, **115** may be smoothed or planarized to ensure uniform flatness and parallelism. The copper layers **110** and **115** may be direct bond copper or electroplated copper on ceramics.

[0017] **FIG. 1B** shows a cross-section diagram of **FIG. 1A** after a barrier layer **120** has been applied to the first copper layer **110** on the side opposite the substrate **105**. The barrier layer **120** may be about 1 micrometer to 1 millimeter in thickness. The barrier layer **120** may be made of multiple sublayers. In some embodiments, the barrier layer **120** may include two or more sublayers **120a**, **120d**. In one embodiment, the sublayer closest to the copper layer **110** may be made of nickel or palladium with thickness of about 0.5 micrometers to about 10 micrometers, and the sublayer distal to the copper layer **110**, with a thickness of about 10 nanometer to about 1 micrometer, may be made of one or more of cobalt, iridium, osmium, gold, nickel, platinum, rhenium, ruthenium, and rhodium. In another embodiment, the sublayers, proceeding closest to farthest from the copper layer **110**, may include a proximal sublayer of nickel **120a**, a second sublayer **120b** of titanium tungsten, and a distal sublayer **120c** made of one or more of cobalt, iridium, nickel, osmium, palladium, gold, platinum, rhenium, ruthenium, and rhodium. The nickel sublayer **120a** may have thickness of about 0.5 micrometers to 10 micrometers. The titanium tungsten sublayer **120b** may have a thickness of about 10 nanometers to about 100 nanometers. The distal sublayer **120c** may have a thickness of about 0.1 micrometers to about 1 micrometer. In

some embodiments, the proximal sublayer **120a** may be made of tantalum and have a thickness of about 5 nanometers to about 100 nanometers, a second sublayer **120b** of tantalum nitride with a thickness of about 5 nanometers to about 50 nanometers, a third sublayer **120c** of ruthenium with a thickness of about 5 nanometers to about 50 nanometers, and the distal sublayer **120d** of iridium with a thickness of about 5 nanometers to about 50 nanometers.

[0018] **FIG. 1C** shows a cross-section diagram of **FIG. 1B** after removal of a portion of the barrier layer **120** and the first copper layer **110** to form a recess **130**. The recess **130** may be formed by any suitable technique known to those of skill in the art, including, but not limited to, laser ablation and chemical etching. The recess **130** may have dimensions of about 100 micrometers. The recess **130** may have a volume of about 1000 cubic micrometers. In some embodiments, such as when the substrate **105** is made of silicon, the surface of the substrate **105** that is exposed in the recess **130** may be roughened to increase mechanical connection with layers that will be applied to the substrate **105**. Roughening may involve a layer about 5 micrometers thick and be performed using single side polish, laser texturing, or other suitable techniques known to a person of ordinary skill in the art. Roughening may be performed to improve mechanical connection with the substrate **105** during manufacturing processes such as annealing.

[0019] **FIG. 1D** shows a cross-section diagram of **FIG. 1C** after an addition of an insulator layer **140**. The insulator layer **140** may be any suitable organic or inorganic electrical insulator layer. The insulator layer **140** may be made of, but is not limited to, SU-8, parylene, or polyimide, or glass. The insulator layer **140** may be deposited in the recess **130** and on the surface of barrier layer **120** in a pattern that leaves insulator layer gaps **145**, **150** of predetermined dimensions where the barrier layer **120** remains exposed. The insulator layer gaps **145**, **150**, when viewed from above, may be formed in any shape, including circles, ovals, rectangles, squares, etc. The insulator layer

**140** may be deposited on the barrier layer **120** and the recess **130** to a thickness of about 1 micrometer to about 100 micrometers above the surface of the barrier layer **120**, which is also the depth of the insulator layer gaps **145**, **150**.

[0020] In some embodiments, an optional energy filter layer **155** may be disposed on the barrier layer **120** in the insulator layer gaps **145**, **150**. The energy filter **155** may be only a single layer or few layers of atoms in thickness. The energy filter **155** may be configured to block the movement of low energy electrons or holes. The energy filter **155** is selected to increase the Seebeck coefficient of a thermoelectric device. The energy filter layer **155** may be made of one of graphene, twisted graphene, and 2DEG with a thickness of about 1 nanometer.

[0021] **FIG. 1E** shows a cross-section diagram of **FIG. 1D** after an addition of an n-type thermoelectric material **160** in the insulator layer gap **145**. The n-type thermoelectric material **160** may have a thickness of about 0.1 micrometers to about 100 micrometers. In some embodiments, the n-type thermoelectric material **160** may include one or more of: Bi-Te-Se, SiGe-P,  $Mg_3Bi_2$ , Mg-Sb-B-Te, In-Sb, Bi-Sb, Pb-Se, Sn-S-Se, Half-Heusler alloys, full Heusler alloys, Fe-V-W-Al, Yb-Ba-Co-Sb, La-Te, Pr-Te, SiGe-C, and Yb-Al. The n-type thermoelectric material **160** may be deposited on the barrier layer **120** (or optional energy filter layer **155**) and the insulator layer **140** in the insulator layer gap **145**. In some embodiments, the n-type thermoelectric material **160** may also be deposited on the surface of insulator layer **140** in proximity to the insulator layer gap **145** as well.

[0022] **FIG. 1F** shows a cross-section diagram of **FIG. 1E** after an addition of a p-type thermoelectric material **165** in the insulator layer gap **150**. The p-type thermoelectric material **165** may have a thickness of about 0.1 micrometers to about 100 micrometers. In some embodiments, the p-type thermoelectric material **165** may include one or more of: Bi-Sb-Te, SiGe-B, Zintl

compounds, Yb-Mn-Sb, Ce-Fe-Co-Sb, Ce-Pd, Cs-Bi-Te, Pb-Te, and Zn-Sb. The p-type thermoelectric material **165** may be deposited on the barrier layer **120** (or optional energy filter layer **155**) and the insulator layer **140** in the insulator layer gap **150**. In some embodiments, the p-type thermoelectric material **165** may also be deposited on the surface of insulator layer **140** in proximity to the insulator layer gap **150** as well.

[0023] **FIG. 1G** shows a cross-section diagram of the thermoelement pair **100** including the elements of **FIG. 1F** after a metal layer **170** has been deposited on the n-type thermoelectric material **160**, the p-type thermoelectric material **165**, and the surface of the insulator layer **140** between the thermoelectric materials **160**, **165**. As shown, the metal layer **170** provides electrical conductivity between the thermoelectric materials **160**, **165**; however, this is optional, and the metal layer **170** may not continuously cover the insulator layer **140** in all embodiments. The metal layer **170** may be about 0.1 micrometers to about 100 micrometers in thickness. The metal layer **170** may be made up of one or more of, aluminum, cobalt, gold, iridium, nickel, osmium, palladium, platinum, rhenium, ruthenium, rhodium, silver, and titanium tungsten. In some embodiments, the metal layer **170** may be made of multiple sublayers. For example, in one embodiment, the metal layer **170** may include a sublayer **170a** proximal to the thermoelectric material **160**, **165** and insulator layer **140** made of one or more of cobalt, iridium, nickel, osmium, palladium, platinum, rhenium, ruthenium, and rhodium. Adjacent to the proximal sublayer may be a second sublayer **170b** of tantalum nitride, a third sublayer **170c** of tantalum, a fourth sublayer **170d** of silver, and fifth sublayer **170e** of gold. The second sublayer **170b** may be about 5 nanometers to about 50 nanometers in thickness. The third sublayer **170c** may be about 5 nanometers to about 50 nanometers in thickness. The fourth sublayer **170d** may be about 50 nanometers to about 50 nanometers in thickness. The fifth sublayer **170e** may be about 50-200

nanometers in thickness. Some of the sublayers may be optional. In some embodiments, the second sublayer **170b** may include platinum subsublayers **170ba** divided by alternating subsublayers **170bb**, **170bc** of aluminum and titanium tungsten, respectively. In other embodiments, alternating sublayers **170bb**, **170bc** of aluminum and titanium tungsten may be adjacent to rather than dividing the platinum sublayer.

[0024] **FIG. 1H** shows a cross-section diagram of the thermoelement pair **100** of **FIG. 1G** after an overcoat layer **175** has been deposited on the exposed surfaces of the insulator layer **140** and the metal layer **170**. The overcoat layer **175** may be made of polyimide, parylene, glass, alumina, boron nitride or aluminum nitride. The overcoat layer **175** may have a thickness of about 1 micrometer.

[0025] **FIG. 1I** shows a cross-section diagram of the thermoelement pair **100** of **FIG. 1G** in an alternative embodiment, after a bonding layer **180** is deposited on the irregular surface of the metal layer **170** to create a flat surface and provide a thickness of about 1 micrometer to 10 micrometers beyond the peak of the metal layer **170**. The bonding layer **180** is deposited to create a gap **181** bordered by the bonding layer **180**, a bottom copper layer **190** (once applied as discussed below), and the metal layer **170**/the insulator layer **140** (depending on the continuity of the metal layer **170**). The bonding layer **180** may be made of a thermally conductive and electrically conductive suitable for the temperatures of operation of the thermoelectric pair **100**, including, but not limited to one or more of: sintered silver, AuSn, eutectic solder, metallic ink, and lead-free solder. A direct bond or electroplated copper ceramic, as in **FIG. 1A**, may be disposed alongside the bonding layer **180**. The direct bond or electroplated copper ceramic may be made up of a ceramic layer **185** sandwiched between a top copper layer **195** and a bottom copper layer **190**. In some embodiments, the top copper layer **195** may be optional. The bonding layer **180** may be disposed between the

metal layer **170** and the bottom copper layer **190** and fill available volume between these layers. The layers **185, 190, 195** may be selected from the similar material suitable for the layers **105, 110, 115**. In some embodiments, the layers **185, 190, 195** may have the same thicknesses as their counterparts in the layers **105, 110, 115**. In some embodiments, the bottom copper layer **190** may be truncated to a width conforming to the highest points of the metal layer **170**. The truncation of the bottom copper layer **190** may be performed using laser ablation or etching or other suitable techniques known to a person of skill in the art. As would be understood by person of ordinary skill in the art, the continuity of the metal layer **170** between the thermoelectric materials **160, 165** is optional since electrical continuity is also provided by the bottom copper layer **190**.

[0026] **FIG. 2** shows a cross-section diagram of thermoelectric device **200** that includes two thermoelement pairs **100a, 100b** oriented to form n-type and p-type pairs between the different thermoelement pairs **100a, 100b**. The parts of the top thermoelement pair **100b** include a substrate **205**, a first copper layer **210**, a second copper layer **215** (optional), a barrier layer **220**, an insulator layer **240**, an n-type thermoelectric material **260**, a p-type thermoelectric material **265**, and a metal layer **270**, which may be made of the same materials as their counterparts (the substrate **105**, the first copper layer **110**, the second copper layer **115**, the barrier layer **120**, the insulator layer **140**, the n-type thermoelectric material **160**, the p-type thermoelectric material **165**, and the metal layer **170**) in the bottom thermoelement pair **100a**. The substrate **205** may have its surfaces covered with a thin (about 50 nanometer) electrically insulating, thermally conducting layer **208, 209**. The layer **208, 209** is made of an electrical insulator and thin enough (around 50 nanometers) to not significantly impede the transmission of heat across the layer. Suitable materials for the electrically insulating, thermally conducting layer include, but are not limited to, silicon dioxide and silicon nitride. The layers **208, 209** are optional and may be used when the substrate **205** is not an electrical



insulator. In some instances, the layer **208**, **209** may be grown on the surface of the substrate **205** by exposing the substrate **205** to an atmosphere with oxygen or nitrogen and using suitable growing techniques as would be understood by a person of skill in the art. In some embodiments, the surface of the substrate **205** may be roughened to increase mechanical connection with layers that will be applied to the substrate **205**. Roughening may involve a layer about 5 micrometers thick and be performed using single side polish, laser texturing, or other suitable techniques known to a person of ordinary skill in the art. Roughening may be performed to improve mechanical connection with the substrate **205** during manufacturing processes such as annealing. The thicknesses of the parts **205**, **210**, **215**, **220**, **240**, **255**, **260**, **265** of the top thermoelectric pair **100b** may be 2-4 times thicker than their counterparts **105**, **110**, **115**, **120**, **140**, **160**, **165**, **170**. The space between the top and bottom portions may be filled with a layer of sintered silver **275**. The bonding layer **275** may have a thickness of between 1 micrometer and 10 micrometers as measured between the narrowest gap between the metal layer **170** and the metal layer **265**. The bonding layer **275** may be deposited to create a gap **281** bordered by the bonding layer **275**, the metal layer **170** and the metal layer **270**. In this embodiment, the metal layers **170**, **270** provide electrical continuity between their respective thermoelectric materials **160**, **165** and **260**, **265**.

[0027] **FIGs. 3A-3C** show cross-section diagrams of a thermoelectric pair **380** made up of a first thermoelement **300** and a second thermoelement **320**. **FIG. 3A** shows a cross-section diagram of the first element **300**, which is similar to **FIG. 1E**, except that metal layer **305** covers the n-type thermoelectric material **160** and metal layer **310** fills the gap in the insulator layer **140** where the p-type element would have been. Thus, the metal layer **310** makes direct contact with the barrier layer **120**. The metal layers **305**, **310** are shown as electrically isolated for one another; however, this is optional, and the metal layers **305**, **310** may have electrical continuity as shown by the metal

layer 170 in FIG. 1G. The metal layers 305, 310 may be selected from the same materials and have the same structure as the metal layer 170.

[0028] FIG. 3B shows a cross-section diagram of the second thermoelement 320, which includes a substrate 325 between a first copper layer 330 and an optional second copper layer 335, similar to the layers 105, 110, 115, respectively. The substrate 325 may have its surfaces covered with a thin (about 50 nanometer) electrically insulating, thermally conducting layer 328, 329. The layer 328, 329 is made of an electrical insulator and thin enough (around 50 nanometers) to not significantly impede the transmission of heat across the layer. Suitable materials for the electrically insulating, thermally conducting layer include, but are not limited to, silicon dioxide and silicon nitride. The layers 328, 329 are optional and may be used when the substrate 325 is not an electrical insulator. In some instances, the layer 328, 329 may be grown on the surface of the substrate 325 by exposing the substrate 325 to an atmosphere with oxygen or nitrogen and using suitable growing techniques as would be understood by a person of skill in the art. The first copper layer 330 is truncated on its ends to be shorter than the substrate 325 (and the optional second copper layer 335), leaving part of the substrate 325 exposed. A barrier layer 340 is deposited on the first copper layer 330 and may have the same composition as the barrier layer 120. An insulator layer 365 may be applied on portions of exposed surfaces of the barrier layer 340 and the substrate 325. Insulator layer gaps 345, 350 in the insulator layer 365 corresponding to the gaps 145, 150 shown in the first thermoelement 300 are present to receive p-type thermoelectric material and metal layers. The p-type thermoelectric material 165 may be deposited in one of the insulator layer gaps and covered by a metal layer 360. The other insulator layer gap may have a metal layer 355 deposited on it just as metal layer 310 is deposited in the first element 300. The metal layers 355, 360 may be selected from the same materials and have the same structure as the metal layer 170.

[0029] FIG. 3C shows a cross-section diagram of the thermoelement pair 380, which is a combination of the first thermoelement 300 and an inverted second thermoelement 320 so that a single n- and p- thermoelectric pair is formed. The open volume between the first thermoelement 300 and the second thermoelement 320 and proximate to the first insulator layer gap 345 and the second insulator layer gap 350 may be filled by bonding layers 375 with a thickness of about 1 micrometer to about 10 micrometers. The volumetric gap 381 is formed by the application of the bonding layers 375 and bordered by the bonding layers 375 and the insulator layers 140, 365.

[0030] FIG. 4A shows a flow chart of a method 400 of making the thermoelement pair 100 with an overcoat layer of FIG. 1H. In step 405, the barrier layer 120 may be deposited on the first copper layer 110, which, with the second copper layer 115 sandwich the substrate 105. In some embodiments an optional energy barrier layer 155 may be deposited on the barrier layer 120. In some embodiments, the first copper layer 110 may be planarized prior to application of the barrier layer 120. The barrier layer 120 may be about 1 micrometer in thickness. The barrier layer 120 may be made of multiple sublayers, including sublayers of tantalum, tantalum nitride, ruthenium and iridium of thickness between 5 nanometers and 50 nanometers, in order from the surface of the first copper layer 110. The substrate 105 may be made of, but is not limited to, alumina, aluminum nitride, aluminum oxide, beryllium oxide, silicon, silicon carbide, diamond, boron nitride, sapphire, diamond, polyimide glass, FR4, nylon, and silicon nitride.

[0031] In step 410, a portion of the barrier layer 120 and the first copper layer 110 is removed to expose the substrate 105 by forming the recess 130. The removal process may include, but is not limited to, laser ablation or etching. In step 415, the insulator layer 140 is added to fill the recess 130 and to cover portions of the barrier layer 120 to form a first insulator layer gap 145 and a second insulator layer gap 150. The insulator layer 140 may be deposited to a thickness of about

10 micrometers beyond the surface of the barrier layer **120**. In step **420**, the optional energy filter layer **155** may be deposited in the first insulator gap **145** and the second insulator gap **150** on the barrier layer **120** if an optional energy filter layer **155** was not added during step **405**. The energy barrier layer **155** may have a thickness of between about 1 nanometer.

[0032] In step **425**, an n-type thermoelectric material **160** may be deposited on the barrier layer **120** (or energy filter layer **155**) and the insulator layer **140** in and proximate to the first insulator layer gap **145**. The thickness of the n-type thermoelectric material **160** may be about 5 micrometers. In some embodiments, the n-type thermoelectric material **160** may include one or more of: Bi-Te-Se, SiGe-P, Mg<sub>3</sub>Bi<sub>2</sub>, Mg-Sb-B-Te, In-Sb, Bi-Sb, Pb-Se, Sn-S-Se, Half-Heusler alloys, full Heusler alloys, Fe-V-W-Al, Yb-Ba-Co-Sb, La-Te, Pr-Te, SiGe-C, and Yb-Al. In step **430**, a p-type thermoelectric material **165** may be deposited on the barrier layer **120** (or the energy filter layer **155**) and insulator layer **140** in and proximate to the second insulator layer gap **150**. The thickness of the p-type thermoelectric material **165** may be about 5 micrometers. In some embodiments, the p-type thermoelectric material **165** may include one or more of: Bi-Sb-Te, SiGe-B, Zintl compounds, Yb-Mn-Sb, Ce-Fe-Co-Sb, Ce-Pd, Cs-Bi-Te, Pb-Te, and Zn-Sb . In some embodiments, steps **425** and **430** may be performed in reverse order.

[0033] In step **435**, the metal layer **170** may be deposited on the thermoelectric materials **160**, **165** and the insulator layer **140** between the thermoelectric materials **160**, **165** to provide electrical conductivity. The metal layer **170** may be made of sublayers iridium, tantalum nitride, tantalum, silver and gold. The metal layer **170** may have a thickness of about 1 micrometer. In step **440**, the overcoat layer **175** may be deposited on the exposed portions of both the metal layer **170** and the insulator layer **140**. The overcoat layer **175** may have a thickness of about 2 micrometers. The overcoat layer **175** may be made of boron nitride or aluminum nitride.

[0034] FIG. 4B shows a flow chart of a method 401 of making the thermoelement pair 100 with an inverted double bonded copper substrate portion of FIG. 1I. Steps 405-435 are identical those in the method 400. In step 445, a bonding layer 180 may be added to the metal layer 170 to create a flat surface beyond the highest point of the metal layer 170. In step 450, the second substrate 185 with a top bonded copper layer 195 and bottom bonded copper layer 190 may be deposited on the bonding layer 180. The bottom bonded copper layer 190 may be truncated on its ends to approximately the same extent as the bonding layer 180 is present.

[0035] FIG. 4C shows a flow chart of a method 402 of making the thermoelectric device 200 as shown in FIG. 2 with a two of thermoelement pairs 100 (designated 100a and 100b) from FIG. 1G, where one is inverted in terms of orientation and thermoelectric material placement. Steps 405-435 and 445 are identical those in the method 401 in forming a thermoelement pair 100a with the bonding layer 180. In step 455, a second thermoelement 100b with the bonding layer 180 is created, with corresponding layers 205, 210, 215, 220, 240, 255, 260 to be mated with the first thermoelement 100a. In step 460, the second thermoelement 100b may be inverted and disposed on the first thermoelement 100a to form the thermoelectric device 200.

