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# (54) METHOD FOR PRODUCING SOLID FORMULATIONS COMPRISING MMUNOGLOBULIN SINGLE VARIABLE 2010/0003253 A1 1/2010 Laeremans et al. **DOMAINS** FOREIGN PATENT DOCUMENTS

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 $C(0.013.01)$ ; C07K 2317/569 (2013.01) Methods for preparing solid formulations of immunoglobu-<br>(2013.01); C07K 2317/569 (2013.01) in gingle voriable domains are provided. The mathods are (2013.01); CO/K 231//569 (2013.01) lin single variable domains are provided. The methods are (58) Field of Classification Search Field of Classification Search<br>
Search based on contacting solid carrier(s) with a liquid comprising<br>
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the immunoalogular incle verichle domains a  $\alpha$  by arriv None the immunoglobulin single variable domains, e.g. by spray-<br>See application file for complete search history. ing the liquid onto the solid carrier(s), to cause granulation (56) References Cited or coating or the carrier(s). During contacting the carrier is equation of coating or the carrier is equation of carrier and equation of carrier and liquid is exposed to heat, e.g. a heated air stream, to evaporate the liquid.

# 21 Claims, No Drawings

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# METHOD FOR PRODUCING SOLID FORMULATIONS COMPRISING IMMUNOGLOBULIN SINGLE VARIABLE DOMAINS

### RELATED APPLICATIONS

This application is a national stage filing under 35 U.S.C. §371 of international application PCT/EP2012/055497, filed Mar. 28, 2012, which was published under PCT Article 10 21(2) in English, and claims the benefit under 35 U.S.C. S119(e) of U.S. provisional application Ser. No. 61/468,341, filed Mar. 28, 2011, the disclosures of which are incorpo rated by reference herein in their entireties.

# FIELD OF THE INVENTION

The present invention relates to methods for preparing solid formulations of immunoglobulin single variable domains. The methods are based on contacting solid 20 carrier(s) with a liquid comprising the immunoglobulin single variable domains, e.g. by spraying the liquid onto the solid carrier(s), to cause granulation or coating of the carrier(s). During contacting the carrier is agitated, e.g. in a fluid bed, and the mixture of carrier and liquid is exposed to 25 heat, e.g. a heated air stream, to evaporate the liquid. Thereby the solid formulation of the invention is formed.

# BACKGROUND ART

Solid formulations such as powders, granules or tablets are widely used in pharmaceutical industry. They typically comprise at least one active ingredient, and may further comprise carriers and other excipients. Solid formulations are also used in other commercial applications, e.g. in the 35 diagnostic area, such as in the manufacture of diagnostic kits. Granules can be used e.g. in capsules, sachets or processed further (e.g. pressed) to tablets. Advantages offered by Solid formulations include less storage space, ease of handling, and improved stability. Moreover, tablets or 40 capsules provide the most widely used dosage unit for applying drugs to a patient in a non-invasive manner. Along established practice of preparing solid formulations exists for small molecule active ingredients.

In the meanwhile, immunoglobulins are finding ever 45 increasing use as active ingredients in therapeutic or diagnostic applications. These applications rely on the antigen binding activity of immunoglobulins.

In comparison to Small molecule drugs, immunoglobulins are very large and complex molecules. They carry multiple 50 functional groups and form complex three dimensional structures. The correct folding into a tertiary structure, and, potentially, the assembly of multiple domains or subunits of such three dimensional structures into a quaternary structure are essential for antigen binding. For example, binding of an 55 immunoglobulin variable domain to its antigen depends on the correct formation of the antigenbinding site, and thus, on the correct overall folding of the molecule.

Complexity in terms of chemical composition and struc ture imposes severe limits on methods for preparing Solid 60 formulations that comprise biologically active immuno globulins. The main problem associated with methods for in particular chemical instability and physical instability.

sition of proteins through bond formation or cleavage. Examples of chemical protein instability include deamida Chemical instability is caused by changes in the compo- 65

tion, racemization, hydrolysis, isomerizatin, dehydration, oxidation, beta elimination, glycation, and disulfide exchange/scrambling.

15 critical level known as the melting temperature (Tm) or the Physical instability affects protein structure. Changes in temperature, shear stress, effects caused by phase interfaces (e.g. liquid/gas), and loss of hydration effects each can result in physical instability of immunoglobulins, such as changes to higher order structure (i.e. aggregation), denaturation or unfolding, adsorption and precipitation. The biological func tion of macromolecules such as immunoglobulins relies on their native conformation, which is maintained by temperature-sensitive hydrogen bonds or non-covalent interactions between functional groups of the macromolecule. When an immunoglobulin is exposed to increased temperature over a denaturation temperature (Td) it undergoes a sharp structural transition and denatures. Typically this temperature-induced that immunoglobulin domains are vulnerable to heat induced unfolding. This in turn leads to exposure of hydrophobic patches which interact to form irreversible aggregates.

