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(54) **ELECTROSURGICAL GENERATOR AND METHOD FOR CROSS-CHECKING OUTPUT POWER**

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(52) **U.S. Cl.** ..... **128/898**; 606/34

(58) **Field of Search** ..... 606/32-35, 37-41

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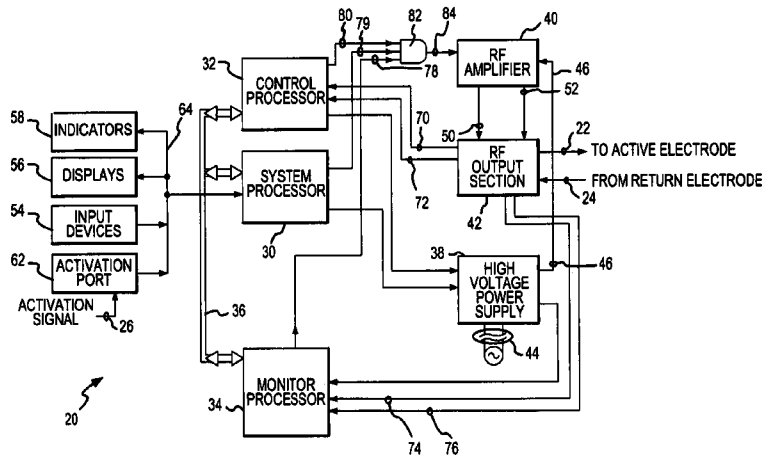
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(57) **ABSTRACT**

The functionality and the output power delivered are evaluated in an electrosurgical generator by calculating first and second values related to the output power delivered by using separate first and second computations. The two calculated values are compared, and an error condition is indicated when the two values differ by a predetermined amount. The separate computations, coupled with the other separate activities of measuring, averaging and sampling the output current and voltage measurements, serve as an effective basis for detecting errors caused by malfunctions or equipment failure. The error condition may be used to as a basis to terminate the output power delivery or indicate the error.