[0036] FIG. 5 shows a flow chart of a method 500 of making the thermoelectric element pair 380 that includes the thermoelectric element 300 and the thermoelectric element 320. Steps 505-535 are for the making of the thermoelectric element 300 shown in FIG. 3A. Steps 540-565 are for the making for the thermoelectric element 320 shown in FIG. 3B.

[0037] In step 505, the barrier layer 120 and an optional energy filter 155 may be deposited on the first copper layer 110, which, with the second copper layer 115 sandwich the substrate 105. In some embodiments, the first copper layer 110 may be planarized prior to application of the barrier layer 120. The barrier layer 120 may be 1 micrometer in thickness. The barrier layer 120 may be

made of multiple sublayers, including sublayers of tantalum/tantalum nitride or titanium tungsten, and iridium, in order from the surface of the first copper layer **110**. In some embodiments, the distal sublayer relative to the first copper layer **110** may be made of one of cobalt, iridium, osmium, palladium, gold, platinum, rhenium, ruthenium, and rhodium. The substrate **105** may be made of, but is not limited to, alumina, aluminum nitride, aluminum oxide, beryllium oxide, silicon, silicon carbide, diamond, boron nitride, sapphire, diamond, polyimide glass, FR4, nylon, and silicon nitride.

**[0038]** In step **510**, a portion of the barrier layer **120** and the first copper layer **110** is removed to expose the substrate **105** by forming the recess **130**. The removal process may include, but is not limited to, laser ablation or etching. In step **515**, the insulator layer **140** is added to fill the recess **130** and to cover portions of the barrier layer **120** to form a first insulator layer gap **145** and a second insulator layer gap **150**. The insulator layer **140** may be deposited to a thickness of about 10 micrometers beyond the surface of the barrier layer **120**. In step **520**, the optional energy filter layer **155** may be deposited in the first insulator gap **145** on the barrier layer **120** if an optional energy filter layer **155** was not added during step **505**. The energy barrier layer **155** may have a thickness of about 1 nanometer.

**[0039]** In step **525**, an n-type thermoelectric material **160** may be deposited on the barrier layer **120** (or the energy filter layer **155**) and the insulator layer **140** in and proximate to the first insulator layer gap **145**. The thickness of the n-type thermoelectric material **160** may be about 5 micrometers. In some embodiments, the n-type thermoelectric material **160** may include one or more of: Bi-Te-Se, SiGe-P, Mg<sub>3</sub>Bi<sub>2</sub>, Mg-Sb-B-Te, In-Sb, Bi-Sb, Pb-Se, Sn-S-Se, Half-Heusler alloys, full Heusler alloys, Fe-V-W-Al, Yb-Ba-Co-Sb, La-Te, Pr-Te, SiGe-C, and Yb-Al.

[0040] In step 530, the metal layer 305 may be deposited on the n-type thermoelectric material 160. In step 535, the metal layer 310 may be deposited on the barrier layer 120 in the second insulator layer gap 150. The metal layers 305, 310 may be made of sublayers iridium, tantalum, tantalum nitride, silver, and gold. The metal layers 305, 310 may have thicknesses of about 1 micrometer. This completes the formation of the first thermoelectric element 300.

[0041] In step 540, the barrier layer 340 and an optional energy filter 155 may be deposited on the first copper layer 330, which, with the second copper layer 335 sandwich the substrate 325. In some embodiments, the first copper layer 330 may be planarized prior to application of the barrier layer 340. The barrier layer 340 may be 1 micrometer in thickness. The barrier layer 340 may be made of multiple sublayers, including sublayers of tantalum/tantalum nitride or titanium tungsten, and iridium, in order from the surface of the first copper layer 330. In some embodiments, the distal sublayer relative to the first copper layer 330 may be made of one of cobalt, iridium, nickel, osmium, palladium, platinum, rhenium, ruthenium, and rhodium. The substrate 325 may be made of, but is not limited to, alumina, aluminum nitride, aluminum oxide, beryllium oxide, silicon, silicon carbide, diamond, boron nitride, sapphire, diamond, polyimide glass, FR4, nylon, and silicon nitride.

[0042] In step 545, a portion of the barrier layer 340 and the first copper layer 330 is removed to expose the substrate 325 at its ends. The removal process may include, but is not limited to, laser ablation or etching. In step 550, the insulator layer 365 is added on the exposed substrate 325 and portions of the barrier layer 340 to form the first insulator layer gap 345 and the second insulator layer gap 350. The insulator layer 365 may be deposited to a thickness of about 10 micrometers beyond the surface of the barrier layer 340. In step 555, the optional energy filter layer 155 may be deposited in the second insulator gap 350 on the barrier layer 340 if the optional

energy filter layer **155** was not deposited during step **540**. The energy barrier layer **155** may have a thickness of between about 1 nanometer.

[0043] In step **560**, a p-type thermoelectric material **165** may be deposited on the barrier layer **120** (or the energy filter layer **155**) and insulator layer **365** in and proximate to the second insulator layer gap **350**. The thickness of the p-type thermoelectric material **165** may be about 5 micrometers. In some embodiments, the p-type thermoelectric material **165** may include one or more of: Bi-Sb-Te, SiGe-B, Zintl compounds, Yb-Mn-Sb, Ce-Fe-Co-Sb, Ce-Pd, Cs-Bi-Te, Pb-Te, and Zn-Sb.

[0044] In step **565**, the metal layer **360** may be deposited on the p-type thermoelectric material **165**. In step **570**, the metal layer **355** may be deposited on the barrier layer **340** in the first insulator layer gap **345**. The metal layers **355**, **360** may be made of sublayers iridium, tantalum, tantalum nitride, silver, and gold. The metal layers **355**, **360** may have thicknesses of about 1 micrometer. This completes the formation of the second thermoelectric element **320**.

[0045] In step **575**, the second thermoelectric element **320** may be inverted and positioned on the first thermoelectric element **300** to the thermoelement pair **360**. In step **580**, the volume between the metal layers **305**, **355** and between the metal layers **310**, **360** are filled with sintered silver **375**. In some embodiments, the bonding layer **375** may be applied to the first thermoelement **300** and the second thermoelectric element **320** prior to positioning to form the thermoelectric element pair **380**.

[0046] **FIGs. 6A-6F** show cross-section diagrams of silicon starting substrates in various stages of development, culminating in a plated substrate **600** in **FIG. 6F**. **FIG. 6A** shows a cross-section diagram of a silicon substrate **605** with a thermal oxide layer **610**. The thermal oxide layer **610**



may be about 250 nm in thickness and composed of silicon dioxide. The thermal oxide layer **610** may be present on one or both surfaces of the silicon substrate **605**.

[0047] **FIG. 6B** shows a cross-section diagram of **FIG. 6A** after the removal of a portion of the thermal oxide layer **610** to form a recess **615** and expose a portion of the silicon substrate **605**. The removal of thermal oxide material may be performed by wet etching using buffered hydrofluoric acid or by plasma reactive ion etching (RIE) using suitable techniques known those of skill in the art.

[0048] **FIG. 6C** shows a cross-section diagram of **FIG. 6B** after a volume of the silicon substrate **605** has been removed to form volume **620**. The volume **620** may be formed by wet-etching methods, such as etching with potassium hydroxide. The volume **620** may extend beneath the thermal oxide layer **610** in at least one dimension. The volume **620** may have a depth of about 10 to 25 micrometers.

[0049] **FIG. 6D** shows a cross-section diagram of **FIG. 6C** after a re-oxidation layer **625** is formed on the exposed portion of the silicon substrate **605** adjacent to the volume **620**. The re-oxidation layer **625** may be added by a process of thermal oxidation. The re-oxidation layer **625** may be composed of silicon dioxide. The layer **625** may be substituted by silicon nitride deposited by low pressure chemical vapor deposition (LPCVD).

[0050] **FIG. 6E** shows a cross-section diagram of **FIG. 6D** after the addition of an atomic-layer deposited (ALD) seed layer **630** the re-oxidation layer **625** and the exposed surfaces of the thermal oxide layer **610**. The ALD seed layer **630** may have a thickness of about 10 nanometers to about 100 nanometers. The ALD seed layer **630** may be made of platinum, cobalt, or conductive carbon.

[0051] **FIG. 6F** shows a cross-section diagram of the plated substrate **600**, which include the elements shown in **FIG. 6E** and a plating layer **635**. The plating layer **635** may be made of gold,

nickel, palladium, silver, or copper. The plating layer **635** may be deposited to fill the volume **620** and cover ALD seed layer **630**. The plating layer **635** may have a thickness of at least 5 micrometers. The plated substrate **600** may be used in place of the substrate **105** and copper layers **110**, **115** in the methods **400**, **401**, **402**, **403**. The plating layer **635** fills the volume **620** to form an attachment between the plating layer **635** and the silicon substrate **605**.

[0052] **FIG. 6G** shows a cross-section diagram of the plated substrate **600** shown in **FIG. 6F** after the addition of a barrier layer **640** and an optional energy filter **155**. The barrier layer **640** may be selected from the same materials and thicknesses as the barrier layer **120** and energy filter layer **155**.

[0053] **FIG. 6H** shows a cross-section diagram of the plated substrate **600** in **FIG. 6G** after the formation of a recess **645**. The recess **645** exposes the thermal oxide layer **610** after removal of portions of the barrier layer **640**, the plating layer **635**, and the ALD seed **630**.

[0054] **FIG. 6I** shows a cross-section diagram of the plated substrate **600** in **FIG. 6H** after the addition of an insulator layer **650** in the recess **645** and on the barrier layer **640** to form a first insulator layer gap **655** and a second insulator layer gap **660**. The insulator layer **650** may be made of the same material as the insulator layer **140**. In some embodiments, an optional energy filter layer **665** may be deposited on the barrier layer **640** in one or both of the first insulator gap **655** and the second insulator gap **660**. The energy filter **665** may be only a single layer or few layers of atoms in thickness. The energy filter **665** may be configured to block the movement of low energy electrons or holes. The energy filter **665** is selected to increase the Seebeck coefficient of the thermoelectric device.

[0055] **FIG. 6J** shows a cross-section diagram of the plated substrate **600** in **FIG. 6I** after the addition of an n-type thermoelectric material **670** in and proximate to the first insulator layer gap

**655.** The n-type thermoelectric material **670** may be selected from any of the materials suitable for the n-type thermoelectric material **160**.

**[0056]** **FIG. 6K** shows a cross-section diagram of the plated substrate **600** in **FIG. 6J** after the addition of an p-type thermoelectric material **675** in and proximate to the insulator layer gap **660**. The p-type thermoelectric material **675** may be selected from any of the materials suitable for the p-type thermoelectric material **165**.

**[0057]** **FIG. 6L** shows a cross-section diagram of the plated substrate **600** in **FIG. 6K** after the addition of a metal layer **680** on the n-thermoelement material **670**, the p-thermoelement material **675**, and on the exposed surface of the insulator layer **650** between the insulator layer gaps **655**, **660** to provide electrical continuity between the n-thermoelement material **670** and the p-thermoelement material **675** and form a thermoelectric pair **680**. The metal layer **680** may be made of the same materials and have the same thicknesses as the various embodiments of the metal layer **170**. While this figure shows the n-type thermoelement material **670** and the p-type thermoelement material **675** similar to the configuration in **FIG. 1G**, a person of skill in the art would appreciate that the thermoelectric pair **680** may be used as a substitute for the direct bond or electroplated copper substrate (**105**, **110**, **115**) in any of its embodiments, including **FIGs. 1H**, **1I**, **2**, and **3**.

**[0058]** **FIG. 7** shows a flow chart for a method **700** of making the thermoelectric pair **680** of **FIG. 6L**. In step **705**, a silicon substrate **605** may be thermally oxidized to form a thermal oxide layer **610** of silicon dioxide that is about 100 nanometers to about 1 micrometer in thickness. In step **710**, the recess **615** is formed by removing part of the thermal oxide layer **610** to expose the silicon substrate **605**. The recess **615** may be formed or shaped to increase mechanical grip between the silicon substrate **605** and the plating layer **635** (see step **730**). The increased grip reduced the risk of delamination between the silicon substrate **605** and the plating layer **635** during

manufacturing, such as during annealing. In step 715, a volume 620 of the silicon substrate 605 may be removed in a shape that has at least one dimension greater than the cross-section of the recess 615. The volume 620 may be removed through laser ablation, chemical etching, reactive ion etching, or other suitable techniques known to a person of skill in the art.

[0059] In step 720, the exposed surface of the silicon substrate 605 adjacent to the volume 620 may be thermally oxidized to form a re-oxidation layer 625, which may have a thickness of about 50 nanometers to about 500 nanometers. In step 725, the ALD seed layer 630 may be deposited on the re-oxidation layer 625. In step 730, the plating layer 635 may be deposited on the ALD seed layer 630. Once solidified, the plating layer 635 will form a structure that holds fast to the thermal oxide layer 610 in the constriction made from the opening 615 being smaller than the volume 620 in at least one cross-sectional dimension.

[0060] In step 735, the barrier layer 640 may be deposited on the plating layer 635. In some embodiments, the plating layer 635 may be planarized prior to application of the barrier layer 640. The barrier layer 640 may be about 1 micrometer in thickness. The barrier layer 640 may be made of multiple sublayers, including sublayers of tantalum/tantalum nitride or titanium tungsten, and iridium, in order from the surface of the plating layer 635. In some embodiments, the distal sublayer relative to the plating layer 635 may be made of one of cobalt, iridium, nickel, osmium, palladium, platinum, rhenium, ruthenium, and rhodium, which may vary in thickness from about 50 nanometers to about 1 micrometer depending on which of the elements is used.

[0061] In step 740, a portion of the barrier layer 640, the plating layer 635, the ALD seed layer 630, the thermal oxide layer 610, and the substrate 605 is removed to expose the substrate 605 by forming the recess 645. The removal process may include, but is not limited to, laser ablation or etching. In step 745, the insulator layer 650 is added to fill the recess 645 and to cover portions of

the barrier layer **640** to form a first insulator layer gap **655** and a second insulator layer gap **660**. The insulator layer **650** may be deposited to a thickness of about 25 micrometers beyond the surface of the barrier layer **640**. In step **750**, the optional energy filter layer **665** may be deposited in the first insulator gap **655** and the second insulator gap **660** on the barrier layer **640**. The energy barrier layer **665** may have a thickness of between about 500 picometers and about 5 nanometers and selected from the same materials as the energy barrier layer **155**.

**[0062]** In step **755**, an n-type thermoelectric material **670** may be deposited on the barrier layer **640** (or the energy filter layer **665**) and the insulator layer **650** in and proximate to the first insulator layer gap **655**. The thickness of the n-type thermoelectric material **670** may be about 5 micrometers. In some embodiments, the n-type thermoelectric material **655** may include one or more of: Bi-Te-Se, SiGe-P, Mg<sub>3</sub>Bi<sub>2</sub>, Mg-Sb-B-Te, In-Sb, Bi-Sb, Pb-Se, Sn-S-Se, Half-Heusler alloys, full Heusler alloys, Fe-V-W-Al, Yb-Ba-Co-Sb, La-Te, Pr-Te, SiGe-C, and Yb-Al. In step **760**, a p-type thermoelectric material **675** may be deposited on the barrier layer **640** (or the energy filter layer **665**) and insulator layer **650** in and proximate to the second insulator layer gap **660**. The thickness of the p-type thermoelectric material **675** may be about 5 micrometers. In some embodiments, the p-type thermoelectric material **675** may include one or more of: Bi-Sb-Te, SiGe-B, Zintl compounds, Yb-Mn-Sb, Ce-Fe-Co-Sb, Ce-Pd, Cs-Bi-Te, Pb-Te, and Zn-Sb. In some embodiments, steps **755** and **760** may be performed in reverse order.

**[0063]** In step **765**, layer the metal layer **680** may be deposited on the thermoelectric materials **670**, **675** and the insulator layer **650** between the thermoelectric materials **670**, **675** to provide electrical conductivity and complete the thermoelement pair **680**. The metal layer **680** may be made of sublayers iridium, tantalum, tantalum nitride, silver, and gold. The metal layer **680** may have a thickness of about 1 micrometer.

[0064] FIGs 8A-8E show a series of cross-section diagrams of steps of preparation of another embodiment of a thermoelement pair 800 according to the present disclosure. FIG. 8A builds on FIG. 1C and includes an n-type thermoelectric material 860 deposited on the barrier layer 120 and a p-type thermoelectric material 865 deposited on the barrier layer 120. The thermoelectric materials 860, 865 are on separate sides of the recess 130. The n-type thermoelectric material 860 may be selected from the same materials using in the n-type thermoelectric material 160, and the p-type thermoelectric material 865 may be selected from the same materials using in the p-type thermoelectric material 165.

[0065] FIG. 8B shows a cross-section diagram of FIG. 8A after the addition of metal layers 870, which may have the same construction and materials as the metal layer 170. Each of the metal layers 870 is deposited on the respective thermoelectric material layers 860, 865. As shown, the metal layers 870 leave at least some of the surface of the thermoelectric layers 860, 865 exposed.

[0066] FIG. 8C shows a cross-section diagram of FIG. 8B after the addition of insulator layers 840 on both sides of the recess 130. The insulator layers 840 cover the respective thermoelectric layers 860, 865, the metal layers 870, the barrier layers 120, and the exposed portions of the first copper layer 110 in the recess 130. The insulator layers 840 may be made of the same materials as the insulator layer 140.

[0067] FIG. 8D shows a cross-section diagram of FIG. 8C after the etching of the insulator layers 840 to the metal layers 870 and the deposition of electroless plating layers 880 on the metal layers 870. The electroless plating layers 880 are deposited to be flush with the unetched portions of the insulator layers 840. The electroless plating layers 880 may each be made up of two sublayers 880a, 880b. The first sublayer 880a, adjacent to the metal layer 870, is made of electroless nickel or palladium with a thickness of about 1 to about 5 micrometers. The second

sublayer **880b**, distal to the metal layer **870**, is made of electroless (immersion) gold with a thickness of about 100 nanometers to about 3 micrometers.

[0068] FIG. 8E shows a cross-section diagram of the FIG. 8D after the addition of bonding layers **875** on the insulator layers **840** and the electroless plating layers **880** and the addition, on the bonding layers **875** of an interconnect fabric **885**. The interconnect fabric **885** may be covered by a plated copper layer **895** and an electroless plating layer **882**. The bonding layers **875** are between the electroless plating layer **882** on one side and the electroless plating layer **880** and the insulator layers **840** on the other. The electroless plating layer **882** may be made of the same materials and have the same sublayers as the electroless plating layer **880**.

[0069] FIG. 9 shows a flow chart of a method **900** of making the thermoelement pair **800** based on FIG. 1B. In step **910**, a portion of the barrier layer **120** and the first copper layer **110** are removed to form the recess **130**. The removal process may include, but is not limited to, laser ablation or etching. In step **920**, an n-type thermoelectric material **860** may be deposited on the barrier layer **120** (or energy filter layer **155**) on one side of the recess **130**. The thickness of the n-type thermoelectric material **860** may be about 5 micrometers. In some embodiments, the n-type thermoelectric material **860** may include one or more of: Bi-Te-Se, SiGe-P, Mg<sub>3</sub>Bi<sub>2</sub>, Mg-Sb-B-Te, In-Sb, Bi-Sb, Pb-Se, Sn-S-Se, Half-Heusler alloys, full Heusler alloys, Fe-V-W-Al, Yb-Ba-Co-Sb, La-Te, Pr-Te, SiGe-C, and Yb-Al. In step **930**, a p-type thermoelectric material **865** may be deposited on the barrier layer **120** (or the energy filter layer **155**) on the other side of the recess **130**. The thickness of the p-type thermoelectric material **865** may be about 5 micrometers. In some embodiments, the p-type thermoelectric material **865** may include one or more of: Bi-Sb-Te, SiGe-B, Zintl compounds, Yb-Mn-Sb, Ce-Fe-Co-Sb, Ce-Pd, Cs-Bi-Te, Pb-Te, and Zn-Sb. In some embodiments, steps **920** and **930** may be performed in reverse order. In step **940**, metal layers **870**

may be deposited on the thermoelectric materials **860**, **865**. The metal layers **870** may leave portions of the surface of the thermoelectric materials **860**, **865** exposed. The metal layer **870** may be made of sublayers iridium, tantalum nitride, tantalum, silver and gold. The metal layer **870** may have a thickness of about 1 micrometer. In step **950**, insulator layers **840** may be deposited on the metal layers **870**, the thermoelectric materials **860**, **865**, and the barrier layers **120**. The insulator layers **840** may cover part of first copper layer **110** and the substrate **105**. The insulator layers **840** may be made of the same material as the insulator layer **140**. In step **960**, portions of the insulator layers **840** may be removed to expose the surfaces of the metal layers **870**. In step **970**, electroless plating layers **880** may be deposited on each of the metal layers **870**. The electroless plating layers **880** may each be made up of sublayers **880a**, **880b** as discussed above. In step **980**, an interconnect fabric **885** with at least one side plated with copper **895** and an electroless plating layer **882** is bonded to the electroless plating layers **880** and the insulator layer **840** using a bonding layer **875**. The bonding layer **875** may be sintered silver or any other material using in the bonding layers **275**, **375**.

