(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property

International Bureau (10) International Publication Number

(43) International Publication Date

15 Sentember 2022 (15.09.2022) WIPO | PCT

WO 2022/192619 A1 **15** September 2022 (15.09.2022)

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- 11 March 2022 (11.03.2022)
-
- *(30)* **Priority Data: (81) Designated States** *(unless otherwise indicated, for every*
- **(71)** Applicants: KALOCYTE, **INC. [US/US]; 670** West Bal- **CA, CH, CL, CN, CO,** CR, **CU,** CZ, **DE, DJ,** DK, DM, **DO,**

(1) Organization11111111111111111111111I1111111111111ii111liiili

(51) International Patent Classification: MORE [US/US]; Office of Technology Transfer, **620** West *A61K47/24 (2006.01) CO7F 9/08* **(2006.01)** Lexington Street, 4th Floor, Baltimore, MD 21201 **(US).**

- *A61K 47/51* (2017.01) *C07F 9/09* (2006.01) (72) Inventors: PAN, Dipanjan; 670 West Baltimore Street,
A61K 47/54 (2017.01) *C07F 9/10* (2006.01) (72) Inventors: PAN, Dipanjan; 670 West Baltimore Street,
HSRF III, 8th Fl (21) International Application Number: **DOCTOR, Allan**; 670 West Baltimore Street, HSRF III,
PCT/US2022/019872 8th Floor, Baltimore, Marvland 21201 (US), **MITTAL**, 8th Floor, Baltimore, Maryland 21201 **(US). MITTAL,** (22) International Filing Date:
 Nivesh; 670 West Baltimore Street, HSRF III, 8th Floor,

Baltimore, Maryland 21201 (US).
- **(25)** Filing Language: English (74) **Agent: DAVIS, Peter** et al.; Whiteford, Taylor **&** Pre ston, LLP, Seven Saint Paul Street, Baltimore, Maryland **(26) Publication Language:** English **21202-1636 (US).**
	- **63/159,547 11** March 2021 **(11.03.2021) US** *kind ofnational protection available):* **AE, AG, AL,** AM, **17/692,289 11** March 2022 **(11.03.2022) US AO, AT, AU,** AZ, BA, BB, BG, BH, **BN,** BR, BW, BY, BZ, timore Street, HSRF III, 8th Floor, Baltimore, Maryland
21201 (US). **UNIVERSITY OF MARYLAND, BALTI-**
R., KP, KR, KW, KZ, LA, LC, LK, LR, LS, LU, LY, MA, MD, ME, **MG,** MK, **MN,** MW, *MX,* MY, *MZ,* **NA, NG, NI,**

^M(54) Title: **COMPOSITIONS AND METHODS** FOR REMOVING **BIO-SYNTHETIC NANO-PARTICLES** FROM BODILY **FLUIDS**

FIG. 1A

(57) Abstract: Bait and capture methods and compositions for removing bio-synthetic nano-particles from body fluids. Bio-synthetic nano-particles are baited with one-half of a bait and capture complex and lyophilized. The baited bio-synthetic nano-particle is recon stituted and administered to a subject for diagnostic or therapeutic purposes. To remove the bio-synthetic nano-particle from the body, the body fluid containing the baited bio-synthetic nano-article is contacted with the capture portion of the bait and capture complex. The body fluid from which the bio-synthetic nano-particles have been removed may be returned to the subject.

NO, NZ, OM, PA, PE, **PG,** PH, PL, PT, **QA,** RO, RS, RU, RW, **SA, SC, SD, SE, SG,** SK, **SL, ST, SV,** SY, TH, **TJ,** TM, **TN,** TR, TT, TZ, **UA, UG, US, UZ, VC, VN,** WS, ZA, ZM, **zW.**