**40 Claims, 5 Drawing Sheets**



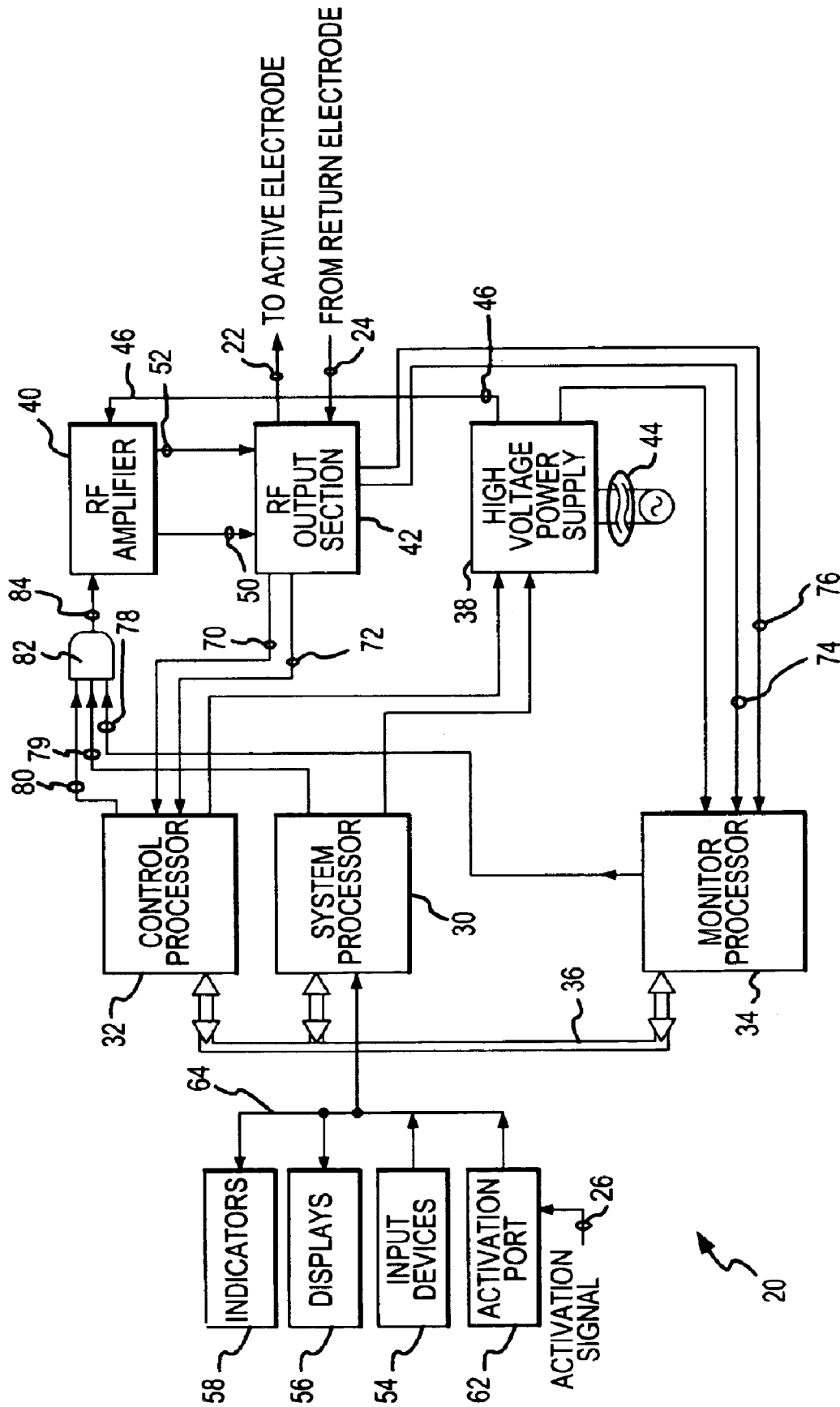


FIG.1

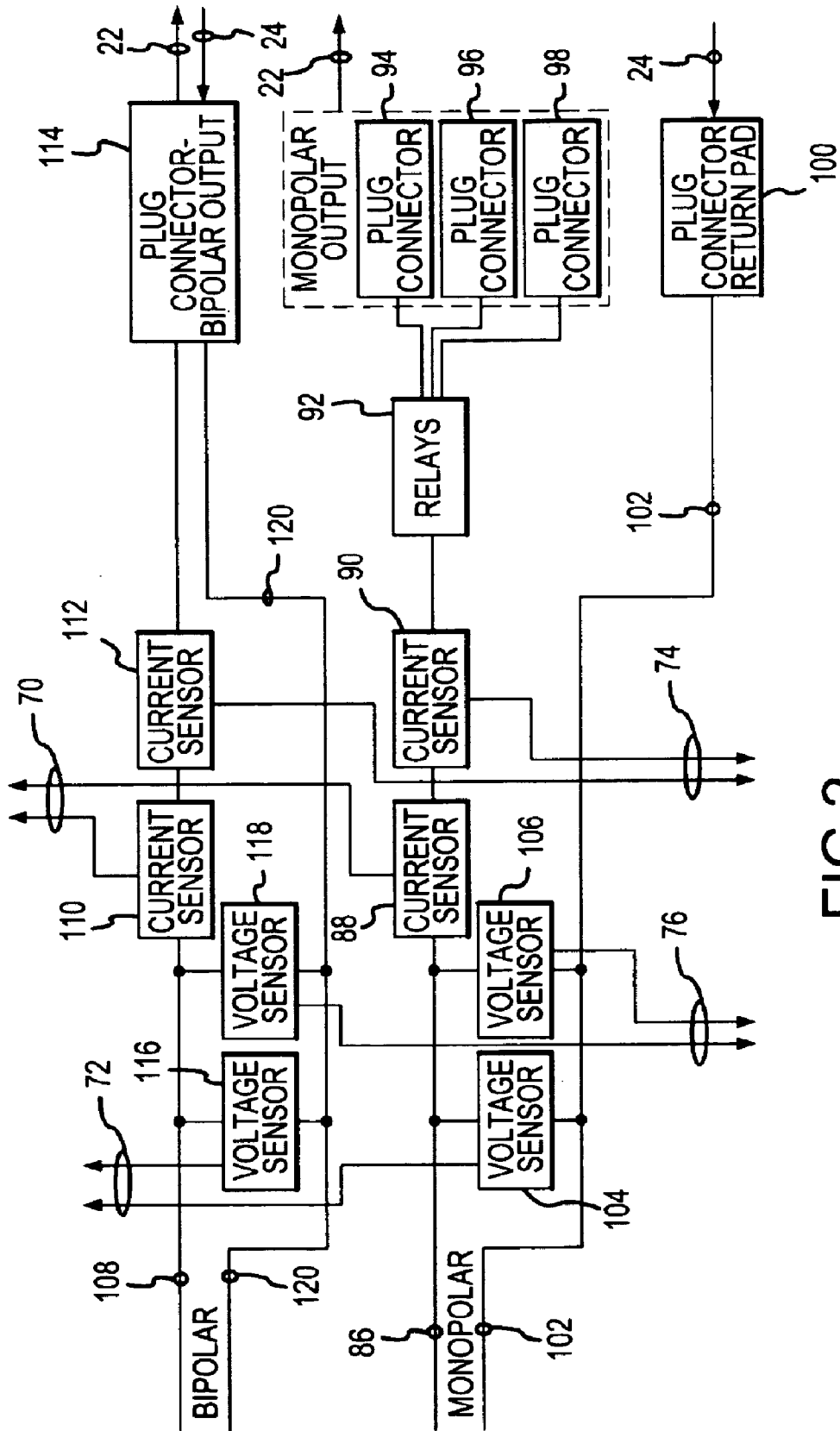


FIG.2

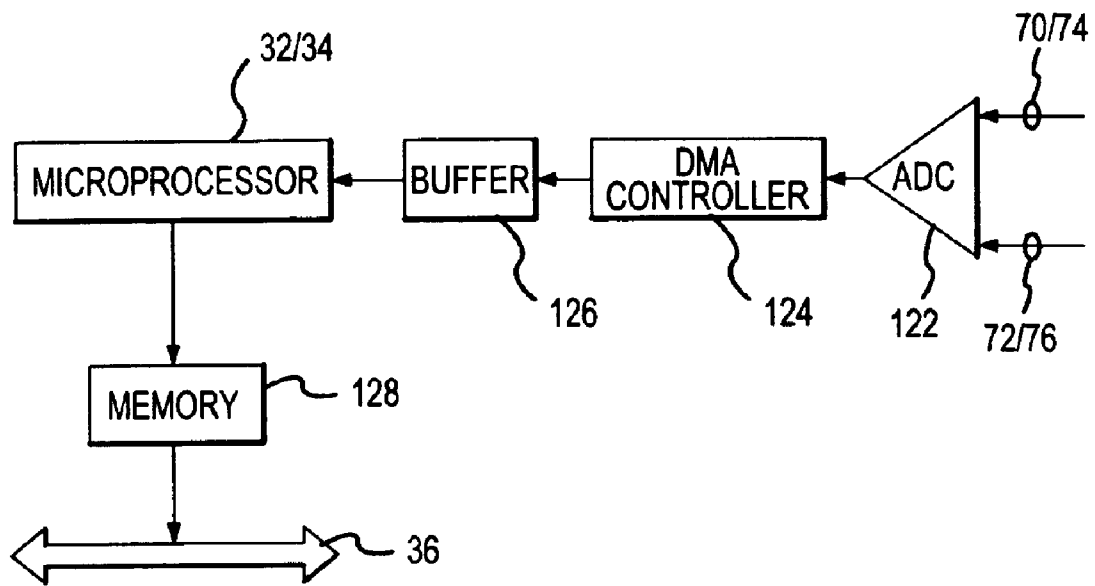


FIG.3

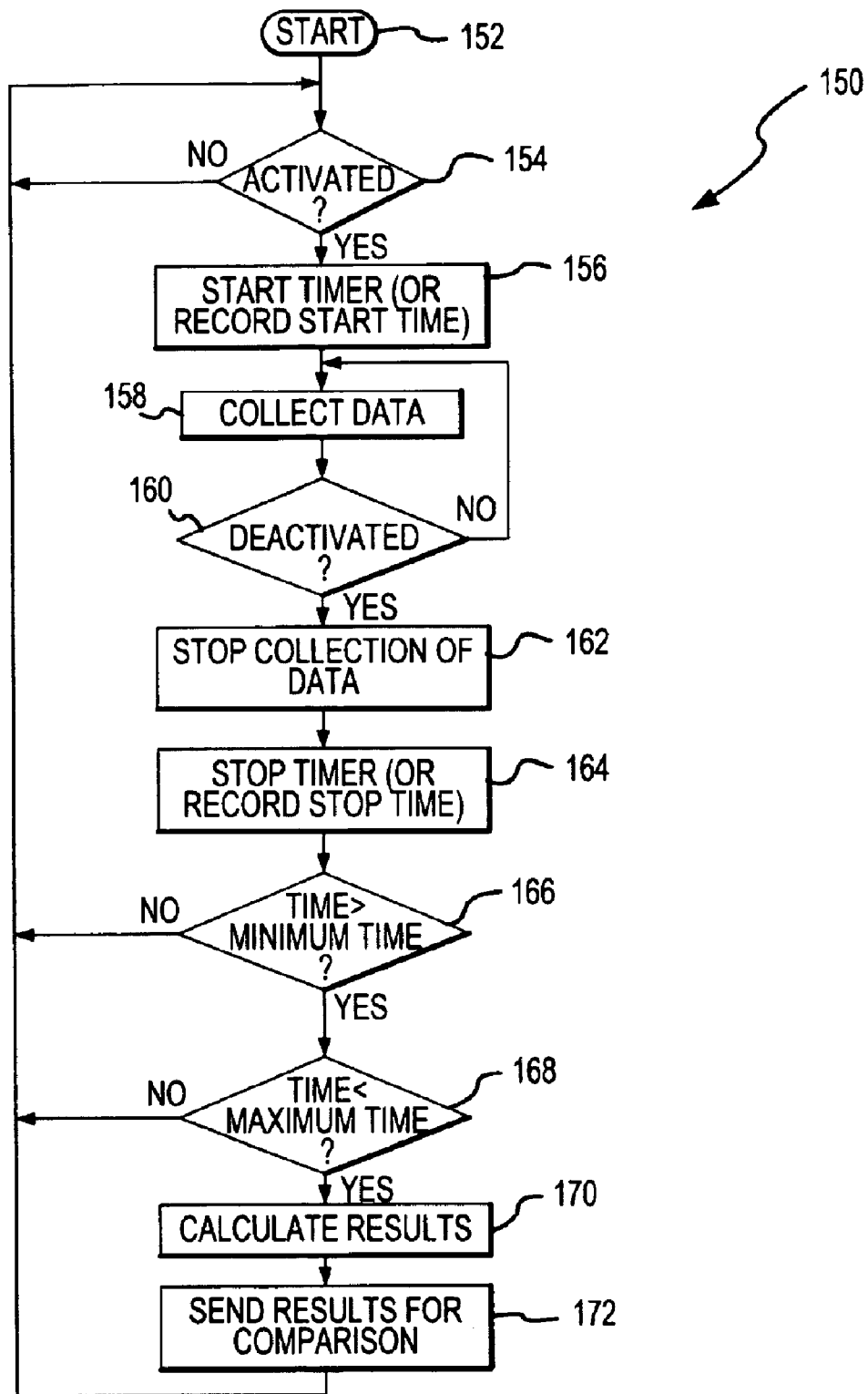


FIG.4

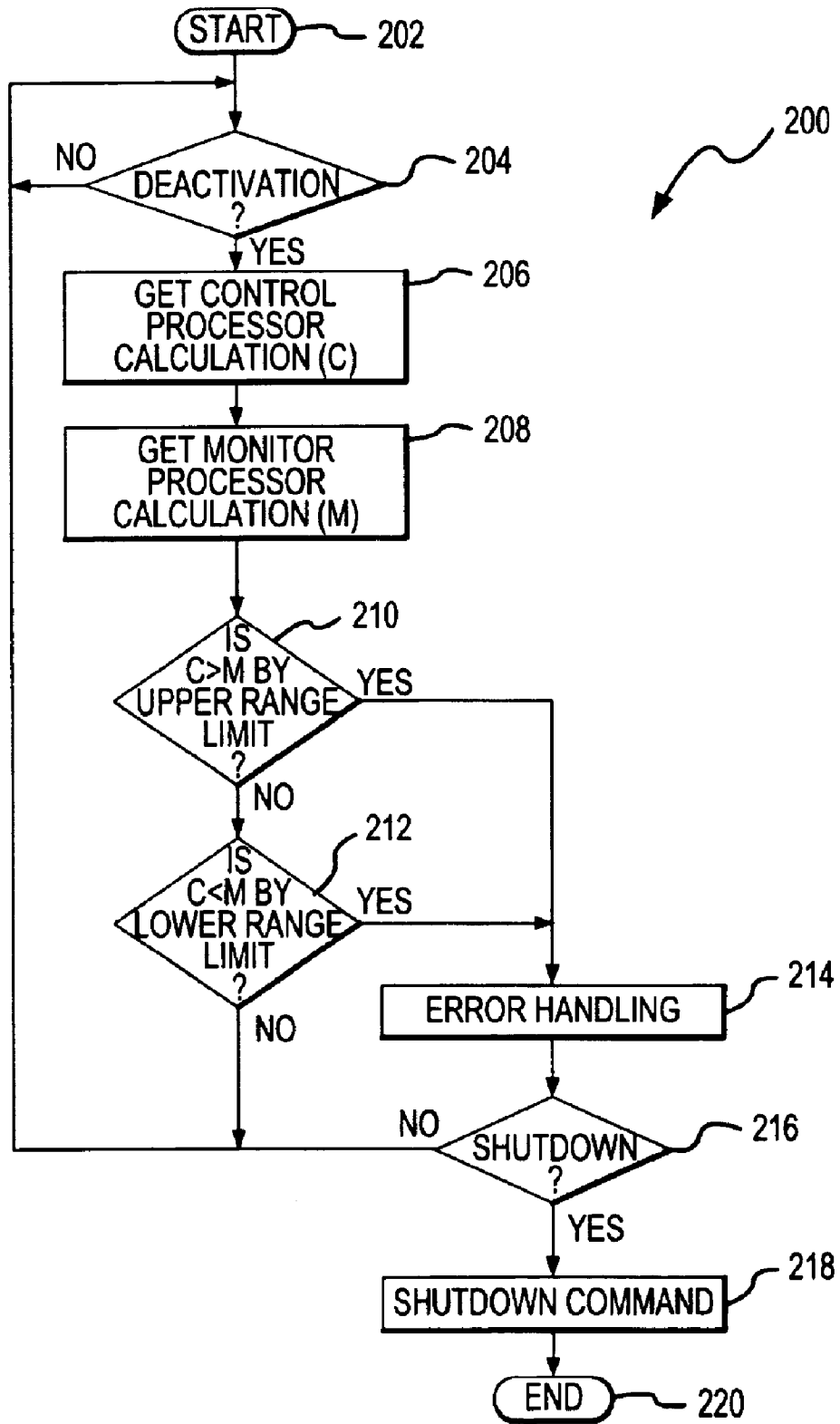


FIG.5

1

## ELECTROSURGICAL GENERATOR AND METHOD FOR CROSS-CHECKING OUTPUT POWER

### CROSS REFERENCE TO RELATED INVENTION

This invention and application is related to an invention for an Electrosurgical Generator and Method with Multiple Semi-Autonomously Executable Functions, described in U.S. patent application Ser. No. 10/299,953, and for an Electrosurgical Generator and Method for Cross Checking Mode Functionality, described in U.S. patent application Ser. No. 10/299,952, both of which are filed concurrently herewith and assigned to the assignee of the present invention. The subject matter of these concurrently filed application is incorporated herein by this reference.

### FIELD OF THE INVENTION

This invention generally relates to electrosurgery. More specifically, the invention relates to a new and improved electrosurgical generator and method that cross-checks the amount of the electrosurgical power delivered to assure proper functionality of the electrosurgical generator and that the desired amount of electrosurgical power is delivered during the surgical procedure.

### BACKGROUND OF THE INVENTION

Electrosurgery involves applying relatively high voltage, radio frequency (RF) electrical power to tissue of a patient undergoing surgery, for the purpose of cutting the tissue, coagulating or stopping blood or fluid flow from the tissue, or cutting or coagulating the tissue simultaneously. The high voltage, RF electrical power is created by an electrosurgical generator, and the electrical power from the generator is applied to the tissue from an active electrode manipulated by a surgeon during the surgical procedure.

The amount and characteristics of the electrosurgical energy delivered to the patient is determined by the surgeon and depends on the type of procedure, among other things. For example, cutting is achieved by delivering a continuous RF signal ranging up to relatively high power, for example 300 watts. Coagulation is achieved by rapidly switching the RF power on and off in a duty cycle. The coagulation duty cycle has a frequency considerably lower than the RF power delivered. However, during the on-time of each duty cycle, the electrical power is delivered at the RF frequency. The power delivered during coagulation is typically in the neighborhood of approximately 40–80 watts, although power delivery as low as 10 watts or as high as 110 watts may be required. Simultaneous cutting and coagulation, which is also known as a “blend” mode of operation, also involves a duty cycle delivery of RF energy, but the on-time of the duty cycle during blend is greater than the on-time of the duty cycle during coagulation. Power is delivered at the RF frequency because the frequency is high enough to avoid nerve stimulation, thereby allowing the tissue to remain somewhat stationary without contractions caused by the electrical energy.

The electrosurgical generator must also have the capability to deliver a relatively wide range of power. The resistance or impedance of the tissue may change radically from point-to-point during the procedure, thereby increasing the power regulation requirements for the electrosurgical generator. For example, a highly fluid-perfused tissue, such as the liver, may exhibit a resistance or impedance in the

