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**Systems and methods for determining impact of age related changes in sperm epigenome on offspring phenotype**

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(71) Applicant(s)  
**Douglas T. Carrell;Bradley T. Cairns;Timothy G. Jenkins;Kenneth I. Aston**

(72) Inventor(s)  
**Carrell, Douglas T.;Cairns, Bradley T.;Jenkins, Timothy G.;Aston, Kenneth I.**

(74) Agent / Attorney  
**FPA Patent Attorneys Pty Ltd, Level 43 101 Collins Street, Melbourne, VIC, 3000, AU**

## ABSTRACT

Methods, systems, and diagnostic tests, including test kits for assessing an offspring's risk of developing a disease or condition known or suspected to have a causal or contributing relationship to an age related epigenetic event in a paternal germ line are  
5 disclosed and described.

**SYSTEMS AND METHODS FOR DETERMINING IMPACT OF AGE RELATED  
CHANGES IN SPERM EPIGENOME ON OFFSPRING PHENOTYPE**

**PRIORITY DATA**

5           This application is a divisional of AU 2014308698 and claims the benefit of United States Provisional Patent Application Serial No. 61/868,540, filed August 21, 2013 the entire contents of which are incorporated herein by reference.

**FIELD OF THE INVENTION**

10           The present invention relates to determination of offspring phenotype impact from age related changes in a paternal sperm epigenome. In some aspects, such epigenomic changes may be age associated methylation alterations. Accordingly, the present invention involves the fields of reproductive biology, medicine, and molecular biology.

15           Reference to any prior art in the specification is not an acknowledgement or suggestion that this prior art forms part of the common general knowledge in any jurisdiction or that this prior art could reasonably be expected to be combined with any other piece of prior art by a skilled person in the art.

**DESCRIPTION OF FIGURES**

20           Fig. 1: Shows pyrosequencing results for the LINE-1 global methylation assay. The box plot (A) depicts significantly increased average global methylation with age in paired samples from all 17 donors based on a two tailed t-test ( $p=0.028$ ; A). Global methylation was also stratified based only on age at the time of collection for each sample from all 17 donors (a total of 34 samples with each donor represented twice). The linear regression graph (B) shows that the analysis confirmed significant increases in global sperm DNA  
25           methylation with age ( $p=0.0062$ ; B).

          Fig. 2: Shows graphical representations of the attributes of significant windows identified for both hypermethylation events and hypomethylation events (A and B

respectively). These designations are based on UCSC annotation at the regions of interest. Average  $\beta$ -values for all significant windows (hypomethylation and hypermethylation events) for both aged and young (C). Average decrease in  $\beta$ -value for intra-individual hypomethylation events was approximately 3.9% and for hypermethylation events was 3.2%.  
5 Also shown are results from the co-localization of nucleosomes testing (every region of known histone retention) as well as histone modifications (H3K4 methylation, and H3K27 methylation) with windows of interest (D). Hypermethylation events were less frequently associated with all retained histones (nucleosomes) and loci with H3K27 methylation when compared to hypomethylation events based on Fisher's Exact Test  
10 ( $p=0.002$ ;  $p=0.0107$ ). Co-localization of hypermethylation or hypomethylation events with H3K4 methylation was statistically similar.

Fig. 3: Shows chromosomal loci of each altered region. Loci of interest are depicted by the indicator marks. Marks on the right side are hypomethylation events and marks on the left side are hypomethylation events (A). The Correlation Maps app on the  
15 USeq platform was used to locate any specific chromosomal enrichment of altered methylation windows (i.e. selected or specified region of chromosomal material). Specifically, the application called any 100kb region where at least two significantly altered methylation marks were found. All called chromosomal enrichment regions are displayed (B) though none were found to be significantly enriched over the  
20 background.

Fig. 4: Shows a graphical representation of the frequency of disease associations within the gene set that was analyzed and compared to the frequency of disease associations for all genes known to be associated with at least a single disease based on GAD annotation.

Schizophrenia, bipolar disorder, diabetes mellitus and hypertension were selected as there were at least 3 genes in the small set of identified genes that are associated with these diseases. As shown, bipolar disorder and schizophrenia were more frequently associated with the identified genes than the background set of genes based on Fisher's Exact test with p-values of 0.001 and 0.005 respectively. The frequency of genes associated with hypertension and diabetes mellitus in the two groups was statistically similar.

Fig. 5: Shows graphical representations of various descriptive statistics for both TNXB and DRD4; 2 regions of representative methylation alterations. The alignment track for each gene is displayed in Integrated Genome Browser (IGB) with the associated false discovery rate (FDR) denoting the significance of the change and the absolute log 2 ratio reflecting the magnitude of the alteration (A, B). Scatter plots for each sample from all 17 donors (a total of 34 samples with each donor represented twice) with linear regression lines and associated  $r^2$  values were generated (C, D). Regression analysis revealed a significant decrease in methylation with age at both DRD4 and TNXB ( $p=0.0005$  and  $p=0.003$  respectively). Additionally, the average methylation within each window (DRD4 and TNXB) was plotted for each paired sample set and is displayed for each donor (E, F). In all cases but one (donor #2 at DRD4) average methylation decreased at both DRD4 and TNXB with age in each donor.

Fig. 6: Shows a graphical comparison of MiSeq results to the array results mentioned below at 21 representative regions (A). Because beta-values and fraction methylation are generated in a different manner (array vs. sequencing respectively) they are not directly comparable. As such the fractional difference for each loci and each technology was compared. This is accomplished by the following equation: fractional difference = (aged

value/young value) – 1. (B) The fractional difference between young and aged samples at 15 selected loci as measured by array in the 17 donor samples as well as in the independent cohort (19 samples from individuals  $\geq 45$  years of age and 47 samples from individuals  $< 25$  years of age taken from the general population). On average the fractional difference  
5 identified in the independent cohort (as measured by sequencing) was approximately 2.2 times greater in magnitude than was identified in the 17 donors.

Fig. 7 shows a graphical representation of single molecule analysis testing results. These results revealed 3 distinct alterations that occur with age. (A) DRD4 has only slight alterations associated with age because the young cohort ( $< 45$ ) is strongly hypomethylated  
10 initially, and aging simply amplifies this. RDMR\_2 is representative of many alterations observed in this analysis which had a strong population shift from moderately hypomethylated to hypomethylated. TBKBP1 is representative of sites that had a bimodal distribution methylation patterns in the young group that becomes stabilized with age. (B)  
In every case (DRD4, RDMR\_2, TBKBP1) each region has significant demethylation with  
15 age though the magnitude of change varies.

#### SUMMARY OF EMBODIMENTS

Aspects of the invention involve the identification and use of numerous genomic regions in sperm that undergo age related changes to DNA methylation. Many of these  
20 regions correspond to genes that have been previously implicated in the development of neuropsychiatric disorders including schizophrenia, autism, and bipolar disorder. These disorders have all been shown to occur more frequently in the offspring of older fathers. In addition regions involved in the development of paternal age associated diseases including

spinocerebellar ataxia, myotonic dystrophy and Huntington's disease also displayed age related changes to sperm DNA methylation patterns. One increased risk for these diseases in the offspring of older fathers is epigenetic changes to the sperm methylome. The regions identified as well as additional regions may serve as important biomarkers for risk of  
5 fathering offspring with these disorders. These biomarkers may be important in men regardless of age because of natural intra-individual variation in the sperm methylome.

Analysis of the sperm DNA methylome as a prognostic tool carries significant advantages. The test is completely noninvasive, requiring only a semen sample, and assessment of the methylation status of male gametes offers the most direct prediction of  
10 methylation patterns that can be transmitted to offspring. Such patterns may be predictive of the conditions or diseases recited herein among others.

The data presented herein may serve as a foundation for the sperm diagnostic tests to assess the risk of transmission of epigenetic alterations through the male germ line that may cause disease, or increase the risk of disease development, in offspring. Potential  
15 methodologies to screen for important methylation alterations in sperm include without limitation, region specific bisulfite pyrosequencing, array based methylation analysis (e.g. Illumina HumanMethylation450 array, a custom array, or ethyl DNA immunoprecipitation [MeDIP] array analysis), or methyl sequencing (whole genome, region specific, or methyl capture sequencing, or MeDIP sequencing). Two broad applications include the analysis of  
20 risk to patients attempting to conceive, as well as the possible use of selecting sperm using sperm selection procedures that may transmit a lower risk.

In one invention embodiment, a method for identifying a subject at risk for a disease or condition attributable to an age-related epigenetic event in the subject's father is provided.

Such a method may include obtaining a sample of the father's sperm; and identifying an age related epigenetic event in the father's sperm methylome that is linked to the disease or condition.

In another invention embodiment, a method for identifying a subject's risk for a disease or condition attributable to an age-related epigenetic event in the subject's father is provided. Such a method may in some aspect include obtaining a sample of the father's sperm; and identifying an age related epigenetic event in the father's sperm methylome that is linked to the disease or condition.

In yet another invention embodiment, a method of assessing a risk for a male subject to contribute to a disease or condition in an offspring to be sired is provided. In some aspects, such a method may include obtaining a sample of the subject's sperm; and identifying an age related epigenetic event in the sperm methylome that is known or suspected to cause or contribute to the disease or condition in the offspring.

In an additional invention embodiment is provided, a method of reducing or eliminating a risk of developing a disease or condition in an offspring which is known to relate to an epigenetic event in a paternal sperm methylome. Such a method can include, for example, identifying a disease or condition of concern; obtaining a sample of the paternal sperm; analyzing the sperm to ascertain the presence or absence of an epigenetic event known to relate to the identified disease or condition; and employing a sperm selection procedure that reduces or eliminates sperm having the identified epigenetic event.

In another invention embodiment, a system is provided for determining an offspring's risk of developing a disease or condition known or suspected to have a causal or contributing relationship (i.e. attributable or attributed) to an age related epigenetic event in a paternal



sperm methylome. In one aspect, such a system can include information identifying a disease or condition and correlating the disease or condition with a specific epigenetic event in the paternal sperm methylome; equipment configured to receive a sperm sample from the potential paternal source; equipment configured to analyze the sperm sample and identifying  
5 the presence or absence the epigenetic event; and an output that reports analysis results.

A further invention embodiment provides a sperm diagnostic test for assessing a risk of transmitting age related epigenetic alterations through a male germline which are known or suspected to increase a risk of disease or condition development in an offspring. In one aspect, such a test can include information identifying a disease of interest and correlating  
10 the disease with a specific epigenetic event in the male's sperm methylome; equipment capable of receiving a sperm sample from the male; and equipment capable of analyzing the sperm sample and identifying the presence or absence the epigenetic event.

An additional invention embodiment provides a diagnostic test kit for facilitating assessment of a risk of transmitting age related epigenetic alterations through a male  
15 germline which are known or suspected to increase a risk of disease development in an offspring. In one aspect, such a kit can include information identifying a disease of interest and correlating the disease with a specific epigenetic event in the male's sperm methylome; equipment capable of receiving a sperm sample from the male; and a set of instructions for processing the sperm sample using equipment capable of analyzing the sperm sample and  
20 identifying the presence or absence the epigenetic event. In an additional aspect, the set of instructions can information for processing the sperm sample using multiple different techniques and equipment capable of processing the sperm sample and identifying the presence or absence of the epigenetic event.

A number of diseases or conditions can be indicated, or the risk therefore, such as a heightened risk can be indicated by the methods and use of the systems, tests, or kits recited herein. However, in one aspect, the disease or condition can be a mental disease or condition. In another aspect, the mental disease or condition is a member selected from the group consisting of: schizophrenia, autism, and bipolar disorder. In a further aspect, the disease or condition is bipolar disorder and a gene associated with the disorder is a member selected from the group consisting of: BCL11A, ATN1, DRD4, PTPRN2, SSTR5, or a combination thereof. In yet another aspect, the disease or condition is schizophrenia and a gene associated with therewith is a member selected from the group consisting of: CL11A, ATN1, DRD4, PTPRN2, SSTR5, or a combination thereof.