(84) Designated States *(unless otherwise indicated, for every kind of regional protection available):* ARIPO (BW, **GH, GM,** KE, LR, **LS,** MW, *MZ,* **NA,** RW, **SD, SL, ST,** SZ, TZ, **UG,** ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, RU, **TJ,** TM), European **(AL, AT,** BE, BG, **CH,** CY, CZ, **DE,** DK, **EE, ES,** Fl, FR, GB, GR, HR, **HU, IE, IS,** IT, LT, **LU,** LV, **MC,** MK, MT, **NL, NO,** PL, PT, RO, RS, **SE, SI,** SK, **SM,** TR), OAPI (BF, **BJ, CF, CG, CI, CM, GA, GN, GQ,** GW, KM, ML, MR, **NE, SN,** TD, **TG).**

Published:

- *with international search report (Art. 21(3))*

COMPOSITIONS AND METHODS FOR REMOVING BIO-SYNTHETIC NANO PARTICLES FROM BODILY FLUIDS

BACKGROUND OF THE INVENTION

FIELD OF THE **INVENTION**

[0001] The present invention relates to methods and compositions for removing and/or separating out bio-synthetic nano-particless from body fluids and other solutions.

DESCRIPTION OF THE **BACKGROUND**

[0002] There is need for an artificial oxygen **(02)** carrier for use when banked blood is unavailable or undesirable. To address this need, the inventors developed ErythroMer (EM), a first-in-class, bio-synthetic, nano-cyte blood substitute. EM is a self-assembled, deformable, hybrid lipid oligomer based nanoparticle that incorporates high per particle payloads of hemoglobin **(Hb)** (Fig **1).** The 'artificial cell' design has yielded a prototype that emulates key elements of red blood cell (RBC) physiology and represents an innovative addition to transfusion medicine. To date, efforts to develop Hb-based oxygen carriers (HBOCs) have failed, because of design flaws which do not preserve physiologic interactions of RBCs, in particular: HBOCs capture **02** in lungs, but do not release **02** effectively to tissue, and HBOCs trap endothelial nitric oxide **(NO),** causing vasoconstriction. The EM design (Fig **1)** surmounts these weaknesses **by: 1)** encapsulating **Hb** in a nanoparticle with novel geometry, with an optimized surface area to volume ratio, 2) controlling O_2 capture/release with a novel shuttle for a small-molecule designed to lower Hb O_2 affinity (RSR13, efaproxiral), **3)** attenuating **NO** uptake through shell properties, and 4) retarding methemoglobin (metHb) formation **by** co-packaging a reduction system. Moreover, **5)** EM is designed for sterile lyophilization and is amenable to facile reconstitution after extended, ambient dry storage. EM offers a pragmatic approach to a complex need and is designed for cost-effective production at scale.

[0003] The EM prototype has passed rigorous initial ex vivo and in vivo "proof of concept" testing. **02** delivery has been demonstrated in a novel murine model that reports post-transfusion cellular O_2 delivery using a transgenic hypoxia inducible factor (HIF-1 α) bioluminescent construct. EM is also being validated in rabbit models of hemorrhagic shock and polytrauma.

SUMMARY OF THE INVENTION

[0004] Because EM introduces an acellular form of hemoglobin into the plasma portion of the circulation, it introduces unique challenges to the clinical laboratory because of serum and plasma color interference or because of the nanoparticle shell itself. Blood specimens obtained during the period that ErythroMer is present *in vivo* appear hemolyzed. Although, hemolysis is a well-understood problem in the clinical laboratory, patients receiving ErythroMer may achieve free hemoglobin concentrations that exceed those seen with typical hemolysis. In addition, ErythroMer is a nanoparticle that may impact light-scattering analysis methods which cannot be practically avoided during analysis, save for developing a blank prior to analysis or **by** removing the particle entirely.

[0005] EM is designed to be used in chiefly at the point of injury to bridge to a clinical setting where further lifesaving care is performed. To manage trauma in clinical settings, complete blood count **(CBC)** and comprehensive metabolic panels (CMP) are performed to assess the amounts and concentrations of various cells, proteins, and substances in blood which impact the blood's ability to acutely sustain life **by** delivering oxygen and energy to the rest of the body. Assessments of hemoglobin abundance (oxygen carrying capacity), platelet abundance (clotting ability), and hematocrit (hemoglobin as a percent of whole blood) are impacted **by** EM. These

assessments are taken every **15** to **30** minutes in patients experiencing blood loss and considered alongside changes in blood pressure to determine need for further transfusion in the clinical setting. Preliminary data shows that EM strongly interferes with the optical methods used to determine **CBC (Fig 2B)** and CMP (Fig **2A,** C). Therefore, there is an urgent need for a strategy for selective removal of EM from blood specimens.

