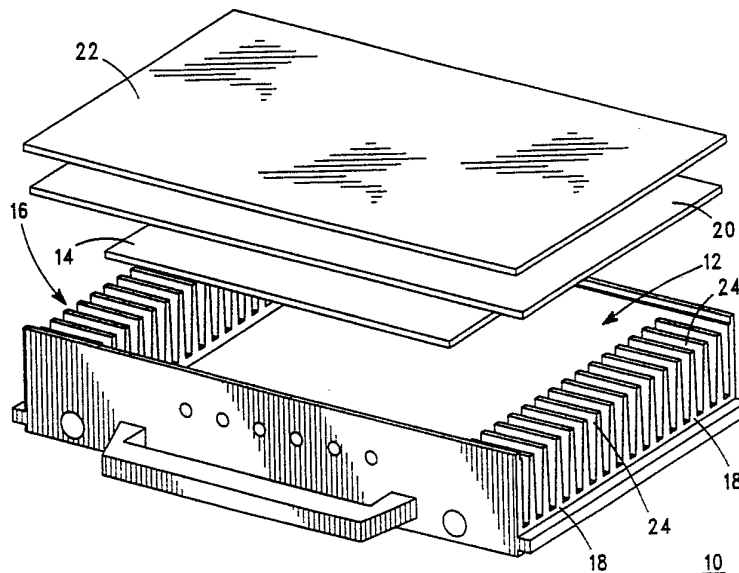




## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>5</sup> : <b>H05K 9/00, H01P 1/22</b>	<b>A1</b>	(11) International Publication Number: <b>WO 91/17645</b> (43) International Publication Date: 14 November 1991 (14.11.91)
<p>(21) International Application Number: PCT/US91/02431</p> <p>(22) International Filing Date: 11 April 1991 (11.04.91)</p> <p>(30) Priority data: 520,302                      7 May 1990 (07.05.90)                      US</p> <p>(71) Applicant: MOTOROLA, INC. [US/US]; 1303 East Algonquin Road, Schaumburg, IL 60196 (US).</p> <p>(72) Inventor: MCCARTHY, Michael, P., D. ; 655 Pennsylvania Drive Apt. 3, Palatine, IL 60074 (US).</p> <p>(74) Agents: PARMELEE, Steven, G. et al.; Motorola, Inc., Intellectual Property Dept., 1303 East Algonquin Road, Schaumburg, IL 60196 (US).</p>	<p>(81) Designated States: AT (European patent), AU, BE (European patent), CH (European patent), DE (European patent), DK (European patent), ES (European patent), FR (European patent), GB (European patent), GR (European patent), IT (European patent), JP, KR, LU (European patent), NL (European patent), SE (European patent).</p> <p><b>Published</b> <i>With international search report.</i></p>	

(54) Title: INTEGRATED EMI FILTER AND THERMAL HEAT SINK



## (57) Abstract

The present invention discloses an apparatus (10) that shields electronic equipment (14) from electromagnetic interference. The apparatus (10) is a partially enclosed box having a substantially enclosed cavity (12). Disposed within the cavity (12) is electronic equipment that is either sensitive to or responsible for generating unwanted EMI radiation. Within each apparatus (10) are cooling air openings (16). Within each opening (16) is a heat sink device (18), dimensioned to provide a level of EMI filtering. The heat sink device (18) has a dual function. First, as an EMI filter, it attenuates EMI radiation in the range of frequencies generated by or disruptive to the electronic equipment (14). Secondly, as a heat sink device, it transfers heat away from the enclosed cavity (12). In this way, the apparatus (10) of the present invention successfully provides an EMI filter that doubles as a heat sink.

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INTEGRATED EMI FILTER AND THERMAL HEAT SINK5 Technical Field

This invention relates generally to  
Electromagnetic Interference (EMI) shielding  
techniques. More specifically, however, this  
10 invention relates to an EMI filter that doubles as  
a heat sink.

Background Of The Invention

15 EMI is the unintentional radiation from  
electronic equipment which can interfere with  
external equipment such as radio, television, or  
computer units. Additionally, EMI radiation is  
capable of interfering with internal circuitry  
20 within the unit generating the EMI. In recent  
years, EMI has taken on greater importance because  
of the substantial use and increased density of  
electronic components which either generate or are  
susceptible to the effects of EMI. In computers and  
25 related fields which employ digital technologies,  
EMI is an extremely sensitive issue because of the  
increased speed of operation of digital circuits.  
Modern digital circuits are capable of generating  
and processing signals with rise times as short as  
30 two nanoseconds. Unfortunately, these signals,  
while they represent a substantial increase in the  
speed of operation, are a natural source of wide  
spectrum interference. One of the most troubling  
EMI problems therefore, is how to prevent these  
35 extremely fast digital circuits from interfering

with the operation of other digital circuits positioned nearby.