[0070] FIG. 10A shows a cross-section diagram of the thermoelement pair **100** of FIG. 1H after the removal of portion of the overcoat layer **175** to expose a portion **1010** of the metal layer **170** between the n-type thermoelectric material **160** and the p-type thermoelectric material **165** and the application of a metal pad **1020** on the exposed metal layer **170** and parts of the overcoat layer **175** adjacent to the exposed portion **1010**. The metal pad **1020** may be made of 1) TiW/Pt/Au with thicknesses of 100 nanometers/100 nanometers/200 nanometers with the TiW layer proximate to the metal layer **170**, Ni/Au with thicknesses of 3 micrometers/15 nanometers with the Ni layer proximate to the metal layer **170** or 3) Ni/Pd/Au with thicknesses of 1 micrometer/200 nanometers/50 nanometers with the Ni layer proximate to the metal layer **170**.



[0071] FIG. 10B shows a cross section diagram of the thermoelement pair 100 of FIG. 10A with a direct bond or electroplated copper on silicon layer disposed proximate to it. The direct bond or electroplated copper on silicon may be made up of a silicon layer 185 with its surfaces covered with thin (about 50 nanometer) electrically insulating, thermally conducting layers 188, 189. The layers 188, 189 are made of an electrical insulator and thin enough (around 50 nanometers) to not significantly impede the transmission of heat across the layers. Suitable materials for the electrically insulating, thermally conducting layer include, but are not limited to, silicon dioxide and silicon nitride. The silicon layer 185 is sandwiched between a top copper layer 195 and a bottom copper layer 190. In some embodiments, the top copper layer 195 may be optional. The layers 185, 190, 195 may be selected from the similar material suitable for the layers 105, 110, 115. In some embodiments, the layers 185, 190, 195 may have the same thicknesses as their counterparts in the layers 105, 110, 115. In some embodiments, the bottom copper layer 190 may be truncated to a width conforming to the highest points of the metal layer 170. The truncation of the bottom copper layer 190 may be performed using laser ablation or etching or other suitable techniques known to a person of skill in the art. A surface layer 1030 may be deposited on the bottom copper layer 190. The surface layer 1030 has the same composition, thickness, and order as the metal pad 1020. Solder 1040 may be disposed on the surface layer 1030 for bonding to the metal pad 1020. As would be understood by person of ordinary skill in the art, the continuity of the metal layer 170 between the thermoelectric materials 160, 165 is optional since electrical continuity is also provided by the bottom copper layer 190. Solder 1040 may be made of a thermally conductive and electrically conductive suitable for the temperatures of operation of the thermoelectric pair 100. The composition of the surface layer 1030 and the solder 1040 may be selected in specific combinations. When the solder 1040 is made of AuSn, the surface layer 1030

may be TiW/Pt/Au, Ni/Au or Ni/Pd/Au. When the solder **1040** is made of Sn-Ag-Cu, the surface layer **1030** may be made of Ni/Au, Ni/Pd/Au, or (optionally) there may be no surface layer **1030**, and the solder **1040** may be in direct contact with the bonded copper **190**. When the solder **1040** is made of Bi-Sn, the surface layer **1030** may be Ni/Au or Ni/Pd/Au. In all cases where there is a metal pad **1020** and a surface layer **1030**, as shown in **FIG. 10C**, the solder **1040** will be between the metal pad **1020** and the surface layer **1030** and in contact with the Au side of both. For example, if the solder **1040** is AuSn with metal pad **1020** and surface layer **1030** being Ni/Pd/Au, then the Ni sides will be proximate to the metal layer **170** and the bonded copper **190**, respectively, and the Au sides will be facing the solder **1040**.

[0072] **FIG. 10C** shows a cross-section diagram of the thermoelement pair **100** of **FIG. 10B** after the solder **1040** has been bonded to the metal pad **1020**. The solder **1040** volume may be selected to fill the metal pad **1020** to approximately the same level as the surface layer **1030** resting on the high point of the coating **175**.

[0073] **FIG. 11** shows a flow chart of a method **1100**, which continues after step **440** of method **400**. In step **1110**, a portion **1010** of the overcoat **175** deposited in step **440** is removed to the metal layer **170** from a position between the n-type thermoelectric material **160** and the p-type thermoelectric material **165**. In step **1120**, a metal pad **1020** is formed on the metal layer **170** in the portion **1010** and adjacent on the overcoat **175**. In step **1130**, a small amount of solder **1040** is disposed on the surface layer **1030**. In step **1140**, the solder **1040** and metal pad **1120** are moved relative to one another so that the solder **1040** is flattened into a bonding layer.

[0074] While the disclosure has been described with reference to exemplary embodiments, it will be understood that various changes may be made, and equivalents may be substituted for elements thereof, without departing from the scope of the disclosure. In addition, many

modifications will be appreciated to adapt a particular instrument, situation, or material to the teachings of the disclosure without departing from the essential scope thereof. Therefore, it is intended that the disclosure not be limited to the particular embodiment disclosed as the best mode contemplated for carrying out this disclosure, but that the disclosure will include all embodiments falling within the scope of the appended claims.

## CLAIMS

What is claimed is:

1. A thermoelectric apparatus, the apparatus comprising:

a first stage comprising:

a copper substrate comprising:

a first copper layer with an opening; and

a substrate;

wherein the first copper layer is direct bonded or electroplated onto one face of the substrate;

a barrier layer disposed on the first copper layer with an opening coterminous with the opening in the first copper layer;

an insulator disposed on the substrate through the opening in the first copper layer and the opening in the barrier layer and on the barrier layer to form a first insulator gap on one side of the opening in the barrier layer and a second insulator gap on other side of the opening in the barrier layer;

an n-type thermoelectric layer disposed in the first insulator gap;

a p-type thermoelectric layer disposed in the second insulator gap; and

a metal layer disposed on the n-type thermoelectric layer, the p-type thermoelectric layer, and on the insulator between the n-type thermoelectric layer and the p-type thermoelectric layer.

2. The apparatus of claim 1, further comprising:

a plurality of energy filter layers disposed between the n-type thermoelectric layer and the barrier layer and between the p-type thermoelectric layer and the barrier layer.

3. The apparatus of claim 2, wherein the plurality of energy filter layers comprises graphene.
4. The apparatus of claim 1, where the substrate is a ceramic that is not electrically conductive and is thermally conductive.
5. The apparatus of claim 1, wherein the barrier layer comprises a plurality of sublayers and at least one of the plurality of sublayers is made up of at least one of: cobalt, iridium, nickel, osmium, palladium, platinum, rhenium, ruthenium, rhodium, and tantalum/tantalum nitride.
6. The apparatus of claim 1, wherein
  - the n-type thermoelectric layer is made of one or more of: Bi-Te-Se, SiGe-P, Mg<sub>3</sub>Bi<sub>2</sub>, Mg-Sb-B-Te, In-Sb, Bi-Sb, Pb-Se, Sn-S-Se, Half-Heusler alloys, full Heusler alloys, Fe-V-W-Al, Yb-Ba-Co-Sb, La-Te, Pr-Te, SiGe-C, and Yb-Al; and
  - the p-type thermoelectric layer is made of one or more of: Bi-Sb-Te, SiGe-B, Zintl compounds, Yb-Mn-Sb, Ce-Fe-Co-Sb, Ce-Pd, Cs-Bi-Te, Pb-Te, and Zn-Sb.
7. The apparatus of claim 1, where the metal layer is made of a plurality of sublayers and at least one of the plurality of sublayers is made of at least one of aluminum, cobalt, gold, iridium, nickel, osmium, palladium, platinum, rhenium, ruthenium, rhodium, tantalum/tantalum nitride, and titanium tungsten.
8. The apparatus of claim 7, wherein a sublayer of the plurality of sublayers that is distal to the barrier layer is made of at least one of: cobalt, iridium, osmium, palladium, gold, platinum, rhenium, ruthenium, and rhodium.
9. The apparatus of claim 1, further comprising:

an overcoat layer disposed on the metal layer with a gap disposed between the n-type thermoelement layer and the p-type thermoelement layer;

a metal pad disposed in the gap and adjacent on the overcoat layer;

a solder layer in contact with metal pad;

a surface layer in contact with the solder layer;

a second copper layer disposed on the surface layer; and

a second substrate disposed on the second copper layer;

where the surface layer is made of one of TiW/Pt/Au, Ni/Au, and Ni/Pd/Au; and where the solder layer is made of one of Au/Sn, Sn-Ag-Cu, and Bi-Sn.

10. The apparatus of claim 1, further comprising a bonding layer disposed on the metal layer and made of at least one of: sintered silver, AuSn, eutectic solder, metallic ink, and solder.

11. The apparatus of claim 10, further comprising a second direct bond copper substrate disposed on the bonding layer.

12. The apparatus of claim 10 further comprising a second stage identical to the first stage and disposed on the first stage in an inverted position so that complementing thermoelectric n-type and p-type pairs are formed.

13. A thermoelectric apparatus, the apparatus comprising:

a first stage comprising:

a first stage copper substrate comprising:

- a first stage first copper layer with a first stage opening; and
- a first stage substrate;
- wherein the first stage first copper layer is direct bonded or electroplated
  - onto one face of the first stage substrate;
- a first stage barrier layer disposed on the first stage first copper layer with a first stage opening coterminous with the first stage opening in the first stage copper layer;
- a first stage insulator disposed on the first stage substrate through the opening in the first stage first copper layer and the first stage opening in the first stage barrier layer and on the first stage barrier layer to form a first stage first insulator gap on one side of the first stage opening in the first stage barrier layer and a first stage second insulator gap on other side of the first stage opening in the first stage barrier layer;
- a thermoelectric layer disposed in the first stage first insulator gap, wherein the thermoelectric layer one of an n-type thermoelectric material and a p-type thermoelectric material;
- a first stage metal layer disposed on the thermoelectric layer in the first stage first insulator gap and in the first stage second insulator gap; and
- a first stage bonding layer disposed on the first stage metal layer;
- a second stage comprising:
  - a second stage direct bond copper substrate comprising:
    - a second stage first copper layer; and
    - a second stage substrate;

wherein the second stage first copper layer is direct bonded or electroplated onto one face of the second stage substrate, and wherein the second stage first copper layer is narrower than the second stage substrate to expose end surfaces of the second stage substrate;

a second stage barrier layer disposed on the second stage first copper layer;  
a second stage insulator disposed on the second stage barrier layer with openings to form a first stage first insulator gap and a second stage insulator gap;  
a thermoelectric layer disposed in the second stage second insulator gap that is the other of the n-type thermoelectric material and the p-type thermoelectric material disposed in the first stage first insulator gap; and  
a second stage metal layer disposed on the thermoelectric layer in the second stage second insulator gap and in the second stage first insulator gap; and  
a second stage bonding layer disposed on the second stage metal layer.

14. The apparatus of claim 13, further comprising:

a plurality of energy filter layers disposed between each of the thermoelectric layers and their respective barrier layers.

15. The apparatus of claim 14, wherein the plurality of energy filter layers comprises graphene.

16. The apparatus of claim 13, where the first stage substrate and the second stage substrate are ceramic and not electrically conductive and is thermally conductive.

17. The apparatus of claim 13, wherein each of the first stage barrier layer and the second stage barrier layer comprises a plurality of sublayers and at least one of the plurality of sublayers is



made up of at least one of: cobalt, iridium, nickel, osmium, palladium, platinum, rhenium, ruthenium, rhodium, and tantalum/tantalum nitride.

18. The apparatus of claim 13, wherein

the n-type thermoelectric layer is made of one or more of: Bi-Te-Se, SiGe-P, Mg<sub>3</sub>Bi<sub>2</sub>, Mg-Sb-B-Te, In-Sb, Bi-Sb, Pb-Se, Sn-S-Se, Half-Heusler alloys, full Heusler alloys, Fe-V-W-Al, Yb-Ba-Co-Sb, La-Te, Pr-Te, SiGe-C, and Yb-Al; and

the p-type thermoelectric layer is made of one or more of: Bi-Sb-Te, SiGe-B, Zintl compounds, Yb-Mn-Sb, Ce-Fe-Co-Sb, Ce-Pd, Cs-Bi-Te, Pb-Te, and Zn-Sb.

19. The apparatus of claim 13, where the metal layer is made of a plurality of sublayers and at least one of the plurality of sublayers is made of at least one of aluminum, cobalt, gold, iridium, nickel, osmium, palladium, platinum, rhenium, ruthenium, rhodium, tantalum/tantalum nitride and titanium tungsten.

20. The apparatus of claim 19, wherein a sublayer of the plurality of sublayers that is distal to the barrier layer is made of at least one of: cobalt, iridium, osmium, palladium, gold, platinum, rhenium, ruthenium, and rhodium.

21. The apparatus of claim 13, wherein each of the first stage bonding layer and the second stage bonding layer is made of at least one of: sintered silver, AuSn, eutectic solder, metallic ink, and solder.

22. A method of fabricating a thermoelectric device comprising the steps of:

depositing a barrier layer on a first copper layer of a direct bonded or electroplated copper substrate;

removing a portion of the barrier layer and the first copper layer to form a recess that exposes a substrate of the direct bonded or electroplated copper substrate;  
depositing an insulator layer on the substrate in the recess and on the barrier layer forming a first insulator gap and a second insulator gap;  
adding an n-type thermoelectric layer to the first insulator gap;  
adding a p-type thermoelectric layer to the second insulator gap; and  
depositing a metal layer on and between the n-type thermoelectric layer and the p-type thermoelectric layer.

23. The method of claim 22, further comprising:

depositing an energy filter layer on the barrier layer in each of the first insulator gap and the second insulator gap.

24. The method of claim 22, further comprising:

depositing an overcoat layer on the metal layer.

25. The method of claim 24, further comprising:

forming a gap in the overcoat layer between the n-type thermoelement layer and the p-type thermoelement layer;

disposing a metal pad in and adjacent to the gap;

forming a second substrate with bonded copper on at least one side;

disposing a surface layer on the bonded copper;

disposing solder on the surface layer; and

moving the first substrate and the second substrate relative to one another to join the solder to the metal pad and form a solder bond.

26. The method of claim 22, further comprising:

depositing a bonding layer on the metal layer.

27. A method of fabricating a thermoelectric device comprising the steps of:

forming a first stage by:

depositing a first stage barrier layer on a first stage first copper layer of a first stage direct bonded or electroplated copper substrate;

removing a portion of the first stage barrier layer and the first stage first copper layer to form a first stage recess that exposes a first stage substrate of the first stage direct bonded or electroplated copper substrate;

depositing a first stage insulator layer on the first stage substrate in the first stage recess and on the first stage barrier layer forming a first stage first insulator gap and a first stage second insulator gap;

adding a thermoelectric layer to the first stage first insulator gap, wherein the thermoelectric layer is one of: an n-type thermoelectric material and a p-type thermoelectric material;

adding a p-type thermoelectric layer to the second insulator gap; and

depositing a first stage metal layer on the thermoelectric layer in the first stage first insulator gap and in the first stage second insulator gap;

depositing a first stage barrier layer on a first stage first copper layer of a first stage direct bonded or electroplated copper substrate;

removing a portion of the first stage barrier layer and the first stage first copper layer to form a first stage recess that exposes a first stage substrate of the first stage direct bonded or electroplated copper substrate;

depositing a first stage insulator layer on the first stage substrate in the first stage recess and on the first stage barrier layer forming a first stage first insulator gap and a first stage second insulator gap;

adding a thermoelectric layer to the first stage first insulator gap, wherein the thermoelectric layer in the first stage first insulator gap is one of: an n-type thermoelectric material and a p-type thermoelectric material;

adding a p-type thermoelectric layer to the second insulator gap;

depositing a first stage metal layer on the thermoelectric layer in the first stage first insulator gap and in the first stage second insulator gap; and

depositing a first stage bonding layer on the first stage metal layer;

forming a second stage by:

depositing a second stage barrier layer on a second stage first copper layer of a second stage direct bonded or electroplated copper substrate;

removing a portion of the second stage barrier layer and the second stage first copper layer to form a second stage recess that exposes a second stage substrate of the second stage direct bonded or electroplated copper substrate;

depositing a second stage insulator layer on the second stage substrate in the second stage recess and on the second stage barrier layer forming a second stage first insulator gap and a second stage second insulator gap;

adding a thermoelectric layer to the second stage first insulator gap, wherein the thermoelectric layer is one of: an n-type thermoelectric material and a p-type thermoelectric material;

adding a p-type thermoelectric layer to the second insulator gap; and

depositing a second stage metal layer on the thermoelectric layer in the second stage first insulator gap and in the second stage second insulator gap;

depositing a second stage barrier layer on a second stage first copper layer of a second stage direct bonded or electroplated copper substrate;

removing a portion of the second stage barrier layer and the second stage first copper layer to form a second stage recess that exposes a second stage substrate of the second stage direct bonded or electroplated copper substrate;

depositing a second stage insulator layer on the second stage substrate in the second stage recess and on the second stage barrier layer forming a second stage first insulator gap and a second stage second insulator gap;

adding a thermoelectric layer to the second stage first insulator gap, wherein the thermoelectric layer in the second stage second insulator gap is the other of: the n-type thermoelectric material and the p-type thermoelectric material in the first stage first insulator gap;

depositing a second stage metal layer on the thermoelectric layer in the second stage first insulator gap and in the second stage second insulator gap;  
depositing a second stage bonding layer on the second stage metal layer; and  
combining the first stage and the second stage to form a thermoelectric pair.

28. The method of claim 27, further comprising:

depositing an energy filter layer on the barrier layer in each of the first insulator gap and the second insulator gap of the first stage and the second stage.

29. A thermoelectric apparatus, the apparatus comprising:

a first stage comprising:

a copper substrate comprising:

a first copper layer with an opening; and

a substrate;

wherein the first copper layer is direct bonded or electroplated onto one face of the substrate;

a barrier layer disposed on the first copper layer with an opening coterminous with the opening in the first copper layer;

an n-type thermoelectric layer disposed on the barrier layer on a first side of the opening;

a p-type thermoelectric layer disposed on the barrier layer on a second side of the opening;

metal layers disposed on and partially covering the n-type thermoelectric layer and the p-type thermoelectric layer

insulator layers disposed on portions of the n-type thermoelectric layer and the p-type thermoelectric layer that are not covered by the metal layers and the barrier layer;

electroless plating layers disposed on the metal layers; and

a second stage comprising:

- an interconnect fabric;
- a copper layer disposed on the interconnect fabric; and
- an electroless plating layer disposed on the copper layer;

wherein bonding layers are disposed between the first stage electroless plating layer and the second stage electroless plating layer.

30. The apparatus of claim 29, further comprising:

- a plurality of energy filter layers disposed between the n-type thermoelectric layer and the barrier layer and between the p-type thermoelectric layer and the barrier layer.

31. The apparatus of claim 30, wherein the plurality of energy filter layers comprises graphene.

32. The apparatus of claim 29, where the substrate is a ceramic that is not electrically conductive and is thermally conductive.

33. The apparatus of claim 29, wherein the barrier layer comprises a plurality of sublayers and at least one of the plurality of sublayers is made up of at least one of: cobalt, iridium, nickel, osmium, palladium, platinum, rhenium, ruthenium, rhodium, and tantalum/tantalum nitride.