[0006] The present invention is directed toward a robust 'bait and capture' strategy to efficiently remove EM from whole blood specimens based on supramolecular assembly based on host-guest interaction of adamantane ("ADM") and **3-CD.** This targeted capture strategy comprises adamantane tagged EM as the 'bait' and **P-CD** functionalized **PS** beads as the capture model. (Figure 4) According to the invention, EM presenting with ADM functionalities forms a strong inclusion complex with surface abundant **P-CD** functionalities on a resin and enables selective removal of the EM from the blood. The choice of ADM and β -CD as 'bait and capture' pair is deliberate because of their strong binding affinity $(K = 5.2 \times 10^4 \text{ M} - 1)$ and excellent biological compatibility. The carbonaceous ADM is known to induce minimal biological response, whereas **-CD** forms stronger inclusion complex with the molecule in comparison to other ubiquitous biological substances, e.g. human serum albumin, globulin etc.

[0007] According to further embodiments of the invention, the adamantane-tagged EM is lyophilized for packaging, transport and/or storage. The lyophilized adamantane-baited EM is a powder comprising EM amphiphilic precursor, cholesterol and **PEG-PE** hemoglobin and allosteric effector, the adamantane tag, and optionally also including cryoprotectants. Reconstitution at the original EM production concentration (or concentrated) is achieved with PBS/water **by** simple mixing.

[0008] Thus, the invention presents a uniquely designed targeted capture strategy to address the unmet need of removing EM from whole blood specimens for the clinical monitoring of blood samples. Notwithstanding the foregoing, and the examples provided herein, it is understood that the targeted capture strategy and compositions described herein may be used to remove any type of bio-synthetic nanoparticles from whole blood, other body fluids, and any other solution. The lyophilized bait tagged EM composition of the present invention may be packaged, stored, transported, for example, in the form of pre-filled tubes or other containers for reconstitution at the site of use. Similarly, the capture composition may be packaged in the form of hemoperfusion cartridges for removing tagged EM from the bloodstream in living patients via extracorporeal circuits, e.g., dialysis systems, CPB systems, and the like. Additionally, the capture composition may be used to remove tagged EM from perfusate in ex vivo organ/organoid preparations.

BRIEF DESCRIPTION OF THE DRAWINGS

[0009] Figures **1A** and 1B are representations of the ErythroMer (EM) V2 design, features and benefits relative to HBOCs, in which the amphiphilic precursor comprises (a) **pH** responsive groups that control availability of the allosteric effector (RSR13), enabling context-responsive control **of** 02 binding and **(b)** a negatively charged 'head' facilitating biocompatibility of the exofascial surface. The construct mimics endogenous biomolecules and is subject to enzymatic digestion and complete degradation in vivo to end-products identical to that of 'natural' peptides and lipids.

[0010] Figure 2 shows EM interference results: **(A)** metabolic panel and (B) **CBC** panel of human plasma with and without EM; **(C)** overlaid metabolic panel spectral scan (300-900nm).

[0011] Figure **3** is a representation of the Bait and Capture design strategy according to an embodiment of the invention. The amphiphilic precursor **KCO1003** will be co-self-assembled with adamantane-PE (4) to prepare **EM-1003** presented with 'bait' functionalities on the surface. The surface coverage is optimized to have minimum numbers of 'bait' molecules to avoid detrimental biological effects. The column is packed with polystyrene **(PS)** beads conjugated with **3** cyclodextrin functionalities. **Highly** selective interaction between adamantane and p-cyclodextrin through host-guest chemistry enables efficient separation of EM from blood.

[0012] Figure 4A shows a scheme for synthesis of 'bait' component adamantane functionalized DPPE (ADM-DPPE)

[0013] Figure 4B shows a scheme for synthesis of capture agent β -cyclodextrin functionalized PS beads **(CD-PS).**

[0014] Figure **5** shows confirmation of **3-DC** functionalization on **PS** beads (incubated with pyrene; bead size **0.98** nm).

