### (12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

## (19) World Intellectual Property Organization International Bureau





(43) International Publication Date 4 July 2002 (04.07.2002)

# **PCT**

# (10) International Publication Number WO 02/051477 A1

(51) International Patent Classification7: A61M 5/24. 5/315, 5/172, 5/145, 5/142

(21) International Application Number: PCT/GB01/05709

(22) International Filing Date:

21 December 2001 (21.12.2001)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

0031466.6

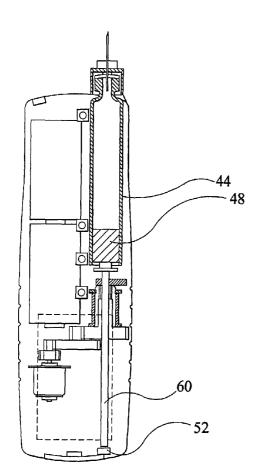
22 December 2000 (22.12.2000)

(71) Applicant (for all designated States except US): DCA **DESIGN INTERNATIONAL LIMITED** [GB/GB]; 19 Church Street, Warwick CV34 4AB (GB).

- (72) Inventors; and
- (75) Inventors/Applicants (for US only): LANGLEY, Christopher, Nigel [GB/GB]; 120 Leicester Lane, Leamington Spa, Warwickshire CV34 4AB (GB). DAY, Shane, Alistair [GB/GB]; 9 Brese Avenue, Warwick CV34 5TS (GB). VEASEY, Robert, Frederick [GB/GB]; 35 Hitchman Road, Learnington Spa CV31 1QH (GB). WOOLSTON, Robert [GB/GB]; 3 Chestnut Grove, Moreton Morrell, Warwick CV35 9DG (GB).
- (74) Agents: MOFFAT, John, Andrew et al.; Lewis & Taylor, 5 The Quadrant, Coventry CV1 2EL (GB).
- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG,

[Continued on next page]

(54) Title: PEN-TYPE INJECTOR HAVING AN ELECTRONIC CONTROL UNIT



(57) Abstract: An injection device for injection of a dose of a medicament from a medicament cartridge is disclosed. The injection device of the present invention comprises a main housing 4 having an electronic control unit, a cover detection switch 28, and a display panel 10 operative selectively to indicate to the user information relevant to the user. The display panel 10 has an active state in which information is provided to the user and an inactive state in which no information is provided. A cap or cover 6 adapted to cover one end of the main housing 4 of the injection device is provided. When the presence of the cap 6 is detected, the electronic control unit switches the display panel 10 to an active state for a predetermined period of time.





- SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZM, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

#### **Declaration under Rule 4.17:**

— of inventorship (Rule 4.17(iv)) for US only

#### Published:

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

PEN-TYPE INJECTOR HAVING AN ELECTRONIC CONTROL UNIT

The present invention relates to improvements in an injection device, and in particular to improvements in a portable injection device for dispensing controlled quantities of a medicament.

Typically such injection devices are used by those suffering from diabetes to administer a dose of insulin or insulin-type medicine to themselves. It will be understood that such injection devices are suitable for the injection of other medicines.

10

15

20

25

5

At one time, such doses were administered by use of a disposable syringe; the syringe first being filled from a separate phial or other container and then used to inject the dose. However, there were a number of difficulties in such an arrangement. In particular, such an arrangement was not suitable for the infirm. For others, the social stigma associated with such syringes made their public use problematic.

To overcome these difficulties a number of so-called pen-type injectors have been developed. These devices are small, being capable of being carried in a jacket pocket or the like and allow a number of doses to be obtained from a cartridge or ampoule contained within the injector. The present invention has particular application to such pen-type injectors.

While such pen-type injectors are a considerable improvement upon disposable hypodermic syringes, problems nevertheless remain. It is an advantage of the present invention that it eliminates, or at least substantially reduces such problems. The present invention also provides for improved ease of use and improved interaction with a user.

The invention will now be described, by way of example only, with reference to the accompanying drawings; in which:-

}

2

Figure 1 shows a plan view of a pen-type injector in accordance with the present invention;

Figure 2 shows a similar view to Figure 1 with an end cap of the injector omitted;

5

10

15

20

Figure 3 shows a cross-sectional view of the injector of Figures 1 and 2; and Figure 3A shows a view similar to that of Figure 3 with a filled cartridge in the injector.