34. The apparatus of claim 29, wherein

the n-type thermoelectric layer is made of one or more of: Bi-Te-Se, SiGe-P, Mg<sub>3</sub>Bi<sub>2</sub>, Mg-Sb-B-Te, In-Sb, Bi-Sb, Pb-Se, Sn-S-Se, Half-Heusler alloys, full Heusler alloys, Fe-V-W-Al, Yb-Ba-Co-Sb, La-Te, Pr-Te, SiGe-C, and Yb-Al; and

the p-type thermoelectric layer is made of one or more of: Bi-Sb-Te, SiGe-B, Zintl compounds, Yb-Mn-Sb, Ce-Fe-Co-Sb, Ce-Pd, Cs-Bi-Te, Pb-Te, and Zn-Sb.

35. The apparatus of claim 29, where the metal layer is made of a plurality of sublayers and at least one of the plurality of sublayers is made of at least one of aluminum, cobalt, gold, iridium, nickel, osmium, palladium, platinum, rhenium, ruthenium, rhodium, tantalum/tantalum nitride, and titanium tungsten.

36. The apparatus of claim 35, wherein a sublayer of the plurality of sublayers that is distal to the barrier layer is made of at least one of: cobalt, iridium, osmium, palladium, gold, platinum, rhenium, ruthenium, and rhodium.

37. The apparatus of claim 29, further comprising a bonding layer disposed on the metal layer and made of at least one of: sintered silver, AuSn, eutectic solder, metallic ink, and solder.

38. The apparatus of claim 29, wherein the first stage electroless plating layer and the second stage electroless plating layer both comprise:

a first sublayer of at least one of nickel and palladium; and

a second sublayer of gold.

39. A method of fabricating a thermoelectric device comprising the steps of:

depositing a barrier layer on a first copper layer of a direct bonded or electroplated copper substrate;



removing a portion of the barrier layer and the first copper layer to form a recess that exposes a substrate of the direct bonded or electroplated copper substrate;

adding an n-type thermoelectric layer to the barrier layer on a first side of the recess;

adding a p-type thermoelectric layer to the barrier layer on a second side of the recess;

depositing a metal layer on the n-type thermoelectric layer and the p-type thermoelectric layer;

depositing an insulator layer on the barrier layer, the n-type thermoelectric layer and the p-type thermoelectric layer, and the metal layer;

removing parts of the insulator layers to expose each of the metal layers;

depositing an electroless plating layer on each of the metal layers; and

adding interconnect fabric plated with copper and an electroless plating layer using a bonding layer between 1) each of the electroless plating layer and insulator layers corresponding to each of the n-type thermoelectric material and the p-type thermoelectric material and 2) the electroless plating layer associated with the interconnect fabric.

40. The method of claim 39, further comprising:

depositing an energy filter layer on the barrier layer on the first side and the second side.

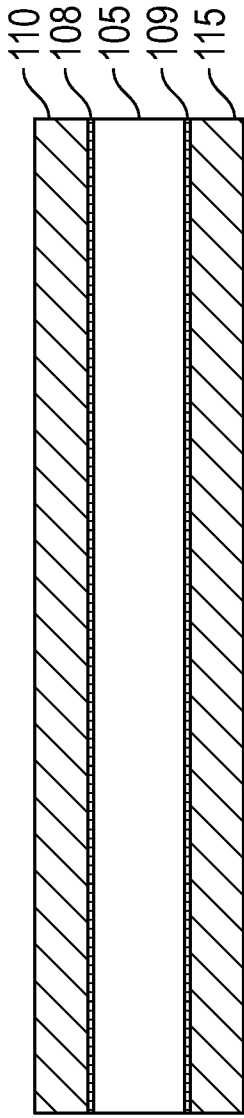


FIG. 1A

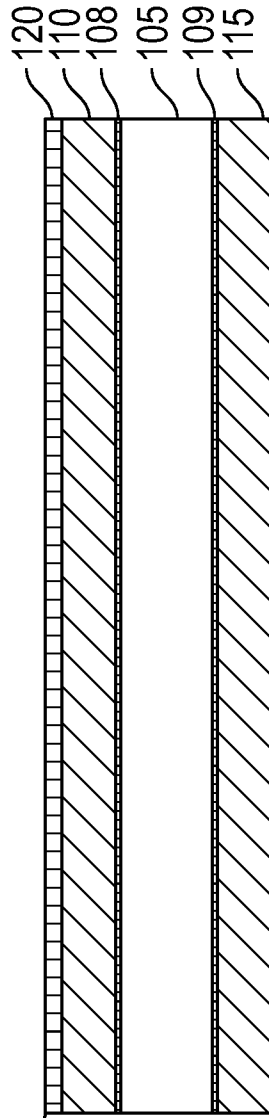
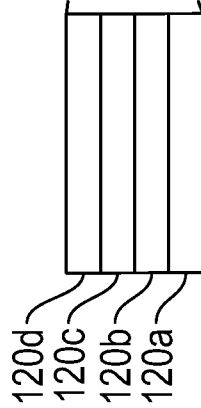


FIG. 1B

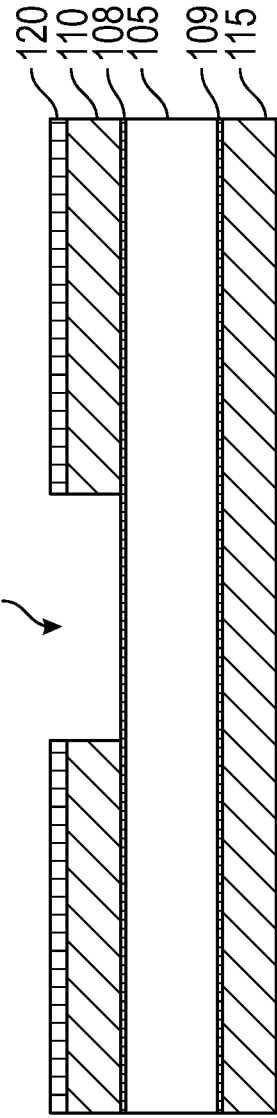


FIG. 1C

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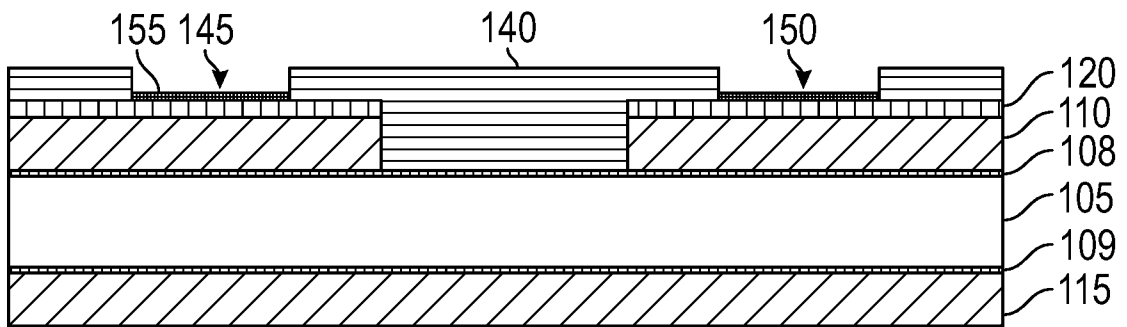


FIG. 1D

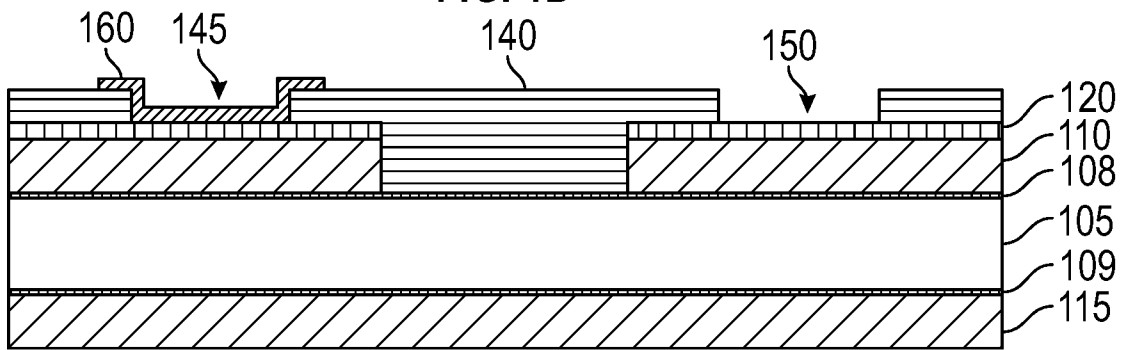


FIG. 1E

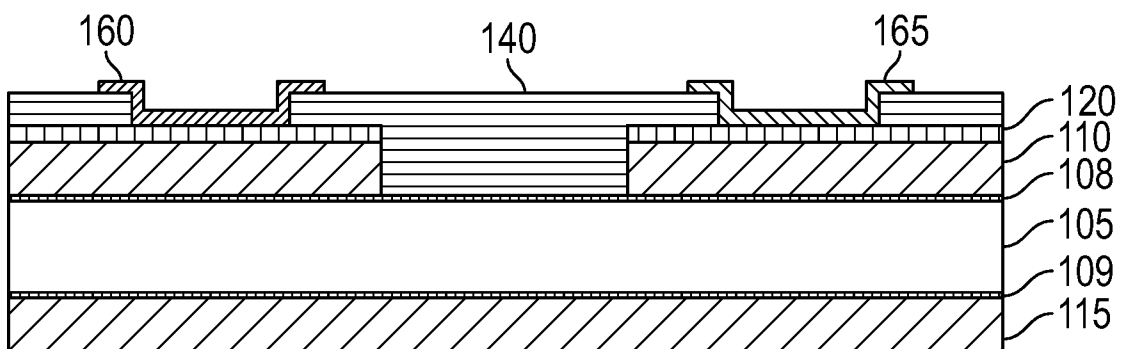


FIG. 1F

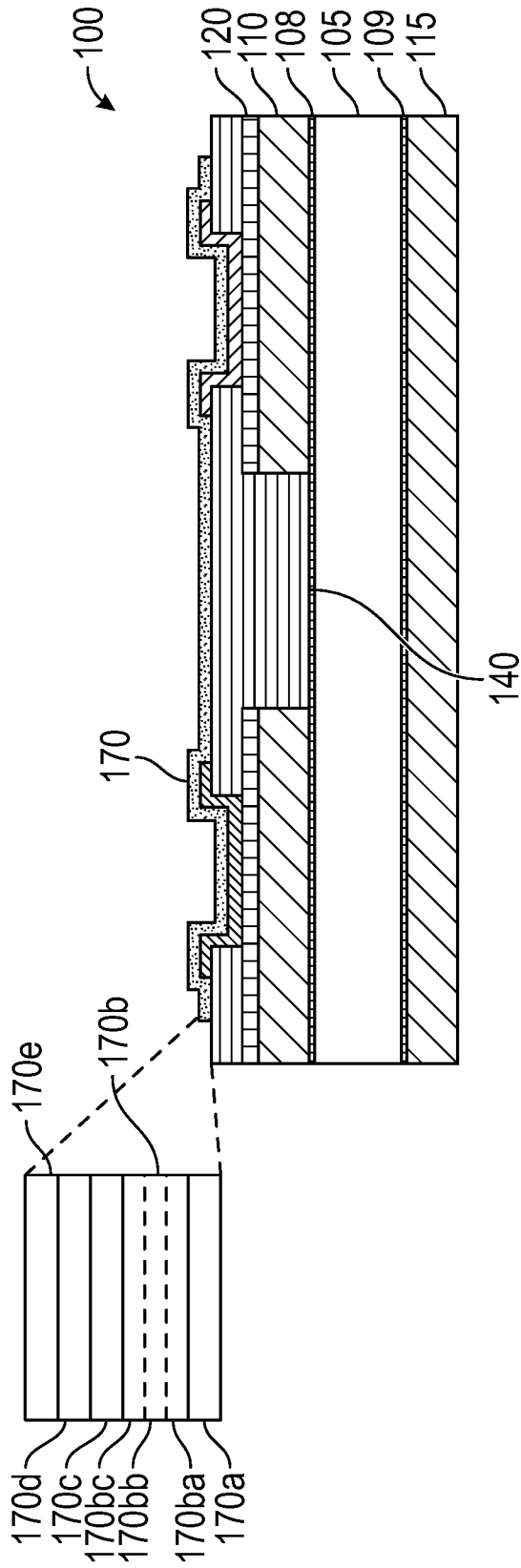


FIG. 1G

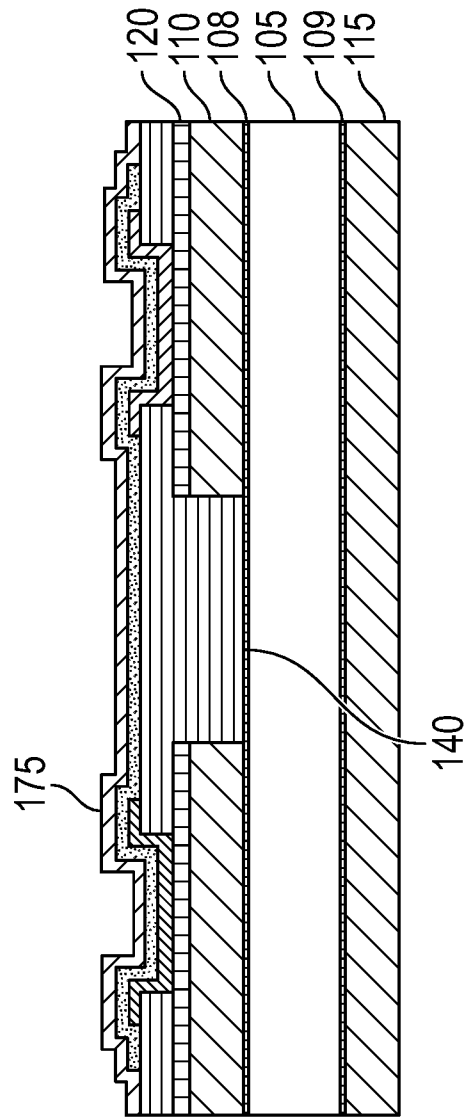


FIG. 1H

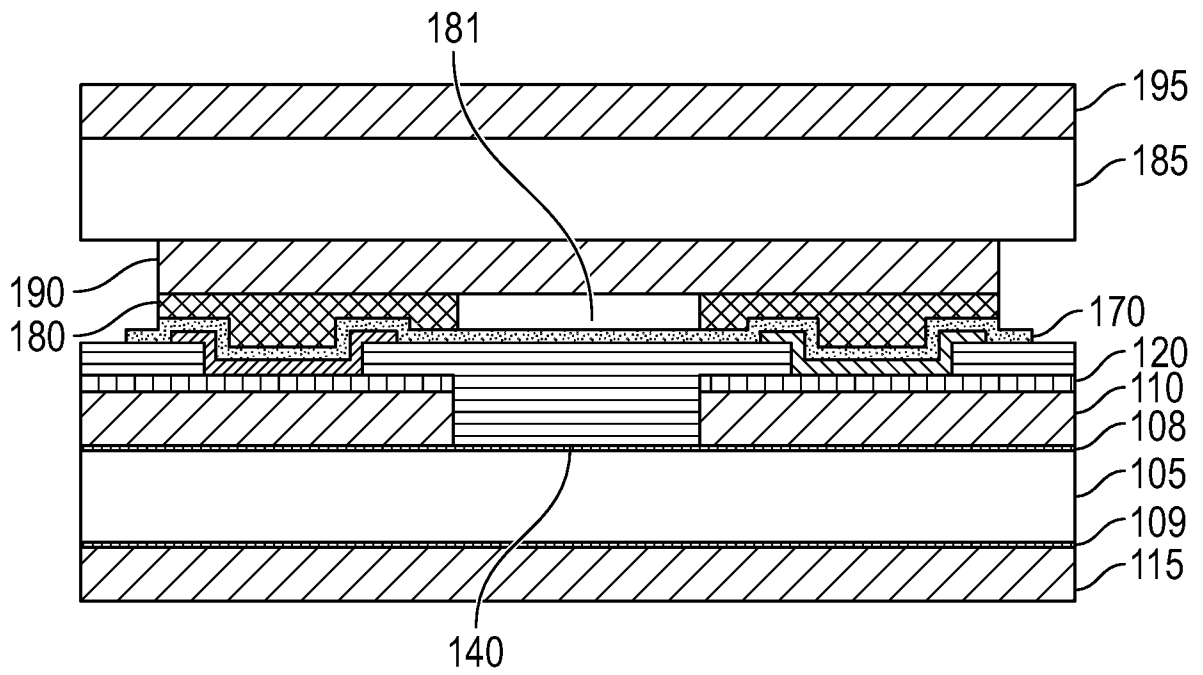


FIG. 11

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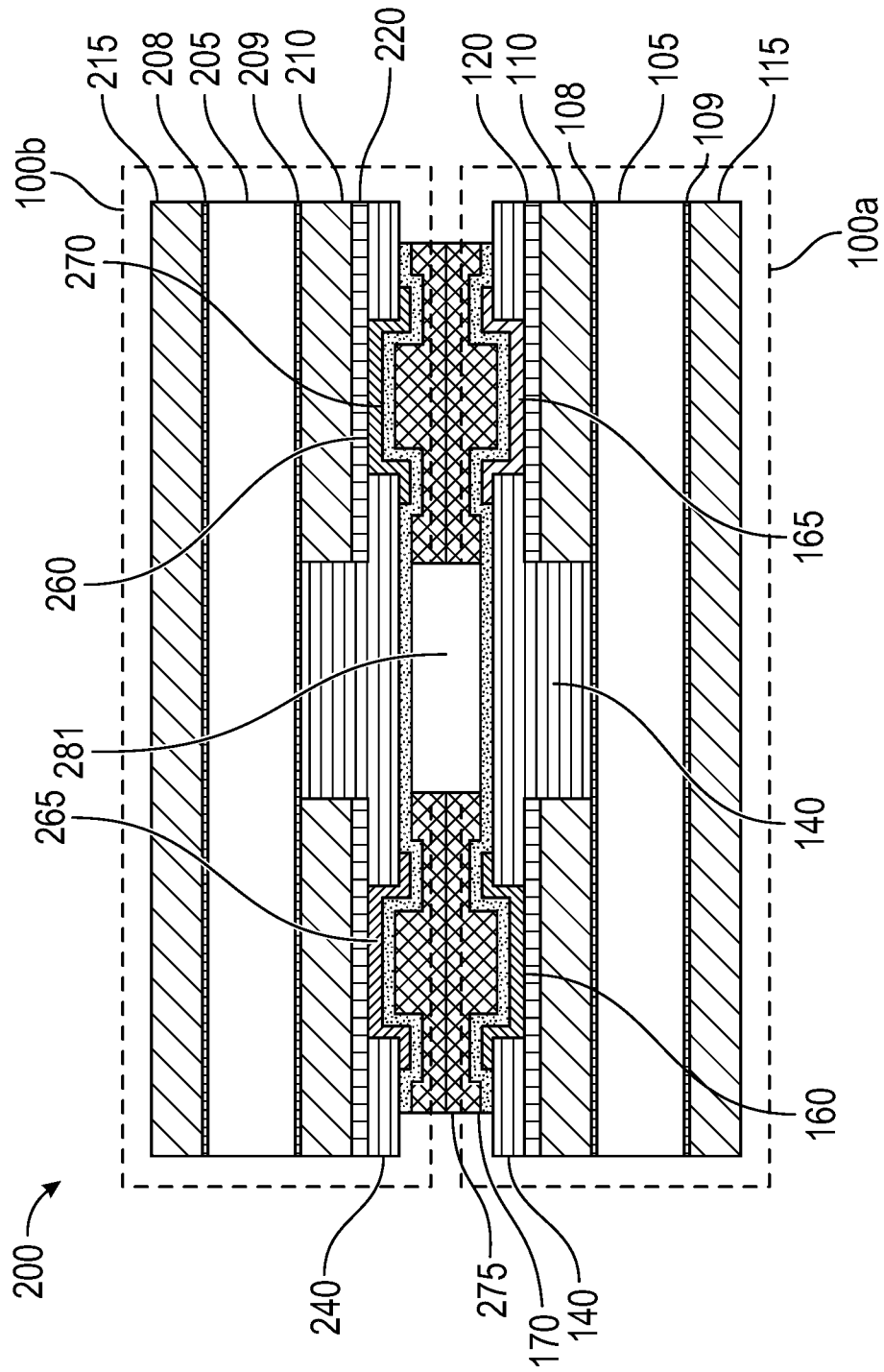