2

neighborhood of 40 ohms. Other tissue, such as the marrow of bone, may have an impedance in the neighborhood of 900 ohms. The fat or adipose content of the tissue will increase its impedance. The variable characteristics of the tissue require the electrosurgical generator to be able to deliver effective amounts of power into all types of these tissues, on virtually an instantaneously changing basis as the surgeon moves through and works with the different types of tissues at the surgical site.

These wide variations in power delivery encountered during electrosurgery impose severe performance constraints on the electrosurgical generator. Almost no other electrical amplifier is subject to such rapid response to such widely varying power delivery requirements. Failing to adequately regulate and control the output power may create unnecessary damage to the tissue or injury to the patient or surgical personnel. In a similar manner, failing to adequately establish the electrical characteristics for cutting, coagulating or performing both procedures simultaneously can also result in unnecessary tissue damage or injury.

Almost all electrosurgical generators involve some form of output power monitoring circuitry, used for the purpose of controlling the output power. The extent of power monitoring for regulation purposes varies depending upon the type of mode selected. For example, the coagulation mode of operation does not generally involve sensing the voltage and current delivered and using those measurements to calculate power for the purpose of regulating the output power. However, in the cut mode of operation, it is typical to sense the output current and power and use those values as feedback to regulate the power delivered.

In addition to power regulation capabilities, most electrosurgical generators have the capability of determining error conditions. The output power of the electrosurgical generator is monitored to ensure that electrosurgical energy of the proper power content and characteristics is delivered. An alarm is generated if an error is detected. The alarm may alert the surgeon to a problem and/or shut down or terminate power delivery from the electrosurgical generator.

Certain types of medical equipment controlled by microprocessors or microcontrollers utilize multiple processors for backup and monitoring purposes. Generally speaking, one of the processor serves as a control processor to primarily control the normal functionality of the equipment. Another one of the processors serves as a monitor processor which functions primarily to check the proper operation of the control processor and the other components of the medical equipment. Using one processor for primary control functionality and another processor for primary monitoring functionality has the advantage of achieving redundancy for monitoring purposes, because each processor has the independent capability to shut down or limit the functionality of the medical equipment under error conditions. Standards and recommendations even exist for multiple-processor medical equipment which delineates the responsibilities of the safety and monitoring processors.

