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# Chuang et al.

# (54) METHOD AND APPARATUS FOR LINE **BUFFER REDUCTION FOR VIDEO** PROCESSING

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#### (57)ABSTRACT

A method and apparatus of line buffer reduction for context adaptive entropy processing are disclosed. The context formation for context adaptive entropy processing depends on block information associated with one or more neighboring blocks. When a first neighboring block is on an upper side of a horizontal region boundary or on a left side of a vertical region boundary of the region, the block information is replaced by replacement block information to reduce or remove line buffer requirement for storing the block information of neighboring blocks on the other side of the region boundaries from the current block. The context adaptive

(Continued)



entropy processing is CABAC encoding, CABAC decoding, CAVLC encoding, or CAVLC decoding.

### 19 Claims, 7 Drawing Sheets

# **Related U.S. Application Data**

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	Upper Block
Left Block	Current Block

*Fig.* **2** 













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# METHOD AND APPARATUS FOR LINE **BUFFER REDUCTION FOR VIDEO** PROCESSING

# CROSS REFERENCE TO RELATED APPLICATIONS

The present invention is a continuation of U.S. patent application Ser. No. 13/985,282, filed on Aug. 13, 2013, which claims priority to PCT Patent Application No. PCT/ CN2012/074524, filed on Apr. 23, 2012, which further claims priority to U.S. Provisional Patent Application Ser. No. 61/490,805, filed May 27, 2011 and U.S. Provisional Patent Application Ser. No. 61/533,895, filed Sep. 13, 2011. 15 The U.S. Patent Application, PCT Patent Application and U.S. Provisional Patent Applications are hereby incorporated by reference in their entireties.

# FIELD OF THE INVENTION

The present invention relates to video coding or video processing. In particular, the present invention relates to reduction of line buffer requirement, where the entropy decoding of a current block is dependent on one or more 25 neighboring blocks.

# BACKGROUND

In video coding, block information of neighboring blocks 30 are usually utilized to predict syntax elements, such as motion data, of a current block. The neighboring blocks usually correspond to blocks previously processed so that the information of the neighboring blocks can be derived at 35 the decoder side without side information or with minimum side information. The correlation among an underlying block and neighboring blocks may be utilized to improve the coding efficiency of Entropy Coding (EC). To exploit the correlation among the underlying block and neighboring blocks, context-based adaptive entropy coding (also called context adaptive entropy coding) has been used extensively in newer video coding systems such as H.264 and the emerging High Efficiency Video Coding (HEVC) system. There are two types of EC modes. One mode is Context- 45 based Adaptive Binary Arithmetic Coding (CABAC), and the other mode is Context-based Adaptive Variable Length Coding (CAVLC). In both CABAC and CAVLC, information from neighboring blocks is often used as contexts for entropy coding a syntax element of the current block.

The neighboring blocks may be these blocks on the upper side and/or left side of the current block. When the blocks in a picture are processed in a raster scan order, a line buffer may be required to store information associated with blocks in a previous row. For example, a processing unit used in 55 HEVC Test Model version 3.0 (HM-3.0) may correspond to a Coding Unit (CU), a Prediction Unit (PU), a Transform Unit (TU), or a Largest CU (LCU). In the case of CAVLC coding of motion vector difference (MVD) of the current block, it requires the MVs of the left block and the upper 60 context-based entropy decoding. block for context formation. Therefore, the information associated with motion vectors for the blocks in a previous row of LCUs has to be buffered if the processing order is LCU row by LCU row. In HEVC, CABAC and CAVLC are used to encode various syntax elements associated with the 65 blocks. The line buffer size may be very large, especially for large-size pictures. Therefore, it is desirable to develop

method and apparatus to reduce the line buffer requirement for neighboring-block-dependent processing such as CABAC and CAVLC.