Prior art techniques for containing EMI have been confined essentially to placing shielding screens in enclosures that surround the interference producing equipment. An alternative approach has been simply to place the interference producing equipment into a screened room. The type of screening generally employed is shown in Fig. 1  
5 This type shielding is typically made of a conducting materials such as aluminum, and operates on the basic principal that the EMI will not pass through the apertures in the screen. For an in depth discussion on this phenomenon, refer to  
10 White, Donald R. J., Mardiguian, M. "Electromagnetic Shielding," Vol.3, Chapter 7, Interference Control Technologies Inc., Gainesville, Virginia., 1988.  
15

Despite the plausibility of shielding screens, their use nonetheless suffers from various shortcomings. For example, installation is a labor intensive effort, which represents a substantial cost in the development of a shielded enclosure. While an improperly fitted screen will render an enclosure susceptible to intolerable levels of EMI  
25 leakage, more problematic are the effects of screen seal degradation under normal use and wear. Over time, the screen seals which fix the shielding screens within an enclosure degrade. Eventually,  
30 EMI leakage will necessitate refitting the entire enclosure. Because of the cost associated with each of these steps, there is a desire to avoid the use of shielding screens in the next generation shielded enclosure.

Summary of the Invention

Accordingly, a general object of the present invention is to provide an apparatus capable of housing electronic equipment that either generates or is susceptible to EMI radiation.

Another object of the present invention is to provide an apparatus capable of filtering EMI radiation.

Yet another object of the present invention is to provide an apparatus that filters the unwanted EMI without the use of shielding screens.

A further object of the present invention is to provide an economic means of transferring heat away from the apparatus of the present invention.

These and other objects are achieved by the present invention which, briefly described, is an apparatus that shields electronic equipment from electromagnetic radiation. The apparatus has a substantially enclosed cavity. Disposed within the cavity is the electronic equipment that is either sensitive to or responsible for generating unwanted EMI. Within each apparatus is a cooling air opening. Within the opening is an EMI filter. This filter has a dual function. First, it is dimensioned to filter and attenuate EMI radiations in the range of frequencies disruptive to electronic equipment operation. Secondly, the EMI shield is also a heat sink, designed to transfer heat away from the apparatus. In this way, the apparatus of the present invention successfully provides an EMI filter that doubles as a heat sink.

Brief Description of the Drawings

Fig. 1 is an example of the type shielding screen typically employed by the prior art;

5

Fig. 2 is an exploded view of the apparatus according to the invention; and

Fig. 3 is a perspective view of the dimensioned heat sink fins according to the invention.

Detailed Description of the Preferred Embodiment

15 A principal application of the present invention is the shielding of electronic equipment (e.g. printed circuit boards), especially those that utilize digital circuit technology. Fig. 2 is a exploded view of the apparatus according to the present invention. The apparatus is shown generally as 10 in Fig. 2. The apparatus has a centrally located cavity 12, which houses the electronic equipment 14 that is sensitive to or responsible for generating unwanted EMI radiation. According to 20 Fig. 2, at opposing ends of the apparatus are openings 16. Disposed within the openings are heat sink fins 18. When conductive gasket 20 is lowered into place such that it rests across the top surface of the heat sink fins 18, the gasket and heat sink fins form a waveguide array. The cover 22 is then lowered into place and secured to the apparatus. 30

The previously described gasket/heat sink combination also defines air passages 24 between 35 the heat sink fins 18 that permit the flow of forced cooled air to pass between the heat sink

fins and into the apparatus cavity 12. In this way, the cooling air removes the heat absorbed by the heat sink fins, as well as removing heat from electronic equipment 14 disposed within the cavity 5 12.

Fig. 3 is a perspective view of the dimensioned heat sink fins according to the present invention. Due to heat sink fin dimensioning, the rectangular air passages 24 act like an electromagnetic waveguide array that filters and attenuates a predetermined range of EMI radiation. The theoretical principals controlling the present invention are best expressed by equations (1) through (10) which describe a method of calculating waveguide dimensions whereby an EMI cutoff frequency and an EMI attenuation/absorption loss level can be established. 10 15

Assuming a known EMI radiation range of frequencies, and a desired EMI cut-off frequency, appropriate heat sink fin dimensions can be calculated per the following discussion. 20

First, determine the EMI wavelength for the maximum anticipated EMI frequency.