### SUMMARY OF THE INVENTION

The present invention has evolved from a desire to achieve a high degree of reliability for monitoring purposes in a multiple-processor electrosurgical generator. The present invention has also evolved from realizing that control and monitoring functionality, as well as the components used for monitoring conditions, need to be cross-checked on a continual and relatively frequently recurring basis to ensure proper functionality in the context of the rapidly and

widely varying output requirements of an electrosurgical generator. In addition, the present invention advantageously monitors output power in an electrosurgical generator by using multiple processors not only for the purpose of controlling the electrosurgical generator from an output power regulation standpoint, but also for the purpose of checking proper functionality of the processors and their other associated equipment on a general basis.

In accordance with these improvements, the present invention involves a method of evaluating the functionality of an electrosurgical generator and the electrosurgical output power delivered by the generator. A first value related to the output power delivered is calculated using a first computation, and a second value related to the output power delivered is calculated using a second computation. The first and second values are compared, and an error condition is indicated when the first and second values differ by a predetermined amount. Preferably, separate measurements of the voltage and current of the power delivered are used in performing the first and second computations, the first and second values are average values calculated over different predetermined periods of time, and the two output current and the output voltage measurements are sampled at different sampling frequencies for calculating the first value with the first computation. The separate computations of the first and second values, coupled with the other preferable separate activities of measuring, averaging and sampling the output current and voltage measurements, contribute an effective basis for cross-checking the proper functionality and power output of the electrosurgical generator, and taking action to prevent risks to the patient from improper power delivery or other improper functionality of the generator under such error conditions.

Another method of evaluating the functionality and output power delivered, which also obtains the same benefits and improvements, involves activating the electrosurgical generator to deliver the output power, sensing the current and the voltage at first periodic intervals to obtain a first set of measurements of the current and voltage of the output power delivered, sensing the current and the voltage at second periodic intervals to obtain a second set of measurements of the current and voltage of the output power delivered, recording the first and second sets of measurements, deactivating the electrosurgical generator to terminate the delivery of the output power, calculating a first value related to the output power delivered from the first sets of recorded measurements by executing a first computation with the control processor, calculating a second value related to the output power delivered from the second sets of recorded measurements by executing a second computation with the monitor processor, comparing the calculated first and second values to determine whether the calculated first and second values differ by a predetermined amount, and executing an error response upon determining that the calculated first and second values differ by the predetermined amount.

The present invention also involves an improved electrosurgical generator having the capability of evaluating its own functionality and the output power delivered. A plurality of sensors sense current and voltage of the output power delivered and supply current and voltage measurement signals related to the amount of current and voltage sensed. A control processor receives the current and voltage measurement signals and performs a first computation based on the current and voltage measurement signals to derive power regulation feedback information and to derive a first value related to the output power delivered. A monitor processor receives the current and voltage measurement signals and

performs a second computation separate from the first computation to derive a second value related to the output power delivered. A communication path connects the control and monitor processors by which to communicate information including the first and second values between the processors. One of the control or monitor processors functions as a comparison processor to execute a comparison procedure for comparing the first and second values and delivering an error condition signal when the first and second values differ by a predetermined amount. The electrosurgical generator responds to an assertion of the error condition signal by either issuing an error indication and/or terminating the delivery of output power. Preferable features of the electrosurgical generator include individual sensors for deriving independent current measurement and independent voltage measurement signals used in the two computations. Another preferable feature of the electrosurgical generator is a direct memory access (DMA) technique of reading digital forms of the current and voltage measurement signals into memory, and thereafter reading those signals from memory to perform the two computations. The separate computations, coupled with the other preferable individual measurements of the output current and voltage, permit the electrosurgical generator to cross-check its own functionality and power output, and to take appropriate action to prevent risks to the patient if a discrepancy is detected.

A more complete appreciation of the present disclosure and its scope, and the manner in which it achieves the above noted improvements, can be obtained by reference to the following detailed description of presently preferred embodiments taken in connection with the accompanying drawings, which are briefly summarized below, and the appended claims.

#### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a block diagram of a multiple processor electrosurgical generator incorporating the present invention.

FIG. 2 is a block diagram of a portion of an RF output section of the electrosurgical generator shown in FIG. 1.

FIG. 3 is a block diagram illustrating signal and information flow during an output power monitoring by one of the processors of the electrosurgical generator shown in FIG. 1.

FIG. 4 is a flow chart for a procedure for generating information used for monitoring power output and creating information, executed by the components shown in FIGS. 2 and 3 of the electrosurgical generator shown in FIG. 1.

FIG. 5 is a flow chart for a procedure for communicating, analyzing and responding to the information generated by the procedure shown in FIG. 4.

#### DETAILED DESCRIPTION

An electrosurgical generator **20**, shown in FIG. 1, supplies electrosurgical output voltage and output current at **22**, which is conducted to an active electrode (not shown) for monopolar and bipolar electrosurgery. Current is returned at **24** to the electrosurgical generator **20** from a return electrode (not shown), after having been conducted through the tissue of the patient. The generator is activated to deliver the electrosurgical output power at **22** by an activation signal supplied at **26**. The activation signal **26** is asserted upon closing a switch on a handpiece (not shown) which supports the active electrode and is held by the surgeon. The activation signal **26** may also be asserted from a conventional foot switch (not shown) which is depressed by foot pressure from the surgeon.



The electrosurgical generator **20** includes a system processor **30**, a control processor **32**, and a monitor processor **34**. The system processor **30** generally controls the overall functionality of the electrosurgical generator **20**. The system processor **30** includes nonvolatile memory (not shown) containing programmed instructions to be downloaded to the other processors **32** and **34** to establish the functionality of the control and monitor processors **32** and **34**, as well as the entire functionality of the electrosurgical generator **20**. The processors **30**, **32** and **34** communicate with each other over a system bus **36**. In general, the system processor **30** supervises and controls, at a high level, the entire electrosurgical generator **20**.

The primary functionality of the control processor **32** is to establish and regulate the power delivered from the electrosurgical generator at **22**. The control processor **32** is connected to a high voltage power supply **38**, an RF amplifier **40**, and an RF output section **42**. The high voltage power supply **38** generates a DC operating voltage by rectifying conventional alternating current (AC) power supplied by conventional mains power lines **44**, and delivers the DC operating voltage to the RF amplifier **40** at **46**. The RF amplifier **40** converts the DC operating voltage into monopolar drive signals **50** and bipolar drive signals **52** having an energy content and duty cycle appropriate for the amount of power and the mode of electrosurgical operation which have been selected by the surgeon. The RF output section **42** converts the monopolar and bipolar drive signals **50** and **52** into the RF voltage and current waveforms and supplies those waveforms to the active electrode at **22** as the output power from the electrosurgical generator.

The basic function of the monitor processor **34** is to monitor the functionality of the high voltage power supply **38** and the RF output section **42**, as well as to monitor the functions of the system processor **30** and the control processor **32**. If the monitor processor **34** detects a discrepancy in the output electrosurgical energy, or a discrepancy in the expected functionality of the system processor **30** or the control processor **32**, a failure mode is indicated and the monitor processor **34** terminates the delivery of output electrosurgical energy from the electrosurgical generator **20**.

The processors **30**, **32** and **34** are conventional microprocessors, microcontrollers or digital signal processors, all of which are essentially general purpose computers that have been programmed to perform the specific functions of the electrosurgical generator **20**.

The electrosurgical generator **20** also includes user input devices **54** which allow the user to select the mode of electrosurgical operation (cut, coagulation or a blend of both) and the desired amount of output power. In general, the input devices **54** are dials and switches that the user manipulates to supply control, mode and other information to the electrosurgical generator. The electrosurgical generator **20** also includes information output displays **56** and indicators **58**. The displays **56** and indicators **58** provide feedback, menu options and performance information to the user. The input devices **54** and the output displays **56** and indicators **58** allow the user to set up and manage the operation of the electrosurgical generator **20**.