# BRIEF SUMMARY OF THE INVENTION

A method and apparatus of line buffer reduction for context adaptive entropy decoding are disclosed. According to one embodiment of the present invention, the method comprises determining context for parsing a syntax element of a current block in a region depending on block information associated with one or more neighboring blocks, wherein the region corresponds to one or more rows of largest coding units (LCUs); replacing the block information associated with a first neighboring block by replacement block information if the first neighboring block is on an upper side of a horizontal region boundary or on a left side of a vertical region boundary of the region; and performing context adaptive entropy decoding of the syntax element <sup>20</sup> based on the context. The context adaptive entropy decoding can be CABAC (context adaptive binary arithmetic code) decoding or CAVLC (context adaptive variable length code) decoding. The syntax element may corresponds to luma intra mode significant flag, last significant flag, coefficient greater than one or coefficient magnitude minus one. The block information may include the syntax element to be processed by the context adaptive entropy decoding. The neighboring block corresponds to an above-left block, an above block, or an above-right block of the current block. The replacement block information may correspond to a pre-defined value, a user-defined value, a value that marked as unavailable, or the block information of a previously processed block in the region. When the syntax element corresponds to the luma intra mode, the replacement block information corresponds to DC mode or Planar mode.

# BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1A illustrates an exemplary adaptive inter/intra 40 video encoding system with DF, SAO and ALF in-loop processing.

FIG. 1B illustrates an exemplary adaptive inter/intra video decoding system with DF, SAO and ALF in-loop processing.

FIG. 2 illustrates an example of context-based syntax element processing using block information associated with two neighboring blocks.

FIG. 3 illustrates an example of line buffer requirement related to LCU row boundaries for context-based entropy 50 coding.

FIG. 4 illustrates an example of column buffer requirement related to tile column boundaries for context-based entropy coding.

FIG. 5 illustrates an example of line buffer requirement related to region row boundaries and column buffer requirement related to region column boundaries for context-based entropy coding.

FIG. 6 illustrates an exemplary flowchart for removing line buffer requirement related to region boundaries for

# DETAILED DESCRIPTION OF THE INVENTION

FIG. 1A illustrates an exemplary adaptive inter/intra video coding system incorporating in-loop processing. For inter-prediction, Motion Estimation (ME)/Motion Compensation (MC) 112 is used to provide prediction data based on video data from other picture or pictures. Switch 114 selects Intra Prediction 110 or inter-prediction data and the selected prediction data is supplied to Adder 116 to form prediction errors, also called residues. The prediction error is then 5 processed by Transformation (T) 118 followed by Quantization (Q) 120. The transformed and quantized residues are then coded by Entropy Encoder 122 to form a video bitstream corresponding to the compressed video data. The bitstream associated with the transform coefficients is then 10 packed with side information such as motion, mode, and other information associated with the video data. The side information may also be subject to entropy coding to reduce required bandwidth. Accordingly, the data associated with the side information are provided to Entropy Encoder 122 as 15 shown in FIG. 1A. When an inter-prediction mode is used, a reference picture or pictures have to be reconstructed at the encoder end as well. Consequently, the transformed and quantized residues are processed by Inverse Quantization (IO) 124 and Inverse Transformation (IT) 126 to recover the 20 residues. The residues are then added back to prediction data 136 at Reconstruction (REC) 128 to reconstruct video data. The reconstructed video data may be stored in Reference Picture Buffer 134 and used for prediction of other frames.

As shown in FIG. 1A, incoming video data undergoes a 25 series of processing in the encoding system. The reconstructed video data from REC 128 may be subject to various impairments due to a series of processing. Accordingly, various in-loop processing is applied to the reconstructed video data before the reconstructed video data are stored in 30 the Reference Picture Buffer 134 in order to improve video quality. In the High Efficiency Video Coding (HEVC) standard being developed, Deblocking Filter (DF) 130, Sample Adaptive Offset (SAO) 131 and Adaptive Loop Filter (ALF) 132 have been developed to enhance picture quality. The 35 in-loop filter information may have to be incorporated in the bitstream so that a decoder can properly recover the required information. Therefore, in-loop filter information from SAO and ALF is provided to Entropy Encoder 122 for incorporation into the bitstream. In FIG. 1A, DF 130 is applied to 40 the reconstructed video first; SAO 131 is then applied to DF-processed video; and ALF 132 is applied to SAOprocessed video. However, the processing order among DF, SAO and ALF can be re-arranged.