Referring first to Figures 1 to 3, there can be seen a pen-type injector 2 in accordance with the present invention. The injector 2 comprises a main housing 4 to which is releasably secured an end cap or cover 6.

At a first end of the main housing 4 there is provided a control panel region 8. This region includes a display panel 10, typically a LCD display, and a first dose button 12 and a second dose button 14, the first and second dose buttons being operated to increase or decrease a dose of medicament to be delivered. The control panel region 10 in the illustrated embodiment also includes an arm button 16.

At the first end of the main housing there is also provided a dispense button 18. Preferably, when not depressed, the dispense button 18 is flush with the main housing 4.

Along a longitudinal axis of the injector 2, to each side of the control panel region 10 are provided a number of grooves or recesses 20. These aid in the gripping of the injector 2 by a user.

At a second end of the main housing 4 a needle unit 22 is releasably secured to the main housing. The second end of the main housing 4 is also provided with a shaped portion 24.

WO 02/051477

3

PCT/GB01/05709

In use a cartridge 40 or ampoule of medicament is stored in the housing 4 behind the shaped portion 24. For preference, the shaped portion is transparent to permit the cartridge 40 to be seen by a user.

A primer button 26 is also provided on the second end of the housing 4. It will be understood that when the end cap 6 is in place over the second end of the housing, it will not be possible inadvertently to depress the primer button 26 or to be pricked by the needle unit 22. A cover detection switch 28 may also be included at the second end of the main housing 4 to detect whether the end cap or cover 6 is in place or not.

10

In Figure 3, there can be seen a priming contact 30, an arm contact 32, a first dose contact 34 and a second dose contact 36 corresponding to the respective buttons. A dispense contact 19 corresponding to the dispense button 18 is also shown.

With reference to Figure 3 it may be seen that there is provided a suitable location for a power source 38 such as a battery or batteries. There is also a suitable region in which a cartridge 40 or ampoule of medicament is to be located. This region may be accessed by way of the removable shaped portion 24 of the main housing 4 to allow for replacement of the cartridge 40 or ampoule as required by the user.

20

In a third region of the main housing 4 there is provided a drive mechanism 42 which operates from the power source 38 and acts upon the cartridge 40 or ampoule of medicament.

The cartridge 40 or ampoule comprises a container 44 or sleeve closed at one end by a cover 46 at a head end thereof, and sealed at the other by a movable bung 48 or stopper. When in position, the needle unit 22 pierces the cover 46 and movement of the bung 48 towards the cover 46 will cause the medicament contained within the cartridge 40 or

4

ampoule to be expelled. The cartridge may be a 3ml cartridge in accordance with ISO/FDIS 11608 Part 3, or any other suitable cartridge to suit the injector.

Movement of the bung 48 or stopper is caused by movement of a piston or plunger 50 forming a part of the drive mechanism 42. The piston or plunger 50 is movable between a first fully withdrawn position (not shown) which allows for the replacement of the cartridge 40 or ampoule and a second fully extended portion in which as much medicament as possible has been expelled from the cartridge 40 or ampoule. An end stop switch 52 may be provided in the main housing 4 to detect when the piston 50 is in the fully withdrawn position. Tripping of the switch end stop 52 may release a catch or other fastening device to allow access to the main housing 4 for replacement of the cartridge 40.

10

15

The drive mechanism 42 is operated by a motor 54 under the control of an electronic control unit (not shown). The motor 54 should be reversible in order to allow the piston 50 to be moved between the first and second positions. In Figure 3, the motor 54 can be seen to drive the piston 50 by way of a gear train 42, such that rotation of a third rotor 58 causes the piston 50 to be moved in relation to the third rotor 58.

20 Preferably, the user can feel the vibration of the motor 54 and the associated drive mechanism 42 and/or hear them in operation. In this way an added degree of confidence in the fact of the operation of the injector 2 is provided to the user.

The functionality of a pen-type injector in accordance with the present invention will now be described, in particular with reference to Figures 1, 2 and 3.