[0015] Figure **6A** shows confirmation of EM capture **by** particle count using nanoparticle tracking analysis (ZetaView).

[0016] Figure 6B shows confirmation of EM capture **by** diameter after capture using nanoparticle tracking analysis (ZetaView).

[0017] Figure **7** is a representation of lyophilization and final lyophilized AM-tagged EM product according to an embodiment of the invention.

[0018] Figure **8** shows various properties of an AM-tagged EM particle according to an embodiment of the invention before lyophilization, four days after reconstitution, and 14 days after reconstitution.

DETAILED DESCRIPTION

[0019] According to embodiments of the invention, there is presented a bio-engineered and scalable approach to remove EM from whole blood specimens. **(Fig 3)** The proposed supramolecular approach offers several advantages, e.g. (i) facile formation of the inclusion complex under ambient condition makes the pilot-scale study feasible without introducing any special genetic or biochemical techniques; (ii) the relatively small molecular adamantane ("ADM") tag is expected to have little to none effect on the EM structures and functions and (iii) the optimal ratio of β -cyclodextrin $(\beta$ -CD) functionalization on polystyrene *(PS)* beads introduces insignificant changes to the pore size of the column beads and hence safely clear the blood stream. The present invention will pave the pathway for the translation of artificial RBCs. According to further embodiments of the invention, the bait and capture design is optimized for usability at point of care settings. This involves mechanisms to effectively remove ErythroMer from the patient blood samples, with minimal disruption to already existing blood collection and sample processing techniques, including. customizing already existing blood collection tubes to be able to capture and retain ErythroMer in the tube itself, leaving whole blood components free for further analysis. This entails a comprehensive development of immobilizing the capture system in the blood collection tube, titrated to a concentration to effectively remove EM specifically and fully from the blood, keeping in mind the goal that the blood collection tubes with the special capture functionality are scalable and can be manufactured commercially with minimal changes to their existing method of manufacturing. Concurrently, the bait is compatible with the lyophilization process for ErythroMer's final and most stable form.

[0020] Design, synthesis and physico-chemical characterization of EM presenting with 'bait' functionalities.

[0021] Example 1. Design and synthesis of 'bait' tagged EM. EM tagged with ADM is prepared and the small molecules and the nanoparticles are physico-chemically characterized for supramolecular host-guest chemistry with **3-CD** containing resins. Amino **(-NH2)** groups 1,2 dipalmitoyl-sn-glycero-3-phosphoethanolamine (DPPE) are functionalized with ADM tags using 1-adamantane-carboxylic acid N-hydroxysuccinimide ester **(ADM-NHS). (Figure 4A)** This compound, ADM-DPPE, is characterized analytically for their purity and introduced in the nano assembly of EM at a varied molar ratio. The optimum concentration of ADM-DPPE tag on EM particles is then determined based on two parameters: (i) highest binding capability and (ii) optimum **Hb** encapsulation efficiency. Accordingly, the formulations having different ADM- tag ratios is first interacted with a fixed concentration of **P-CD** and the binding capabilities of the tagged EM particles are calculated from the respective isothermal calorimetry curves. The particle having the highest binding efficiency is chosen and the concentration of ADM- tag is used as the optimal one. Secondly, this optimized EM particle is then loaded with hemoglobin and the encapsulation efficiency is calculated.

[0022] **Example** 2. Physico-chemical **characterization.** We evaluate hydrodynamic particle size, polydispersity, and electrophoretic potential, TEM, AFM, and stability. To determine the long-term storage stability of EM-1003-bait, a 12-month study is performed at **-20°C, 5°C, 25°C,** and under the accelerated condition of 40° C (lyophilized EM is spread on a glass dish to have a thin homogeneous layer). Thermal (dry heat) accelerated stress stability analysis is performed up to 12 months at 40°C, **50°C,** and **60°C** and for one month at **80°C.** EM is also subjected to thermal stress at 25° C and 40° C under acidic $(0.1 \text{ M } HCl: pH 1; 0.01 \text{ M } HCl: pH 2; HCl: pH 4.5)$ and alkaline **(0.1** M NaOH: **pH 13; 0.01** M NaOH: **pH** 12) conditions up to **7** days. The **pH** of all

the solutions is measured using a **pH** meter at RT. The particle size and colloidal stability over different time intervals are measured using the Nanosizer ZS and ZetaView.