It goes without saying that chemical and physical insta bility interact in compromising biological activity. The resulting loss of activity is incompatible with a pharmaceu tical or diagnostic application of such solid immunoglobulin formulations.

All the above effects on physical or chemical stability are favoured by exposure to heat in a liquid state. Moreover, they are favoured by a high interface area between the liquid and gas phase.

It is widely known that proteins can withstand higher temperatures in a dry state than in a liquid state.

Thus, of particular concern for immunoglobulin stability is the combination of heat and a liquid state, in particular under additional shear stress conditions and the presence of large phase interface surfaces. Immunoglobulins that are heated in a liquid state will suffer from chemical modifica tions, in addition to loosing their proper structure by aggregation and denaturing.

Consequently, strategies have been deployed to avoid temperature induced denaturing. These strategies include a) drying (e.g. spray-drying based on flash evaporation); b) reducing moisture: water content has a great impact on thermal denaturation of proteins being formulated or stored in a powder form. Increase of water content results in a decrease of Td and enthalpy of denaturation and increased protein mobility.

The problems encountered with macromolecular protein therapeutics such as immunoglobulins are not as pronounced in very Small peptides. In particular, very Small peptides differ in terms of their instability from a chemical, biological and physical point of view. Irreversible conformation changes including aggregation typically are absent in very small peptides. In other words, even if a peptide suffers from conformation changes in the course of a formulation pro priate conditions and thus regain its activity. For example, solid formulations of insulin are known (e.g. Hosny et al., 2002; J. Pharm. 237(1-2): 71-6). This is in stark contrast to the irreversible changes of macromolecular protein thera peutics which irreversibly loose their activity, and is one reason why much effort has been put in commercializing very small peptides and small molecules instead of proteins in particulate solid dosage forms.

Therefore known methods for preparing solid immunoglobulin formulations avoid the exposure to elevated tem

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peratures in a liquid state and under shear stress. In particu lar, commonly used methods for solid formulation of immunoglobulins include freeze drying (lyophilization). Freeze drying operates at very low temperatures and thus avoids immunoglobulin instability caused by exposure to 5 heat in a liquid state. However, the solid formulations obtainable by freeze drying typically are not directly suitable for the manufacture of e.g. tablets, capsules or implants. This necessitates complicated and expensive further processing, if such solid dosage forms are to be produced. Therefore, the 10 art attempted to modify and improve freeze drying processes (Leuenberger et al. 2006; Drying Technology 24: 711–719).

Another known method for gentle production of solid state formulations comprising proteins is spray drying, or combinations of freeze-drying and spray drying (e.g. Lee 15 2000; Pharm. Biotechnol. 13: 135-58; Solohub and Cal 2010; J. Pharm. Sci. 99(2): 587-97; Vehring 2008: Pharm. Res. 25(5): 99-1022).

Spray drying is based on the principle that a liquid comprising the active agent is sprayed into a hot stream of 20 gas, e.g. air, and vaporised. Droplet size is adjusted (e.g. 20 um) to maximize Surface area for heat transfer and the rate leaves the droplets. During this process evaporation has a cooling effect on the droplets. Because of the advantageous 25 ratio of volume to surface area of the droplets, spray dryers can dry a product very quickly compared to other methods of drying. Thus, exposure to heat in a liquid state is reduced to a minimum, and the conversion to a solid state is almost immediate (e.g. in the range of a few seconds). Moreover, 30 the evaporation of the droplets is not associated with shear stress for the active agent.

However, there remains a need for further methods for preparing solid formulations comprising immunoglobulin single variable domains.

The present invention is based on the unexpected finding<br>that a solid formulation comprising, as an active agent, immunoglobulin single variable domains, in particular (camelid) VHH domains, camelized VH domains or human ized VHH domains can be produced by a method combining 40 heat exposure in a liquid state and shear stress, without significant loss of biological activity.

## SUMMARY OF THE INVENTION

The present invention provides a method of producing a solid formulation of an immunoglobulin single variable domain, wherein a solid carrier material is agitated and contacted with a liquid comprising an immunoglobulin heat is applied to evaporate the liquid. In a particular embodiment of the invention, the method can be a wet granulation process, such as a fluid bed granulation process. single variable domain as an active agent and concomitantly 50

The invention in one particular embodiment relates to one or more immunoglobulin single variable domains selected 55 from a VHH immunoglobulin single variable domain, a humanized VHH immunoglobulin single variable domain or a camelized VH immunoglobulin single variable domain or any suitable fragment thereof. The invention in one particu lar embodiment relates to one or more monovalent immu- 60 able by a method as described above, such as a pharmaceunoglobulin single variable domains and/or one or more ical preparation. noglobulin single variable domains and/or one or more multivalent immunoglobulin variable domains, such as one or more bivalent immunoglobulin single variable domains or one or more trivalent immunoglobulin single variable domains.