FIG. 2

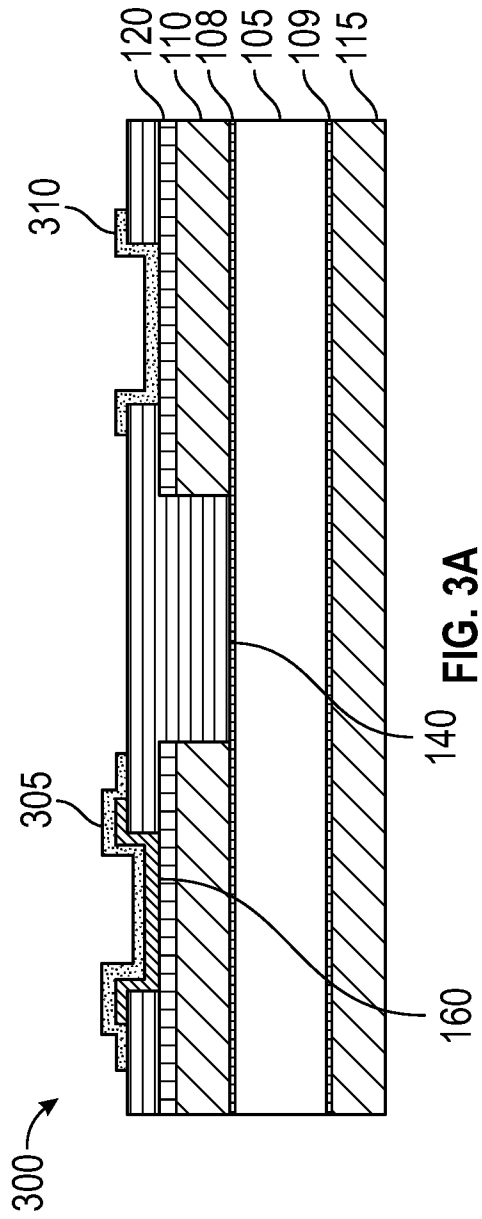


FIG. 3A

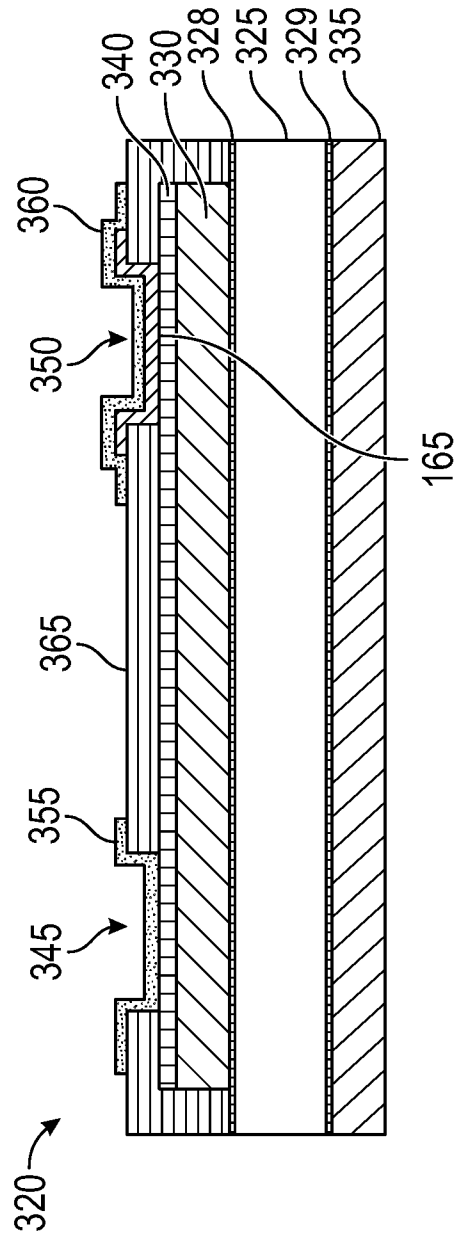


FIG. 3B

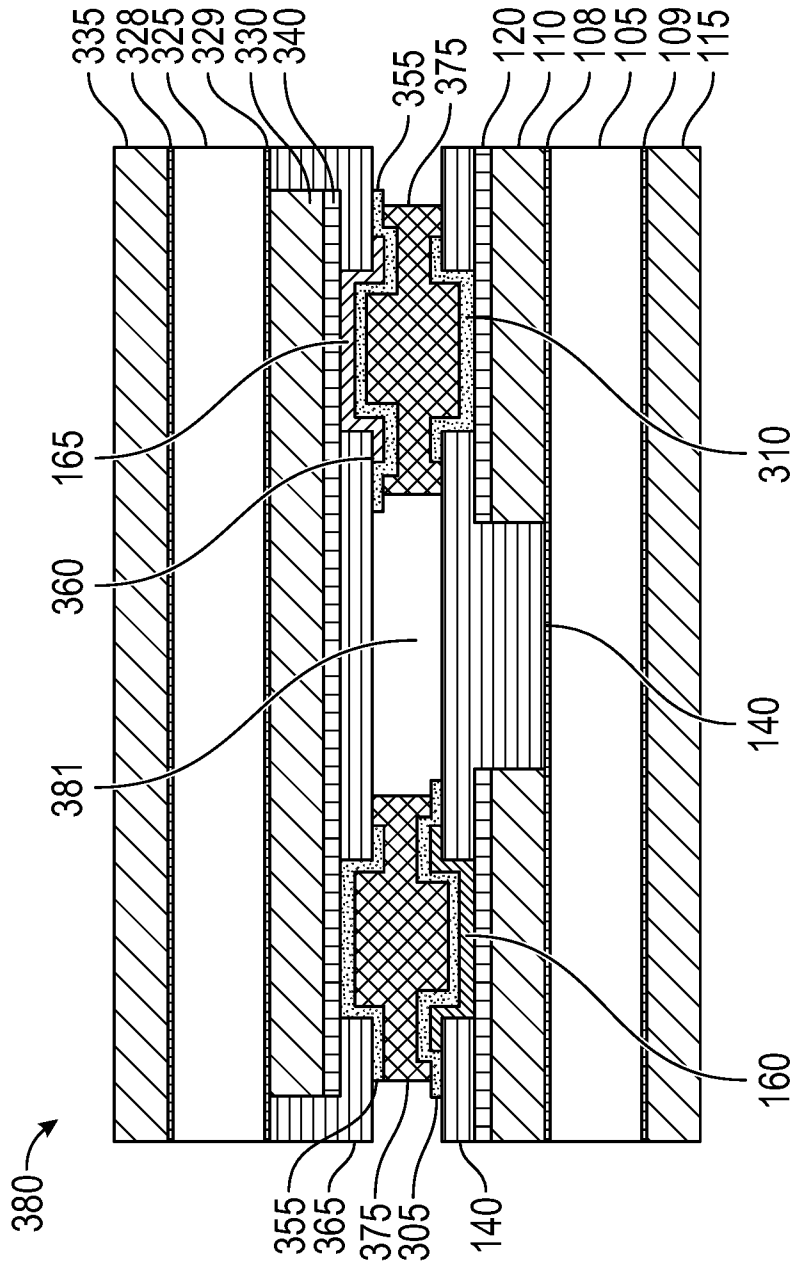


FIG. 3C



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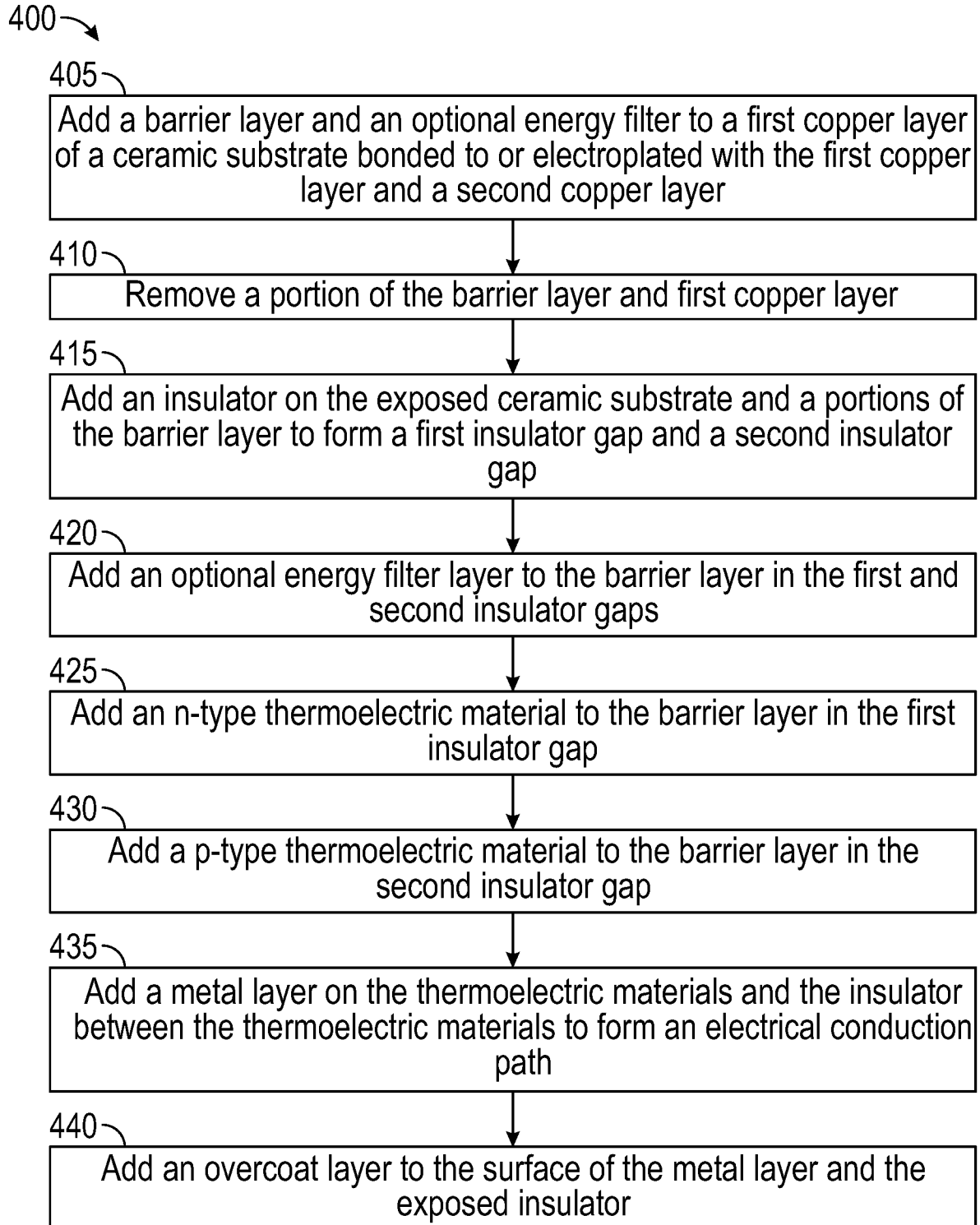


FIG. 4A

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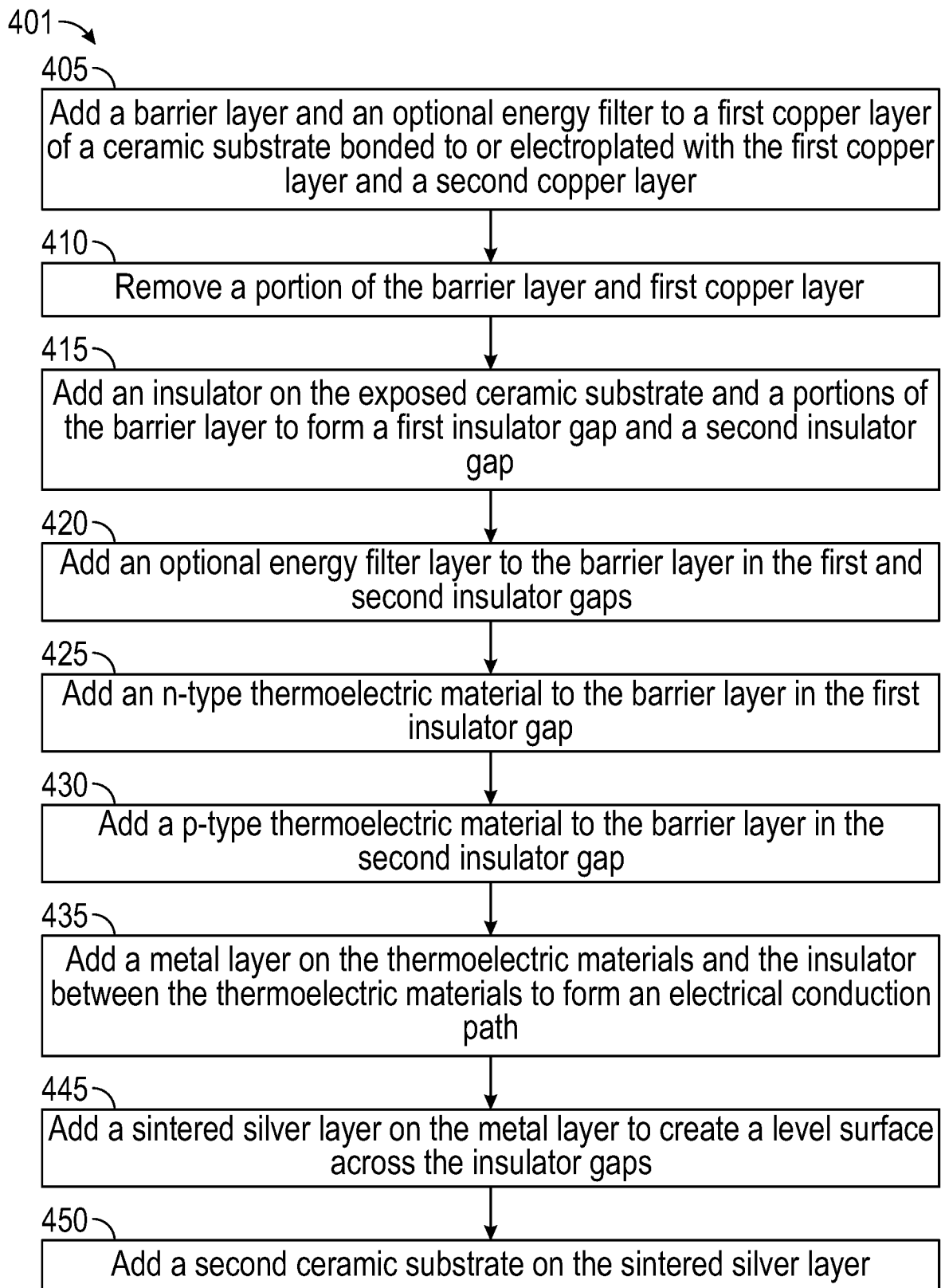


FIG. 4B

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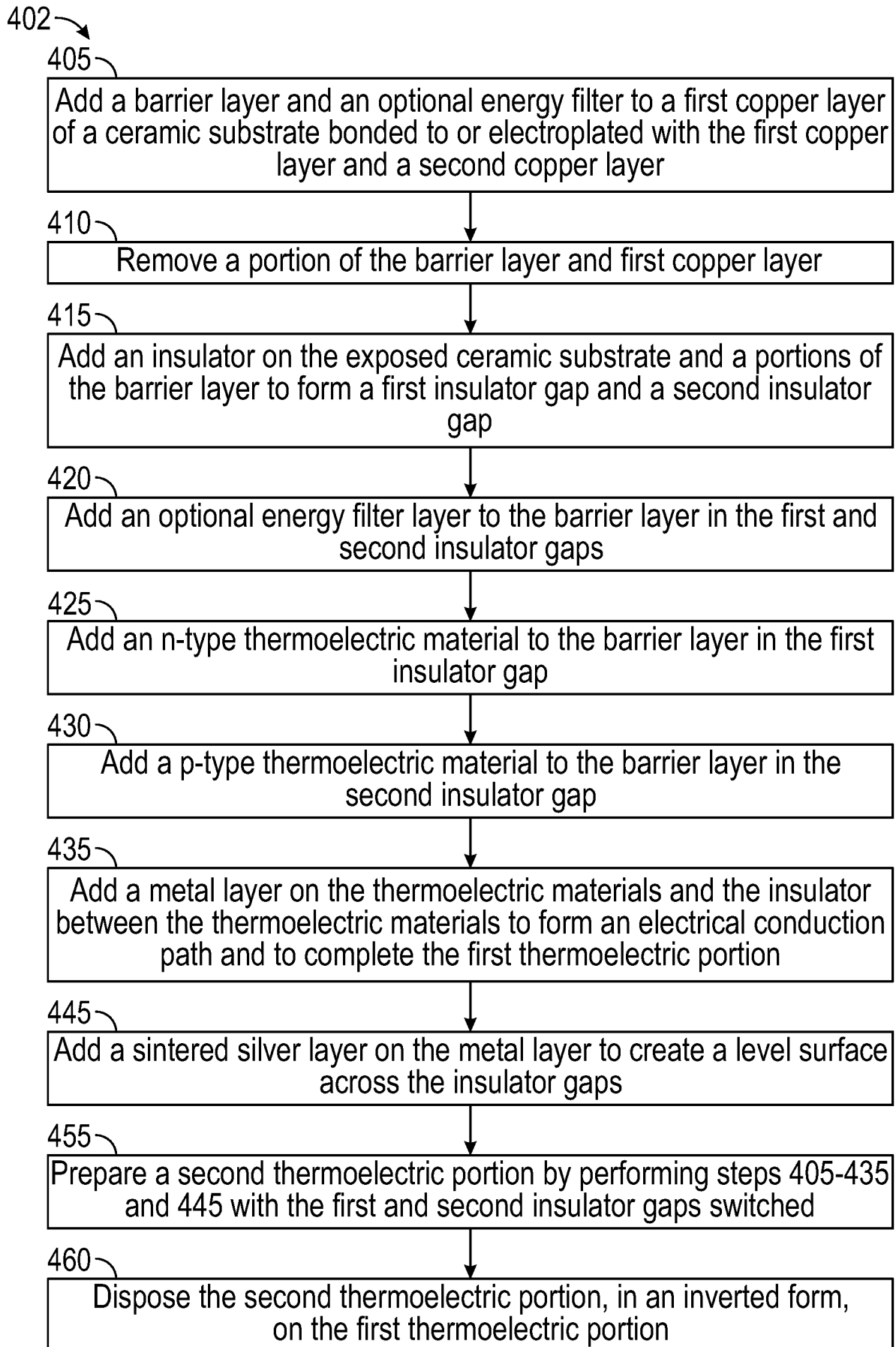