A corresponding decoder for the encoder of FIG. 1A is 45 shown in FIG. 1B. The video bitstream is decoded by Video Decoder 142 to recover the transformed and quantized residues, SAO/ALF information and other system information. At the decoder side, only Motion Compensation (MC) 113 is performed instead of ME/MC. The decoding process 50 is similar to the reconstruction loop at the encoder side. The recovered transformed and quantized residues, SAO/ALF information and other system information are used to reconstruct the video data. The reconstructed video is further processed by DF 130, SAO 131 and ALF 132 to produce the 55 final enhanced decoded video.

Entropy Encoder 122 in FIG. 1A and Entropy Decoder 142 in FIG. 1B support CABAC and/or CAVLC for various syntax elements used in the coding system. Beside residual information, associated side information such as motion 60 information, SAO information and ALF information are also incorporated in the bitstream. In order to represent the side information efficiently, the side information is also processed using CABAC or CAVLC as shown in FIG. 1A and FIG. 1B.

FIG. 2 illustrates an exemplary context adaptive processing of a current block based on two neighboring blocks

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corresponding to CABAC coding of motion vector difference (MVD) of HEVC Test Model version 3.0 (HM-3.0). The motion vector difference (MVD) of the current block is processed by CABAC and the context formation requires the MVDs of the left block and the upper block for context formation. Since the LCUs are processed in a raster scan order, the buffer for storing left block information is very small and can be easily implemented as on-chip memory, which will not increase any external memory access. However, for upper block information, line buffers whose sizes are proportional to the picture width are required to store information from the upper LCU row. If on-chip line buffers are used, the chip area and cost will be significantly increased. If line buffers are implemented as external memory, the external memory bandwidth will be significantly increased. Therefore, it is desirable to design video encoding and decoding algorithms with reduced line buffer requirements. While an above block (i.e., the upper block) from the upper LCU row is used, an above-left or an above-right block may also be used.

In the above example, the context of CABAC is based on the MVDs of the left block and the upper block as shown in FIG. 2. The information that is used to form context may include split\_flag, skip\_flag, motion information, coding mode, inter prediction direction, reference index, luma intra mode, chroma intra mode, and partition information. Table 1 illustrates examples of CABAC/CAVLC coding for various syntax elements and the required information to be stored in line buffers. For example, when the split flag is entropy coded using CABAC, the depth information of the neighboring blocks has to be stored in line buffers for the next LCU row. In another example, when the merge index is entropy coded using either CABAC or CAVLC, both MV and reference index of the neighboring blocks have to be stored in line buffers for the next LCU row. As shown in Table 1, the context stored in the line buffers includes syntax elements to be processed by CABAC or CAVLC as well as other block information that may not processed by CABAC or CAVLC.

While Table 1 illustrates some exemplary syntax elements to be processed by CABAC or CAVLC, other syntax elements may also be processed by CABAC or CAVLC. Other syntax elements to be processed by CABAC or CAVLC include partition size, prediction mode, motion vector difference, delta quantization parameter, significant flag, last significant flag, coefficient greater than one, coefficientmagnitude-minus-one, Adaptive Loop Filter (ALF) control flag, ALF flag, ALF filter length, ALF filter coefficients, Sample Adaptive Offset (SAO) flag, SAO filter length, SAO filter coefficients, transform subdivision flags, residual quadtree Coded Block Flag (CBF), and residual quad-tree root CBF, may also be processed using CABAC or CAVLC. As is noted in Table 1, the information to be stored in the line buffers may be significant. It is desirable to reduce or remove the line buffer requirement.