According to the invention, the solid carrier material can be one or more selected from disaccharides like lactose,

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maltitol. Sucrose, maltose; polyols or Sugar alcohols like mannitol, sorbitol, isomalt; calcium phosphate; polysaccha-<br>rides such as maltodextrin, starch and starch derivatives, pregelatinised starch, inulin; cellulose; or mixtures thereof but is not limited to these particular examples. In a preferred aspect, the solid carrier material is mannitol.

The invention also encompasses the use of additional binders, such as one or more selected from starch, starch paste, partially pregelatinised starch, gelatine and cellulose derivatives such as hydroxypropylmethyl cellulose, hydroxypropyl cellulose (HPC), polyvinyl pyrollidone (PVP), copovidone, polydextrose, carbomer or mixtures thereof. In a particular aspect, the vinyl pyrollidone, preferably hydroxypropyl cellulose.

In one particular embodiment, the invention relates to a coating process, in particular a fluid bed coating process. The coating process may comprise solid carrier selected from powders and beads, in particular inert nonpareil heads, more in particular beads selected from one or more of microcrystalline cellulose, sucrose, or mixtures thereof.

According to some embodiments of the invention, the liquid is evaporated to a content of less than 10% (w/w), preferably less than 5%, less than 2.5% or less than 1% of the final solid formulation.

The methods of the invention include embodiments, wherein the solid carrier is agitated by one or more of mixing, stirring, shaking, by applying a gas stream, or by combinations thereof.

In exemplary embodiments of the methods of the inven tion, heat may be applied in the form of a heated gas stream, preferably a heated air stream, which is directed at the solid carrier material such that a fluid bed is formed.

In exemplary embodiments the methods of the invention are performed, wherein the temperature of the solid carrier material contacted with a liquid comprising an immuno globulin single variable domain as an active agent ranges between 40° C. and 80°C., more specifically between 40°C. and  $70^{\circ}$  C., preferably between  $40^{\circ}$  C. and  $60^{\circ}$  C., more preferably between 40° C. and 55° C., wherein each of the values is understood to allow for a variation of  $\pm 2^{\circ}$  C.

In an exemplary embodiment of the methods of the invention, the solid carrier material is contacted with the liquid comprising an active agent by spraying, in particular by spraying the liquid onto a fluid bed of the solid carrier material.

The methods of the invention may in certain embodiments have a duration of at least 15 min, for example at least 20 min, at least 30 min, at least 40 min, at least 50 min.

In the methods of the invention the liquid comprising the active agent can be selected from water or an aqueous buffer. The liquid may further comprise excipients.

Furthermore, the invention encompasses methods that comprise further steps for preparing a pharmaceutical prepa

ration such as a capsule, tablet or implant.<br>The invention also encompasses methods for preparing pharmaceutical preparations which are using a solid formulation obtainable by the method according to any aspect of the invention.

The invention also relates to a solid formulation obtain

# DETAILED DESCRIPTION OF THE INVENTION

Unless indicated or defined otherwise, all terms used have their usual meaning in the art, which will be clear to the skilled person. Reference is for example made to the stan dard handbooks, such as Sambrook et al, "Molecular Cloning: A Laboratory Manual' (2nd.Ed.), Vols. 1-3, Cold Spring Harbor Laboratory Press (1989); F. Ausubel et al, eds., "Current protocols in molecular biology'. Green Publishing 5 and Wiley Interscience, New York (1987); Lewin, "Genes II", John Wiley & Sons, New York, N.Y., (1985); Old et al., "Principles of Gene Manipulation: An Introduction to Genetic Engineering", 2nd edition, University of California Press, Berkeley, Calif. (1981); Roitt et al., "Immunology" 10 (6th. Ed.), Mosby/Elsevier, Edinburgh (2001); Roitt et al., Roitt's Essential Immunology, 10th Ed. Blackwell Publish ing, UK (2001); and Janeway et al., "Immunobiology" (6th Ed.), Garland Science Publishing/Churchill Livingstone, New York (2005), as well as to the general background art 15 cited herein.

Immunoglobulin Single Variable Domain

The term "immunoglobulin single variable domain', interchangeably used with "single variable domain', defines molecules wherein the antigen binding site is present on, and 20 formed by, a single immunoglobulin domain. This sets immunoglobulin single variable domains apart from "con ventional" immunoglobulins or their fragments, wherein two immunoglobulin domains, in particular two variable domains interact to form an antigen binding site. Typically, 25 in conventional immunoglobulins, a heavy chain variable domain (VH) and a light chain variable domain (VL) interact to form an antigen binding site. In this case, the complementarity determining regions (CDRs) of both VH and VL will contribute to the antigen binding site, i.e. a total 30 of 6 CDRs will be involved in antigen binding site forma tion.

In contrast, the binding site of an immunoglobulin single variable domain is formed by a single VH or VL domain. Hence, the antigen binding site of an immunoglobulin single 35 variable domain is formed by no more than three CDRs.