25 EMI wavelength;

$$\lambda_a = \frac{c}{f} \quad (1)$$

where c is approximately the speed of light and f is the maximum anticipated EMI frequency. 30

Recognizing that the EMI cut-off frequency for a particular waveguide is determined by the waveguide's longest cross-section, determine that cross-sectional dimension.

35

Cut-off frequency;

$$5 \quad f_c = \frac{1}{2a \sqrt{\mu_0 \epsilon_0}} \quad (2)$$

where  $\mu_0$  = permeability of free space =  $4\pi \times 10^{-7}$  H/M,

$\epsilon_0$  = permittivity of free space =  $8.85 \times 10^{-12}$  F/M, and

a = the longest dimension measured in meters.

10 Equation (2) reduces to:

$$f_c = \frac{5.9 \times 10^9}{a \text{ (inches)}} \quad (3)$$

$$a \text{ (inches)} = \frac{5.9 \times 10^9}{f_c} \quad (4)$$

15

where  $f_c$  is the desired EMI cut-off frequency, and a is the longest cross-sectional dimension of the heat sink fin measured in inches.

Next, determine the cut-off frequency  
20 wavelength for the desired EMI cut-off frequency.

Cut-off frequency wavelength;

$$25 \quad \lambda_c = \frac{c}{f_c} \quad (5)$$

where c is again the speed of light and  $f_c$  is the desired EMI cut-off frequency.

Finally, recognizing that the EMI attenuation  
30 for a particular waveguide is a function of the waveguide's depth, calculate the the appropriate depth dimension. The attenuation of a deep hole is given by:



Attenuation / Absorption Loss in dB;

$$\text{AdB} = 0.0046 \, d f \sqrt{\left(\frac{f_c}{f}\right)^2 - 1} \quad (6)$$

5

where AdB is the attenuation loss measured in decibels, d is the hole depth in centimeters, f is the EMI frequency measured in megahertz, and  $f_c$  is the cut-off frequency measured in megahertz.

10 Equation (6) may also be expressed as:

$$A \, \text{dB} = 54.5 \left(\frac{d}{\lambda_c}\right) \times \sqrt{1 - \left(\frac{\lambda_c}{\lambda_a}\right)^2} \quad (7)$$

$$d = \frac{A \, \text{dB} \left(\lambda_c\right)}{54.5 \times \sqrt{1 - \left(\frac{\lambda_c}{\lambda_a}\right)^2}} \quad (8)$$

15

where AdB is the attenuation loss measured in decibels,  $\lambda_c$  is the cut-off frequency wavelength,  $\lambda_a$  is the EMI wavelength, and d is the waveguide depth measured in inches. In theory, equations (6) reduce

20 to:

$$\text{AdB} = 27.3 \frac{d}{g} \text{ for square or rectangular holes} \quad (9)$$

$$\text{AdB} = 32 \frac{d}{g} \text{ for round holes} \quad (10)$$

25

where d = hole depth in centimeters, and g = largest transverse dimension of the hole in centimeters, and  $f_c/f > 3$  (corresponding to a 5 percent error).

Assuming a maximum EMI frequency of 1GHz, and an EMI cut-off frequency of 2.95GHz, appropriate heat sink fin dimensions and EMI attenuation can be calculated using the previous equations.

5 EMI wavelength;

$$\lambda_a = \frac{c}{f}, \text{ thus for } f = 1\text{GHz}, \lambda_a = 11.8 \text{ inches} \quad (1)$$

Cut-off frequency;

10

$$f_c = \frac{5.9 \times 10^9}{a \text{ (inches)}}, \text{ thus for } f_c = 2.95\text{GHz}, a = 2 \text{ inches} \quad (3)$$

Cut-off frequency wavelength;

15

$$\lambda_c = \frac{c}{f_c}, \text{ thus for } f_c = 2.95\text{GHz}, \lambda_c = 4 \text{ inches} \quad (4)$$

Attenuation / Absorption loss in dB;

$$\text{AdB} = 54.5 \left( \frac{d}{\lambda_c} \right) \times \sqrt{1 - \left( \frac{\lambda_c}{\lambda_a} \right)^2}, \text{ thus for } d=4 \text{ in, AdB}=51\text{dB} \quad (7)$$

20 With a depth  $d=4$  inches, estimated absorption losses for various maximum levels of EMI radiation are as follows: at 1GHz,  $\text{AdB}=51\text{dB}$ ; at 2GHz,  $\text{AdB}=40\text{dB}$ ; and at 3GHz,  $\text{AdB}=10\text{dB}$ . Assuming these levels of attenuation are insufficient for  
 25 particular applications, varying the depth  $d$  will alter the attenuation provided by the waveguide array. The larger the depth  $d$ , the greater the attenuation achieved.