Other diseases or conditions can also be indicated, or the risk therefore, such as a heightened risk or a lowered risk. In one aspect, such diseases or conditions can include without limitation diabetes mellitus, hypertension, spinocerebellar ataxia, myotonic dystrophy, or Huntington's disease as well as others. Nearly any disease or condition known or otherwise correlated with specific epigenetic events in the sperm methylome can be evaluated.

#### **DESCRIPTION OF EMBODIMENTS**

Before the present invention is disclosed and described, it is to be understood that this invention is not limited to the particular structures, process steps, or materials disclosed herein, but is extended to equivalents thereof as would be recognized by those ordinarily skilled in the relevant arts. It should also be understood that terminology employed herein is

used for the purpose of describing particular embodiments only and is not intended to be limiting.

It must be noted that, as used in this specification and the appended claims, the singular forms “a,” “an,” and “the” include plural referents unless the context clearly dictates otherwise. Thus, for example, reference to “a promoter” includes one or more of such promoters and reference to “the histone” includes reference to one or more of such histones.

In describing and claiming the present invention, the following terminology will be used in accordance with the definitions set forth below.

As used herein, “subject” refers to a mammal of interest that may contribute to or experience a genetic abnormality resulting from an epigenetic abnormality in sperm. Examples of subjects include humans, and may also include other animals such as horses, pigs, cattle, dogs, cats, rabbits, and aquatic mammals.

As used herein, “comprises,” “comprising,” “containing” and “having” and the like can have the meaning ascribed to them in U.S. Patent law and can mean “includes,” “including,” and the like, and are generally interpreted to be open ended terms. The terms “consisting of” or “consists of” are closed terms, and include only the components, structures, steps, or the like specifically listed in conjunction with such terms, as well as that which is in accordance with U.S. Patent law. “Consisting essentially of” or “consists essentially of” have the meaning generally ascribed to them by U.S. Patent law. In particular, such terms are generally closed terms, with the exception of allowing inclusion of additional items, materials, components, steps, or elements, that do not materially affect the basic and novel characteristics or function of the item(s) used in connection therewith. For example, trace elements present in a composition, but not affecting the compositions nature

or characteristics would be permissible if present under the “consisting essentially of” language, even though not expressly recited in a list of items following such terminology. When using an open ended term, like “comprising” or “including,” it is understood that direct support should be afforded also to “consisting essentially of” language as well as  
5 “consisting of” language as if stated explicitly and vice versa.

The terms “first,” “second,” “third,” “fourth,” and the like in the description and in the claims, if any, are used for distinguishing between similar elements and not necessarily for describing a particular sequential or chronological order. It is to be understood that any terms so used are interchangeable under appropriate circumstances such that the  
10 embodiments described herein are, for example, capable of operation in sequences other than those illustrated or otherwise described herein. Similarly, if a method is described herein as comprising a series of steps, the order of such steps as presented herein is not necessarily the only order in which such steps may be performed, and certain of the stated steps may possibly be omitted and/or certain other steps not described herein may possibly be added to  
15 the method.

As used herein, the term “substantially” refers to the complete or nearly complete extent or degree of an action, characteristic, property, state, structure, item, or result. For example, an object that is “substantially” enclosed would mean that the object is either completely enclosed or nearly completely enclosed. The exact allowable degree of deviation  
20 from absolute completeness may in some cases depend on the specific context. However, generally speaking the nearness of completion will be so as to have the same overall result as if absolute and total completion were obtained. The use of “substantially” is equally applicable when used in a negative connotation to refer to the complete or near complete

lack of an action, characteristic, property, state, structure, item, or result. For example, a composition that is “substantially free of” particles would either completely lack particles, or so nearly completely lack particles that the effect would be the same as if it completely lacked particles. In other words, a composition that is “substantially free of” an ingredient or  
5 element may still actually contain such item as long as there is no measurable effect thereof.

As used herein, the term “about” is used to provide flexibility to a numerical range endpoint by providing that a given value may be “a little above” or “a little below” the endpoint. Furthermore, it is to be understood that express support is provided herein for exact numerical values even when the term “about” is used in connection therewith.

10 As used herein, a plurality of items, structural elements, compositional elements, and/or materials may be presented in a common list for convenience. However, these lists should be construed as though each member of the list is individually identified as a separate and unique member. Thus, no individual member of such list should be construed as a de facto equivalent of any other member of the same list solely based on their presentation in a  
15 common group without indications to the contrary.

Concentrations, amounts, and other numerical data may be expressed or presented herein in a range format. It is to be understood that such a range format is used merely for convenience and brevity and thus should be interpreted flexibly to include not only the numerical values explicitly recited as the limits of the range, but also to include all the  
20 individual numerical values or sub-ranges encompassed within that range as if each numerical value and sub-range is explicitly recited. As an illustration, a numerical range of “about 1 to about 5” should be interpreted to include not only the explicitly recited values of about 1 to about 5, but also include individual values and sub-ranges within the indicated

range. Thus, included in this numerical range are individual values such as 2, 3, and 4 and sub-ranges such as from 1-3, from 2-4, and from 3-5, etc., as well as 1, 2, 3, 4, and 5, individually. This same principle applies to ranges reciting only one numerical value as a minimum or a maximum. Furthermore, such an interpretation should apply regardless of the  
5 breadth of the range or the characteristics being described.

The effects of advanced paternal age have only recently become of interest to the scientific community as a whole. This interest has likely arisen as a result of recent studies that suggest an association with increased incidence of diseases and abnormalities in the offspring of older fathers. Specifically, offspring sired by aged fathers have been shown to  
10 have increased incidence of neuropsychiatric disorders (autism, bipolar disorder, schizophrenia, etc.), trinucleotide repeat associated diseases (myotonic dystrophy, spinocerebellar ataxia, Huntington's disease, etc.), as well as some forms of cancer. Though such reports are interesting, very little is known about the etiology of the increased frequency of diseases in the offspring of older fathers. Among the most likely contributing  
15 factors to this phenomenon are epigenetic alterations in the male's (i.e. father's) sperm that can be passed on to the offspring.

These studies are in striking contrast to the previously held dogma that the mature sperm are capable only of the safe delivery of the paternal DNA and little more. However with increased investigation has come mounting evidence that the sperm epigenome is not  
20 only well suited to facilitate mature gamete function but is also competent to contribute to events in embryonic development. It has been established that even through the dramatic nuclear protein remodeling that occurs in the developing sperm, involving the replacement of histone proteins with protamines, some nucleosomes are retained. This retention is at

important genomic loci for development suggesting that the sperm epigenome is well suited to poise the paternal DNA for embryogenesis. Similar DNA methylation marks in the sperm have been identified as well. Such data support the position that the sperm epigenome is not only well suited to facilitate mature sperm function, but that it also contributes to events  
5 beyond fertilization.

The contribution of the sperm appears to reach beyond embryogenesis as well. One study involving the offspring of fathers who were exposed to famine conditions supports the concept that sperm, independent of gene mutation, may be capable of affecting phenotype in the offspring. Recently, studies utilizing animal models have discovered similar patterns that  
10 comport with the epidemiological data. Specifically, in male animals fed a low protein diet, offspring have altered cholesterol metabolism in hepatic tissue. One causal candidate that may drive these effects is DNA methylation.

Methylation marks at cytosine residues, typically found at cytosine phosphate guanine dinucleotides (CpGs), in the DNA are capable of regulatory control over gene  
15 activation or silencing and are additionally believed to help prevent alternative transcription start sites. These roles are dependent on location relative to gene architecture (promoter, exon, intron, etc.). Because these marks are capable of driving changes that may affect phenotype and are heritable they provide a logical candidate for the inheritance of increased disease susceptibility from the father. Age associated sperm DNA methylation alterations at  
20 given loci may in some aspects, contribute to the increased incidence of various diseases that can occur in the offspring of older fathers.

The present inventors have discovered, in general, that sperm DNA methylation marks are robust within individuals as they age, though there are alterations that can occur.

Based on pyrosequencing analysis global sperm DNA is significantly hypermethylated with age (Fig. 1). In addition to this global change multiple regions of age-associated methylation alterations were identified. Intra-individual regional methylation alterations between paired samples (young and aged) that consistently occur within the same genomic windows in most or all of the donors screened are also identified. Such alterations occur whether the individual collected the samples in their 20's and 30's or in their 50's and 60's. Specifically, the present window analysis, coupled with regression analysis as an additional filter, reveals a total of 139 regions that are significantly hypomethylated with age ( $\text{Log}_2 \text{ratio} \leq -0.2$ ) and 8 regions that are significantly hypermethylated with age ( $\text{Log}_2 \text{ratio} \geq 0.2$ ) as shown in Table 1. The average called window is approximately 887 base pairs in length and contains an average of 5 CpGs with no fewer than 3 in any significant window. Of the 139 hypomethylated regions 112 are associated with a gene (at either the promoter or the gene body) and of the 8 hypermethylated regions 7 are gene associated. In one case identified 3 significantly hypomethylated windows within a single gene (PTPRN2) were identified. Thus there were a total of 110 genes with age-associated hypomethylation.



Table 1: Genomic Features of Significantly Altered Windows

ARC	Gene Body	North Shore	N/A	-0.2433	65.69	0.1902
ATHL1	Gene Body	Island/South Shore	N/A	-0.2932	65.69	0.1714
ATN1	Promoter	North Shelf	N/A	-0.3702	65.69	0.4421
ATXN7L3	Promoter	North Shore	N/A	-0.2158	65.69	0.3413
BEGAIN	Promoter	South Shore	N/A	-0.2747	65.69	0.4085
BLCAP	Gene Body	North Shore	N/A	-0.2366	65.69	0.4881
C1orf122	Promoter	North Shore	N/A	-0.2272	65.69	0.5157
C6orf48	Gene Body	South Shore	N/A	-0.2061	65.69	0.1544
CCDC114	Promoter	North Shore	N/A	-0.3703	65.69	0.5512
CCDC144NL	Promoter / Gene Body	Island	N/A	0.2034	65.69	0.1989
CFD	Promoter	North Shore	N/A	-0.2795	65.69	0.3099
CLIC1	Gene Body	South Shore	N/A	-0.2159	65.69	0.2098
CNN1	Promoter / Gene Body	N/A	N/A	-0.2591	65.69	0.2501
CNTNAP1	Promoter	North Shore	RDMR	-0.2157	65.69	0.3904
DLL1	Gene Body	Island/North Shore	N/A	-0.2937	65.69	0.1544
DOK1	Promoter	North Shore	CDMR	-0.2528	65.69	0.4926
DRD4	Gene Body	Island	N/A	-0.5705	65.69	0.3172
EFCAB4A	Gene Body	Island	N/A	-0.3166	65.69	0.2888
ELANE	Promoter / Gene Body	North Shore	N/A	-0.5163	65.69	0.1359
GAPDH	Promoter	North shore	RDMR	-0.2191	65.69	0.2135
GET4	Promoter	Island/North Shore	N/A	-0.2080	65.69	0.316
GPANK1	Gene Body	North Shore	RDMR	-0.2451	65.69	0.3234
GPR45	Promoter / Gene Body	Island/North Shore	N/A	-0.2399	65.69	0.3908
KCNF1	Gene Body	Island	N/A	-0.3344	65.69	0.1838
KCNQ1	Gene Body	Island/North Shore	N/A	-0.2991	65.69	0.2046
LOC154449	Promoter	North Shelf	N/A	-0.2064	65.69	0.122
MIR22HG	Gene Body	North Shore	N/A	-0.2347	65.69	0.2404
MPPED1	Gene Body	Island	N/A	-0.2851	65.69	0.1553
N/A	N/A	HMM Island	N/A	-0.2041	65.69	0.2629
N/A	N/A	Island/North Shore	N/A	-0.2363	65.69	0.3355
N/A	N/A	North Shore	N/A	-0.3082	65.69	0.2066
N/A	N/A	Island/North Shore	N/A	-0.3820	65.69	0.1795
PCOLCE	Promoter / Gene Body	North Shore	N/A	-0.2438	65.69	0.1543
PITPNM1	Promoter	North Shore	N/A	-0.2669	65.69	0.4935
PPP1R18	Gene Body	Island/North Shore	N/A	-0.2754	65.69	0.3867
PRSS22	Promoter	South Shore	N/A	-0.2486	65.69	0.5034
PYY2	Promoter / Gene Body	North Shore	N/A	-0.3241	65.69	0.6317
SECTM1	Gene Body	Island	N/A	-0.2568	65.69	0.3782
SYNE4	Promoter	North Shore	N/A	-0.2383	65.69	0.5805