The terms "immunoglobulin single variable domains", or "single variable domain" hence do not comprise conventional immunoglobulins or their fragments which require interaction of at least two variable domains for the formation 40 of an antigen binding site. This is also the case for embodi ments of the invention which "comprise' or "contain" an immunoglobulin single variable domain. In the context of the present invention, such embodiments exclude conventional immunoglobulins or their fragments. Thus, a construct 45 or peptide that "comprises" or "contains" an immunoglobulin single variable domain may relate to e.g. constructs comprising more than one immunoglobulin single variable domain. Alternatively, there may be further constituents other than the immunoglobulin single variable domains, e.g. 50 auxiliary agents of different kinds, protein tags, colorants, dyes, etc. However, the terms "immunoglobulin single variable domains" or "single variable domain" do comprise fragments of conventional immunoglobulins wherein the antigen binding site is formed by a single variable domain. 55

The amino acid sequence and structure of an immuno globulin sequence Such as an immunoglobulin single vari able domain, in particular a Nanobody, can be considered without however being limited thereto—to be comprised of four framework regions or "FR's", which are referred to in 60 the art and herein as "Framework region 1" or "FR1"; as "Framework region 2" or "FR2"; as "Framework region 3" or "FR3"; and as "Framework region 4" or "FR4", respectively; which framework regions are interrupted by three complementary determining regions or "CDR's", which are 65 referred to in the art as "Complementarity Determining Region" or "CDR1"; as "Complementarity Determining

Region 2" or "CDR2"; and as "Complementarity Determining Region 3" or "CDR3", respectively.

Thus, generally, single variable domains will be amino acid sequences that consist of, or essentially consist of 4 framework regions (FR1 to FR4 respectively) and 3 comple mentarity determining regions (CDR1 to CDR3 respec tively). "Essentially consist' in this context means that additional elements such as e.g. tags used for purification or labelling may be present, but such additional elements are small as compared to the immunoglobulin single variable domain per se, and do not interfere with the antigen binding activity of the immunoglobulin single variable domain.

The total number of amino acid residues in a VHH immunoglobulin single variable domain, a humanized VHH or camelized VH, or a Nanobody, respectively, can be in the region of 110-120, is preferably 112-115, and is most preferably 113. It should however be noted that parts, fragments, analogs or derivatives (as further described herein) are not particularly limited as to their length and/or size, as long as such parts, fragments, analogs or derivatives meet the further requirements outlined herein, in particular show antigen binding activity, and are also preferably Suit able for the purposes described herein.

"Suitable fragments' of immunoglobulin single variable domains relate to polypeptides which contain fewer amino acids than a native immunoglobulin single variable domain, but still show antigen binding activity (which will then usually contain at least some of the amino acid residues that form at least one of the CDR's, as further described herein).<br>Such single variable domains and fragments most preferably comprise an immunoglobulin fold or are capable for forming, under Suitable conditions, an immunoglobulin fold. More specifically, immunoglobulin single variable domains and their fragments are such that they are capable of binding to the target antigen. As such, the single variable domain may for example comprise a light chain variable domain sequence (e.g. a  $V<sub>L</sub>$ -sequence) or a suitable fragment thereof, or a heavy chain variable domain sequence (e.g. a  $V_H$ -sequence or  $V_{HH}$  sequence) or a suitable fragment thereof, as long as it is capable of forming a single antigen binding unit (i.e. a functional antigen binding unit that essentially consists of the single variable domain, such that the single antigen binding domain does not need to interact with another variable domain to form a functional antigen binding unit, as is for example the case for the variable domains that are present in for example conventional anti bodies and scFv fragments that need to interact with another variable domain—e.g. through a  $V_H/V_L$  interaction—to form a functional antigen binding domain).

For example, the immunoglobulin single variable domains may be a domain antibody or may be a single domain antibody (or an amino acid sequence that is suitable for use as a single domain antibody), a "dAb' or dAb (or an amino acid sequence that is suitable for use as a dAb) or a Nanobody $\mathcal{R}$  (as defined herein, and including but not limited to a  $V_{HH}$  sequence); other single variable domains, or any suitable fragment of any one thereof. For a general description of (single) domain antibodies, reference is also made to the prior art cited herein, as well as to EP 0368 684. For the term "dAb's", reference is for example made to Ward et al. 1989 (Nature 341 (6242): 544-6), to Holt et al. 2003 (Trends Biotechnol. 21(11): A84-490), as well as to for example WO 04/068820, WO 06/030220, WO 06/003388 and other published patent applications of Domantis Ltd. It should also be noted that, although less preferred in the context of the present invention because they are not of mammalian origin

single variable domains can be derived from certain species of shark (for example, the so-called "igNAR domains", see for example WO 05/18629).