FIG. 4C

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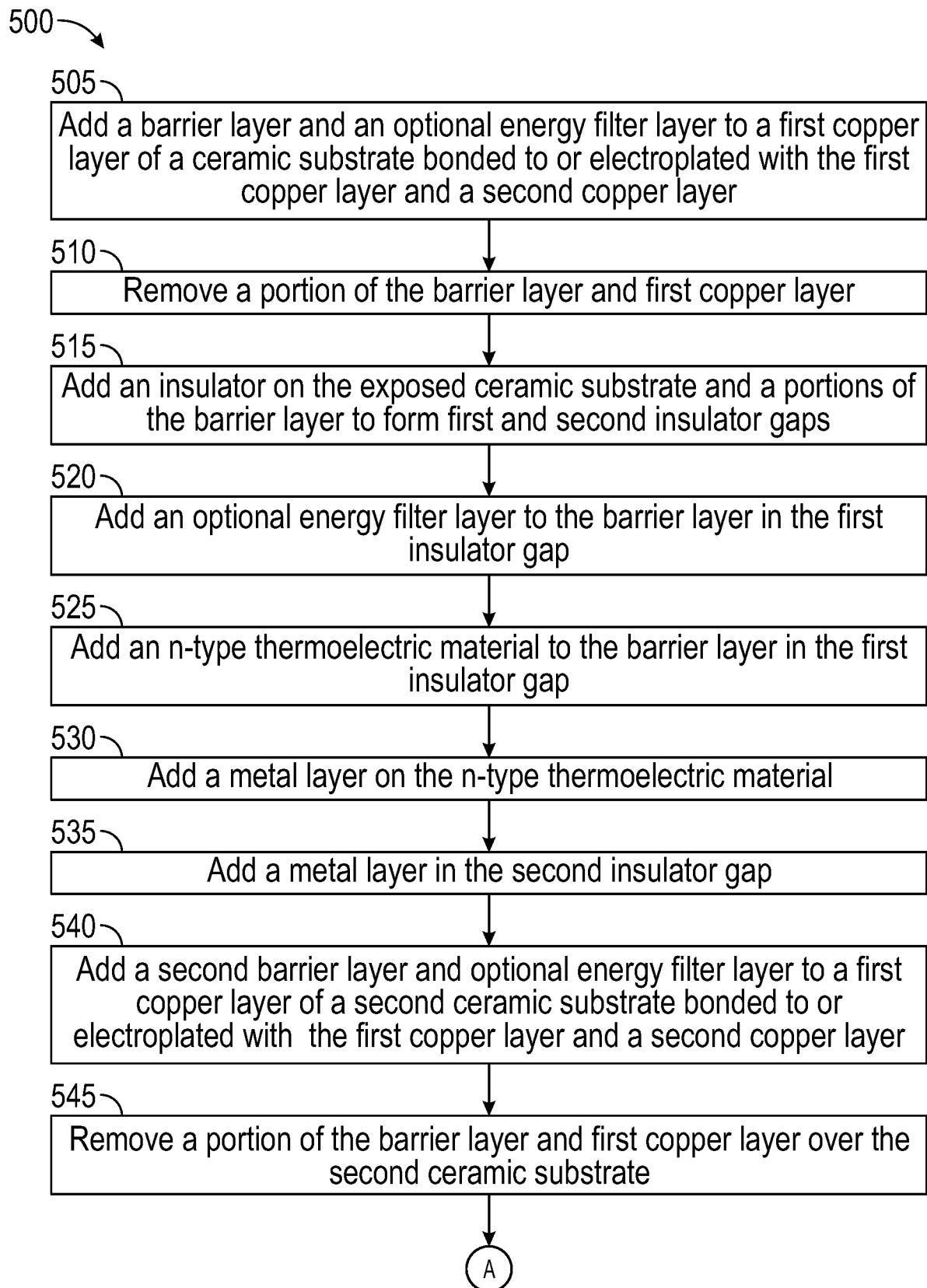
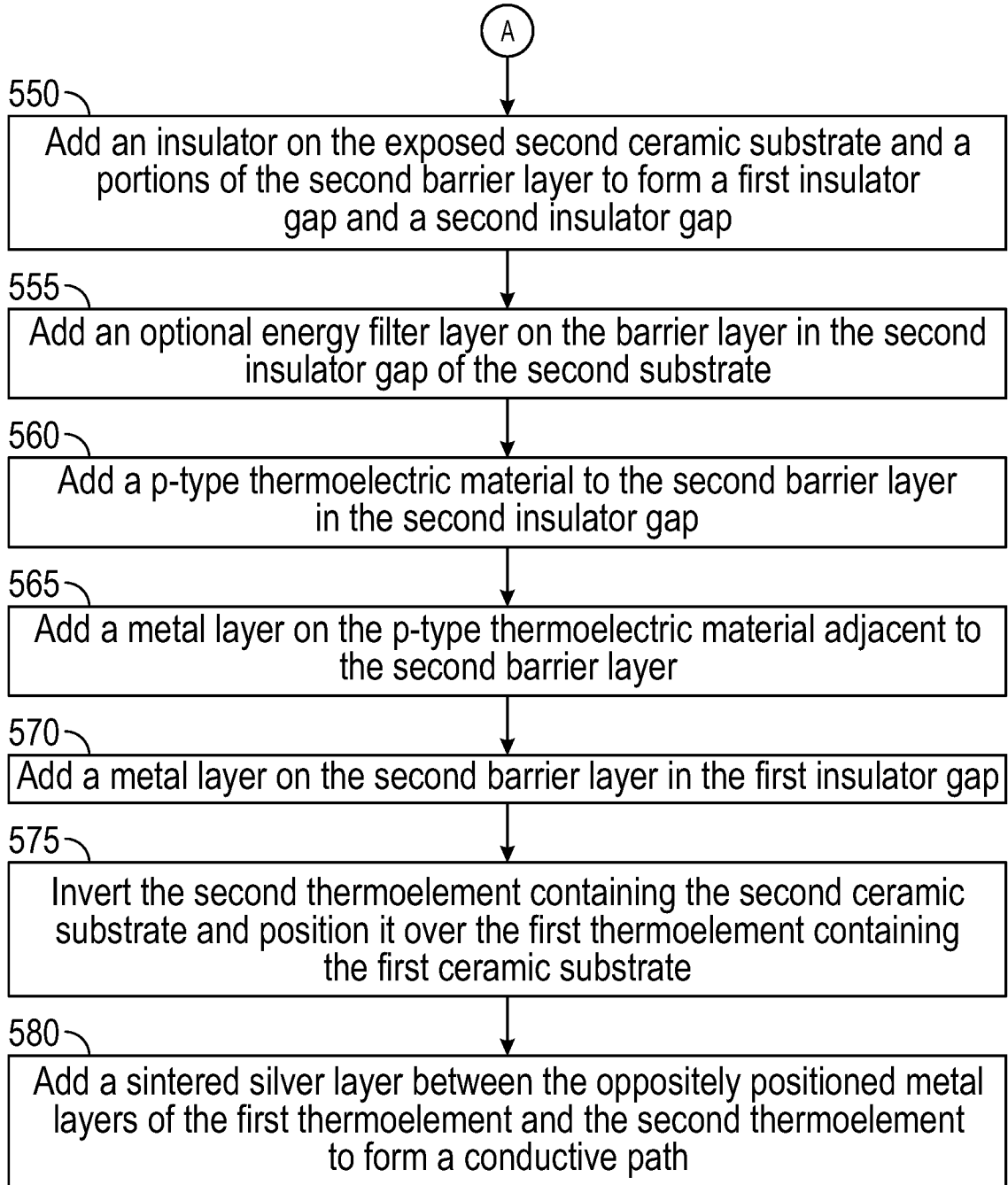


FIG. 5

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**FIG. 5**  
**(Continued)**

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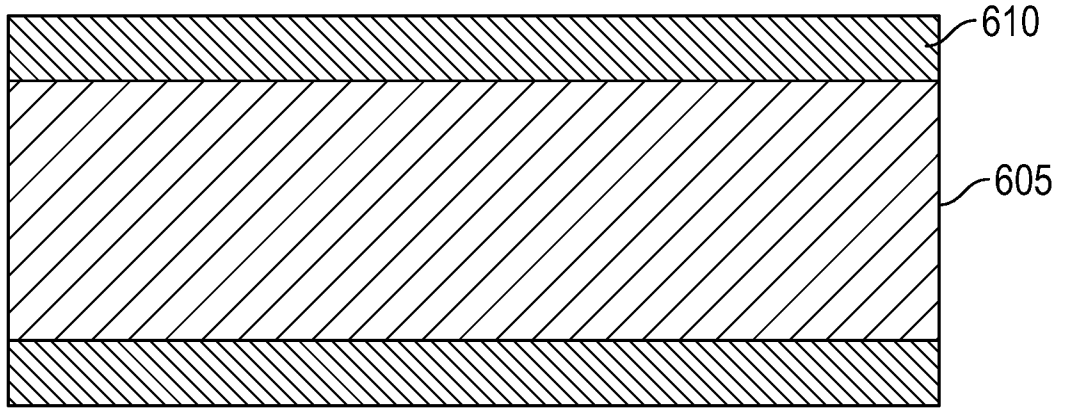


FIG. 6A

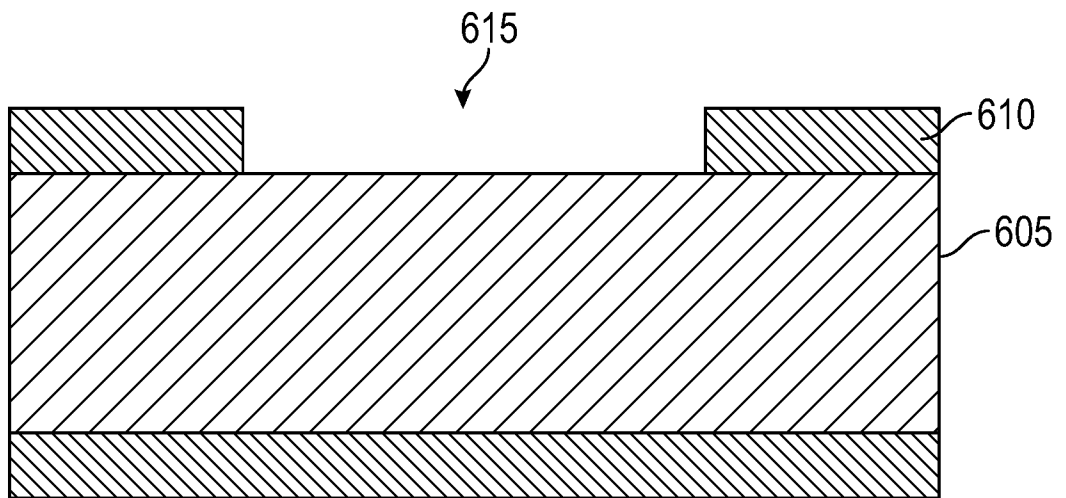


FIG. 6B

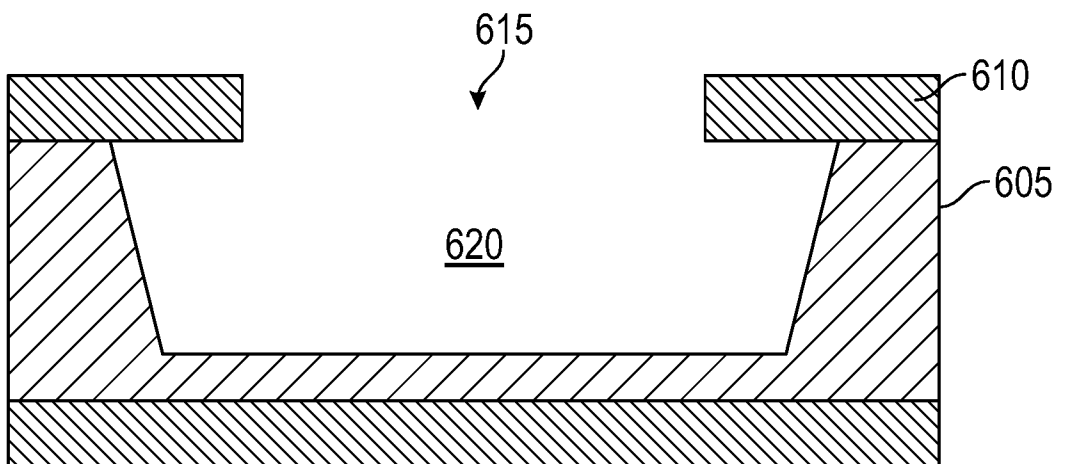


FIG. 6C

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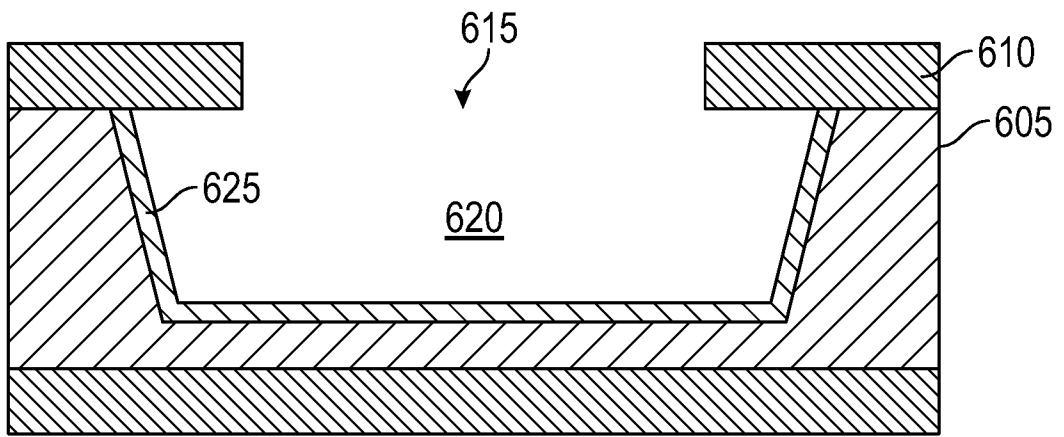