The activation signals at **26** are applied from the finger and foot switches to an activation port **62**. The system processor **30** reads the activation signals **26** from the port **62** to control the power delivery from the electrosurgical generator **20**. The components **54**, **56**, **58** and **62** are connected to and communicate with the system processor **30** by a conventional input/output (I/O) peripheral bus **64**, which is separate from the system bus **36**.

In order to continually monitor the power delivered, as well as to achieve a high degree of reliability and redundancy for safety monitoring purposes, the control processor **32** and the monitor processor **34** each independently calculate the power delivered from the RF output section **42**. The independent power calculations are thereafter compared, by at least one of the three processors **30**, **32** and **34**, and if a discrepancy is noted, the comparing processor signals the system processor **30** of the discrepancy, and the power delivery from the electrosurgical generator **20** is shut down and/or an error is indicated.

The power calculations performed by the control processor **32** are part of the normal functionality of the control processor in regulating the output power. The control processor **32** receives an output current signal **70** and an output voltage **72** from the RF output section **42**. The control processor calculates the amount of output power by multiplying the current and voltage signals **70** and **72** to obtain the power output. The monitor processor **34** receives an output current signal **74** and an output voltage signal **76**. The output current and voltage signals **74** and **76** are derived independently of the output current and voltage signals **70** and **72**, by separate current and voltage sensors. The monitor processor **34** calculates the output power based on the output current and voltage signals **74** and **76**. The power-related calculations performed by the control processor **32** and by the monitor processor **34** are not necessarily performed at the same frequency or at exactly the same time, although the power calculations must be sufficiently related in time so as to be comparable to one another.

The separately-calculated power related information is periodically compared by one or more of the processors **30**, **32** or **34**, preferably in either the system processor **30** or the monitor processor **34**. To make the comparison, the calculated power information is communicated over the system bus **36** to the processor which performs the comparison. If the comparison shows similar power calculations within acceptable limits, proper functionality of the electrosurgical generator **20** is indicated. If the comparison shows dissimilar power calculations outside of acceptable limits, safety related issues are indicated. Dissimilar power calculations may indicate that one of the control or monitor processors **32** or **34** is malfunctioning, or some of the components used in connection with the processors are malfunctioning, or a failure in one of the current and voltage sensors which supply the current and voltage signals **70**, **72**, **74** and **76**, among other things. In general, the response to an issue indicated by a power calculation discrepancy will result in indication of an error condition and/or the termination of power delivery from the electrosurgical generator **20**. Information will also be supplied to and presented at the displays **56** and indicators **58** describing the error condition.

Each of the processors **30**, **32** and **34** has the capability to exercise control over the delivery of power from the electrosurgical generator. The monitor processor **34** and the system processor **30** assert enable signals **78** and **79** to an AND logic gate **82**. The control processor **30** asserts a drive-defining signal **80** to the logic gate **82**. The drive-defining signal **80** is passed through the logic gate **82** and becomes a drive signal **84** for the RF amplifier **40**, so long as the enable signals **79** and **80** are simultaneously presented to the logic gate **82**. If either the system processor **30** or the monitor processor **34** de-asserts its enable signal **79** or **78**, respectively, the logic gate **82** will terminate the delivery of the drive signal **84**, and the RF amplifier **40** will cease to deliver monopolar and bipolar drive signals **50** and **52**, resulting in terminating the delivery of electrosurgical power

from the generator **20** at **22**. Because the control processor **32** develops the drive-defining signal **80** to control the output power of the electrosurgical generator, the control processor **82** can simply de-assert the drive-defining signal **80** to cause the electrosurgical generator to cease delivering output power. Thus, any of the processors **30**, **32** or **34** as the capability to shut down or terminate the delivery of power from the electrosurgical generator under conditions of significant discrepancies in the independently-calculated power output by de-asserting the signals **79**, **80** or **82**, respectively.

More details concerning the derivation of the output current and output voltage sense signals **70**, **72**, **74** and **76** are understood by reference to FIG. 2, which illustrates a portion of the RF output section **42** (FIG. 1). The flow path for the monopolar electrosurgical current is through a delivery conductor **86**, through series-connected current sensors **88** and **90**, through relays **92** and to one or more plug connectors **94**, **96** or **98** which are selected by the relays **92**. The monopolar electrosurgical current flows from the plug connectors **94**, **96** and **98** to the active electrode at **22**. The return path for the monopolar electrosurgical current is from the electrical return electrode (not shown) at **24** to a return plug connector **100** to which the return electrode (sometimes referred to as a return pad) is connected. The return current flows through a return conductor **102**. Voltage sensors **104** and **106** are connected between the delivery conductor **86** and the return conductor **102** to sense the voltage at which the monopolar electrosurgical output power is delivered.

The current sensor **88** delivers the output current sense signal **70** to the control processor **32** (FIG. 1), and the current sensor **90** delivers the output current sense signal **74** to the monitor processor **34** (FIG. 1). In a similar manner, the voltage sensor **104** delivers the output voltage sense signal **72** to the control processor **32** (FIG. 1), and the voltage sensor **106** delivers the output voltage sense signal **76** to the monitor processor **34** (FIG. 1). Arranged in this manner, the current sensors **88** and **90**, and the voltage sensors **104** and **106** supply their own sense signals, independently of sense signals supplied by the other sensors. Any adverse functionality of one of the sensors will not therefore affect the functionality of the other sensors.

The flow path of the bipolar electrosurgical current is from a first bipolar delivery conductor **108**, through series-connected current sensors **110** and **112** and to a bipolar output plug connector **114**. The bipolar electrosurgical current flows from the plug connector **114** to the active electrode at **22** and returns from the return electrode at **24**. The return current flows from the bipolar output plug connector **114** through a second bipolar conductor **120**. Voltage sensors **116** and **118** are connected between the first and second bipolar delivery conductors **108** and **120** and therefore sense the voltage at which the bipolar electrosurgical output power is delivered.

The current sensor **110** delivers the output current sense signal **70** to the control processor **32** (FIG. 1), and the current sensor **112** delivers the output current sense signal **74** to the monitor processor **34** (FIG. 1). In a similar manner, the voltage sensor **116** delivers the output voltage sense signal **72** to the control processor **32** (FIG. 1), and the voltage sensor **118** delivers the output voltage sense signal **76** to the monitor processor **34** (FIG. 1). Arranged in this manner, the current sensors **110** and **112**, and the voltage sensors **116** and **118** supply their own sense signals, independently of sense signals supplied by the other sensors. Again, adverse functionality of one of the sensors will not therefore affect the functionality of the other sensors.

Only one set of the current sense signals **70** and **74** and only one set of the voltage sense signals **72** and **76** will be

supplied when the electrosurgical generator is operating in either the monopolar or the bipolar mode. In other words, it is not possible for the electrosurgical generator to operate in both the monopolar and the bipolar mode simultaneously under normal operating conditions. Each of the sensors **116**, **118**, **104**, **106**, **110**, **112**, **88** and **90** is preferably a conventional transformer.

The current sense signals **70** and **74**, and the voltage sense signals **72** and **76** are applied to and dealt with by the control processor **32** and the monitor processor **34**, respectively, each in the similar manner shown in FIG. 3. The current and voltage sense signals **70** (**74**) and **72** (**76**) are supplied from the RF output section **42** (FIGS. 2 and 1) to a conventional analog to digital converter (ADC) **122**. The ADC **122** converts the instantaneous values of the analog current and voltage sense signals **70** (**74**) and **72** (**76**) into sample values at sampling intervals established by control signals supplied by the microprocessor **32** (**34**). The sample values of the current and voltage sense signals **70** (**74**) and **72** (**76**) are stored in a conventional buffer memory **126** at sequential addresses established by a conventional direct memory access (DMA) controller **124**. The ADC **122** and the DMA controller **124** operate on semi-autonomous basis to store the sample values of the current and voltage sense signals in the buffer **126**. One exemplary sampling technique that may be effectively employed in the present invention is described in greater detail in the first above-identified U.S. patent application filed concurrently herewith.