In particular, the amino acid sequence of the invention may be a Nanobody $\mathbb{R}$  or a suitable fragment thereof. For a further description of  $V_{HH}$ 's and Nanobodies, reference is made to the review article by Muyidermans 2001 (in Reviews in Molecular Biotechnology 74: 277-302); as well as to the following patent applications, which are mentioned as general background art: WO94/04678, WO95/04079 and WO 96/34103 of the Vrije Universiteit Brussel; WO 94/25591, WO 99/37681, WO 00/40968, WO 00/43507, WO 00/65057, WO 01/40310, WO 01/44301, EP 1134231 and WO 02/48.193 of Unilever, WO 97/49805, WO 01/21817WO 03/035694, WO 03/054016 and WO 03/055527 of the Viaams Instituut voor Biotechnologie (VIB); WO 03/050531 of Algonomics N.V. and Ablynx N.V., WO 01/90190 by the National Research Council of Canada; WO 03/025020 EP 1 433 793) by the Institute of  $_{20}$ Antibodies; as well as WO 04/041867, WO 04/041862, WO 04/041865, WO 04/041863, WO 04/062551, WO 05/044858, WO 06/40153, WO 06/079372, WO 06/122786, WO 06/122787 and WO 06/122825, by Ablynx N.V. and the further published patent applications by Ablynx N.V. Ref- 25 erence is also made to the further prior art mentioned in these applications, and in particular to the list of references mentioned on pages 41-43 of the International application WO 06/040153, which list and references are incorporated herein by reference. As described in these references, Nano-30 bodies (in particular  $V_{HH}$  sequences and partially humanized Nanobodies) can in particular be characterized by the presence of one or more "Hallmark residues" in one or more of the framework sequences. A further description of the Nano bodies, including humanization and/or camelization of 35 Nanobodies, as well as other modifications, parts or frag ments, derivatives or "Nanobody fusions', multivalent con structs (including some non-limiting examples of linker sequences) and different modifications to increase the half life of the Nanobodies and their preparations can be found 40 e.g. in WO 07/104,529. 10 15

Thus, in the meaning of the present invention, the term "immunoglobulin single variable domain', or "single vari able domain" comprises polypeptides which are derived from a non-human source, preferably a camelid, preferably a camelid heavy chain antibody. They may be humanized, as previously described. Moreover, the term comprises poly peptides derived from non-camelid sources, e.g. mouse or human, which have been "camelized', as previously described. 45

Thus, in preferred embodiments of the methods according to the invention the immunoglobulin single variable domain comprises one or more selected from a VHH immunoglobu lin single variable domain, a humanized VHH immuno globulin single variable domain or a camelized VH immu- 55 noglobulin single variable domain or any Suitable fragment or combination thereof.

Unless indicated otherwise, the term "immunoglobu-<br>lin''—whether used herein to refer to a heavy chain antibody or to a conventional 4-chain antibody—is used as a general 60 term to include both the full-size antibody, the individual thereof (including but not limited to antigen-binding domains or fragments such as  $V_{HH}$  domains or  $V_{H}/V_{L}$ domains or fragments such as  $V_{HH}$  domains or  $V_{H}/V_{L}$  domains, respectively). The terms antigen-binding mol- 65 ecules or antigen-binding protein are used interchangeably with immunoglobulin sequence, and include Nanobodies.

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The immunoglobulin single variable domains provided by the invention are preferably in isolated form or essentially isolated form, or form part of a protein or polypeptide of the invention, which may comprise or essentially consist of one or more immunoglobulin single variable domains and which may optionally further comprise one or more further amino acid sequences (all optionally linked via one or more Suit able linkers). For example, and without limitation, the one or more immunoglobulin single variable domains may be used as a binding unit in Such a protein or polypeptide, which may optionally contain one or more further amino acid sequences that can serve as a binding unit (e.g. against one or more other antigens and/or targets), so as to provide a monovalent, multivalent or multispecific polypeptide of the invention, respectively, all as described herein. Such a protein or polypeptide may also be in isolated or essentially isolated form. Thus, according to the invention, immunoglobulin single variable domains comprise constructs comprising two or more antigen binding units in the form of single variable immunoglobulin single variable domains with the same or different antigen specificity can be linked to form e.g. a bivalent, trivalent or multivalent construct. By combining immunoglobulin single variable domains of two or more specificities, bispecific, trispecific etc. constructs can be formed. For example, a polypeptide according to the inven tion may comprise two immunoglobulin single variable domains directed against target A, and one immunoglobulin single variable domain against target B. Such Constructs and modifications thereof, which the skilled person can readily envisage, are all encompassed by the present invention.

Generally, polypeptides that comprise or essentially con sist of a single immunoglobulin single variable domain (such as a single Nanobody) will be referred to herein as "monovalent" polypeptides or as "monovalent constructs". Polypeptides that comprise or essentially consist of two or more immunoglobulin single variable domain (such as at least two Nanobodies) will be referred to herein as "multi valent" proteins or polypeptides or as "multivalent constructs". Some non-limiting examples of such multivalent constructs will become clear from the further description herein.