FIG. 6D

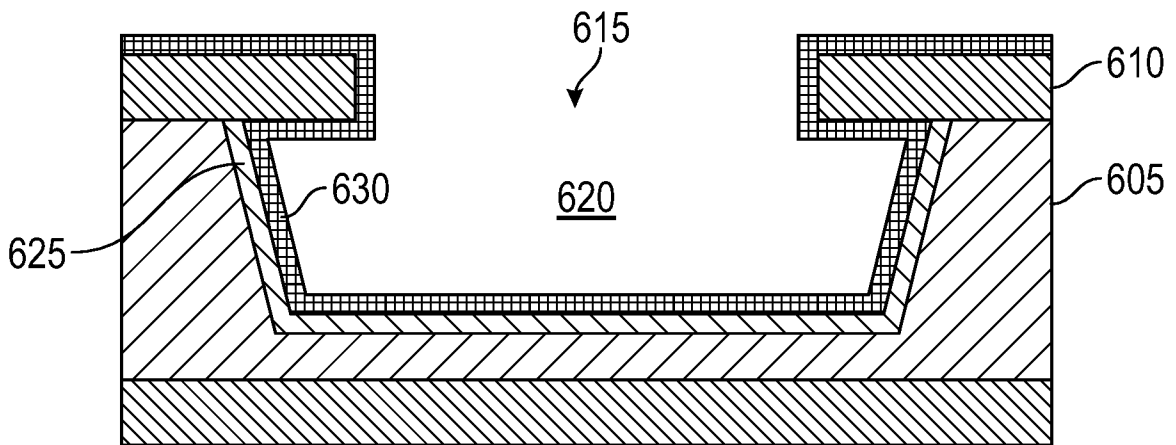


FIG. 6E

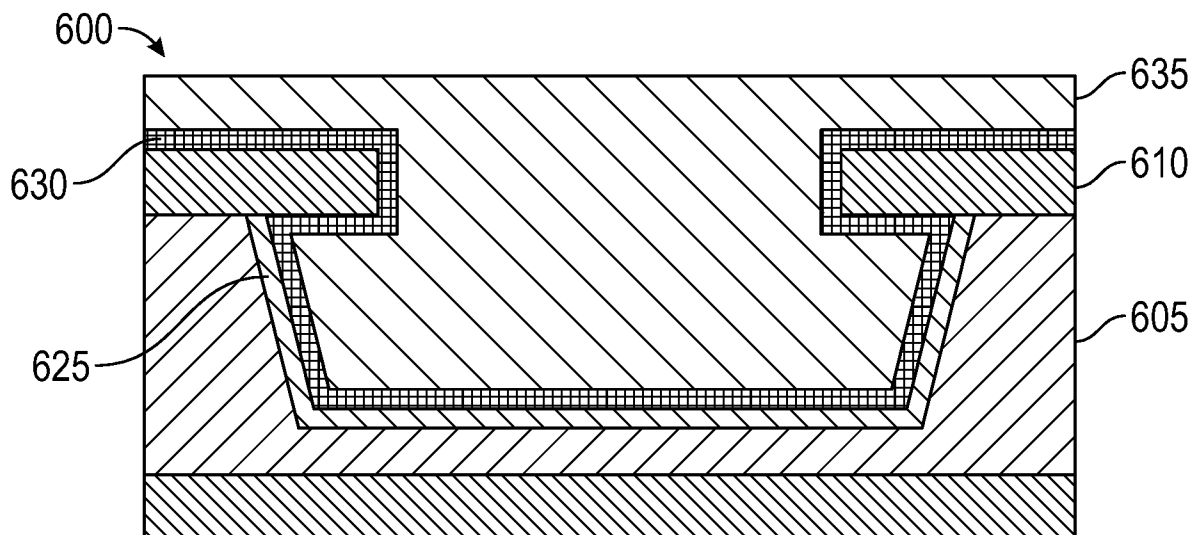


FIG. 6F

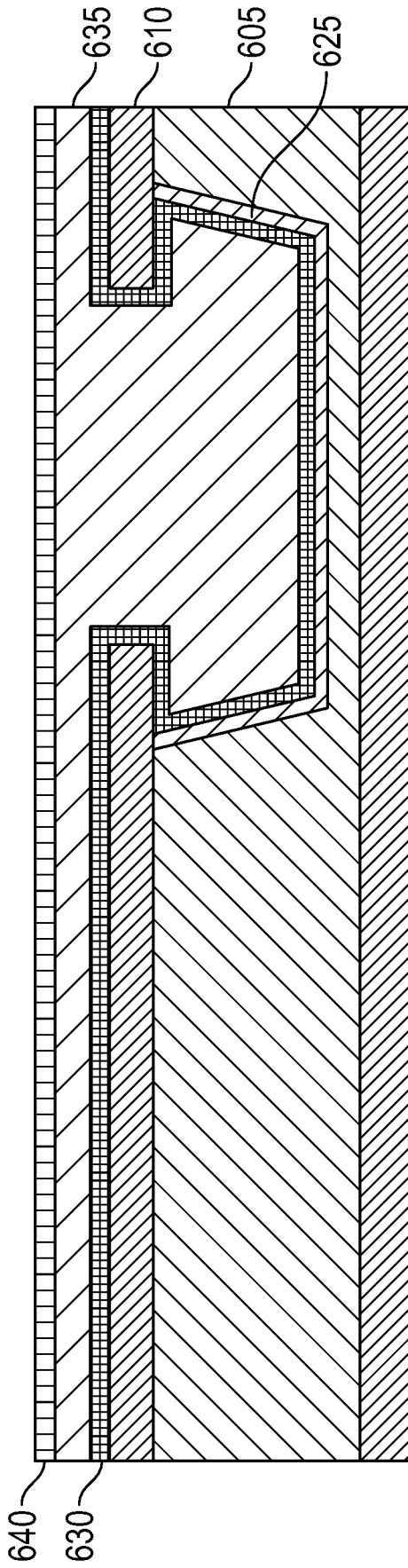


FIG. 6G

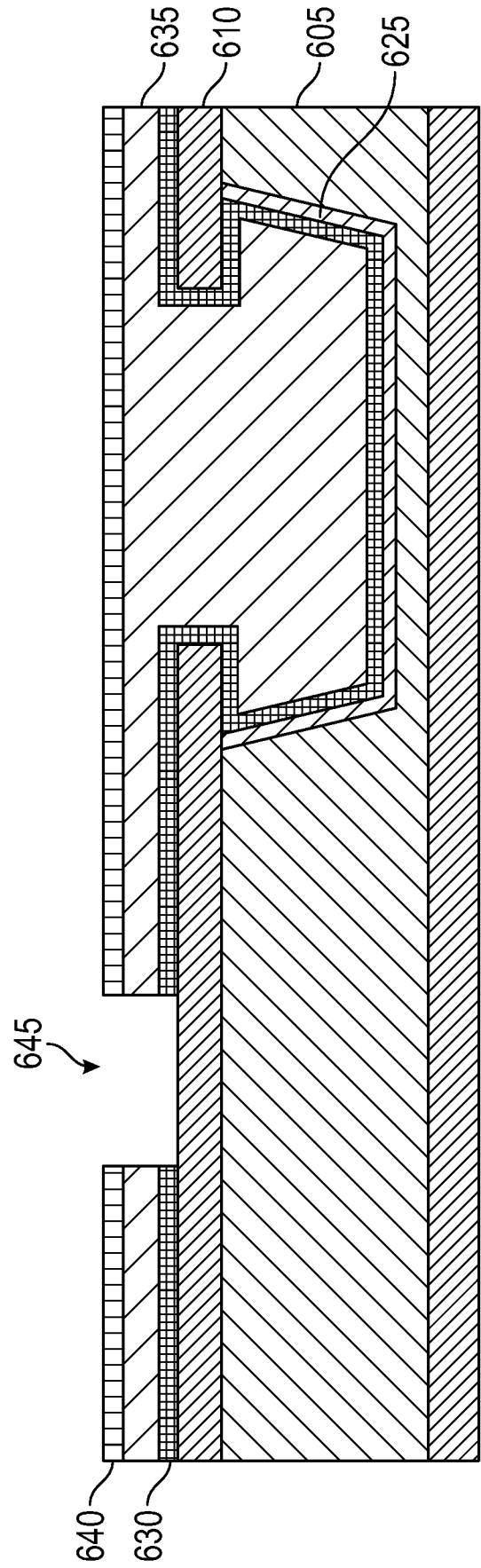


FIG. 6H



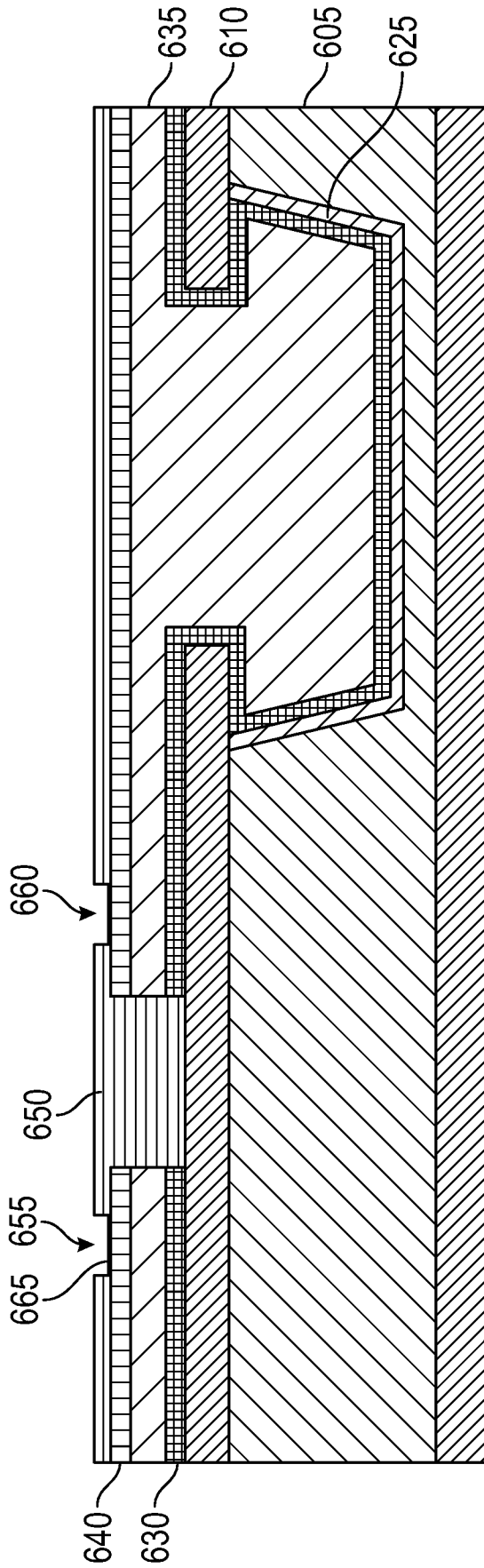


FIG. 6I

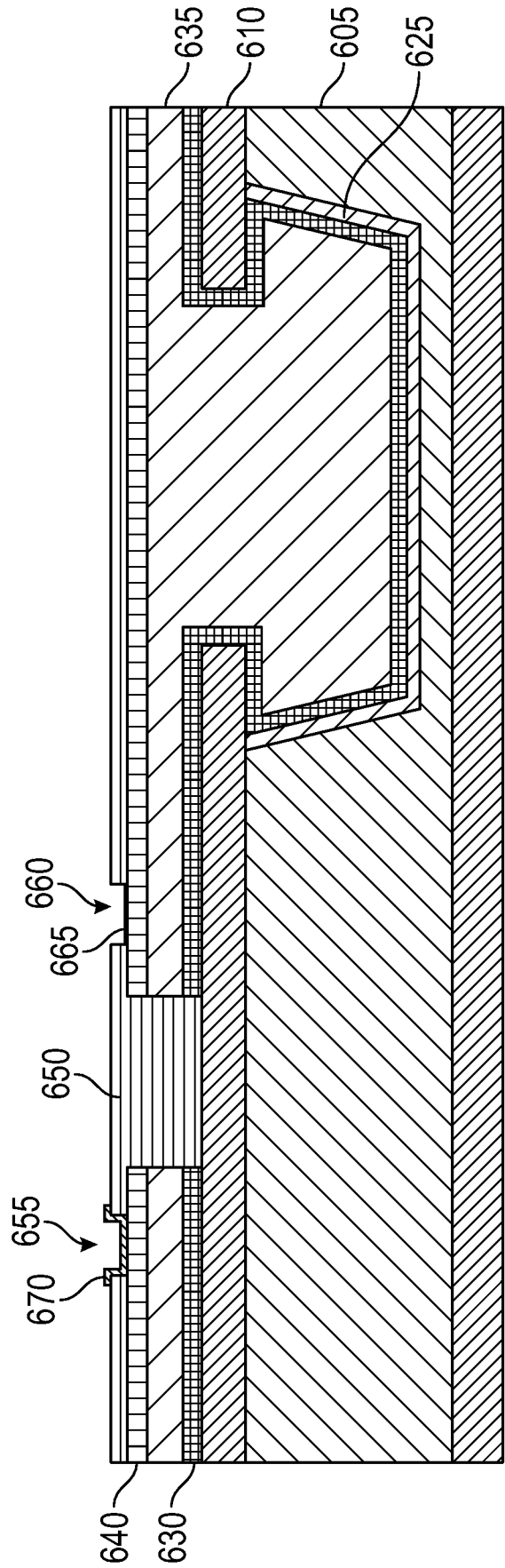


FIG. 6J

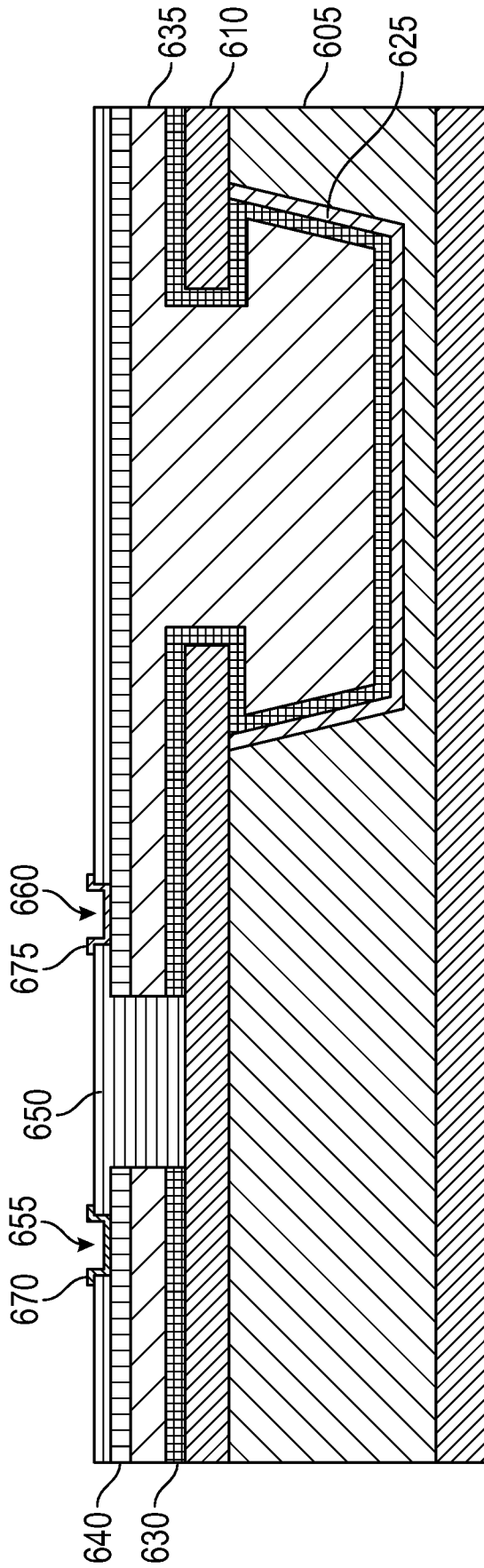


FIG. 6K

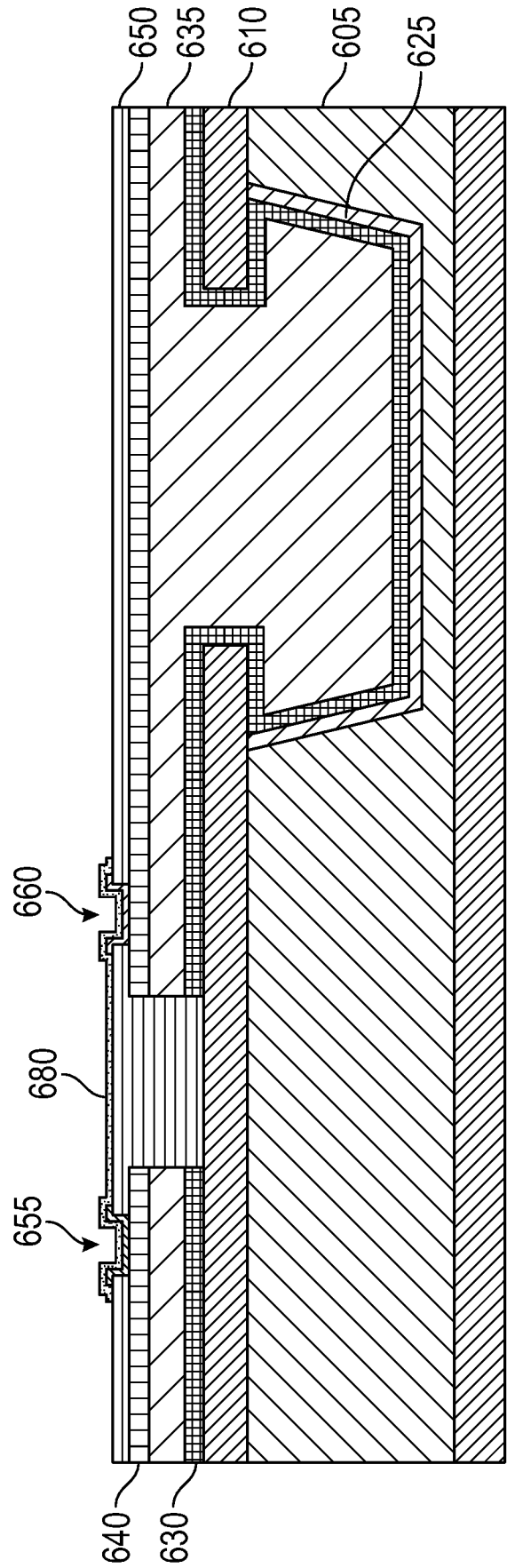


FIG. 6L

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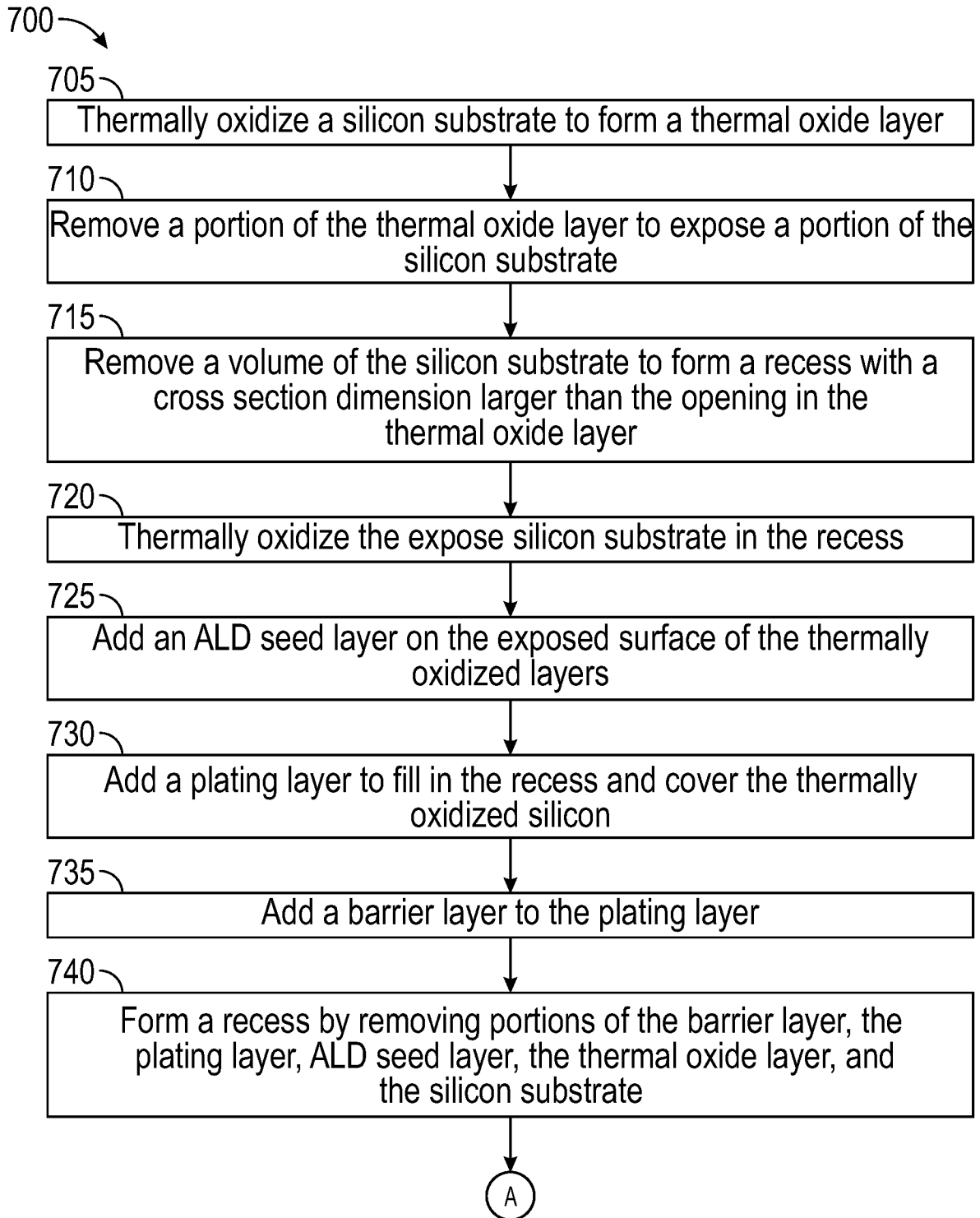
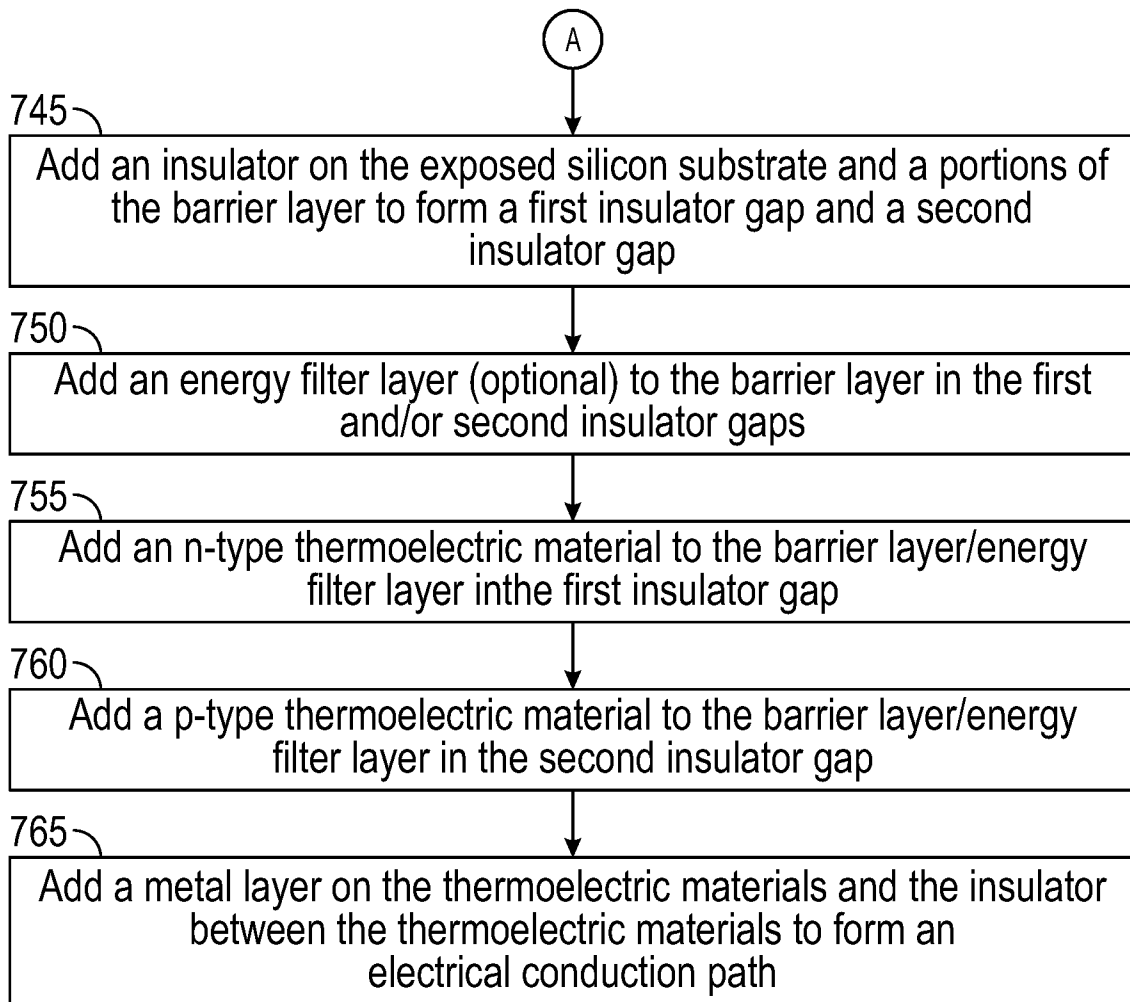


FIG. 7

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**FIG. 7**  
**(Continued)**