TABLE 1

Entropy Coder	Syntax Element	Line Buffer
CABAC	split flag	depth information
CABAC	skip flag	leaf-CU skip flag
CABAC	merge flag	PU skip flag
CABAC	merge index	PU MV, reference index
CABAC	chroma intra mode	chroma mode
CABAC	luma intra mode	luma intra mode
CABAC	inter prediction direction	inter prediction direction

TABLE 1-continued

Entropy Coder	Syntax Element	Line Buffer	
CABAC	motion vector difference	threshold of MVD	5
CABAC	motion vector predictor index	MV	
CABAC	reference index	reference index	
CABAC	residual quad-tree CBF	residual quad-tree CBF	
CABAC	residual quad-tree root CBF	residual quad-tree root CBF	
CAVLC	merge index	PU MV, reference index	
CAVLC	luma intra mode	luma intra mode	10
CAVLC	inter prediction direction	inter prediction direction	
CAVLC	reference index	reference index	
CAVLC	motion vector predictor index	MV	

The block used for video processing may be a Prediction 15 Unit (PU) used for motion prediction, a Transform Unit (TU) for transform process, a Coding Unit (CU), a Largest CU (LCU), or other block structure. Very often, an HEVCbased video coding system processes an underlying picture on an LCU basis and the LCUs in a picture are processed in 20 raster scan order, i.e., row by row and from top to the bottom of the picture. Due to the dependence of a current block on its neighboring blocks related to context formation for CABAC or CAVLC, information from a previous LCU may have to be stored. FIG. 3 illustrates the LCU row boundaries of a picture, where each square corresponds to an LCU. The  $\ ^{25}$ LCU may be adaptively partitioned into smaller processing units such as CUs. For the blocks immediately below an LCU boundary, context based processing of the syntax element of a current block may require information associated with blocks above the respective LCU boundary. There- 30 fore, line buffers will be required to store information associated with blocks above the respective LCU boundary. The line buffer requirement grows linearly with the picture width. For wide picture, the storage requirement may become significant.

While a picture may be divided into LCUs and processed LCU row by LCU row as shown in FIG. **3**, a picture may also be divided into vertical tiles as shown in FIG. **4**, where each square represents an LCU. The picture is processed tile by tile from left to right. Due to the dependence of a current 40 block on its neighboring blocks related to context formation for CABAC or CAVLC, information from a previous tile may have to be stored if the context information for a block at the vertical tile boundary requires information from the left block. Therefore, column buffers are used and the size 45 of the buffer is proportional to the picture height. A column buffer may be considered as a vertical line buffer. Consequently, the line buffer may also refer to a column buffer in this disclosure.

A picture may also be processed region by region where 50 the picture is partitioned into regions. Each region may consist of M×N blocks, CUs or LCUs. The regions can be processed according to the raster scan order. Alternatively, the regions may be scanned column by column and from left to right. In an extreme case, N is equal to the number of 55 LCUs in picture height. In other words, a region may correspond to a tile. In another extreme case, M is equal to the number of LCUs in picture width. In other words, a region may correspond to one or more LCU rows. FIG. 5 illustrates an example of region partition of a picture where 60 each square is an LCU and the region size varies through the picture. For region based processing, column buffers (i.e., vertical line buffers) will be required, where the column buffer size is proportional to the vertical region size. Furthermore, line buffers may also be required in addition to 65 column buffer. As mentioned earlier, the term line buffer may refer to horizontal line buffer and/or vertical line buffer.

Also it is understood that the line size is not necessarily referring to the picture width.

In order to remove line buffer requirement, an embodiment according to the present invention modifies the context formation for LCU row by LCU row based processing when the upper block is not in the current LCU row. If the upper block does not belong to the current LCU row, the information associated with the upper block is not used for the context formation. In this case, the upper block may be treated as unavailable or may be replaced by any other causal block in the current LCU row. A causal block is a previously processed block. Accordingly, no line buffer is needed for CABAC or CAVLC. For example, the luma intra mode coding/decoding using CABAC/CAVLC in HEVC requires the luma intra mode of upper block and luma intra mode of left block, as shown in FIG. 2. An embodiment according to the present invention will treat the upper block as unavailable if the upper block is not in the current LCU row. If the value for an upper block is not available, a pre-defined value or a user defined value may be used. For example, in the case of CABAC coding for the intra luma prediction mode, DC mode or Planar mode may be used as the pre-defined mode for the upper block above the LCU row boundary. Other pre-defined intra luma mode may also be used.