According to one specific, but non-limiting aspect, a polypeptide of the invention is a bivalent construct and comprises or essentially consists of two immunoglobulin single variable domains, such as two Nanobodies. According to another specific, but non-limiting aspect, a polypeptide of the invention is a trivalent construct and comprises or essentially consists of three immunoglobulin single variable domains, such as three Nanobodies.<br>In the above constructs, the one or more immunoglobulin

single variable domains and/or Nanobodies may be directly linked to each other and/or suitably linked to each other via one or more linker sequences.

The invention includes immunoglobulin sequences of different origin, comprising mouse, rat, rabbit, donkey, human and camelid immunoglobulin sequences. The inven tion also includes fully human, humanized or chimeric immunoglobulin sequences. For example, the invention comprises camelid immunoglobulin sequences and human ized camelid immunoglobulin sequences, or camelized domain antibodies, e.g. camelized Dab as described by Ward et al (see for example WO 94/04678 and Davies and Riechmarin (1994; Febs Letters 339: 285-290) and (1996: Prot. Engineering 9: 531-537)). Moreover, the invention comprises fused immunoglobulin sequences, e.g. forming a multivalent and/or multispecific construct (for multivalent and multispecific polypeptides containing one or more  $V_{HH}$ domains and their preparation, reference is also made to Conrathet al. 2001 (J. Biol. Chem. 276: 7346-7350), as well as to for example WO 96/34103 and WO 99/23221), and immunoglobulin sequences comprising tags or other func-<br>tional moieties, e.g. toxins, labels, radiochemicals, etc., which are derivable from the immunoglobulin sequences of the present invention.

All these molecules are also referred to as "polypeptide of the invention', which is synonymous with "immunoglobulin 10 sequences of the invention'.

In addition, the term "sequence' as used herein (for example in terms like "immunoglobulin sequence', 'anti body sequence", "variable domain sequence", "V<sub>HH</sub> sequence' or "protein sequence'), should generally be 15 understood to include both the relevant amino acid sequence as well as nucleic acid sequences or nucleotide sequences encoding the same, unless the context requires a more limited interpretation.

Solid Formulation of an Immunoglobulin Single Variable Domain

The present invention relates to formulations, e.g. phar maceutical or diagnostic formulations. These formulations comprise, as active agent, immunoglobulin single variable domains. "Active agents' contribute to or are responsible for 25 the biological effects of the formulation, e.g. therapeutic effects in a pharmaceutical composition. The biological effects may in particular be related to the antigen binding activity of the immunoglobulin single variable domains. However, it is self-evident that a solid formulation will not 30 typically exert any biological effect unless its active agents are reverted into a suitable state, e.g. into an aqueous Solution. This can be achieved prior to use, e.g. prior to administration, or as a consequence of use, e.g. after admin istration. For example, if a tablet or capsule comprising a 35 solid formulation of the invention is ingested by a subject to be treated or diagnosed, the immunoglobulin single variable domains will be brought back to a liquid state e.g. within the intestinal tract.

carriers, excipients, etc., which do not necessarily have biological effects themselves. The invention however does not exclude the presence of further agents having biological effects in their own right.

At the same time, formulations which comprise more than 45 one active agent, which may or may not be an immuno globulin single variable domain, are also encompassed by the invention. Such combinations of active agents, however, always comprise at least one active agent comprising or consisting of an immunoglobulin single variable domain. 50 The formulations are in a solid state. "Solid formulations' include powders or granules, e.g. obtainable by a granula tion or coating process. Solid formulations may have the form of agglomerates, i.e. an aggregation of solid carrier particles interspersed with active agent, or the form of 55 coated Particulate carriers, where a layer comprising the active agent is deposited on the surface of the carrier.

The term, however, also includes formulations which are obtainable by further processing. For example, if a granulate is pressed into a tablet, filled into a capsule, or formulated 60 into an implant (which term is meant to include a deposi tory), in particular a solid implant, than these tablets, cap sules and implants also represent solid formulations according to the present invention. The formation of Such solid formulations may comprise the additional use of further 65 excipients, flavouring agents, stabilizers, etc. Thus, the solid formulations of the present invention can be adapted to

standard forms of administration, such as oral, rectal, vaginal, ocular administration. In particular embodiments the solid formulations can also be adapted to administration by sublingual administration.

The present invention relates to solid formulations with out limitation. "Solid formulation" means that liquid formu lations are excluded. Also excluded are formulations like suspensions or slurries, which contain high amounts of liquid, such that the physical properties of the formulation are significantly influenced by the liquid. In other words, "solid formulation' as used herein relates to formulations that have a low content of liquid, i.e. they are dry or essentially dry. Typical examples of liquid content according to the invention include a content of less than  $10\%$  (w/w), preferably less than 5%, less than 2.5% or less than 1%, e.g. 0.5–1% or 0.5-5% of the Solid formulation.