TBKBP1	Gene Body	Island	N/A	-0.2449	65.69	0.4863
THBS3	Promoter / Gene Body	North Shore	N/A	-0.2657	65.69	0.5953
TNXB	Gene Body	Island	N/A	-0.3319	65.69	0.2436
UTS2R	Promoter / Gene Body	Island/North Shore	N/A	-0.2767	65.69	0.2616
ZNF358	Promoter / Gene Body	Island/North Shore	N/A	-0.2473	65.69	0.1876
KDM2B	Promoter	South Shore	RDMR	-0.3003	65.67	0.241
NSG1	Promoter	North Shore	N/A	-0.2899	65.47	0.5232
SEZ6	Gene Body	Island/North Shore	N/A	-0.4530	65.05	0.43
LMO3	Promoter	N/A	N/A	-0.3627	64.24	0.2074
HOXA10	Promoter / Gene Body	Island/North Shore	N/A	-0.2148	64.21	0.3354
DAPK3	Promoter	North Shore	RDMR	-0.3932	63.18	0.3728
N/A	N/A	Island/North Shore	N/A	-0.3281	62.21	0.2824
N/A	N/A	South Shore	N/A	-0.2993	62.03	0.125
NSMF	Gene Body	Island/North Shore	N/A	-0.2249	61.30	0.329
TOR4A	Promoter	Island/North Shore	N/A	-0.3046	61.09	0.3998
LDLRAD4	Promoter	N/A	N/A	-0.2502	60.61	0.264
N/A	N/A	North Shore	RDMR	-0.2866	58.83	0.5618
PTPRN2_3	Gene Body	North Shore	N/A	-0.2391	58.31	0.151
SSTR5	Gene Body	Island/North Shore	N/A	-0.2381	57.88	0.1457
LOC134368	Gene Body	South Shore	RDMR	-0.2695	57.71	0.292
GRB7	Promoter	N/A	N/A	-0.2087	57.48	0.3144
GNB2	Gene Body	South Shore	N/A	-0.2238	57.45	0.1312
SNHG1	Promoter	North Shore	N/A	-0.2004	57.39	0.404
LOC653566	Promoter	N/A	N/A	-0.2929	56.31	0.2672
N/A	N/A	HMM Island	N/A	-0.2479	56.06	0.1969
PDE4C	Gene Body	Island/South Shore	N/A	-0.2858	55.53	0.4673
DLGAP2	Gene Body	Island/North Shore	N/A	-0.2109	55.49	0.1296
MRPL36	Gene Body	North Shore	N/A	-0.2268	55.34	0.3998
NCOR2	N/A	HMM Island	N/A	-0.2106	55.34	0.583
N/A	N/A	North Shore	CDMR	-0.2107	54.57	0.1157

N/A	N/A	N/A	CDMR	-0.2813	52.81	0.2763
KCNA7	Promoter	South Shore	N/A	-0.3664	52.24	0.5066
CACNA1H	Gene Body	South Shore	N/A	-0.2855	51.96	0.1695
IRS4	Gene Body	North Shore	RDMR/CDMR	-0.2273	51.23	0.2364
KRT19	Promoter	South Shore	N/A	-0.2701	51.08	0.3463
LRFN2	Gene Body	North Shore	RDMR	-0.2525	51.08	0.2967
WFDC1	Gene Body	Island	N/A	-0.2966	50.49	0.2675
APBA2	Promoter	N/A	N/A	-0.3989	50.10	0.3216
USP36	Gene Body	North Shore	RDMR	-0.3108	49.92	0.2693
PAX2	Gene Body	South Shore	N/A	-0.3545	49.15	0.2825
PTPRN2_1	Gene Body	North Shore	N/A	-0.2828	48.41	0.3052
N/A	N/A	North Shore	RDMR	-0.2138	47.98	0.4739
N/A	N/A	HMM Island	N/A	-0.2144	47.75	0.2672
UNKL	Promoter / Gene Body	Island/North Shore	N/A	-0.2483	47.55	0.4327
FAM86JP	Promoter	Island/North Shore	N/A	0.2012	47.43	0.2884
TTC7B	Promoter	South Shore	N/A	-0.2192	47.25	0.5194
FAM86C2P	Promoter / Gene Body	Island	N/A	0.2310	46.89	0.2156
GRIN1	Gene Body	Island/North Shore	N/A	-0.3017	46.65	0.2898
LFNG	Gene Body	South Shore	N/A	-0.3641	46.65	0.1898
N/A	N/A	HMM Island	N/A	0.2835	46.65	0.3944
N/A	N/A	North Shore	RDMR	-0.3885	46.65	0.5595
SOHLH1	Promoter / Gene Body	Island/North Shore	N/A	-0.2081	46.39	0.1542
N/A	N/A	South Shore	RDMR	-0.3423	46.34	0.1679
N/A	N/A	Island/North Shore	N/A	-0.2100	46.34	0.3924
SLC22A18AS	Gene Body	South Shore	N/A	-0.2397	46.34	0.5081
PURA	Promoter	Island/North Shore	N/A	-0.2042	46.08	0.4237
NFAT5	Promoter	North Shore	RDMR	-0.2129	46.05	0.1748
DMPK	Gene Body	Island	N/A	-0.3335	46.04	0.2442
LOC100133461	Promoter	North Shelf	N/A	-0.4967	46.04	0.3899
N/A	N/A	Island/North Shore	CDMR	-0.2369	46.04	0.4311
N/A	N/A	HMM Island	N/A	-0.3640	46.04	0.2529
PTPRN2_2	Gene Body	Island/North Shore	N/A	-0.2666	46.04	0.1169
PITX1	Gene Body	North Shore	CDMR	-0.2952	45.96	0.1888
ARHGFE10	Gene Body	N/A	N/A	-0.3564	45.72	0.2585
N/A	N/A	North Shore	N/A	-0.7087	45.72	0.222
PALM	Gene Body	Island	N/A	-0.2109	45.72	0.3631
C7orf50	Gene Body	North Shore	N/A	-0.2133	45.54	0.1568
SEMA6B	Gene Body	Island/North Shore	CDMR	-0.3163	45.39	0.3574
FOXP1	Gene Body	South Shore	RDMR	-0.4457	45.27	0.4838
FAM86C1	Promoter / Gene Body	Island	N/A	0.2260	45.18	0.1453
ADAMTS8	Promoter	South Shore	N/A	-0.2193	44.74	0.5308

N/A	N/A	North Shore	N/A	-0.2771	44.67	0.2686
EDARADD	Promoter	North Shore	N/A	-0.2506	44.52	0.3686
FAM86B2	Promoter	Island	N/A	0.2238	44.48	0.2209
AGRN	Promoter	South Shore	N/A	-0.5087	44.46	0.3049
LEMD2	Promoter	North Shore	N/A	-0.2055	44.46	0.414
MTMR8	Promoter / Gene Body	Island/North Shore	N/A	0.2070	44.27	0.3698
MIR9-3	Promoter	Island/North Shore	N/A	-0.2235	44.17	0.4838
KRT7	Promoter	North shore	N/A	-0.2041	44.15	0.276
NKX2	Promoter	Island/North Shore	RDMR	-0.3287	44.01	0.3185
N/A	N/A	North Shore	N/A	-0.2408	43.86	0.3225
N/A	N/A	North Shore	RDMR	-0.3785	43.86	0.6517
N/A	N/A	North Shore	RDMR	-0.3876	43.56	0.3218
USP6NL	Gene Body	Island	N/A	-0.4037	43.54	0.1384
N/A	Promoter	North Shore	N/A	-0.2067	43.22	0.3973
N/A	N/A	Island	N/A	-0.2748	42.66	0.5203
NBLA00301	Gene Body	North Shore	RDMR	-0.2964	42.35	0.5779
AJAP1	Gene Body	South Shore	RDMR	-0.3908	42.06	0.1215
CRYBA2	Gene Body	North Shore	N/A	-0.2093	42.06	0.587
CTF1	Promoter	South Shore	N/A	-0.2488	42.06	0.501
FOXF2	Gene Body	South Shore	RDMR/CDMR	-0.2036	41.96	0.3976
MAP4K1	Promoter	North Shore	N/A	-0.2117	41.91	0.3082
N/A	N/A	HMM Island	N/A	-0.2422	41.86	0.2107
BCL11A	Gene Body	N/A	N/A	0.2415	41.79	0.2955
N/A	N/A	North Shore	RDMR	-0.2307	41.76	0.529
LONP1	Gene Body	Island	N/A	-0.2769	41.19	0.3134
N/A	N/A	HMM Island	N/A	-0.2885	41.19	0.3396
TBC1D10A	Gene Body	North Shore	N/A	-0.3085	41.19	0.528
CALCA	Gene Body	North Shore	N/A	-0.2781	40.89	0.2362
DNMT3B	Gene Body	South Shore	RDMR	-0.3683	40.89	0.2687
VAX2	Gene Body	North Shore	RDMR	-0.2485	40.89	0.3199
ZFPM1	Gene Body	Island	N/A	-0.2848	40.76	0.1458
OXLD1	Gene Body	North Shore	N/A	-0.2737	40.60	0.3644
FSCN1	Gene Body	South Shore	RDMR	-0.3639	40.31	0.3546
FXVD6	Promoter	South Shore	N/A	-0.3141	40.31	0.2952
NADK	Promoter	South Shore	RDMR	-0.2196	40.31	0.3951
PARP12	Gene Body	North Shore	CDMR	-0.2035	40.31	0.3821
TBX5	Promoter / Gene Body	Island/North Shore	N/A	-0.2904	40.13	0.3641

The significant loci identified in the analyses are located at various genomic features. The majority of hypomethylation events with age occur at CpG shores and not in CpG islands themselves, whereas hypermethylation events are more commonly associated with CpG islands as shown in Fig. 2 A-B. In most cases age-associated methylation alterations occur at regions that may likely be of impact to gene transcription (gene body, promoters). However, the data also indicate that these alterations are relatively subtle with intra-individual  $\beta$ -value decreases of approximately 0.039 on average ranging from a  $\beta$ -value decrease of 0.01 to 0.104 between paired samples (young and aged) for hypomethylation events. Similarly, for hypermethylation alterations with age the average  $\beta$ -value increase within a window was approximately 0.032 as shown in Fig. 2C. These alterations all occur in windows with an average initial  $\beta$ -value of  $<0.6$  at the first collection and the majority (68% of Hypomethylation events and 50% of hypermethylation events) are also considered to have intermediate methylation based on conventional standards:  $\beta$ -value  $<0.2$  considered hypomethylated, a value between 0.2 and 0.8 considered intermediate, and a value  $>0.8$  considered hypermethylated.

Additionally analyzed is the co-localization of windows of age associated methylation alterations with known regions of nucleosome retention in the mature sperm, as well as regions where specific histone modifications are found based on additional research. It was found that approximately 88% of regions that are hypomethylated with age are found within 1 kb of known nucleosome retention sites in the mature sperm as shown in Fig. 2D. Loci that are hypermethylated with age are far less frequently found in regions of histone retention, with only approximately 37.5% being associated with sites where nucleosomes are found. This difference was significant based on a Fisher's exact test. Similarly, some

loci with age-associated hypomethylation are associated with either H3K4 methylation or H3K27 methylation (23% of the loci and 45.3% of the loci respectively). The same co-localization is very rare with hypermethylation events. Additionally analyzed was chromosomal enrichment of these significant marks to determine if there are specific chromosomal regions that are more susceptible to methylation alterations with age. It was found a random distribution of significant age-associated methylation alterations throughout the entire genome with no one chromosomal region being significantly enriched as shown in Fig. 3.