[0023] Development and characterization of a **'capture' resin and demonstration of separation capability.**

[0024] Example 3. Preparation and characterization of the capture resin: β **-Cyclodextrin is** often used as the host carrier in a host-guest supramolecular assembly because of its water solubility, low cytotoxicity and superior biocompatibility. In this context, we prefer the **ADM/ CD** host-guest pair as a well-established supramolecular system. Mono-6-0-(p-toluenesulfonyl) β -cyclodextrin is synthesized from 1-(p-Toluenesulfonyl) imidazole and β -cyclodextrin. This compound is then used for the functionalization of amino polystyrene **(NH2-PS)** beads to produce β -cyclodextrinylated PS (CD-PS) beads under mild alkaline condition. **(Figure 4B)** To confirm the successful functionalization of the PS beads with β -cyclodextrin, the CD-PS beads are mixed with a ethanolic solution of pyrene, centrifuged at **10000** rcf for 2 mins, washed with ethanol twice to remove any free pyrene and then imaged under a fluorescence microscope to visualize the fluorescence of the functionalized beads. The successfully functionalized **PS** beads show high green emission, while the unfunctionalized beads do not demonstrate any background fluorescence. (Fig **5)** This confirms the successful preparation of the capture resin, ready to be tested with the ADM-DPPE tagged EM particles.

[0025] Example 4. Demonstration of EM capture from a **mixture of EM and blood: To** demonstrate EM capture mediated **by** the **CD-PS** beads, the optimized ADM-tagged EM particles with fluorescent **NBD-PE** doping is prepared. In a similar manner, **CD-PS** beads are treated with these fluorescently tagged EM particles, suspended in buffer, centrifuged, washed and imaged under fluorescent microscope. After successfully validating the capture of EM

particles **by CD-PS** beads, the ADM-tagged EM particles are loaded with hemoglobin. The **Hb** loaded ADM-tagged EM particles are then suspended with blood and the capture of EM particles is monitored from the whole blood specimens.

[0026] Example 5. Demonstration and optimization of the EM surface: The

functionalization of β -CD to the PS beads is calculated from p H titration. The change in neutralization **pH** is compared between the unfunctionalized amino **PS** beads and **CD-PS** beads and the **%** functionalization of **-CD** per gm of **PS** beads is calculated. The ratio of **3-CD** per gm of **PS** beads is then varied **by** controlling the concentration of mono-6-0-(p-toluenesulfonyl) cyclodextrin reacted with amino **PS** beads. The optimum concentration of **3-CD** is then selected based on their maximum efficiency of EM removal from the whole blood specimen.

[0027] Example 6. Preliminary biocompatibility studies. Preliminary complement activation analysis and blood smear preparation studies is conducted. The **CH50** enzyme immunoassay **(EIA)** (Sigma) is used to evaluate the magnitude of complement activation as a result of the addition of EM-1003-Bait to human serum. The kit is used according to the manufacturer's protocol. **A** blood smear preparation is performed to observe morphological changes in lymphocytes and blood clumping using clinical microscopy technique (conventional light microscopy) under high power field. Particular attention is paid to observe significant clumping or morphological changes in blood cells treated with **EM-1003** and EM-1003-Bait (blood: **NP= 9:1)**