The injector 2 is provided with an electronic control unit. The electronic control unit is coupled both to the drive mechanism and a user interface. The user interface includes the display panel 10 as well as the user operable buttons (and associated contacts). The

5

electronic control unit is microprocessor based. Either volatile or non-volatile memory may be used for storage of 'dose history' and patient specific information.

The electronic control unit is preferably powered from the injector power source 38.

5

10

The injector 2 preferably also includes a port for communication between the electronic control unit and an external apparatus such as a personal computer.

The injector 2 also has a priming detection facility, (such as a tilt switch or accelerometer) to identify when the injector 2 is inverted. On detection of an inverted position (needle up) the injector 2 will automatically change state to be ready for priming. Priming may be initiated by depression of the primer button 26 to cause a fixed small dispense action. The electronic control unit may cause a speaker to sound when the primer button 26 is depressed.

15

25

The primer button 26 is inactive at all other times. When the primer button 26 is active, all other buttons in the control panel region are inactive, that is those buttons which are to be used to set or dispense a dose.

The electronic control unit may cause a speaker to sound when the arm button 16 is depressed for a sufficient period of time to provide audible feedback for the user.

The function of the arm button 16 is to make the dose button 18 active. The arm button is preferably held down for a predetermined period of time before the injector 2 becomes armed. The armed status may additionally be shown on the display panel 10. The functionality of the arm button is preferably linked to the cover detection switch 28 such that the arm button 16 will only function to arm the injector 2 when the cover 6 is not present.

6

Additionally, in a preferred embodiment, a clock within the electronic control unit will detect whether the dose button 18 has been pressed within a specified time interval following arming of the injector 2. If the dose button 18 has not been depressed within the specified time interval the electronic control unit will disarm the injector 2. Alternatively, if the arm button is depressed a second time within a predetermined time period by the user, the injector will be disabled.

In an alternative embodiment, the dose button 18 may function as both a prime button and the dose button. When the priming detector is actuated, by the injector 2 being oriented needle up, the dose button 18 would change function to that of the prime button of the previous embodiment.

The buttons of the injector 2 are preferably tactile in nature to provide sensory feedback to the user.

15

20

25

10

5

The display panel 10 is typically an LCD display and will provide alphanumeric and graphical information relating to the operation of the device. The display panel 10 preferably indicates the selected dose quantity, the previous dose quantity and the time elapsed since the previous dose was administered. Typically, the time elapsed since the previous dose is limited to a time period within the preceding 48 hours, though other time periods are possible.

Additional information which may be displayed includes:

- that the injector is armed and ready to dispense (graphical)
- that the injector is dispensing (graphical)
- that the injector has dispensed the selected dose and that a user should wait before removing the needle from their body
  - that this waiting period has elapsed

7

- the dose history, typically for the last 48 hours, in terms of the dosage taken and the elapsed time between doses

- the quantity of medicament remaining in the cartridge, preferably in terms of dosage units of the medicament.
- that the device is in the priming position (either in addition to or instead of the acoustic indication noted above)
  - the speaker volume setting, for example high, low or muted.
- that the injector is nearing the end of its life (for example a battery power level indication graphical or countdown in terms of the number of days or complete operating cycles to a predetermined expiry of the product life alphanumeric).
  - that the needle is probably blocked
  - that replacement of the cartridge 40 is in progress
  - that the dose selected is the maximum available in the cartridge 40
  - that the maximum dose available is less than the dose expected.

15

20

25

10

5

The display panel 10 may offer a user a choice of language options as appropriate for the market and/or user. The text displayed may include that noted above and/or further information. The language option may be pre-programmed or selectable by a user. The user may preferably select the language option by means of a menu provided on the display panel 10.

The currently selected dose value, the previously used dose value and the time (in hours) since the previous dose was dispensed, may all be shown clearly at the same time, in large, easy-to-read characters on the display. Preferably, the display is also provided with a backlight.

The display 10 preferably provides a graphical indication that the selected dose is being dispensed. This may be achieved, for example, as either an animated graphic or a countdown (or a combination of both).

8

The control buttons have a number of functions. The dose buttons 12,14 allow a user to select a desired dosage. The dose arm button 16 allows a user to confirm selection of a desired dosage. The first dose button can increment the dosage level and the second dose button can decrement the dosage level. The dose dialling buttons 12,14 may be pressed down (and held for a short time, 1-2 seconds) to re-set a dose value to zero. The user can then dial up (or down) in single (or half) increments.