Similarly, for the tile-based processing shown FIG. 4, CABAC or CAVLC processing of a to-be-processed unit (e.g. CU or PU or TU) of one tile according to the present invention will not use any data from a left tile for context formation. If the left block does not belong to the current tile, the information associated with the left block is not used for the context formation. In this case, the left block may be treated as unavailable or may be replaced by any other 35 causal block in the current LCU row. Accordingly, no column buffer (i.e., vertical line buffer) is needed for CABAC or CAVLC. For example, the luma intra mode coding/decoding using CABAC/CAVLC in HEVC requires the luma intra mode of upper block and luma intra mode of left block, as shown in FIG. 2. An embodiment according to the present invention will treat the left block as unavailable if the left block is not in the current tile. If the value for a left block is not available, a pre-defined value or a userdefined value may be used. For example, in the case of CABAC coding for the intra luma prediction mode, DC mode or Planar mode may be used as the pre-defined mode for the left block on the left side of a tile boundary. Other intra luma mode may also be used.

When a picture is partitioned into regions, line buffers for both vertical region boundaries and horizontal region boundaries may be required. The embodiments of the present invention disclosed earlier for removing the line buffers associated with the horizontal LCU row and the line buffer associated with the vertical tile boundaries can be applied to region-based CABAC and CAVLC processing to remove the line buffer requirement.

FIG. 6 illustrates an exemplary flowchart for removing line buffer requirement related to region boundaries for context-based entropy decoding according to an embodiment of the present invention. The context for parsing a syntax element of a current block in a region depending on block information associated with one or more neighboring blocks is determined in step **610**, wherein the region corresponds to one or more rows of largest coding units (LCUs). If a first neighboring block is on an upper side of a horizontal region boundary or on a left side of a vertical region boundary of the region, the block information associated with the first neighboring block is replaced by replacement block information in step 620. The context adaptive entropy decoding of the syntax element can be performed based on the context as shown in step 630. The flowchart in FIG. 6 illustrates an example of line buffer reduction according to 5 an embodiment of the present invention. A skilled person may practice the present invention by re-arranging the steps and/or modifying individual steps to achieve the same effect.

Embodiment of line buffer reduction for context adaptive entropy processing according to the present invention as 10 described above may be implemented in various hardware, software codes, or a combination of both. For example, an embodiment of the present invention can be a circuit integrated into a video compression chip or program codes integrated into video compression software to perform the 15 processing described herein. An embodiment of the present invention may also be program codes to be executed on a Digital Signal Processor (DSP) to perform the processing described herein. The invention may also involve a number of functions to be performed by a computer processor, a 20 digital signal processor, a microprocessor, or field programmable gate array (FPGA). These processors can be configured to perform particular tasks according to the invention, by executing machine-readable software code or firmware code that defines the particular methods embodied by the 25 the apparatus comprising one or more electronic circuits invention. The software code or firmware codes may be developed in different programming languages and different format or style. The software code may also be compiled for different target platform. However, different code formats, styles and languages of software codes and other means of 30 configuring code to perform the tasks in accordance with the invention will not depart from the spirit and scope of the invention.

The invention may be embodied in other specific forms without departing from its spirit or essential characteristics. 35 The described examples are to be considered in all respects only as illustrative and not restrictive. The scope of the invention is therefore, indicated by the appended claims rather than by the foregoing description. All changes which come within the meaning and range of equivalency of the 40 claims are to be embraced within their scope.