The immunoglobulin single variable domains comprised<br>in the formulation must regain their activity when brought into an appropriate environment, e.g. dissolved in a liquid. Relative to the liquid formulation of the immunoglobulin single variable domains used as a starting material in the process of producing a solid state formulation, the activity of the immunoglobulin single variable domains will be e.g. at least 50%, 60% or 70%, preferably at least 80%, 90% or 95% after reconstitution of the solid formulation to a liquid state. Such a comparison will suitably employ conditions (e.g. temperature, buffer, pH), which per se do not affect the activity measurement, Activity can be determined either by a binding assay, or an assay which relies on a further biological activity (e.g. blocking a certain biological effect of the target molecule). The skilled person can readily determine suitable assays on the basis of the antigen specificity of the immunoglobulin single variable domains.

The above values of activity will preferably be stable over prolonged times of storing the Solid formulation. For example, the above values of activity will be attainable after at least 1, 3 or 6 months of storage of the solid formulation at 4° C.

Active agents are distinct from auxiliary compounds, 40 an activity of greater than 90%, preferably greater than 95% In the particular embodiment of fluid bed wet granulation, can be achieved, which remains at greater than 90% even after 3 months of storage at 4°C., in the embodiment of bead coating, an activity of greater than e.g. 70%, 75% or 80% can be achieved.

> Apart from the stability in terms of activity of the immu noglobulin single variable domains, the solid formulations of the present invention also are characterized by integrity and stability of the immunoglobulin single variable domains in chemical and physical terms.

> Physical integrity can be ascertained e.g. by size exclu sion chromatography (abbreviated "SEC"). The formation of aggregates or the loss of structure e.g. by unfolding would affect the flow through properties of immunoglobulin single variable domains in this chromatographic method. The skilled person knows suitable chromatographic equipment and analysis software. Non-limiting examples include e.g. Agilent 1200 HPLC system equipped with ChemStation software (Agilent Technologies, Palo Alto, USA, Rev B); Dionex Ultimate 3000 HPLC system equipped with Chromeleon software (Dionex Corporation, Sunnyvale, Calif., USA, V6.8); or ACQUITY UPLC® H-Class Bio<br>System (Waters, Saint-Quentin, Prance). Such systems

> allow for the generation and analysis of chromatograms.<br>Typically, a main peak comprising the immunoglobulin single variable domain may be flanked by so-called pre- or post-peaks, which represent structural variants, e.g. aggre gates (higher molecular weight than the main product peak)

or fragments (lower molecular weight than the main product peak). The peaks on the chromatogram can be compared, e.g. in terms of their area under the curve. This can be achieved by standard commercial software as exemplified above. Typically, the total area under the curve of all 5 characteristic peaks in one chromatogram is set at 100% and is also referred to as "peak area", and the distribution between different peaks of one chromatogram can be com pared. For example, the main peak corresponding to immu noglobulin single variable domains can be 98%, and a 10 pre-peak, comprising e.g. a dimeric aggregate can be 2% of the total peak area on the chromatogram. These patterns can be compared between a liquid reference and a solid formu lation of the invention. Ideally, the proportion of the main peak versus the side peaks will not change, or not change 15 significantly, by the methods of the invention.<br>Formulations of the present invention will only show very

minor changes between the main peak and pre- or postpeaks caused by the formulation method. For example, the relative increases in pre- or post-peaks will be less than 5% 20 for each individual peak, e.g. less than 4, 3, 2 or 1%. This means, for example, if in the reference sample a single pre-peak 1 amounts to 1% of the total area of peaks, this peak will amount to no more than 6% after preparing a solid formulation according to the methods of the present inven- 25 tion, and more particularly will remain at e.g. 2 or 3%, in other words, the immunoglobulin single variable domains will retain their physical integrity without significant changes. This is also reflected in that the main peak corre sponding to the immunoglobulin single variable domains 30 will be more than 90%, more than 95%, preferably more than 96, 97, or 98% of the total area under the curve even after the method of formulation of the present invention.

The above defined changes in peak pattern can also be considered as "no significant change', or "only minor 35 changes" in the context of the present invention.

Moreover, the peak pattern will be stable at storage, and will not differ significantly (as defined above) even after e.g. 3 months storage at 4°C.

Chemical stability of the immunoglobulin single variable 40 domains can be assessed e.g. by reversed phase chromatog raphy (abbreviated "RPC", for suitable exemplary equipment and analysis software see above). Chemical modifica tions of the polypeptide will affect the retention times and thus influence the chromatogram. As in SEC, the various 45

peaks can be analysed and compared to a reference value. invention will not show any significant changes in the RPC chromatogram as compared to the reference sample.

The formulation may comprise a single type of immuno- 50 globulin single variable domain, or a mixture of two or more types of immunoglobulin single variable domains. In this single variable domain sequence having a given antigen specificity, or a construct comprising two or more such 55 immunoglobulin single variable domains, etc.