After a predetermined number of sample values of the current and voltage sense signals **70** (**74**) and **72** (**76**) have been stored in the buffer **126**, the microprocessor **32** (**34**) reads those values and thereafter calculates power-related information. After reading the values of the current and voltage sense signals from the buffer **126**, the DMA controller **124** replaces those values in the buffer **126** with new values supplied by the ADC **122**.

The power-related information is preferably root mean square (RMS) output power or some value related to RMS output power. One preferred technique for calculating the power-related information is for the microprocessor **32** (**34**) to square each of the instantaneous sample values of the current and voltage sense signals **70** (**74**) and **72** (**76**), sum all of the squared current sample values, sum all of the squared voltage sample values, multiply together the sum of the squared current sample values and the sum of the squared voltage sample values, and take the square root of the product obtained from the multiplication. This example of a calculation is not true RMS power, because no step was performed to divide by the number of collected samples. However, the resulting power-related information is directly related to RMS power because the number of samples taken and used in the calculation is the same. Other types of mathematical calculations may be performed to obtain the power-related information in accordance with the present invention. One exemplary calculation technique for determining power-related information is described in greater detail in the first above-identified U.S. patent application filed concurrently herewith. Other power-related information calculation algorithms can also be employed with the present invention.

Calculating power by obtaining a plurality of sample values over a predetermined time effectively integrates the power-related information. This is particularly advantageous in view of the typical manner in which an electrosurgical generator is activated by the surgeon. The typical activation procedure is for the surgeon to depress the finger control switch or step on the foot switch only for a few seconds at

a time to perform a series of relatively short and continually repetitive surgical actions during the entire electrosurgical procedure. Collecting samples over a relatively long period of time permits integration and long-time digital filtering of the values resulting from each of these short activations as a type of filtering to eliminate anomalous effects.

With similar voltage and current sense signals, the control processor 32 and the monitor processor 34 should each calculate almost the same amount of power. Some small difference between the calculated values may occur due to timing considerations for each of the signals or slight differences in the sensors or in the signal paths for each of the signals. Thus, the comparison looks for the two results to be almost the same within an acceptable tolerance that may be determined empirically.

After performing the calculations, the results are stored in a memory 128 or held in the processor performing the calculation. The memory 128 is connected to the system bus 36 so that the results of the calculations stored in the memory 128 can be read by one or more of the other processors which are also connected to the system bus 36.

To perform the comparison of the calculated power-related results, the calculated power-related results are communicated over the system bus 36 to the system processor 30 or to either the control processor 32 or the monitor processor 34 (FIG. 1). Either the system processor 30 or the monitor processor 34 (FIG. 1) should perform the comparison, to obtain a redundancy check on the operation of the control processor 32 (FIG. 1) which must make the calculation to regulate the output power. However, depending upon the capability of the control processor 32, it may perform the comparison of the power-related information. The processor which performs the comparison, hereinafter referred to as the "comparison processor," receives the calculated power information from the memory 128 of the control processor 32 and the monitor processor 34 to perform the comparison.

An exemplary and more detailed explanation of the process flow or procedure 150 used by the control and monitor processors 32 and 34 to calculate the power-related information from the sampled current and voltage values is shown in FIG. 4. The procedure 150 starts at step 152. At step 154 it is determined whether the electrosurgical generator has been activated, by the delivery of the activation signal 26 (FIG. 1). Until activation, the procedure 150 waits at step 154. Once activation occurs, either a timer is started or the current time (a start time) is recorded, and shown at step 156. The processor is able to measure or calculate the duration of the activation. The sample values of the current and voltage signals are collected at step 158 until the electrosurgical generator is determined to be de-activated at step 160. At step 158, the ADC 122 converts the analog values of the current and voltage sense signals to their digital sampled values, and the DMA controller 124 stores the instantaneous sampled values generated by the ADC 122 in the buffer memory 126 (FIG. 3). This occurs until the electrosurgical generator is de-activated at step 160 or until the buffer memory 126 is filled with samples. Upon deactivation at step 160, the collection of the sampled values (data) is stopped at step 162. Thereafter at step 164, the timer is stopped or the current time (a stop time) is recorded.

If the time duration during which the electrosurgical generator was activated is not within a predetermined window of time, as determined at steps 166 and 168, then the power-related information calculations are not performed. Instead, the procedure 150 returns to step 154 to wait for the next activation. In this manner, certain common events

which typically do not involve the delivery of the electrosurgical power during an actual procedure will not result in an inadvertent, unnecessary shutdown of the electrosurgical generator. For example, some surgeons momentarily short-circuit the output power terminals of the electrosurgical generator to observe an arc as a technique for determining whether the electrosurgical generator is operating. While this is not recommended procedure, it does indicate to the surgeon that the electrosurgical generator is working. Since there is no tissue resistance or impedance, the current and voltage sense signals current and voltage sense signals 70 (74) and 72 (76) are anomalous. Such anomalies could cause such a large discrepancy in the calculated power-related information such that, when the comparison is made, an error is detected, when in fact, there was no actual error. Also, either the control processor 32 or the monitor processor 34 may miss part or all of a power delivery event that is too short. In a similar manner, the maximum time duration of the predetermined window of time determined at step 168 is used to obtain accurate samples during the activation time by preventing inordinately long activations of the electrosurgical generator from delivering so many sampled values of the sensed voltage and current to the buffer 126 (FIG. 3) to cause it to overflow.

Thus, the predetermined window of time, established at steps 166 and 168, enables the procedure 150 to prevent an inadvertent shutdown of the electrosurgical generator 20 in anomalous situations. The size of the window is selected based on an empirical data concerning of the typical duration of most electrosurgical procedures, which usually fall within a range of minimum and maximum times (e.g. 0.5–5.0 seconds, respectively). The size of the buffer 126 and the sampling rate of the ADC 122 (FIG. 3) may also define the maximum time limit at step 168 over which data may be collected, although the results of filling numerous buffers may also be accumulated if information is collected over a longer time period. The predetermined window of time is fixed by a minimum time, established at step 166, and a maximum time, established at step 168, and these minimum and maximum times define the preferred time frame for which the power-related information is obtained.

If the duration of the electrosurgical procedure is within the predetermined window of time, as determined at steps 166 and 168, then the various calculations for RMS voltage, current and power are performed at step 170. The power-related results of the calculations are then sent, at step 172, to the comparison processor to perform the comparison of the results. The procedure 150 then returns to step 154.

As an alternative to determining whether the activation of the electrosurgical generator is within the predetermined window of time at steps 166 and 168, the RMS calculations may be done by the control and monitor processors regardless of the duration of the activation. In this case, the comparison processor makes a determination of whether to eliminate the comparison if the duration is outside the window.

An exemplary and more detailed explanation of a process flow or procedure 200 for making the comparison between the calculated power-related information from the control and monitor processors, and responding, is shown in FIG. 5. The procedure 200 starts at step 202. At step 204 a determination is made whether the electrosurgical generator has been de-activated. So long as deactivation exists, the procedure 200 waits at step 204. Once activation occurs, the determination at 204 is affirmative, and the calculated power-related information is read from the memories 128 (FIG. 3) of the control processor 32, at step 206, and from

the memories **128** of the monitor processor **34**, at step **208**, or otherwise supplied by the two calculating processors. If either the control processor or the monitor processor is the comparison processor, it may or may not actually store the results of the power calculations in its associated memory **128**, while performing the procedure **200**.

At steps **210** and **212** respectively, it is determined whether the two calculated results are within an acceptable tolerance of each other. If the calculated result (C) from the control processor **32** is not greater than the calculated result (M) from the monitor processor **34** by a predetermined upper range limit, as determined at step **210**, and if the calculated result (C) is not less than the calculated result (M) by a predetermined lower range limit, as determined at step **212**, then the procedure **200** returns to step **204** to wait for the end of the next activation. Negative determination at steps **210** and **212** indicate acceptable functionality. On the other hand, if the two calculated results (C) and (M) are not within an acceptable tolerance of each other, as determined at steps **210** and **212**, then an appropriate error handling procedure is performed at step **214**.