The genes affected by the age associated methylation alterations (those that have alterations that occur at their promoter, or gene body) were analyzed by Pathway, GO and disease association analysis. The results indicate that no one GO term or Pathway is significantly altered in the gene group. Similarly, there were no significant diseases or disease classes associated with the genes identified in this study with the use of the disease association tool on DAVID. However the most significant disease hits (those that were significant prior to multiple comparison correction) have both been suggested to have increased incidence in the offspring of older fathers, namely myotonic dystrophy and schizophrenia.

Disease association(s) in the identified genes were searched using the National Institute of Health's (NIH) genetic association database (GAD), which is utilized in DAVID's disease association analysis algorithm. All 117 genes were investigated and were determined to have age associated methylation alterations (110 hypomethylated; 7 hypermethylated) for their various disease associations. A total of 46 genes from the group were confirmed to be associated with either a phenotypic alteration or a disease based on

GAD annotation. 4 diseases were identified that had known associations with at least 3 of the genes (diabetes mellitus, hypertension, bipolar disorder and schizophrenia). The frequency of genes associated with these 4 diseases from the identified gene group were analyzed and compared to their frequency in all 11,306 genes known to be associated with either a phenotypic alteration or a disease. This analysis revealed that both bipolar disorder and schizophrenia were more frequently associated with the identified set of genes than the background set of genes based on Fisher's Exact test with p-values of 0.001 and 0.005 respectively as shown in Fig. 4. The frequency of genetic association between the presently identified gene set and the background gene set was statistically similar for both hypertension and diabetes mellitus.

In some aspects, the present invention involves identification of alterations to sperm DNA methylation associated with age. The data reported are in contrast with previous reports of age-associated methylation alterations in somatic cells. For example, some reports suggest age associated global hypomethylation with regional (gene associated) hypermethylation in somatic tissue. In contrast, the present data reveal age-associated hypermethylation globally with a strong bias toward hypomethylation regionally. While the methylation alterations disclosed herein are relatively subtle they are strikingly significant and are common among individuals at various ages and intervals between collections, suggesting that these regions are consistently altered over time in a linear fashion. Importantly, many significantly altered regions are at loci that likely contribute to various diseases known to have increased incidence (i.e. of abnormality or disease) in the offspring of older fathers. Coupling these with the present data demonstrating that no one GO term or Pathway is up or down-regulated in the sperm as a result of the aging process, allows the

present inventors to conclude that the alterations observed are a result of regional genomic susceptibility to methylation alteration. This also comports well with the linear nature of the alterations that were observed.

The attributes of regions that were determined to be most susceptible to methylation alterations were analyzed by evaluation of the co-localization of significantly altered loci with regions of known nucleosome retention in the mature sperm. It is discovered that hypomethylation events are most commonly associated with sites of nucleosome retention. This same co-localization was not seen with hypermethylation events.

In some aspects, "selfish spermatogonial selection" may have application in the present invention. This concept states that some gene mutations that are causative of abnormalities in the offspring are beneficial to spermatogenesis and, as a result, are selected for throughout the aging process in the spermatogonial stem cell. Thus, the sperm selfishly select for these mutations at specific loci to the detriment of the offspring. Similarly, the age-associated methylation alterations identified may be in regions that are important to spermatogenesis and thus would be selected for. The hypomethylation events that are selected for could occur as a result of either active or passive demethylation. Specifically, regional transcription activity at loci important in spermatogenesis would likely be accompanied by a relaxed chromatin structure that could result in increased frequency of DNA damage over time. Established methylation marks located within this region could then be passively removed through repair mechanisms in the developing sperm. If the removal of this mark is either beneficial or has no effect on spermatogenesis it will persist, and over time similar marks could accumulate at nearby CpGs ultimately leading to the profiles identified herein. In contrast to this passive methylation removal would be active



enzymatic removal of methylation marks in the sperm. In this circumstance hypomethylation in the windows identified is always beneficial to spermatogenesis. In some aspects, the effects identified herein may involve some combination of both mechanisms.

The mechanics of hypermethylation events with age may be an active targeted process with the use of methyltransferase enzymes. However, a possible mechanism for at least a portion of these events can be inferred from the present data. Out of only 7 windows with gene-associated hypermethylation with age, 4 are associated with the FAM86 family of genes that are categorized not by protein function or genomic location but sequence similarity. In some aspects, age associated hypermethylation events at specific loci are driven, either directly or indirectly, by DNA sequence. Interestingly, this family of genes (FAM86) with unknown function has recently been categorized with a larger family of methyltransferase genes. Both active and passive methylation modification can contribute to the herein recited issues.

Regardless of the mechanism by which these methylation marks are altered in the sperm over time, it is striking that these changes occur with such consistency between individuals and have such a tight association with age. One limitation of these findings however is the magnitude of alterations discovered. As described earlier the average intra-individual alteration at any given window was approximately a  $\beta$ -value change of 0.039 (effectively a change of 3.9%). Though this seems relatively small, when expanded to include the possible reproductive years in a male (approximately age 20-60) the change would be 10-12%. It is important to understand the nature of what these  $\beta$ -values actually mean in the context of the male gamete. Because of the heterologous nature of the sperm

population, a change of this magnitude in average  $\beta$ -value over a window including multiple CpGs can be considered in two different ways. First, that a decrease of 10-12% reflects a complete methylation erasure (from fully methylated to fully demethylated at all CpGs within a given window) in 10-12% of the sperm population. Second, that the observed  $\beta$ -value alterations reflect changes to random CpGs within windows of susceptibility in all sperm, which would manifest in an individual sperm as a hemi-methylated region of interest. The resultant 10-12 % change in methylation within every individual sperm (effectively 1 out of every 10 CpGs are demethylated) suggests that every sperm carries similar, yet more subtle, alterations within these windows on average. It is likely that the degree and distribution of these alterations throughout the entire sperm population varies greatly depending on the region of interest and the demethylation process (active or passive). The resultant epigenetic landscape alterations in either case may contribute to disease susceptibility in the offspring despite the small degree of change across the whole population though the increased risk to the offspring may be relatively small. Fig. 5 gives a breakdown of the alterations seen at two representative loci, DRD4 and TNXB.

In some aspects of the present invention the identified age-associated methylation alterations in the mature sperm could be removed through the embryonic demethylation wave. It should be noted that the observed age-associated changes at regions known to be of significance in diseases with increased incidence in the offspring of aged males is striking. The localization of these alterations suggests that the methylation profile in the mature sperm, at specific loci, either contribute to the increased incidence of associated abnormalities in the offspring or that they reflect (are downstream of) changes that are actually causative of the associated abnormalities in the offspring. Moreover, epigenetic

alterations are among the most likely candidates to transmit such transgenerational effects, and methylation alterations have been identified that appear capable of contributing to the various pathologies associated with advanced paternal age.

Taken together, these subtle yet highly significant age-associated alterations to the sperm methylation profile are important because of their location and consistency. There are many clear cases in the current set of genes that, if affected, may result in pathologies in the offspring. Dopamine receptor D4 (DRD4) is one of the most influential genes in the pathology of both schizophrenia and bipolar disorder as well as many other neuropsychiatric disorders. The entire DRD4 gene itself is strongly hypomethylated with age as shown in Fig. 5. TNXB may also be associated with schizophrenia. Virtually the entire 1st exon of TNXB is also hypomethylated with age. Additionally, DMPK is associated with myotonic dystrophy, a disease believed to be have paternal age as a risk factor. In fact, DMPK is believed to be the cause of myotonic dystrophy type 1. It is known that this disease is associated with trinucleotide expansion and other data suggests that altered methylation marks are associated with trinucleotide instability. DMPK is known to be altered via trinucleotide repeats. These examples help establish the role that age associated DNA methylation alterations play in the etiology of various diseases associated with advanced paternal age.

Important aspects are two-fold. First, that there are any age-associated alterations common among such a varied study population is remarkable. Specifically, age-associated methylation alterations occur in the sperm regardless of whether the ages between collections are approximately 20 to 30 years of age or 50 to 60 years of age. Second, the increased frequency of genes associated with bipolar disorder and

schizophrenia when compared to all genes associated with disease provides a mechanism by which aged fathers may pass on increased susceptibility of these specific disorders known to have increased incidence in the offspring of older fathers. Though frequently hypothesized, this work comprises, to the best of the inventors' knowledge, the first  
5 direct evidence suggesting the plausibility of epigenetic alterations in the sperm of aged fathers influencing, or even causing, disease in the offspring.

#### *Examples*

##### Sample Collection

Samples from 17 sperm donors were accessed (of known fertility) that were collected  
10 in the 1990's. These samples were compared to a second group of paired samples from each donor that were collected in 2008. These samples are referred to as young (1990's collection) and aged (2008 collection) samples. The age difference between each collection varied between 9 and 19 years, and the age at first collection ("young" sample) was between 23 and 56 years of age. At every collection donors were required to strictly follow the  
15 collection instructions, which include abstinence time of between 2 and 5 days prior to sampling. The whole ejaculate (no sperm selection method was employed) collected at each visit was frozen in a 1:1 ratio with Test Yolk Buffer (TYB; Irvine Scientific, Irvine, CA) and stored in liquid nitrogen prior to DNA isolation. Samples were thawed and the DNA was extracted simultaneously to decrease batch effects. Prior to DNA extraction samples  
20 underwent somatic cell lysis by incubation in a somatic cell lysis buffer (0.1% SDS, 0.5% Triton X-100 in DEPC H<sub>2</sub>O) for 20 min on ice to eliminate white blood cell contamination. Samples were visually inspected following lysis to ensure the absence of all potentially contaminating cells before proceeding. Following somatic cell lysis sperm DNA was

extracted with the use of a sperm-specific extraction protocol. Briefly, sperm DNA was isolated by enzymatic and detergent-based lysis followed by treatment with RNase and finally DNA precipitation using isopropanol and salt, with subsequent DNA cleanup using ethanol.

5        Microarray Analysis

Each of the paired samples for the 17 donors (a total of 34 samples) was subjected to array analysis of methylation alterations with age using the Infinium HumanMethylation 450 Bead Chip microarray (Illumina, San Diego CA). Extracted sperm DNA was bisulfite converted with EZ-96 DNA Methylation-Gold kit (Zymo Research, Irvine CA) according to  
10 manufacturer's recommendations. Converted DNA was then hybridized to the array and analyzed according to Illumina protocols at the University of Utah genomics core facility. Once scanned and analyzed for quantities of methylation, or lack of methylation, at each CpG a  $\beta$ -value was generated by applying the average methylated and unmethylated intensities at each CpG using the calculation:  $\beta$ -value = methylated/(methylated +  
15 unmethylated). The resultant  $\beta$ -value ranges from 0 to 1 and indicates the relative levels of methylation at each CpG with highly methylated sites scoring close to 1 and unmethylated sites scoring close to 0.

Basic descriptive analyses of the microarray data were performed using Partek (St. Louis MO). More in depth analysis was performed using the USeq platform with the  
20 application Methylation Array Scanner which identifies regions of altered methylation that are common among individuals with a sliding window analysis. Briefly, paired data from each donor (young and aged) was subjected to a 1000 base pair sliding window analysis where regions of altered methylation with age that are common among donors were called by

Wilcoxon Signed Rank Test. To prevent the influence of outliers in the data set methylation for a specific window was reported as a pseudo-median and differences between the young and aged sample are reported as log 2 ratios. Two thresholds were applied to identify windows with significant differential methylation. A Benjamini Hochberg corrected  
5 Wilcoxon Signed Rank Test FDR of  $\geq 0.0004$  and an absolute log<sub>2</sub> ratio  $\geq 0.2$ . To confirm the significance of each of the called windows we subjected the mean  $\beta$ -value within the window for each donor (young and aged samples) to a paired t-test. Following this initial filter each significant window was subjected to a regression analysis to determine the relationship between age and mean methylation within each window. Regression analysis  
10 and paired t-tests were performed using STATA 11 software package.