5

10

15

20

25

The dose dialling buttons 12,14 are intended to be pressed once for a single (or half) increment in the selected dose value. In an alternative embodiment, pressing and holding one of the buttons will cause the dose value to start to scroll (up or down) in order to change the dose size more rapidly.

The dispense button 18 allows a user to initiate dispensing of the dosage. The primer button 26 dispenses a unit of dosage from the cartridge 40. Thus, if any air is trapped in the injector 2 this can be expelled by use of the primer button 26. A door release catch is provided to allow access to the cartridge 40.

The injector 2 may also be provided with an audible alarm, such as a piezo-electric sounder or an electronically-operated sounder. The device may have a switch or means of setting the volume level of the sounder and/or to turn it off. This may be used to provide an acoustic confirmation of depression of one or more of the buttons, as an alarm indicator to warn the user that there is a limited quantity of medicament in the cartridge 40, as an alarm indicator to warn a user that the injector is nearing the end of its life (battery drainage indicator), to indicate that the desired dosage has been delivered and that a predetermined time has elapsed since completion of a dispense operation and a user is now free to withdraw the needle and/or other conditions as may be required. The acoustic signal may be provided in addition to a visual signal provided on the display panel 10 and in addition to any feedback provided by the tactile nature of the buttons.

9

Since the cartridge 40 is of a standard size, each cartridge 40 will be emptied by an identical travel of the plunger driven by the drive mechanism. Once the plunger 50 is in the fully extended position, the cartridge 40 is known to be empty and an indication of this will be provided to the user.

5

10

15

20

When the door release catch is operated for the emptied cartridge 40 to be removed the drive mechanism 42 is operated to reverse a lead screw 60 to withdraw the plunger 50 until the lead screw 60 strikes the end stop switch 52 which is provided at a known reference point.

When a new cartridge 40 is detected, for example by way of a contact switch (not shown), and the door release catch closed, the electronic control unit advances the lead screw 60 until the plunger 50 strikes the cartridge bung 48. This may conveniently be done by fitting a micro-switch 51, such as a dome contact switch to a free end of the plunger 50.

Since the exact position of the bung 48 can be calculated with reference to the rear end stop 52, a number of units of medicament stored within the cartridge 40 can be determined. Thus a half empty or incorrectly filled cartridge 40 may be used with the injector 2 of the present invention. The electronic control unit having determined the number of units stored within the cartridge preferably will not allow a dosage larger than that remaining to be dialled up for dispense.

The electronic control unit preferably stores a preferred dosage. The preferred dosage may correspond to the previous dosage administered, or may be a dosage preset by way of a data port as part of a regimen of the medicament to be taken as directed or prescribed by a doctor, general practitioner or nurse. This has as an advantage that a user can dial up

10

or down as required from the preferred dosage. This is more convenient than dialling up from a zero value.

The electronic control unit is preferably provided with means to enable the display panel 10 to power down. With the cover 6 in place, detectable by the electronic control unit by way of a cover detection switch 28, the electronic control unit may activate the display panel 10, upon depression of one of the buttons, to show for a limited period, say 4 seconds, selected information regarding the use of the injector 2. The selected information may include one or more of the quantity of the previous dose, the time elapsed since the previous dose and/or the amount of medicament remaining in the cartridge 40.

5

10

15

20

25

Preferably the drive system will allow for part units of a medicament to be dispensed (for example a half unit). The electronic control unit can then function in either a full unit mode or part unit mode. A switch (or a combined depression of existing switches) may be actuated to move between modes.

The maximum size (quantity of medicament) of any particular dispense is not limited by the drive system. Two characters on the display will provide a limit of 99 units. However, if three characters are displayed it is quite feasible to select and dispense up to the capacity of a full cartridge – 300 units.

The product life is preferably limited to a pre-determined time span or total number of units of medicament dispensed, whichever occurs first. The device will monitor the rate of use of the medicament and, by calculation and using the pre-determined number of units for product life, will be able to predict when the end of product life is due. In this way, the device can display and countdown to end of the product life.