The error handling procedure may log or count each occurrence of the error, alert the surgeon, shut down the electrosurgical generator and/or take any other appropriate responsive measures. Counting the occurrence of errors may enable other responsive measures after a certain number or threshold of errors occurs sequentially or some number of errors occurs within a larger number of activations or attempts to activate, for example, **5** errors out of **10** attempted activations. If the error response does not include shutting down the electrosurgical generator, as determined at step **216**, then the procedure **200** returns to step **204** to wait for the end of presently occurring activation. If, on the other hand, the response does include shutting down the electrosurgical generator, as determined at step **216**, then a command to shut down the electrosurgical generator is issued at step **218**, and the procedure **200** ends at step **220**.

The present invention offers the improvement and advantage of determining when a sensor fails. In such circumstances, the current or voltage sense signal from the failed sensor will result in a power-related calculation which does not compare favorably with the other power-related calculation, thereby indicating a safety-related issue with the electrosurgical generator. Additionally, the present invention can detect whether there is a failure in certain other components associated with the control and monitor microprocessors. Such a failure would also result in a discrepancy between the calculated results because the failed component will generally not properly pass or handle the value of the voltage and current signals which flow through that failed component. Moreover, should either of the controller or monitor processors fail to execute their programed functionality, such a failure is also likely to be reflected in erroneous calculations of the power-related information.

The present invention is particularly advantageous in combination when the monitor processor **34** monitors the mode functionality of the electrosurgical generator **20** (FIG. **1**). The second aforementioned patent application describes a mode functionality check incorporated in the electrosurgical generator **20**. In general terms, the mode functionality check involves observing the characteristics of the drive-defining signal **80** supplied by the control processor **32** to determine whether the control processor **32** is delivering the proper pattern of drive signals indicated by the selected mode of operation. If the characteristics of the drive-defining signal **80** are not consistent with the selected mode of operation, the monitor processor **34** terminates the delivery

of electrosurgical power. For example, acceptable power calculations could be obtained even though the electrosurgical generator is operating in an incorrect mode. Since a malfunction could cause an error either in the power delivered or the pattern of drive signals relative to the selected mode of operation, checking both the power delivered and the mode information provides an very effective technique for determining the proper operation of the electrosurgical generator.

Many other benefits, advantages and improvements in monitoring the proper functionality of the electrosurgical generator will also be apparent upon gaining a full appreciation of the present invention. Thus, the electrosurgical generator can be prevented from operating under conditions which might possibly cause a risk to the patient and under conditions where the output power and performance of the electrosurgical generator is more reliably delivered.

Presently preferred embodiments of the invention and its improvements have been described with a degree of particularity. This description has been made by way of preferred example. It should be understood that the scope of the invention is defined by the following claims.

What is claimed is:

**1.** A method of evaluating functionality of an electrosurgical generator which delivers electrosurgical output power established by an output current and an output voltage, the electrosurgical generator including a control processor which controls the delivery of the electrosurgical output power and also including a monitor processor which monitors one of performance or functions of the electrosurgical generator, comprising:

sensing the output voltage and the output current;  
calculating with a first computation a first value related to the output power delivered by using the sensed output current and the sensed output voltage;  
calculating with a second computation separate from the first computation a second value related to the output power delivered by using the sensed output current and the sensed output voltage;  
comparing the first and second values;  
indicating an error condition when the first and second values differ by a predetermined amount;  
performing the first calculation using the control processor; and  
performing the second calculation using the monitor processor.

**2.** A method as defined in claim **1**, further comprising:  
sensing the output voltage and the output current separately for use in the first and second computations; and  
calculating the first value using values of the sensed output voltage and the sensed output current which are separate from values of the sensed output voltage and the sensed output current used in calculating the second value.

**3.** A method as defined in claim **1**, further comprising:  
calculating the first and second values as the average power delivered from the electrosurgical generator over a predetermined period of time.

**4.** A method as defined in claim **3**, further comprising:  
calculating the first value over a first predetermined period of time;  
calculating the second value over a second predetermined period of time; and  
establishing different first and second predetermined periods of time.

13

5. A method as defined in claim 3, further comprising:  
sensing the output current and the output voltage at a first  
sampling frequency for calculating the first value with  
the first computation;  
sensing the output current and the output voltage at a  
second sampling frequency for calculating the second  
value with the second computation; and  
establishing different first and second sampling frequen-  
cies.
6. A method as defined in claim 3, further comprising:  
activating the electrosurgical generator to deliver the  
output power during an activation time period; and  
using the activation time period as the predetermined  
period of time over which the average power delivered  
is calculated as the first and second values.
7. A method as defined in claim 1, further comprising:  
communicating the first value to the monitor processor;  
and  
comparing the first and second values using the monitor  
processor.
8. A method as defined in claim 7, further comprising:  
indicating the error condition from the monitor processor.
9. A method as defined in claim 1, wherein the electro-  
surgical generator further includes a system processor which  
oversees functionality of the control and monitor processors,  
and further comprising:  
communicating the first and second values to the system  
processor; and  
comparing the first and second values using the system  
processor.
10. A method as defined in claim 9, further comprising:  
indicating the error condition from the system processor.
11. A method as defined in claim 1, further comprising:  
sensing the output current by sensing a plurality of current  
values for each of the first and second computations;  
sensing the output voltage by sensing a plurality of  
voltage values for each of the first and second compu-  
tations;  
performing a root mean square computation on each of  
the sensed pluralities of current values and on each of  
the sensed pluralities of voltage values to obtain a root  
mean square current value of each of the pluralities of  
sensed current values and to obtain a root mean square  
voltage value of each of the pluralities of sensed  
voltage values; and  
using the root mean square current values and the root  
mean square voltage values in the first and second  
computations to calculate the first and second values.
12. A method as defined in claim 1, further comprising:  
terminating delivery of the electrosurgical output power  
upon indicating an error condition.
13. A method as defined in claim 1, further comprising:  
incrementing a count number with each instance where  
the first and second values differ by more than the  
predetermined amount; and  
indicating the error condition upon the count number  
reaching a predetermined threshold.
14. A method as defined in claim 13, further comprising:  
resetting the count number to a predetermined count value  
upon the first and second values not differing by the  
predetermined amount within a predetermined number  
of most recent comparisons.
15. A method as defined in claim 13, further comprising:  
incrementing the count number only with each instance  
where the first and second values differ by the prede-

14

- terminated amount within a predetermined number of  
most recent comparisons.
16. A method as defined in claim 1, wherein the electro-  
surgical generator regulates the amount of output power  
delivered based on feedback information, and wherein the  
electrosurgical generator comprises:  
a plurality of sensors connected to sense the output  
current and the output voltage of the output power  
delivered and operative to supply current and voltage  
measurement signals related to the amount of output  
current and output voltage sensed, respectively;  
wherein the control processor is receptive of the current  
and voltage measurement signals and performs the first  
computation based on the current and voltage measure-  
ment signals to derive the feedback information related  
to the output power delivered and to derive the first  
value;  
wherein the monitor processor is receptive of the current  
and voltage measurement signals and performs the  
second computation based on the current and voltage  
measurement signals to derive the second value;  
a communication path connecting the control and monitor  
processors over which the control and monitor proces-  
sors communicate information including the first and  
second values, one of the control or monitor processors  
receiving the first and second values being a compari-  
son processor;  
the comparison processor performing a comparison pro-  
cedure for comparing the first and second values and  
delivering an error condition signal when the first and  
second values differ by the predetermined amount; and  
the electrosurgical generator responding to the assertion  
of the error condition signal by one of either indicating  
the error condition or terminating the delivery of output  
power.
17. A method as defined in claim 16, wherein the elec-  
trosurgical generator further comprises:  
a system processor which oversees functionality of the  
control and monitor processors, the system processor  
connected to the communication path to communicate  
with the control and monitor processors, the system  
processor rather than the monitor or control processor  
being the comparison processor which delivers the  
error condition signal.
18. A method as defined in claim 17, wherein:  
the control processor performs the first computation and  
obtains the first value;  
the monitor processor performs the second computation  
and obtains the second value; and  
the control and monitor processors send the first and  
second values to the system processor over the com-  
munication path.
19. A method as defined in claim 16, wherein the plurality  
of sensors includes:  
a first current sensor for supplying a first current mea-  
surement signal used by the control processor in per-  
forming the first computation;  
a second current sensor for supplying a second current  
measurement signal used by the monitor processor in  
performing the second computation;  
a first voltage sensor for supplying a first voltage mea-  
surement signal used by the control processor in per-  
forming the first computation; and  
a second voltage sensor for supplying a second voltage  
measurement signal used by the monitor processor in  
performing the second computation.