#### Sequencing Analysis

Each sample was additionally subjected to targeted methylation sequencing at loci determined to be of interest based on microarray analysis. This step was designed to confirm the array results and to provide greater depth of coverage of the CpGs in the windows of  
15 interest. Primers for 29 loci were designed using MethPrimer (Li Lab, UCSF). PCR was performed on samples following sperm DNA bisulfite conversion with EZ-96 DNA Methylation-Gold kit (Zymo Research, Irvine CA). PCR products were purified with QIA quick PCR Purification Kit (Qiagen, Valencia CA) and were pooled for each sample. The Pooled products were delivered to the Microarray and Genomic Analysis core facility at the  
20 University of Utah for library prep which included shearing of the DNA with a Covaris sonicator to generate products of approximately 300 base pairs, in preparation for 150 bp paired end sequencing, and the attachment of barcodes for all 34 samples. Multiplex

sequencing was then performed on a single lane on the MiSeq platform (Illumina, San Diego CA).

#### Pyrosequencing Analysis

Each sample was subjected to pyrosequencing analysis of a portion of the long interspersed elements (LINE)-1 repeatable element for the purpose of confirming previously  
5 determined global methylation changes with age. Briefly isolated sperm DNA samples were submitted to EpigenDx (Hopkinton, MA) for the pyrosequencing analysis. Quiagen's PyroMark LINE1 kit was used to determine methylation status at each CpG investigated with the assay. The experiment was performed based on manufacturer recommendations.

#### GO Term / Pathway / Disease Association Analysis

GO term Analysis was performed with Gene Ontology Enrichment Analysis and Visualization Tool (GORilla; [cbl-gorilla.cs.technion.ac.il](http://cbl-gorilla.cs.technion.ac.il)). Pathway and disease association analysis was performed on the Database of Annotation, Visualization, and Integrated Discovery (DAVID; [david.abcc.ncifcrf.gov](http://david.abcc.ncifcrf.gov)) v6.7. Additional disease association analysis  
15 was performed directly on the National Institute of Health's Genetic Association Database (GAD; [geneticassociationdb.nih.gov](http://geneticassociationdb.nih.gov)).

#### Additional statistical analyses

Fishers exact test was used to determine the differences in frequencies of genes associated with particular diseases between the significant gene group and a background  
20 group. This analysis was also used to detect the differences in frequencies of windows that were found in regions of histone retention in the hypomethylation group and the hypermethylation group. Additionally, regression analysis was utilized to determine

relationships between age and methylation status at various loci. STATA software package was used to test for significance with these tests ( $p < 0.05$ ).

#### Independent Cohort Confirmation

Referring to Fig. 6 is shown a comparison of MiSeq results to the above-recited array results at 21 representative regions (A). This independent cohort testing was performed because beta-values and fraction methylation are generated in different manners (i.e. array vs. sequencing respectively) which prevent a direct comparison. Therefore the fractional difference for each loci and each technology was compared.

The 21 regions were subjected to targeted bisulfite sequencing (on the MiSeq platform) to confirm that the CpGs tiled on the array reflected the entire CpG content within the windows of interest. Specifically, bisulfite converted DNA from each donor (young and aged collections) was amplified via PCR. The PCR was designed to produce amplicons of approximately 300–500 bp that were located within 21 of the regions of significant methylation alteration identified by array. The depth of sequencing was quite robust with an average of 2,252 (SE  $\pm 371.6$ ) reads per amplicon in each sample. The minimum number of average reads for any one amplicon was 313. In 20 of the 21 gene regions that were analyzed, the array and MiSeq data were similar in both direction and relative magnitude (Fig. 6A). In the one case that did not show a similar trend (hypomethylation with age by array and no change by MiSeq) the amplicon was outside the region of the two CpGs that drove the significance of the window. When comparing the methylation of the approximately 300 bp amplicon to the CpG tiled on the array in that same region only, and not the array CpGs over the entire 1000 bp window, the data are in agreement. Taken



together, the sequencing run confirmed that the array data is a good representation of the methylation status at all CpGs in the regions of interest.

To confirm that the sites identified on the array were not only altered in the samples we investigated, but that these loci are also altered with age in the sperm of nonselected individuals in the general population, an analysis was performed on an independent cohort of 5 individuals from two age groups: young, defined as <25 years of age ( $n = 47$ ), and aged, defined as  $\geq 45$  years of age ( $n = 19$ ). Average age in the young cohort was 20.46 years of age (SE  $\pm 0.18$ ), and in the aged cohort 47.71 years of age (SE  $\pm 0.77$ ). A multiplex sequencing run on sperm DNA from these individuals was performed to probe for 15 10 different regions of interest that were identified with the array data. Briefly, 15 regions (using bisulfite converted DNA) from each individual (47 young, and 19 aged) were PCR amplified. The PCR was designed to produce amplicons of approximately 300–500 bp that were located within 15 regions of significant methylation alteration identified by array. The depth of sequencing was, again, quite robust with approximately 3,645 (SE  $\pm 853.4$ ) reads per 15 amplicon in each sample with a minimum average number of reads for any one amplicon of 263. From these data it is confirmed that these genomic regions clearly undergo age-associated methylation alterations (Fig. 6B). Interestingly, the average magnitude of alteration is also much higher in the independent cohort than in the initial paired donor sample study (approximately 2.2 times greater on average). This is particularly remarkable 20 when considering that the average age difference in the independent cohort study was 27.2 years, effectively 2.3 times greater than the average age difference of 12.6 years seen in the paired donor analysis. This further supports our regression data in the paired donor study,

which generally suggest a linear relationship of methylation alterations with age at most of the identified genomic loci.

#### Single Molecule Analysis of Targeted Sequencing

To address the question of the dynamics of sperm population changes associated with the approximately 0.281% change per year identified next generation sequencing data from the paired donor samples was subjected to a novel analysis where the sperm population shifts between the young and aged samples were compared. Because the MiSeq platform produces data for each single nucleotide sequence (each representing the methylation status in a single sperm) it is possible to determine average methylation at each region for all of the amplicons analyzed. 3 general patterns in methylation profile population shifts that resulted in the age-associated methylation alterations were identified. First, regions from subjects were identified whose methylation at an age <45 was strongly hypomethylated, and the methylation profile in individuals >45 years of age is virtually the same, though it is more strongly hypomethylated. In these cases the change is still strikingly significant, but the magnitude of fraction DNA methylation change is minimal. Second, a single population in samples collected at <45 years of age that is shifted toward more hypomethylation in samples collected at >45 years of age can be seen. Last, a bimodal distribution in samples collected <45 years of age that, in samples >45 years of age, is stabilized into a single population was identified. This may indicate at least two sperm subpopulations, which are biased to a single, more hypomethylated sperm population with age. These results could suggest that all of the alterations detected with the array are the result of the entire sperm population being altered in similar subtle ways and not a result of a dramatic alteration in a small portion of the sperm population.

Of course, it is to be understood that the above-described arrangements are only illustrative of the application of the principles of the present invention. Numerous modifications and alternative arrangements may be devised by those skilled in the art without departing from the spirit and scope of the present invention and the appended claims  
5 are intended to cover such modifications and arrangements. Thus, while the present invention has been described above with particularity and detail in connection with what is presently deemed to be the most practical and preferred embodiments of the invention, it will be apparent to those of ordinary skill in the art that numerous modifications, including, but not limited to, variations in size, materials, shape, form, function and manner of operation,  
10 assembly and use may be made without departing from the principles and concepts set forth herein.

**CLAIMS**

What is claimed is:

1. A method comprising:
  - (a) obtaining a sperm sample from a subject; and
  - 5 (b) assaying a nucleic acid sequence from at least a portion of said sperm sample to detect a presence or an absence of an epigenetic modification of a gene or a promoter selected from the group consisting of ARC, ATHL1, ATN1, ATXN7L3, BEGAIN, BLCAP, C1orf122, C6orf48, CCDC114, CCDC144NL, CFD, CLIC1, CNN1, CNTNAP1, DLL1, DOK1, DRD4, EFCAB4A, ELANE, 10 GAPDH, GET4, GPANK1, GPR45, KCNF1, KCNQ1, LOC154449, MIR22HG, MPPED1, PCOLCE, PITPNM1, PPP1R18, PRSS22, PYY2, SECTM1, SYNE4, TBKBP1, THBS3, TNXB, UTS2R, ZNF358, KDM2B, NSG1, SEZ6, LMO3, HOXA10, DAPK3, NSMF, TOR4A, LDLRAD4, PTPRN2\_3, SSTR5, LOC134368, GRB7, GNB2, SNHG1, LOC653566, PDE4C, DLGAP2, MRPL36, 15 NCOR2, KCNA7, CACNA1H, IRS4, KRT19, LRFN2, WFDC1, APBA2, USP36, PAX2, PTPRN2\_1, UNKL, FAM86JP, TTC7B, FAM86C2P, GRIN1, LFNG, SOHLH1, SLC22A18AS, PURA, NFAT5, DMPK, LOC100133461, PTPRN2\_2, PITX1, ARHGEF10, PALM, C7orf50, SEMA6B, FOXK1, FAM86C1, ADAMTS8, EDARADD, FAM86B2, AGRN, LEMD2, MTMR8, 20 MIR9-3, KRT7, NKX2, USP6NL, NBLA00301, AJAP1, CRYBA2, CTF1, FOXF2, MAP4K1, BCL11A, LONP1, TBC1D10A, CALCA, DNMT3B, VAX2, ZFPM1, OXLD1, FSCN1, FXYD6, NADK, PARP12 and TBX5, wherein at least said presence or said absence of said epigenetic modification detected in said nucleic acid sequence at least partially identifies a 25 risk to an offspring of said subject to develop a neuropsychiatric disorder.
2. The method of claim 1, wherein said presence of said epigenetic modification in said nucleic acid sequence at least partially identifies an increased risk to said offspring of said subject to develop a neuropsychiatric disorder and wherein said absence of said epigenetic modification in said nucleic acid sequence at least

partially identifies a non-increased risk to said offspring of said subject to develop a neuropsychiatric disorder.

3. The method of claim 1 or 2, wherein said subject is a human subject.
4. The method of any one of claims 1 to 3, wherein said risk to said offspring is based at least in part on an age of said subject.
5. The method of claim 4, wherein said presence or said absence of said epigenetic modification detected in said nucleic acid sequence at least in part identifies said age of said subject.
6. The method of any one of claims 1 to 5, wherein said neuropsychiatric disorder is autism.
7. The method of any one of claims 1 to 5, wherein said neuropsychiatric disorder is schizophrenia.
8. The method any one of claims 1 to 7, wherein said presence or said absence of said epigenetic modification comprises a level of global methylation.
9. The method any one of claims 1 to 8, wherein said epigenetic modification comprises a hypomethylation.
10. The method any one of claims 1 to 8, wherein said epigenetic modification comprises a hypermethylation.
11. The method of any one of claims 1 to 10, wherein said epigenetic modification comprises an epigenetic modification of: six or more, ten or more, fifteen or more, twenty or more, thirty or more, forty or more, fifty or more, sixty or more, seventy or more, eighty or more, ninety or more, or one hundred or more genes listed in claim 1.
12. The method of any one of claims 1 to 10, wherein said epigenetic modification occurs in a promoter region associated with a gene listed in claim 1.