PCT/GB01/05709 WO 02/051477

11

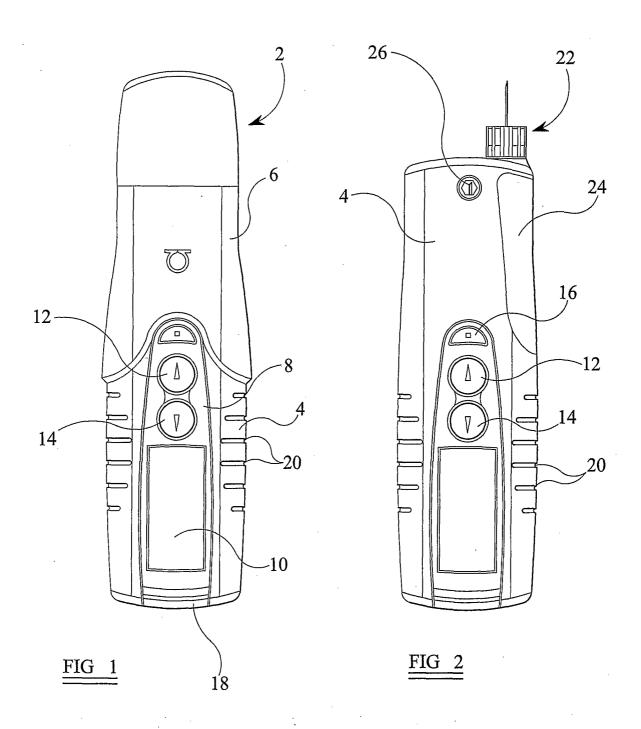
## **CLAIMS**

An injection device for injection of a dose of a medicament from a medicament 1 cartridge, the injection device comprising a main housing 4 having an electronic control unit, a cover detection switch 28, a display panel 10 operative selectively to indicate to the user information relevant to the user, the display panel 10 having an active state in which information is provided to the user and an inactive state in which no information is provided, and a cap or cover 6 adapted to cover one end of the main housing 4 of the injection device, the cover detection switch 28 being adapted to detect the presence of the cap or cover 6, the electronic control unit 10 being adapted to control whether the display panel 10 is in the active state or the inactive state, in which when the cover detection switch 28 is actuated and the presence of the cap 6 is detected, the electronic control unit switches the display panel 10 to an active state for a predetermined period of time.

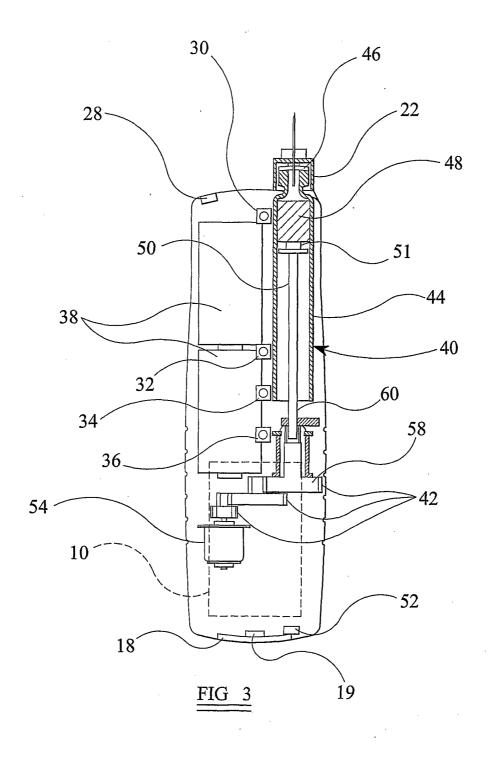
15

5

- 2 An injection device according to claim 1 characterised in that the predetermined time is 4 seconds.
- An injection device according to either claim 1 or claim 2 characterised in that the 3 information provided to the user comprises one or more of the following: 20 quantity of a preceding dose a time elapsed since the preceding dose; and an amount of medicament remaining in the cartridge.