30 While a particular embodiment of the invention has been shown and described herein, it will be obvious that additional modifications may be made without departing from the spirit of this invention.

Claims

What is claimed is:

5           1. An apparatus for shielding electromagnetic radiation comprising:

          a substantially enclosed cavity having at least one opening;

10

          a noise source disposed within the substantially enclosed cavity; and

          blocking means disposed at the opening for  
15 blocking electromagnetic radiation and for transferring heat away from the enclosed cavity.

2. The apparatus of claim 1 wherein the blocking means is a frequency selective waveguide array.

5

3. The apparatus of claim 2 wherein the frequency selective waveguide array is dimensioned to establish a cutoff frequency and to determine levels of electromagnetic attenuation.

10

4. The apparatus of claim 2 wherein the frequency selective waveguide array is formed from heat sink fins, dimensioned to provide a heat transfer.

15

5. An apparatus for shielding electromagnetic radiation comprising:

5 a substantially enclosed cavity having an opening;

a noise sensitive device disposed within the substantially enclosed cavity; and

10 a waveguide array disposed at the opening for blocking electromagnetic radiation and for transferring heat away from the enclosed cavity.

6. The apparatus of claim 5 wherein the waveguide array is formed from a heat sink.

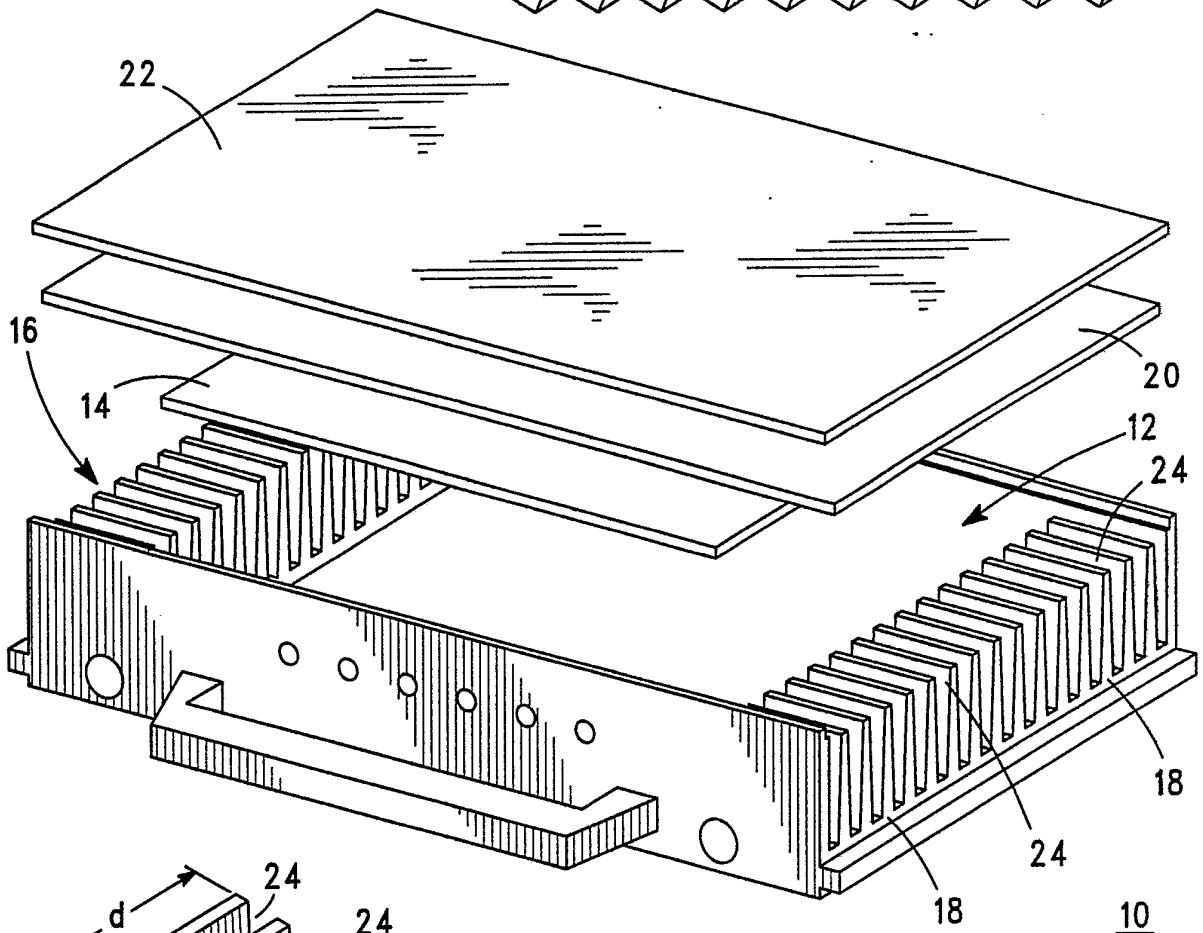
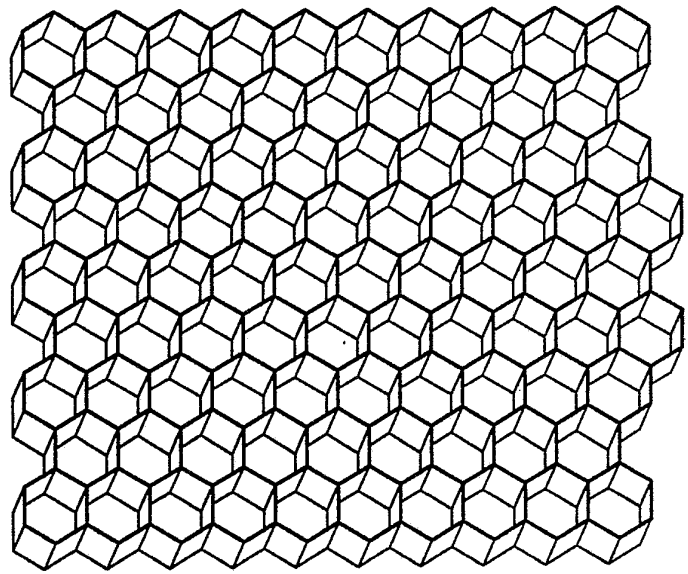
5           7. The apparatus of claim 6 wherein the heat sink is dimensioned to establish a cutoff frequency and to determine levels of radio emission attenuation.