13. The method of any one of claims 1 to 10, wherein said epigenetic modification occurs in an exon of a gene listed in claim 1.
14. The method of any one of claims 1 to 10, wherein said epigenetic modification occurs in an intron of a gene listed in claim 1.
- 5 15. The method of any one of claims 1 to 14, wherein said assaying comprises performing a microarray analysis, a sequencing analysis, a pyrosequencing analysis, or any combination thereof.
16. The method of claim 15, wherein said assaying comprises performing said microarray analysis.
- 10 17. The method of claim 15, wherein said assaying comprises performing said sequencing analysis.
18. The method of any one of claims 1 to 17, further comprising transmitting a result of said assaying.
- 15 19. The method of any one of claims 1 to 18, further comprising employing a kit to at least in part perform said assaying.
20. The method of any one of claims 1 to 19, further comprising isolating said nucleic acid sequence from said sperm sample.
21. The method of any one of claims 1 to 20, further comprising contacting said nucleic acid sequence with a bisulfite.
- 20 22. An epigenetic profile comprising a presence of six or more genes selected from the group consisting of ARC, ATHL1, ATN1, ATXN7L3, BEGAIN, BLCAP, C1orf122, C6orf48, CCDC114, CCDC144NL, CFD, CLIC1, CNN1, CNTNAP1, DLL1, DOK1, DRD4, EFCAB4A, ELANE, GAPDH, GET4, GPANK1, GPR45, KCNF1, KCNQ1, LOC154449, MIR22HG, MPPED1, PCOLCE, PITPNM1,

PPP1R18, PRSS22, PYY2, SECTM1, SYNE4, TBKBP1, THBS3, TNXB,  
 UTS2R, ZNF358, KDM2B, NSG1, SEZ6, LMO3, HOXA10, DAPK3, NSMF,  
 TOR4A, LDLRAD4, PTPRN2\_3, SSTR5, LOC134368, GRB7, GNB2, SNHG1,  
 LOC653566, PDE4C, DLGAP2, MRPL36, NCOR2, KCNA7, CACNA1H, IRS4,  
 5 KRT19, LRFN2, WFDC1, APBA2, USP36, PAX2, PTPRN2\_1, UNKL,  
 FAM86JP, TTC7B, FAM86C2P, GRIN1, LFNG, SOHLH1, SLC22A18AS,  
 PURA, NFAT5, DMPK, LOC100133461, PTPRN2\_2, PITX1, ARHGEF10,  
 PALM, C7orf50, SEMA6B, FOXK1, FAM86C1, ADAMTS8, EDARADD,  
 FAM86B2, AGRN, LEMD2, MTMR8, MIR9-3, KRT7, NKX2, USP6NL,  
 10 NBLA00301, AJAP1, CRYBA2, CTF1, FOXF2, MAP4K1, BCL11A, LONP1,  
 TBC1D10A, CALCA, DNMT3B, VAX2, ZFPM1, OXLD1, FSCN1, FXYD6,  
 NADK, PARP12 and TBX5.

23. A kit when used according to the method of any one of claims 1 to 21,  
 comprising:

15 bisulfite;

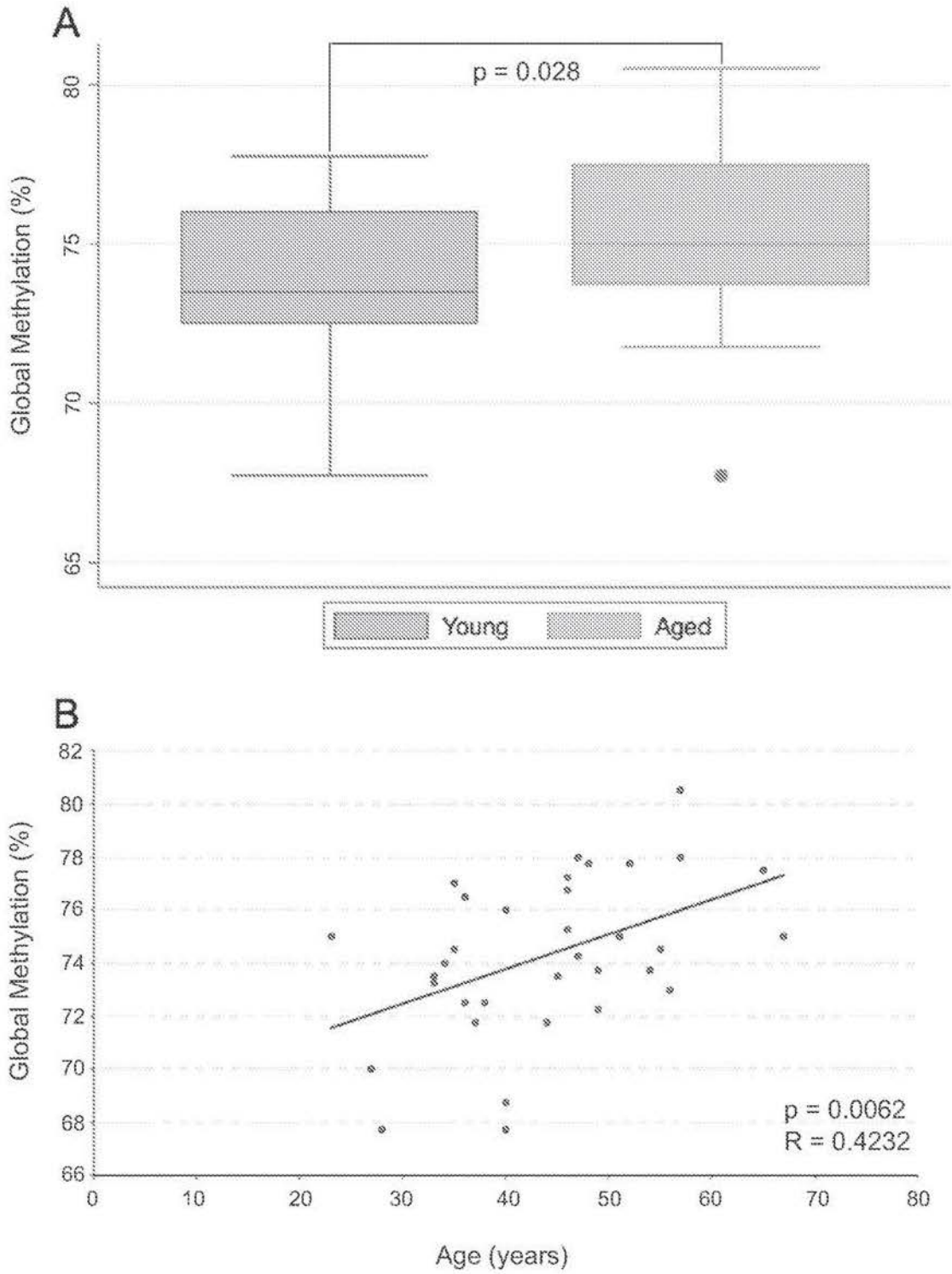
a plurality of primers configured to detect an epigenetic modification in a  
 portion of a gene selected from the group consisting of ARC, ATHL1, ATN1,  
 ATXN7L3, BEGAIN, BLCAP, C1orf122, C6orf48, CCDC114, CCDC144NL,  
 CFD, CLIC1, CNN1, CNTNAP1, DLL1, DOK1, DRD4, EFCAB4A, ELANE,  
 20 GAPDH, GET4, GPANK1, GPR45, KCNF1, KCNQ1, LOC154449, MIR22HG,  
 MPPED1, PCOLCE, PITPNM1, PPP1R18, PRSS22, PYY2, SECTM1, SYNE4,  
 TBKBP1, THBS3, TNXB, UTS2R, ZNF358, KDM2B, NSG1, SEZ6, LMO3,  
 HOXA10, DAPK3, NSMF, TOR4A, LDLRAD4, PTPRN2\_3, SSTR5,  
 LOC134368, GRB7, GNB2, SNHG1, LOC653566, PDE4C, DLGAP2, MRPL36,  
 25 NCOR2, KCNA7, CACNA1H, IRS4, KRT19, LRFN2, WFDC1, APBA2,  
 USP36, PAX2, PTPRN2\_1, UNKL, FAM86JP, TTC7B, FAM86C2P, GRIN1,  
 LFNG, SOHLH1, SLC22A18AS, PURA, NFAT5, DMPK, LOC100133461,  
 PTPRN2\_2, PITX1, ARHGEF10, PALM, C7orf50, SEMA6B, FOXK1,  
 FAM86C1, ADAMTS8, EDARADD, FAM86B2, AGRN, LEMD2, MTMR8,  
 30 MIR9-3, KRT7, NKX2, USP6NL, NBLA00301, AJAP1, CRYBA2, CTF1,

FOXF2, MAP4K1, BCL11A, LONP1, TBC1D10A, CALCA, DNMT3B, VAX2,  
ZFPM1, OXLD1, FSCN1, FXYD6, NADK, PARP12 and TBX5; and  
a microarray chip.

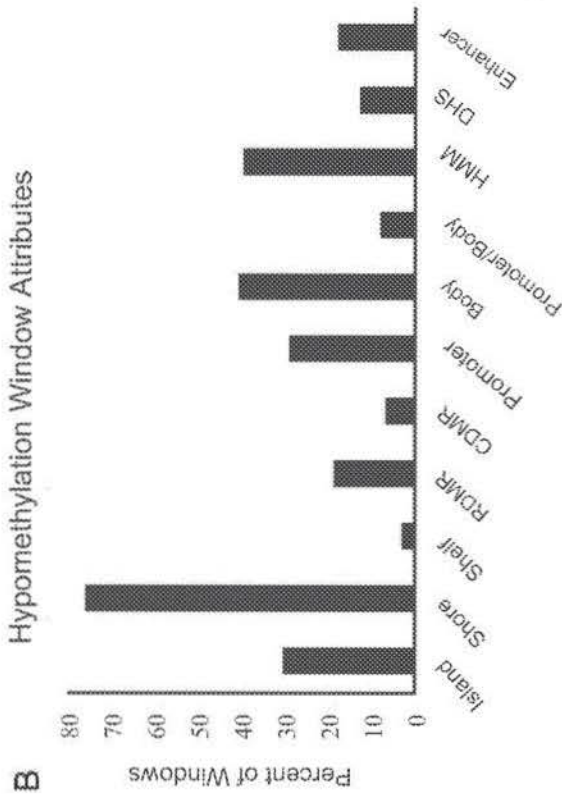
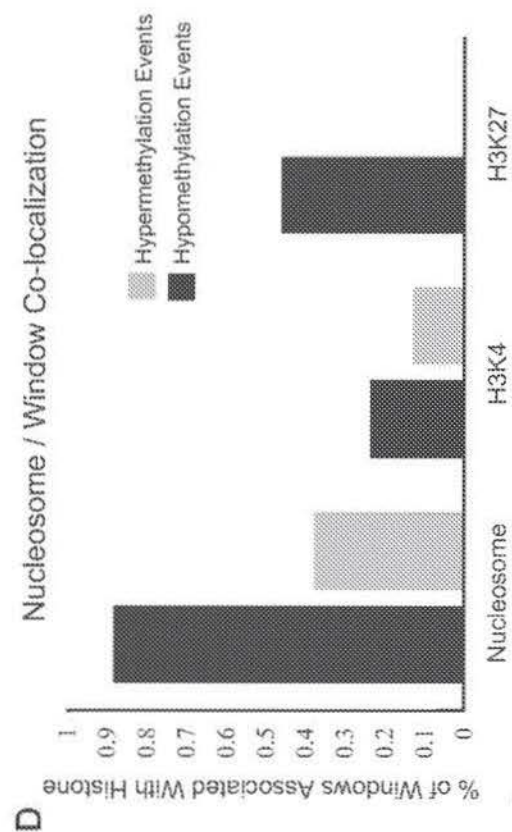
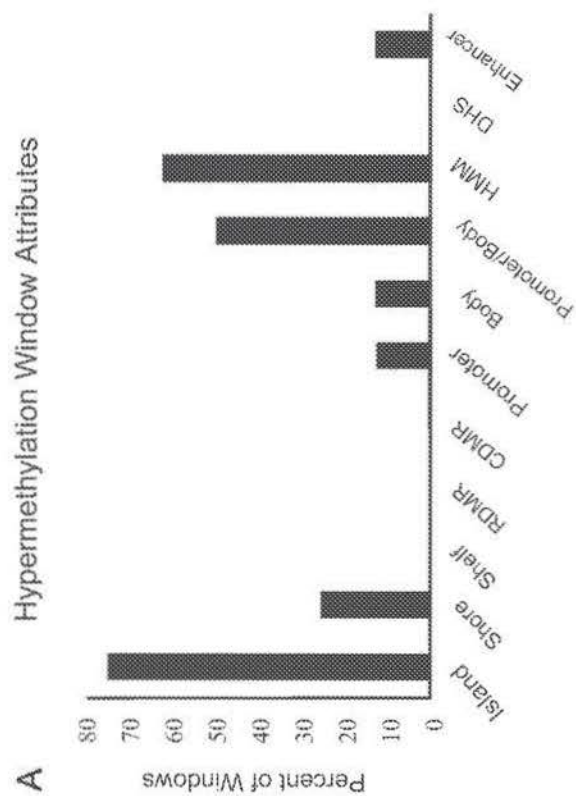
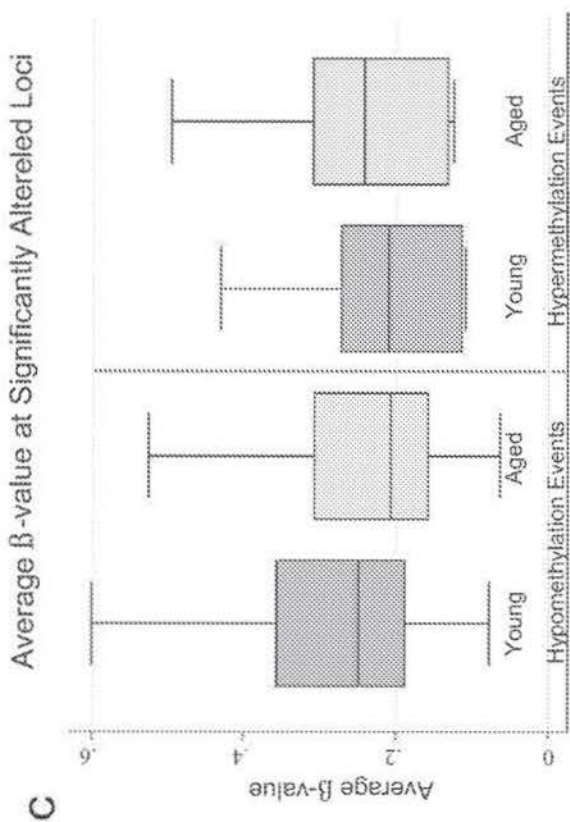