The invention claimed is:

1. A method for context adaptive entropy decoding, the method comprising:

- receiving coded data associated with a current block in a 45 region, wherein the region corresponds to one or more rows of largest coding units (LCUs) and the coded data comprises a syntax element associated with a current luma intra mode of the current block;
- if a neighboring block of the current block is on an upper 50 side of a horizontal boundary of the region or on a left side of a vertical boundary of the region, replacing block information associated with the neighboring block by DC mode;
- determining information for parsing the syntax element 55 based on the block information associated with the neighboring blocks; and
- performing context adaptive entropy decoding of the syntax element based on the determined information.

2. The method of claim 1, wherein the context adaptive 60 entropy decoding corresponds to CABAC (context adaptive binary arithmetic coding) decoding or CAVLC (context adaptive variable length coding) decoding.

3. The method of claim 1, wherein the syntax element corresponds to luma intra mode, significant flag, last sig- 65 nificant flag, coefficient greater than one, or coefficient magnitude minus one.

4. The method of claim 3, wherein the replacement block information corresponds to DC mode or Planar mode when the syntax element corresponds to the luma intra mode.

5. The method of claim 1, wherein the replacement block information corresponds to a pre-defined value, a userdefined value, a value that marked as unavailable, or the block information of a previously processed block in the region.

6. The method of claim 5, wherein the previously processed block is a left block or a lower-left block of the current block.

7. The method of claim 1, wherein the block information includes the syntax element to be processed by the context adaptive entropy decoding.

8. The method of claim 1 wherein said one or more neighboring blocks correspond to one or more blocks selected from a group consisting of an above-left block, an above block, an above-right block, a left block and an above left block of the current block.

9. The method of claim 1, wherein said one or more neighboring blocks correspond to an above block and a left block of the current block.

10. An apparatus for context adaptive entropy decoding, configured to:

- receive coded data associated with a current block in a region, wherein the region corresponds to one or more rows of largest coding units (LCUs) and the coded data comprises a syntax element associated with a current luma intra mode of the current block;
- if a neighboring block of the current block is on an upper side of a horizontal boundary of the region or on a left side of a vertical boundary of the region, replace block information associated with the neighboring block by DC mode;
- determine information for parsing the syntax element based on the block information associated with the neighboring blocks; and
- perform context adaptive entropy decoding of the syntax element based on the determined information.

11. The apparatus of claim 10, wherein the context adaptive entropy decoding corresponds to CABAC (context adaptive binary arithmetic coding) decoding or CAVLC (context adaptive variable length coding) decoding.

12. The apparatus of claim 10, wherein the syntax element corresponds to luma intra mode, significant flag, last significant flag, coefficient greater than one, or coefficient magnitude minus one.

13. The apparatus of claim 12, wherein the replacement block information corresponds to DC mode or Planar mode when the syntax element corresponds to the luma intra mode.

14. The apparatus of claim 10, wherein the replacement block information corresponds to a pre-defined value, a user-defined value, a value that marked as unavailable, or the block information of a previously processed block in the region.

15. The apparatus of claim 14, wherein the previously processed block is a left block or a lower-left block of the current block.

16. The apparatus of claim 10, wherein the block information includes the syntax element to be processed by the context adaptive entropy decoding.

17. The apparatus of claim 10 wherein said one or more neighboring blocks correspond to one or more blocks selected from a group consisting of an above-left block, an

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above block, an above-right block, a left block and an above left block of the current block.

**18**. The apparatus of claim **10**, wherein said one or more neighboring blocks correspond to an above block and a left block of the current block.

**19**. A non-transitory computer readable medium storing a computer-executable program, the computer-executable program, when executed, causing a decoder to perform the following steps:

- receiving coded data associated with a current block in a 10 region, wherein the region corresponds to one or more rows of largest coding units (LCUs) and the coded data comprises a syntax element associated with a current luma intra mode of the current block;
- if a neighboring block of the current block is on an upper 15 side of a horizontal boundary of the region or on a left side of a vertical boundary of the region, replacing block information associated with the neighboring block by DC mode;
- determining information for parsing the syntax element 20 based on the block information associated with the neighboring blocks; and
- performing context adaptive entropy decoding of the syntax element based on the determined information.

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