2 / 3



3 / 3

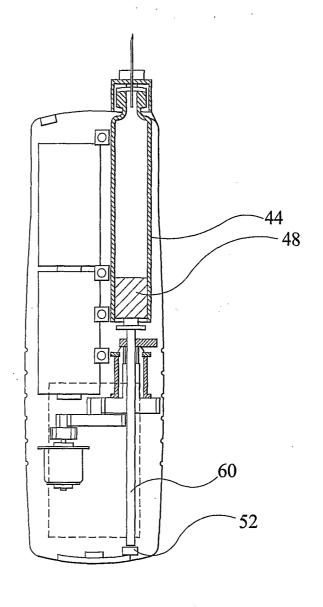


FIG 3A

## INTERNATIONAL SEARCH REPORT

Inter nal Application No PCT/GB 01/05709

A. CLASSIFICATION OF SUBJECT MATTER IPC 7 A61M5/24 A61M A61M5/315 A61M5/172 A61M5/145 A61M5/142 According to International Patent Classification (IPC) or to both national classification and IPC **B. FIELDS SEARCHED** Minimum documentation searched (classification system followed by classification symbols) IPC 7 A61M Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practical, search terms used) EPO-Internal C. DOCUMENTS CONSIDERED TO BE RELEVANT Category Citation of document, with indication, where appropriate, of the relevant passages Relevant to claim No. Α WO 97 33638 A (KLITMOSE LARS PETER 1 - 3; NOVONORDISK AS (DK); HANSEN HENRIK EGESBORG () 18 September 1997 (1997-09-18) the whole document WO 99 59657 A (NOVONORDISK AS) Α 1 - 325 November 1999 (1999-11-25) abstract page 5, line 34 -page 6, line 15; figure 1 US 5 637 093 A (HYMAN OSCAR ET AL) Α 1 - 310 June 1997 (1997-06-10) abstract US 5 766 155 A (HYMAN OSCAR ET AL) Α 1 - 316 June 1998 (1998-06-16) abstract Further documents are listed in the continuation of box C. Patent family members are listed in annex. ° Special categories of cited documents: "T" later document published after the international filing date or priority date and not in conflict with the application but "A" document defining the general state of the art which is not considered to be of particular relevance cited to understand the principle or theory underlying the "E" earlier document but published on or after the international \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to filing date \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention citation or other special reason (as specified) cannot be considered to involve an inventive step when the document is combined with one or more other such docu-"O" document referring to an oral disclosure, use, exhibition or ments, such combination being obvious to a person skilled in the art. other means "P" document published prior to the international filing date but later than the priority date claimed "&" document member of the same patent family Date of the actual completion of the international search Date of mailing of the international search report 29 May 2002 06/06/2002 Name and mailing address of the ISA Authorized officer European Patent Office, P.B. 5818 Patentlaan 2 NL – 2280 HV Rijswijk Tel. (+31–70) 340–2040, Tx. 31 651 epo nl, Fax: (+31–70) 340–3016 Nielsen, M

## INTERNATIONAL SEARCH REPORT

... rmation on patent family members

Inter nal Application No
PCT/GB 01/05709

Patent document cited in search report		Publication date		Patent family member(s)	Publication date
WO 9733638	A	18-09-1997	AU	718205 B2	13-04-2000
	,,	10 00 1007	ΑÜ	2021697 A	01-10-1997
			BR	9707986 A	27-07-1999
			CA	2248053 A1	18-09-1997
			CN	1213320 A	07-04-1999
			CZ	9802769 A3	13-01-1999
			WO	9733638 A1	18-09-1997
			ΕP	0918554 A1	02-06-1999
			JP	2000506054 T	23-05-2000
			NO	984187 A	02-11-1998
			PL	328653 A1	15-02-1999
			US	6277098 B1	21-08-2001
WO 9959657	A	25-11-1999	AU	3810499 A	06-12-1999
			BR	9911051 A	06-02-2001
			CN	1301184 T	27-06-2001
			WO	9959657 A1	25-11-1999
			EP	1079876 A1	07-03-2001
			HU	0102074 A2	28-10-2001
			NO	20005815 A	16-01-2001
			PL	344305 A1	22-10-2001
			US	6302855 B1	16-10-2001
			US	2002013522 A1	31-01-2002
US 5637093	Α	10-06-1997	US	5766155 A	16-06-1998
US 5766155	Α	16-06-1998	US	5637093 A	10-06-1997