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### Age Associated Global Methylation Alterations



**FIG. 1**

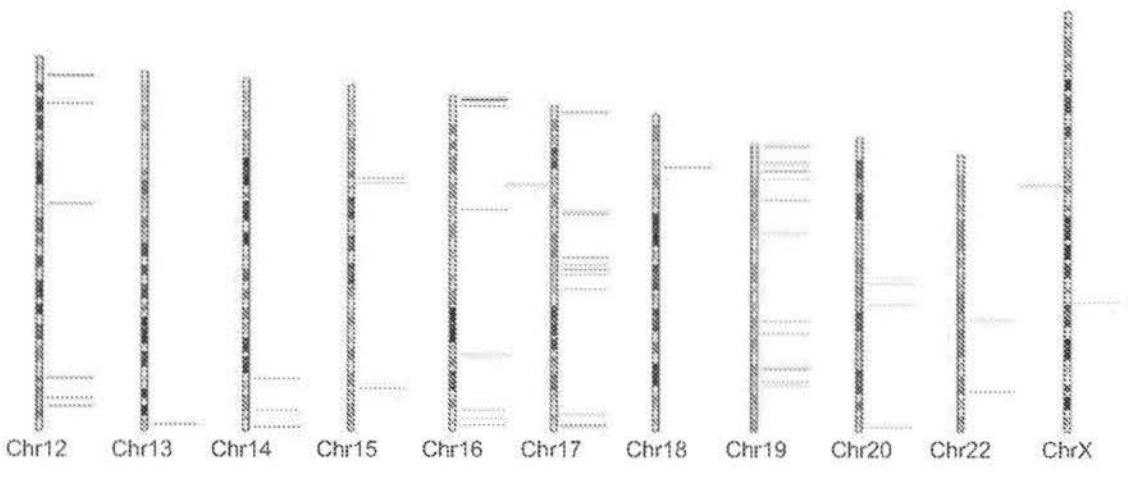
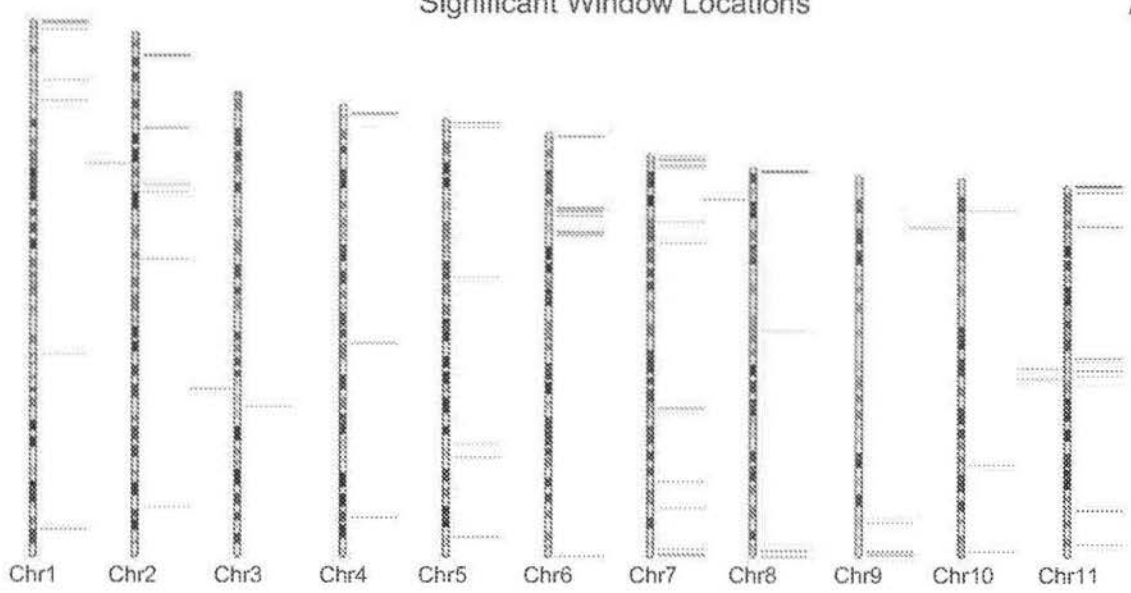


**FIG. 2**

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Significant Window Locations