15

**20.** A method as defined in claim **19**, wherein the control and monitor processors are digital processors.

**21.** A method as defined in claim **20**, wherein the electro-surgical generator further comprises:

a first analog to digital converter (ADC) connected to the first current sensor and to the first voltage sensor and operative to convert the first current measurement signal and the first voltage measurement signal into digital form;

a first direct memory access (DMA) controller;

a first buffer connected to the first ADC and to the first DMA controller, the first DMA controller placing the digital forms of the first current measurement signal and the first voltage measurement signal into the first buffer;

a second analog to digital converter (ADC) connected to the second current sensor and to the second voltage sensor and operative to convert the second current measurement signal and the second voltage measurement signal into digital form;

a second direct memory access (DMA) controller;

a second buffer connected to the second ADC and to the second DMA controller, the second DMA controller placing the digital forms of the second current measurement signal and the second voltage measurement signal into the second buffer; and

wherein:

the control processor is connected to the first buffer to read the digital forms of the first current measurement signal and the first voltage measurement signal from the first buffer to perform the first computation; and

the monitor processor is connected to the second buffer to read the digital forms of the second current measurement signal and the second voltage measurement signal from the second buffer to perform the second computation.

**22.** A method as defined in claim **17**, wherein the electro-surgical generator further comprises:

an alarm connected to the system processor and responsive to the error condition signal to deliver an alarm.

**23.** A method as defined in claim **17**, wherein:

the system processor responds to the error condition signal by logging an error occurrence.

**24.** A method as defined in claim **17**, wherein:

the system processor responds to the error condition signal by incrementing a count number with each instance where the first and second values differ by more than the predetermined amount;

the system processor indicates the error condition upon the count number reaching a predetermined threshold.

**25.** A method as defined in claim **24**, wherein:

the system processor resets the count number to a predetermined count value upon the first and second values not differing by the predetermined amount within a predetermined number of most recent comparisons.

**26.** A method as defined in claim **24**, wherein:

the system processor increments the count number only with each instance where the first and second values differ by the predetermined amount within a predetermined number of most recent comparisons.

**27.** A method of evaluating functionality of an electro-surgical generator which delivers electro-surgical output power and which includes a control processor for controlling the delivery of the output power, a monitor processor for monitoring performance of the electro-surgical generator,

16

and sensors for sensing current and voltage of the output power delivered, comprising:

activating the electro-surgical generator to deliver the output power;

sensing the current and the voltage at first periodic intervals to obtain a first set of measurements of the current and voltage of the output power delivered;

sensing the current and the voltage at second periodic intervals to obtain a second set of measurements of the current and voltage of the output power delivered;

recording the first and second sets of measurements;

deactivating the electro-surgical generator to terminate the delivery of the output power;

calculating a first value related to the output power delivered from the first set of recorded measurements by executing a first computation with the control processor;

calculating a second value related to the output power delivered from the second set of recorded measurements by executing a second computation with the monitor processor;

comparing the calculated first and second values to determine whether the calculated first and second values differ by a predetermined amount; and

executing an error response upon determining that the calculated first and second values differ by the predetermined amount.

**28.** A method as defined in claim **27**, wherein the electro-surgical generator also includes a system processor which oversees functionality of the control and monitor processors, further comprising:

communicating the calculated first value from the control processor to the system processor;

communicating the calculated second value from the monitor processor to the system processor;

comparing the calculated first and second values by a computation executed by the system processor; and

executing the error response by the system processor.

**29.** A method as defined in claim **27**, further comprising: determining a time period between activating and deactivating the electro-surgical generator; and

performing the comparing step only when the time period between activating and deactivating falls between a predetermined minimum time and a predetermined maximum time.

**30.** A method as defined in claim **27**, further comprising: including within the error response executed at least one of logging an error occurrence, issuing an alert, and terminating the output power delivery.

**31.** A method as defined in claim **27**, wherein the electro-surgical generator regulates the amount of output power delivered based on feedback information, and wherein:

the sensors are connected to sense the current and the voltage of the output power delivered and are operative to supply current and voltage measurement signals related to the amount of output current and output voltage sensed, respectively;

the control processor is receptive of the current and voltage measurement signals and performs the first computation based on the current and voltage measurement signals to derive the feedback information related to the output power delivered and to derive the first value;

the monitor processor is receptive of the current and voltage measurement signals and performs the second

computation based on the current and voltage measurement signals to derive the second value; and wherein the electrosurgical generator further comprises:

a communication path connecting the control and monitor processors over which the control and monitor processors communicate information including the first and second values, one of the control or monitor processors receiving the first and second values being a comparison processor; and wherein:

the comparison processor compares the first and second values and delivers an error condition signal when the first and second values differ by the predetermined amount; and

the electrosurgical generator responds to the error condition signal by one of either indicating the error condition or terminating the delivery of output power as the error response.

**32.** A method as defined in claim 31, wherein the plurality of sensors includes:

a first current sensor for supplying a first current measurement signal used by the control processor in performing the first computation;

a second current sensor for supplying a second current measurement signal used by the monitor processor in performing the second computation;

a first voltage sensor for supplying a first voltage measurement signal used by the control processor in performing the first computation; and

a second voltage sensor for supplying a second voltage measurement signal used by the monitor processor in performing the second computation.

**33.** A method as defined in claim 32, wherein the control and monitor processors are digital processors.

**34.** A method as defined in claim 33, wherein the electrosurgical generator further comprises:

a first analog to digital converter (ADC) connected to the first current sensor and to the first voltage sensor and operative to convert the first current measurement signal and the first voltage measurement signal into digital form;

a first direct memory access (DMA) controller;

a first buffer connected to the first ADC and to the first DMA controller, the first DMA controller placing the digital forms of the first current measurement signal and the first voltage measurement signal into the first buffer;

a second analog to digital converter (ADC) connected to the second current sensor and to the second voltage sensor and operative to convert the second current measurement signal and the second voltage measurement signal into digital form;

a second direct memory access (DMA) controller;

a second buffer connected to the second ADC and to the second DMA controller, the second DMA controller placing the digital forms of the second current measurement signal and the second voltage measurement signal into the second buffer; and wherein:

the control processor connected to the first buffer to read the digital forms of the first current measurement signal and the first voltage measurement signal from the first buffer to perform the first computation; and

the monitor processor connected to the second buffer to read the digital forms of the second current measurement signal and the second voltage measurement signal from the second buffer to perform the second computation.

**35.** A method as defined in claim 31, wherein the electrosurgical generator further comprises:

a system processor which oversees functionality of the control and monitor processors, the system processor connected to the communication path to communicate with the control and monitor processors, the system processor rather than the monitor or control processor being the comparison processor which delivers the error condition signal.

**36.** A method as defined in claim 35, wherein the electrosurgical generator further comprises:

an alarm connected to the system processor and responsive to the error condition signal to deliver an alarm.

**37.** A method as defined in claim 35, wherein:

the system processor responds to the error condition signal by logging an error occurrence.

**38.** A method as defined in claim 31, wherein:

the comparison processor responds to the error condition signal by incrementing a count number with each instance where the first and second values differ by more than the predetermined amount; and

the comparison processor indicates the error condition upon the count number reaching a predetermined threshold.

**39.** A method as defined in claim 38, wherein:

the comparison processor resets the count number to a predetermined count value upon the first and second values not differing by the predetermined amount within a predetermined number of most recent comparisons.

**40.** A method as defined in claim 38, wherein:

the comparison processor increments the count number only with each instance where the first and second values differ by the predetermined amount within a predetermined number of most recent comparisons.

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