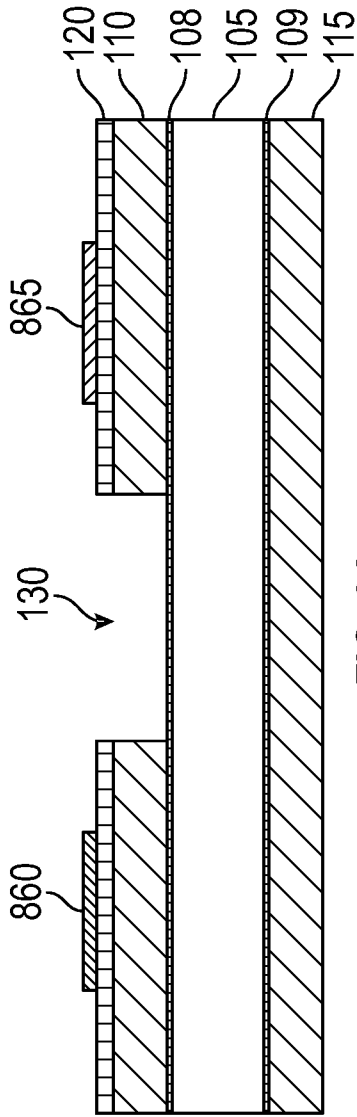


FIG. 8A

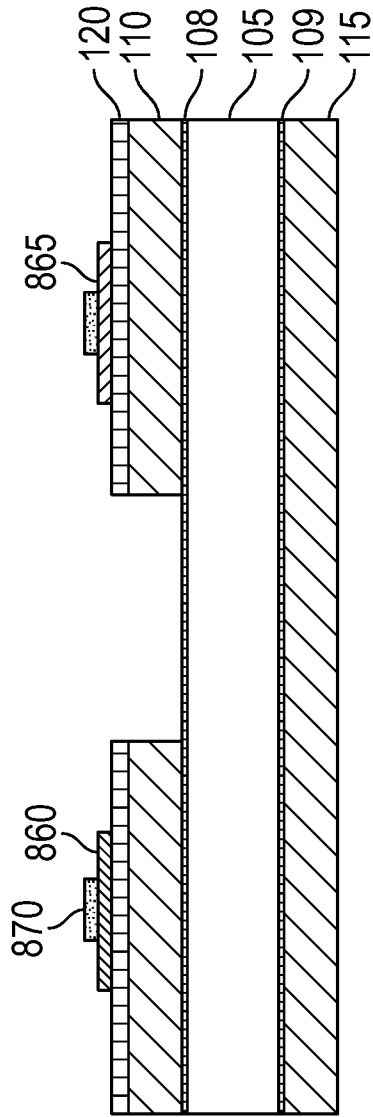


FIG. 8B

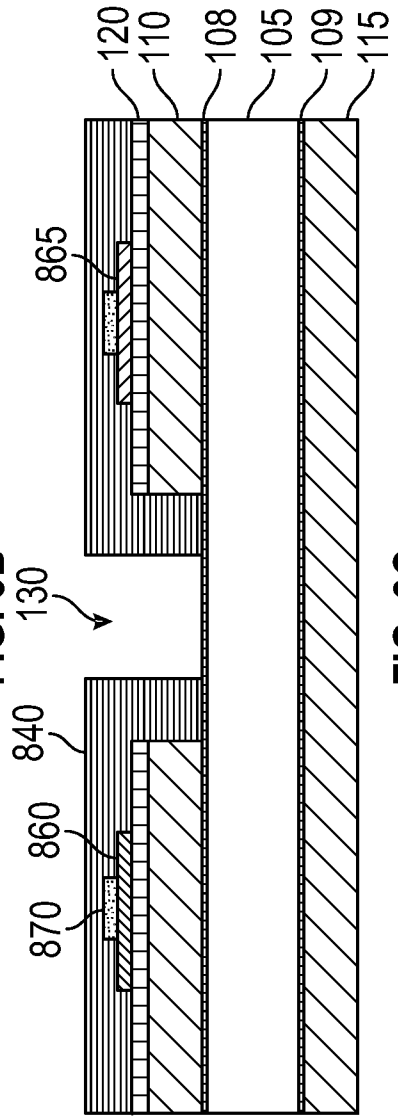


FIG. 8C



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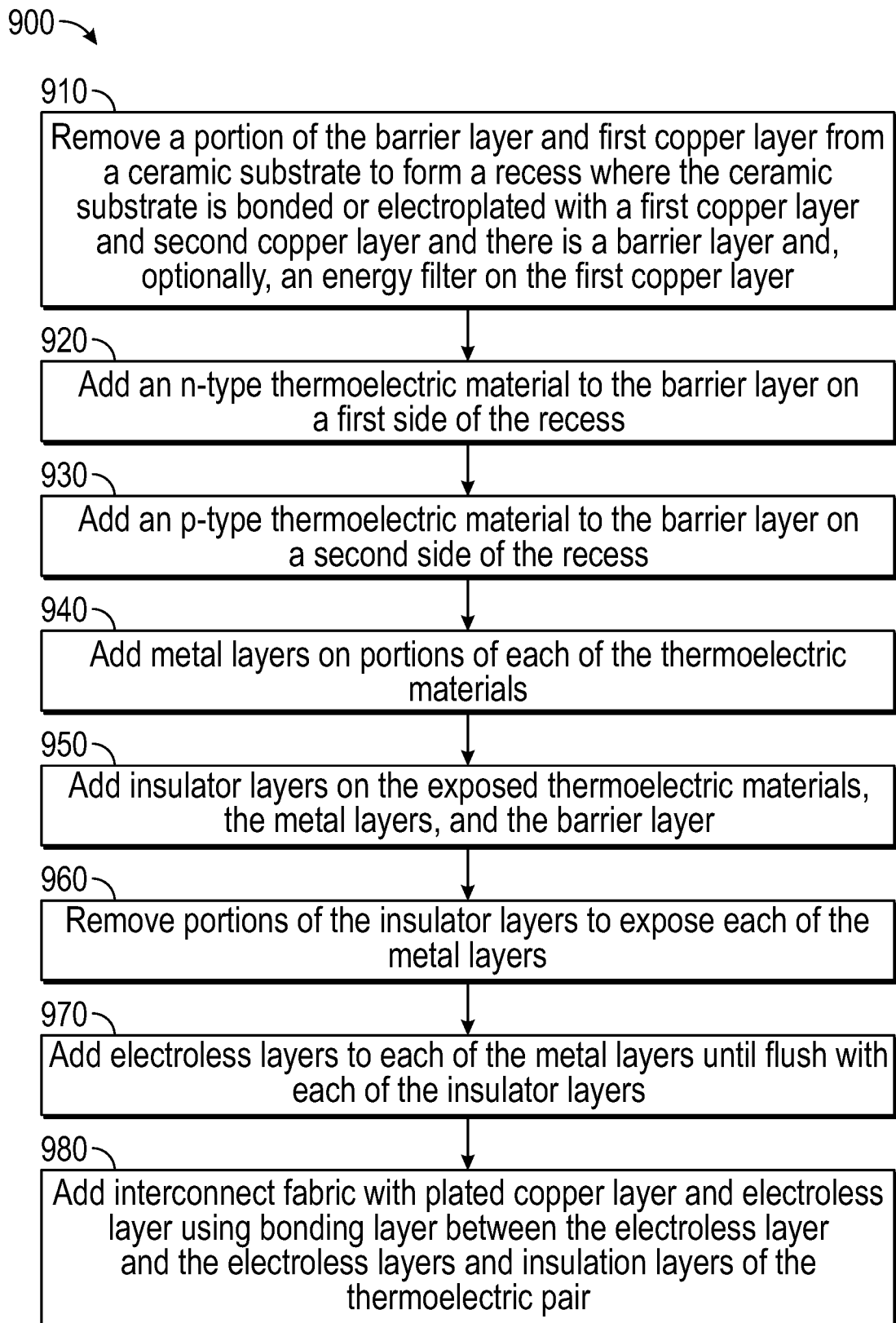


FIG. 9

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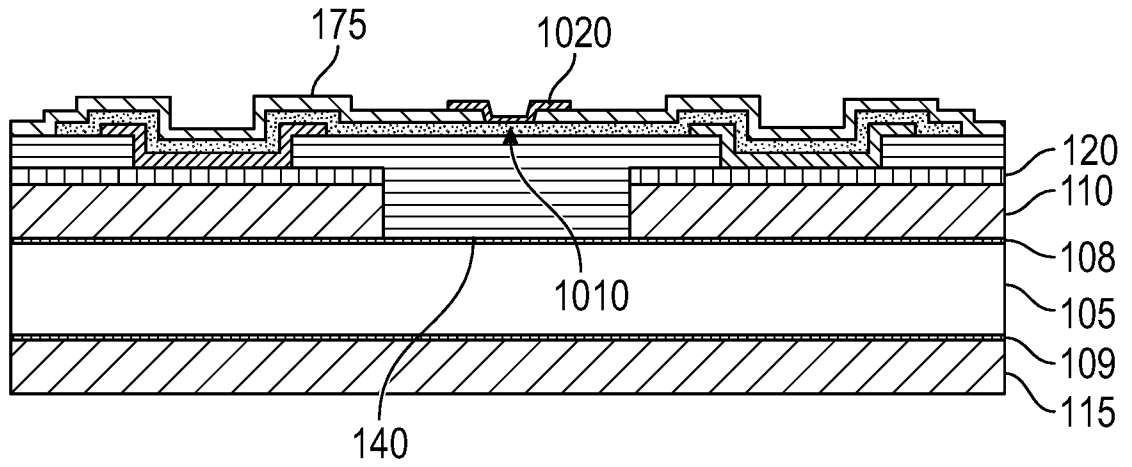


FIG. 10A

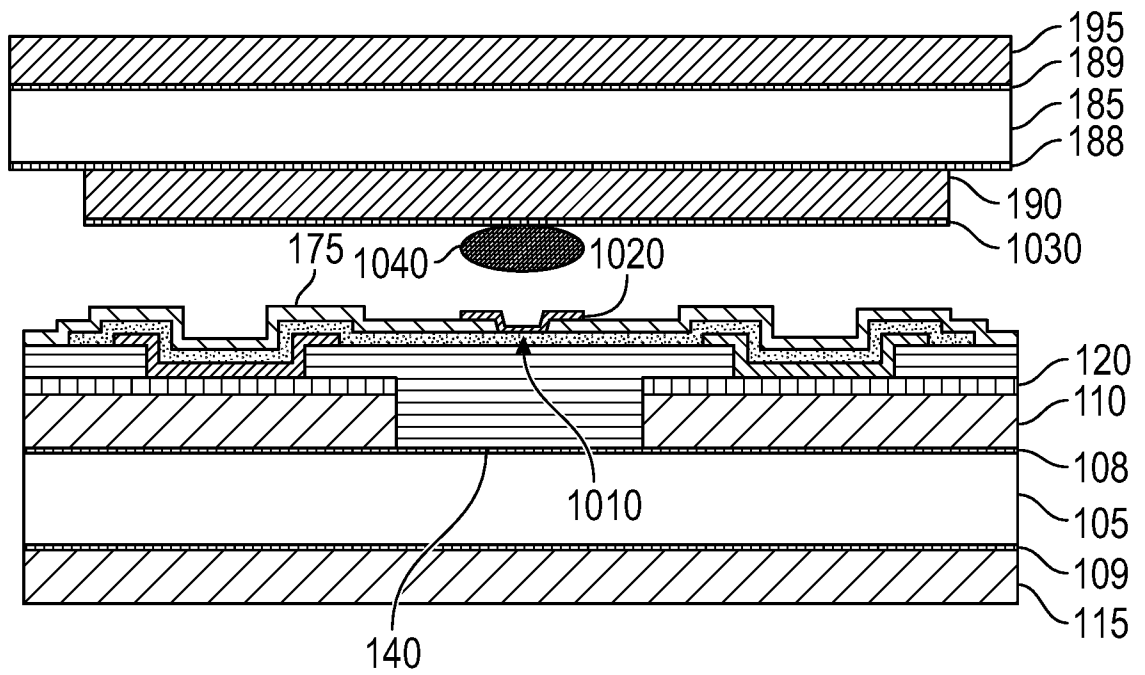


FIG. 10B



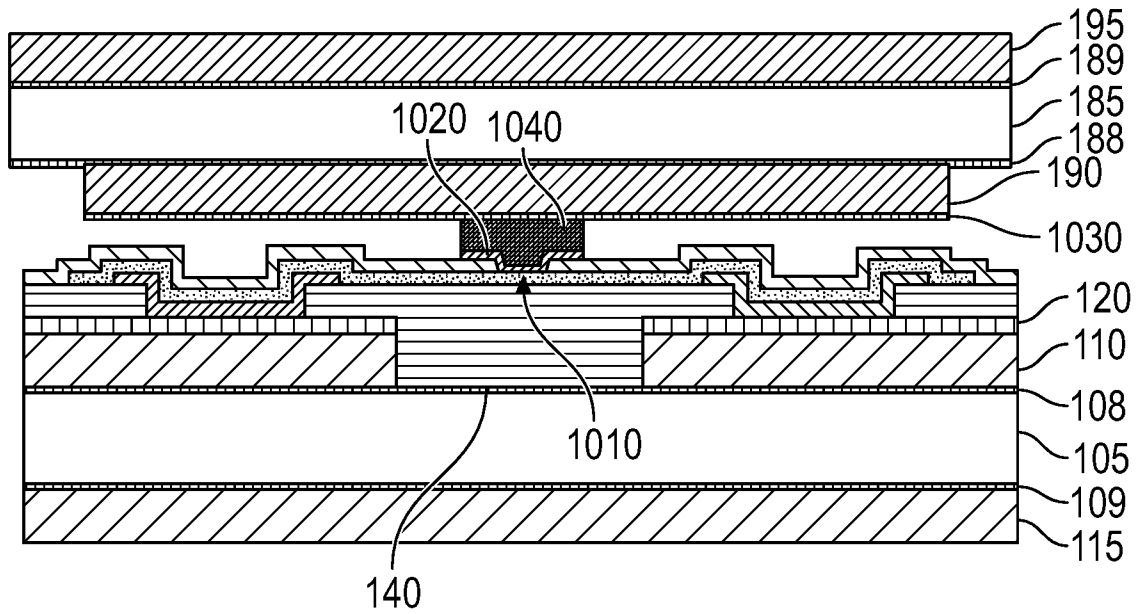


FIG. 10C

1100 →

1110 ↷

Remove a portion of the overcoat layer between the n-type thermoelectric material and the p-type thermoelectric material down to the metal layer

1120 ↷

Form a metal pad by depositing a metal on the metal layer where the overcoat was removed and adjacent on the overcoat

1130 ↷

Dispose a solder on a second bonded or electroplated silicon substrate

1140 ↷

Bond the second bonded or electroplated silicon substrate to the metal pad with the solder

FIG. 11

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US23/21843

<p><b>A. CLASSIFICATION OF SUBJECT MATTER</b></p> <p>IPC - INV. H10N 10/17; H01L 29/66; H10N 10/13 (2023.01)                  ADD. F25B 21/02; H01L 21/28 (2023.01)</p> <p>CPC - INV. H01L 35/32; H01L 21/033</p> <p>ADD. F28D 15/0275; H01L 35/28; H01L 35/30</p> <p>According to International Patent Classification (IPC) or to both national classification and IPC</p>																															
<p><b>B. FIELDS SEARCHED</b></p> <p>Minimum documentation searched (classification system followed by classification symbols)                  See Search History document</p> <p>Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched                  See Search History document</p> <p>Electronic database consulted during the international search (name of database and, where practicable, search terms used)                  See Search History document</p>																															
<p><b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b></p> <table border="1"> <thead> <tr> <th>Category*</th> <th>Citation of document, with indication, where appropriate, of the relevant passages</th> <th>Relevant to claim No.</th> </tr> </thead> <tbody> <tr> <td>Y</td> <td>US 2006/0076046 A1 (GHOSHAL et al.) 13 April 2006; Paragraphs [0012], [0057], [0063], [0068], [0088][0095], [0108], [0128], [0131], [0133], [0137], [0139], [0140]</td> <td>1-28</td> </tr> <tr> <td>Y</td> <td>US 2019/0081229 A1 (PANASONIC INTELLECTUAL PROPERTY MANAGEMENT CO., LT) 14 March 2019; Paragraphs [0073], [0079], [0086], [0091]</td> <td>1-28</td> </tr> <tr> <td>Y</td> <td>WO 2021/077095 A1 (SHEETAK INC.) 22 April 2021; Paragraphs [0006], [0008]-[0012], [0024], [0058], [0065]</td> <td>1-21, 26-28</td> </tr> <tr> <td>Y</td> <td>US 2011/0220164 A1 (GUHA et al.) 15 September 2011; Paragraphs [0008], [0039], [0057], [0063]</td> <td>9, 22-26</td> </tr> </tbody> </table> <p><input type="checkbox"/> Further documents are listed in the continuation of Box C.      <input type="checkbox"/> See patent family annex.</p> <table border="0"> <tr> <td>* Special categories of cited documents:</td> <td>"I" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</td> </tr> <tr> <td>"A" document defining the general state of the art which is not considered to be of particular relevance</td> <td>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</td> </tr> <tr> <td>"D" document cited by the applicant in the international application</td> <td>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art</td> </tr> <tr> <td>"E" earlier application or patent but published on or after the international filing date</td> <td>"&amp;" document member of the same patent family</td> </tr> <tr> <td>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</td> <td></td> </tr> <tr> <td>"O" document referring to an oral disclosure, use, exhibition or other means</td> <td></td> </tr> <tr> <td>"P" document published prior to the international filing date but later than the priority date claimed</td> <td></td> </tr> </table>			Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.	Y	US 2006/0076046 A1 (GHOSHAL et al.) 13 April 2006; Paragraphs [0012], [0057], [0063], [0068], [0088][0095], [0108], [0128], [0131], [0133], [0137], [0139], [0140]	1-28	Y	US 2019/0081229 A1 (PANASONIC INTELLECTUAL PROPERTY MANAGEMENT CO., LT) 14 March 2019; Paragraphs [0073], [0079], [0086], [0091]	1-28	Y	WO 2021/077095 A1 (SHEETAK INC.) 22 April 2021; Paragraphs [0006], [0008]-[0012], [0024], [0058], [0065]	1-21, 26-28	Y	US 2011/0220164 A1 (GUHA et al.) 15 September 2011; Paragraphs [0008], [0039], [0057], [0063]	9, 22-26	* Special categories of cited documents:	"I" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention	"A" document defining the general state of the art which is not considered to be of particular relevance	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone	"D" document cited by the applicant in the international application	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art	"E" earlier application or patent but published on or after the international filing date	"&" document member of the same patent family	"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)		"O" document referring to an oral disclosure, use, exhibition or other means		"P" document published prior to the international filing date but later than the priority date claimed	
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"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)																															
"O" document referring to an oral disclosure, use, exhibition or other means																															
"P" document published prior to the international filing date but later than the priority date claimed																															
Date of the actual completion of the international search	Date of mailing of the international search report																														
19 July 2023 (19.07.2023)	<p style="font-size: 2em; margin: 0;">SEP 27 2023</p>																														
Name and mailing address of the ISA/ Mail Stop PCT, Attn: ISA/US, Commissioner for Patents P.O. Box 1450, Alexandria, Virginia 22313-1450 Facsimile No. 571-273-8300	Authorized officer Shane Thomas Telephone No. PCT Helpdesk: 571-272-4300																														

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US23/21843

**Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)**

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

- 1.  Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
  
- 2.  Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
  
- 3.  Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

**Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)**

This International Searching Authority found multiple inventions in this international application, as follows:  
-\*\*\*Please See Supplemental Page-\*\*\*

- 1.  As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
- 2.  As all searchable claims could be searched without effort justifying additional fees, this Authority did not invite payment of additional fees.
- 3.  As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
- 4.  No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:  
1-28

**Remark on Protest**

- The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.
- The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.
- No protest accompanied the payment of additional search fees.

-\*\*\*-Continued From Box No. III: Observations where unity of invention is lacking-\*\*\*-

This application contains the following inventions or groups of inventions which are not so linked as to form a single general inventive concept under PCT Rule 13.1. In order for all inventions to be examined, the appropriate additional examination fee must be paid.

Group I: Claims 1-28 are directed towards a thermoelectric apparatus and method of fabricating.

Group II: Claims 29-40 are directed towards a thermoelectric apparatus with electroless plating layers.

The inventions listed as Groups I-II do not relate to a single general inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons:

The special technical features of Group I include at least, an insulator disposed on the substrate through the opening in the first copper layer and the opening in the barrier layer and on the barrier layer to form a first insulator gap on one side of the opening in the barrier layer and a second insulator gap on other side of the opening in the barrier layer; an n-type thermoelectric layer disposed in the first insulator gap; a p-type thermoelectric layer disposed in the second insulator gap; and a metal layer disposed on then-type thermoelectric layer, the p-type thermoelectric layer, and on the insulator between the n-type thermoelectric layer and the p-type thermoelectric layer, which are not present in Group II.

The special technical features of Group II include at least electroless plating layers disposed on the metal layers; and a second stage comprising: an interconnect fabric; a copper layer disposed on the interconnect fabric; and an electroless plating layer disposed on the copper layer; wherein bonding layers are disposed between the first stage electroless plating layer and the second stage electroless plating layer, which are not present in Group I.

The common technical features shared by Groups I and II are a thermoelectric apparatus, the apparatus comprising: a first stage comprising: a copper substrate comprising: a first copper layer with an opening; and a substrate; wherein the first copper layer is direct bonded or electroplated onto one face of the substrate; a barrier layer disposed on the first copper layer with an opening coterminous with the opening in the first copper layer.

However, these common features are previously disclosed by US 2006/0086118 A1 to Venkatasubramanian, R. et al. (hereinafter "VENKATASUBRAMANIAN"). VENKATASUBRAMANIAN discloses a thermoelectric apparatus (abstract; fig 1; para [0038]), the apparatus comprising: a first stage (medium 8; fig 1; para [0038]) comprising: a copper substrate (copper; para [0046]) comprising: a first copper layer with an opening (copper along heat sink 5; fig 1; para [0040], [0046]); and a substrate (a film 9; fig 1; para [0044]); wherein the first copper layer is direct bonded or electroplated onto one face of the substrate (copper with heat sink 5 allows for electroplating onto one face of the film 9; fig 1; para [0044], [0081]); a barrier layer disposed on the first copper layer with an opening coterminous with the opening in the first copper layer (lower side header 4 (barrier layer) shown disposed on the copper along heat sink 5 with an opening along the opening in the copper with heat sink 5; fig 1; para [0040], [0046]).

Since the common technical features are previously disclosed by the VENKATASUBRAMANIAN reference, these common features are not special and so Groups I and II lack unity.