A



Chromosomal Enrichment

B

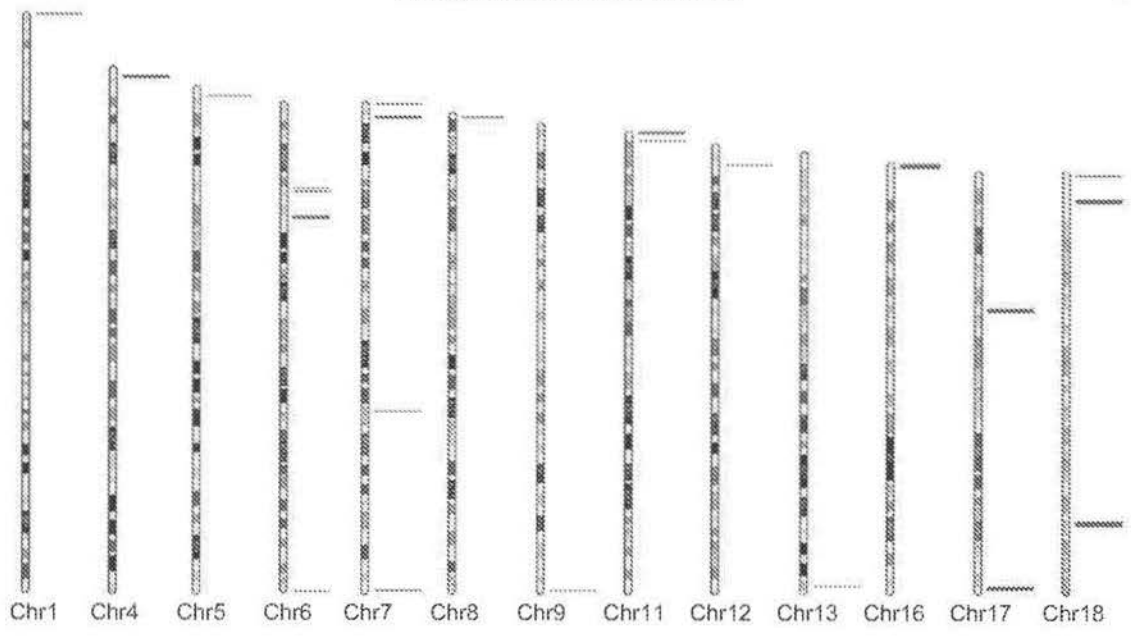


FIG. 3

# Disease Association

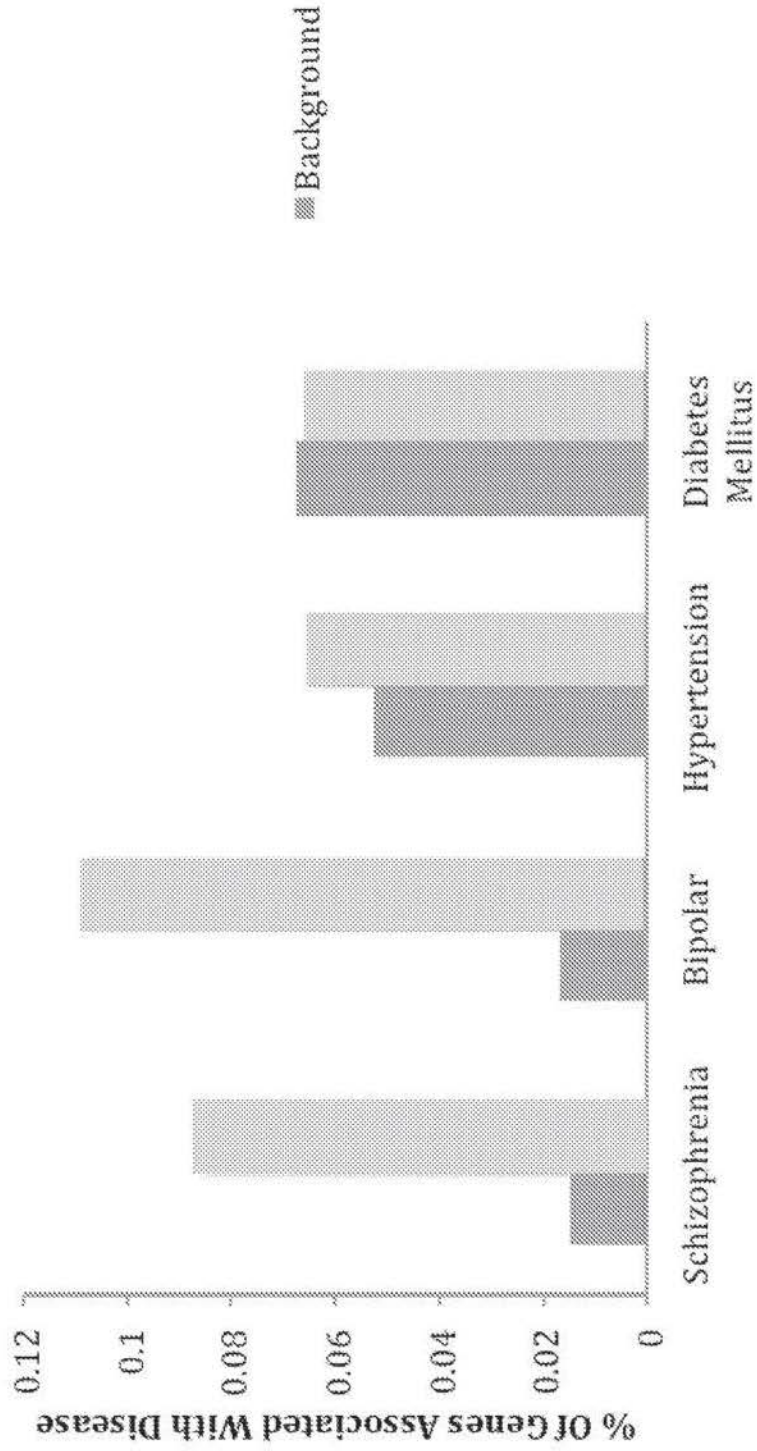


FIG. 4

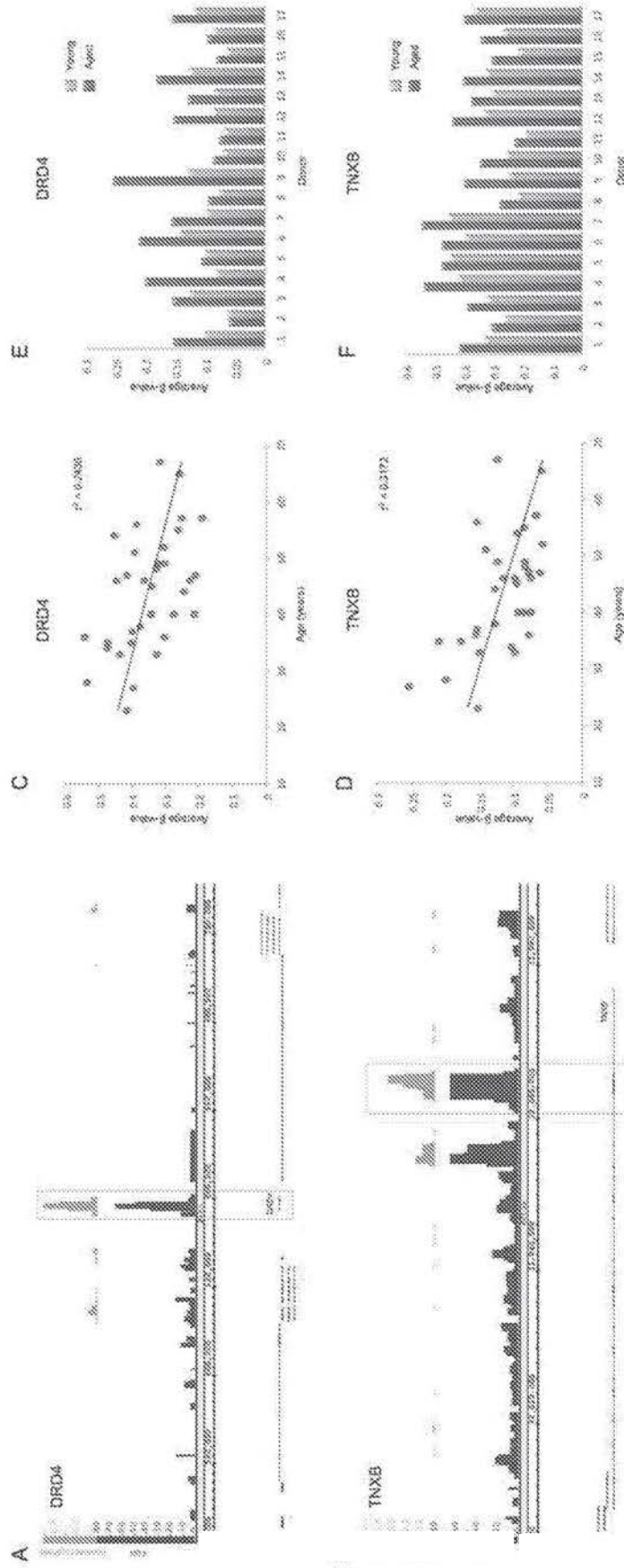


FIG. 5

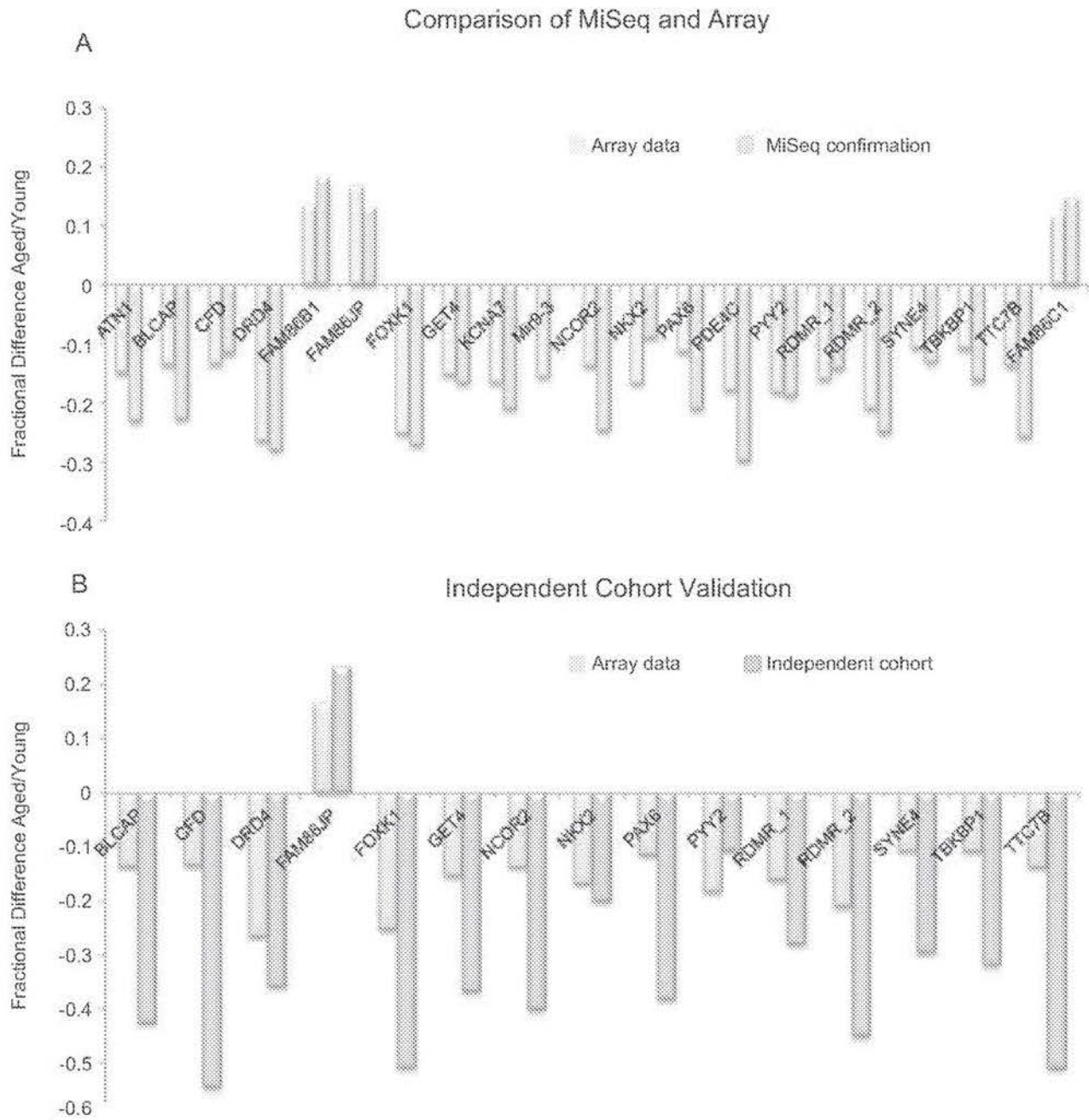


FIG. 6

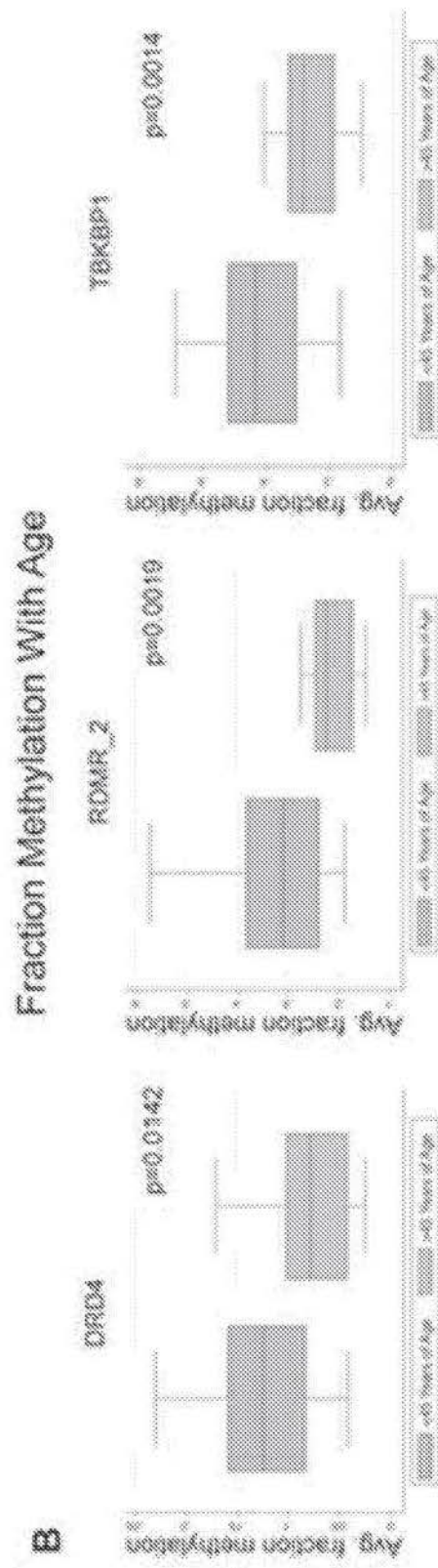
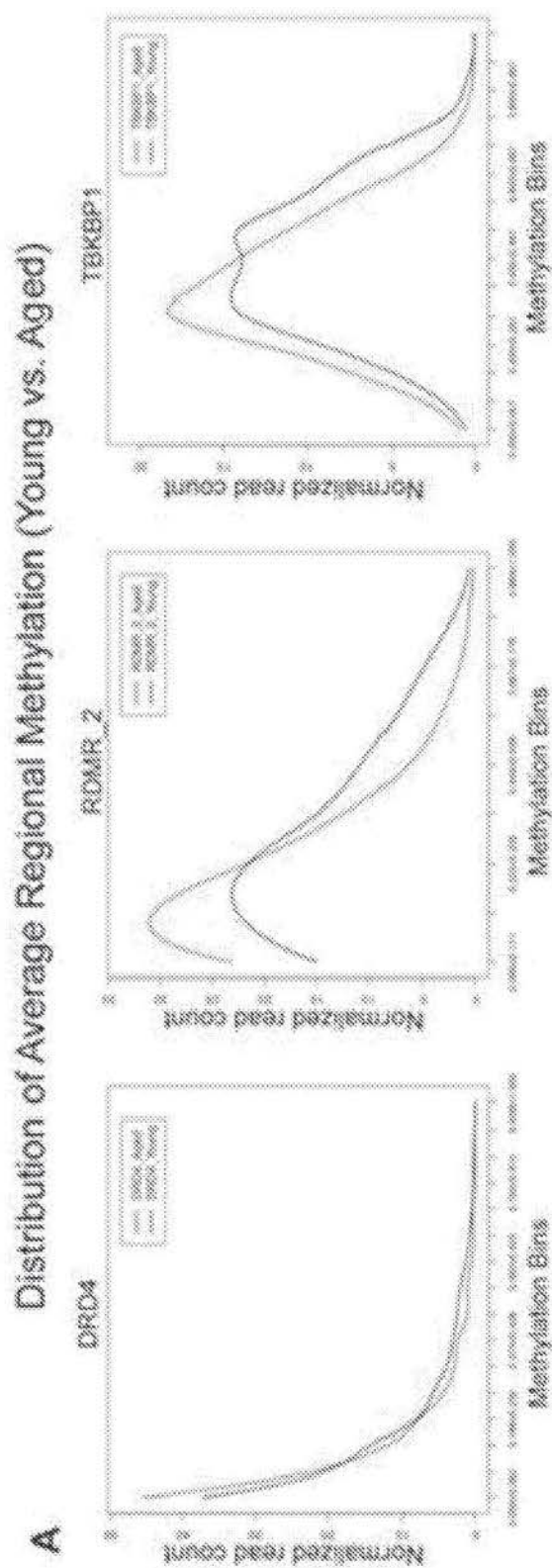


FIG. 7