10

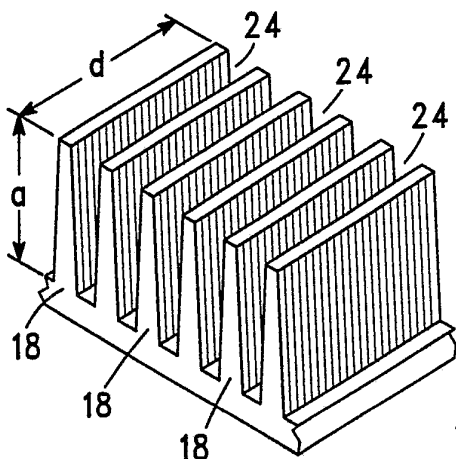
8. The apparatus of claim 6 wherein the heat sink is dimensioned to be frequency selective within a range of radio emission frequencies.

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**FIG. 1**  
— PRIOR ART —



**FIG. 2**



**FIG. 3**

**SUBSTITUTE SHEET**

# INTERNATIONAL SEARCH REPORT

International Application No. **PCT/US91/02431**

<b>I. CLASSIFICATION OF SUBJECT MATTER</b> (if several classification symbols apply, indicate all) <sup>6</sup>		
According to International Patent Classification (IPC) or to both National Classification and IPC IPC(5) H05K 9/00 H01P 1/22 US CL: 174/35R; 333/81B; 361/424		
<b>II. FIELDS SEARCHED</b>		
Minimum Documentation Searched <sup>7</sup>		
Classification System	Classification Symbols	
U.S. CL.	174/35R, 35GC, 16.1, 16.3 333/81R, 81B, 208.211, 248, 252 219/10.55D, 10.55R; 361/424	
Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in the Fields Searched <sup>8</sup>		
<b>III. DOCUMENTS CONSIDERED TO BE RELEVANT</b> <sup>9</sup>		
Category *	Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup>	Relevant to Claim No. <sup>13</sup>
Y	U.S. A 3,767,884 (OSEPCHUK ET AL) 23 OCTOBER 1973 (See fig. 1)	1-8
Y	U.S. A 4,053,731 (FOESTIVER) 11 OCTOBER 1977 (See fig. 1)	1-8
<p>* Special categories of cited documents: <sup>10</sup></p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"&amp;" document member of the same patent family</p>		
<b>IV. CERTIFICATION</b>		
Date of the Actual Completion of the International Search		Date of Mailing of this International Search Report
18 JULY 1991		<b>09 AUG 1991</b>
International Searching Authority		Signature of Authorized Officer
ISA/US		<i>Bot L. Ledynh</i> BOT L. LEDYNH