[0028] Anticipated Results and Risk Factors. EM particle with ADM functional groups on the surface is synthesized. Mono-6-O-(p-toluenesulfonyl)- β -cyclodextrin is synthesized from 1-(p-Toluenesulfonyl) imidazole and B-CD and used for the functionalization of amino polystyrene **(NH2-PS)** beads to produce **CD-PS** beads. This invention validates the capture of EM particles

by CD-PS beads with and without the encapsulation of **Hb.** The **Hb** loaded Ad-tagged EM particles are suspended with blood and the capture of EM particles is monitored. Further **CBC** and metabolic panel analyses are conducted to confirm the successful disappearance of EM interference. The supramolecular approach of the invention brings several advantages, e.g. (i) facile formation of the inclusion complex under ambient condition makes the pilot-scale study feasible without introducing any special genetic or biochemical techniques; (ii) the relatively small ADM-tag is expected to have little to none effect on the EM structures and functions and (iii) the optimal ratio of **3-CD** functionalization on **PS** beads introduces insignificant changes to the pore size of the column beads and hence safely clear the blood stream.

[0029] From our preliminary capture studies, EM was found to be successfully captured **by** the capture resin. **(Fig 6)** It was observed that average number of EM particles decreased only when treated with **3-CD** tagged capture resin. Increased concentration of **CD-PS** decreased EM particles significantly (Fig **6A).** Further it has been observed that the average hydrodynamic diameter of the EM particles increased after successful capture mediated **by** the **3-CD** tagged resin (Fig **6B). If** EM stability becomes an issue, the 'bait' functional groups may be optimized to reach the maximum stability.

[0030] The adamantane-tagged EM is lyophilized for packaging, transport and/or storage. The lyophilized adamantane-baited EM is a powder comprising EM amphiphilic precursor, cholesterol and **PEG-PE** hemoglobin and allosteric effector, the adamantane tag, and optionally also including cryoprotectants. Reconstitution at the original EM production concentration (or concentrated) is achieved with PBS/water **by** simple mixing and gentle vortexing/agitation.

[0031] It is noted that the bait and capture methods and compositions described herein may be used to remove any type of biosynthetic nanoparticle from any bodily fluid or constituent part thereof.

[0032] While several embodiments and methodologies of the present disclosure have been described and shown in the drawings, it is not intended that the present disclosure be limited thereto, as it is intended that the present disclosure be as broad in scope as the art will allow and that the specification be read likewise. Therefore, the above description should not be construed as limiting, but merely as exemplifications of particular embodiments and methodologies. Those skilled in the art will envision other modifications within the scope of the claims appended hereto.

Claims:

1. A compound having the following formula:

2. **A** composition comprising the compound of claim 1 and a bio-synthetic nano-particle, wherein adamantane functional groups of the compound of claim 1 are located on a surface of the bio-synthetic nano-particle.

3. A composition according to claim **1,** wherein the bio-synthetic nano-particle is a bio synthetic nano-cyte blood substitute.

4. **A** lyophilized composition comprising the compound of claim 1 and a bio-synthetic nano-particle, wherein functional groups of the compound of claim 1 are located on a surface of the bio-synthetic nano-particle blood substitute.

5. A lyophilized composition according to claim 4, wherein the bio-synthetic nano-particle is a bio-synthetic nano-cyte blood substitute.

6. A composition comprising mono-6-0-(p-toluenesulfonyl)-3-cyclodextrin and amino polystyrene beads.

7. A method for removing a bio-synthetic nano-particle from a bodily fluid comprising complexing a compound of claim 1 to a bio-synthetic nano-particle, administering to a subject the bio-synthetic nano-particle complexed to the compound of claim **1,** and subsequently contacting the bodily fluid with mono-6-O-(p-toluenesulfonyl)- β -cyclodextrin functionalized polystyrene beads, to remove said bio-synthetic nano-particle complexed to the compound of claim 1 from said bodily fluid.

8. A method according to claim **7** wherein the bio-synthetic nano-particle is a bio-synthetic nano-cyte blood substitute and the bodily fluid is whole blood.

9. A method according to claim **7,** further comprising lyophilizing a result of said complexing step for packaging and transport, and reconstituting a result of said lyophilizing step prior to said administering step.

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1.3 x 1011
particles/mL. $0.96 \pm 0.005(24.0)$ $0.965 \pm 0.27(43.0)$ $1.24 + 0.018(10.2)$ $0.99 + 0.007(3.9)$ $0.98 + 0.07 (3.2)$

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