Typical examples of Solid formulations, in particular granulate and/or coated beads will comprise, on a weight/ weight basis, less than e.g. 50%, 40%, 30% or, preferably, less than 25% of active ingredient. The content of active 60 ingredient relative to total weight sometimes also is referred to as "loading" or "load" of the active ingredient. Typical examples are less than 20%, less than 15%, less than 10%, and more specifically in the range of 0.1 to 10%. Specific examples of loads obtainable by a wet granulation process, 65 e.g. a fluid bed wet granulation process, are 3, 4, 5, 6, 7, 8 or 9% loading. Specific non limiting examples of loads

obtainable by a coating process, e.g. a fluid bed coating process, are 3, 4, 5, 6, 7, 8 or 9% loading.

Oftentimes a high loading is advantageous, as it results in a high specific activity of the formulation, i.e. the activity in terms of antigen binding and/or other biological effects per weight of formulation. A high specific activity advantageously leads to Smaller dosage units, e.g. a smaller capsule, tablet or implant. However, in solid dosage forms, which can be applied to a patient e.g. orally, rectally, or vaginally the loading is oftentimes not critical, because even relatively large capsules, tablets or implants can be used in order to achieve the desired dosage in a patient.

Where granulate and/or coated beads are processed fur ther, the '% loading of the final dosage unit form e.g. to tablet, capsule or implant, may be lower, depending on the amount of further agents (e.g. further auxiliary agents and/or further active agents) that are added.

Constituents of Solid Formulations

Solid formulations of the invention, e.g. granulates con sist of a mixture of ingredients, at least an excipient and an active agent. As used herein, the term "excipient" refers to pharmaceutically acceptable ingredients that are commonly used in the pharmaceutical technology for preparing granu late and/or solid oral dosage formulations. Examples of categories of excipients include, but are not limited to, binders, disintegrants, lubricants, glidants, stabilizers, fillers and diluents. The skilled person can readily select one or mare of the aforementioned excipients in view of the particular desired properties of the granulate and/or solid oral dosage form. The amount of each excipient used may vary within ranges conventional in the art. To the extent the skilled person requires any additional guidance we refer to the experimental section of this specification as well as general textbooks on techniques and excipients used to formulate oral dosage forms, such as The Handbook of Pharmaceutical Excipients 2003 (4th edition, Rowe et al., Eds. American Pharmaceuticals Association); and Reming ton: the Science and Practice of Pharmacy 2000 (20th edition, Gennaro, Ed., Lippincott Williams & Wilkins).

Of particular interest in a granulation or coating process is the Solid carrier (i.e. a solid compound which is put in contact with the liquid comprising the immunoglobulin single variable domain), which is described in more detail below.

### Solid Carrier Material

According to the invention the Solid immunoglobulin single variable domain formulation will comprise a solid carrier material. The carrier is in the form of solid particles, which may have regular or irregular shapes, e.g. powders, crystals, or beads. The carrier material may be a single chemical compound, such as e.g. mannitol, or may be a mixture of two or more compounds. It is also envisioned that the carrier comprises further excipients as defined above. The carrier will typically be a powder or beads. Conventional carrier materials known from solid formulations e.g. in the field of pharmaceutical preparations can be used. Specifically, carrier materials will be used which do not negatively affect antigen binding by the immunoglobulin single variable domains. The skilled person can readily ascertain by routine functional tests whether a given carrier material or mixture of materials is compatible with the immunoglobulin single variable domains that are the active ingredient of the formulation.

Acceptable solid carrier materials, which are compatible with the method of the invention, e.g. a wet granulation process, more specifically a fluid bed granulation process or

a high shear mixer granulation process, in particular a fluid bed granulation process, are generally known.

Specific examples of Such solid carrier materials include, but are not limited to one or more selected from disaccha rides like lactose, maltitol. Sucrose, maltose; polyols or Sugar alcohols like mannitol, Sorbitol, isomalt, calcium phosphate; polysaccharides such as maltodextrin, starch and starch derivatives, pregelatinised starch inulin; cellulose; or mixtures thereof. In a preferred aspect, the solid carrier used in the wet granulation process is mannitol.

Solid carriers which are compatible with a coating process are also known to the skilled person. For example, they can be selected from powders and beads, in particular inert nonpareil beads, more in particular beads selected from one <sub>15</sub> or more of microcrystalline cellulose. Sucrose, or mixtures thereof.

Preferably, any carrier material will be pharmaceutically acceptable. For diagnostic applications the skilled person also knows suitable carrier materials, and oftentimes phar- $_{20}$  maceutically acceptable carriers can be employed. Binder

In certain embodiments the methods of the invention also comprise the use of an additional binder. Typically, binders Swell or start dissolving when in contact with water, forming 25 a gel-like consistency. Widely used binders include, but are partially pregelatinised starch, aqueous preparations of corn-<br>starch, gelatine and cellulose derivatives such as methyl starch, gelatine and cellulose derivatives such as methyl<br>cellulose hydroxypropylmethyl cellulose, hydroxyethyl cel- 30 lulose, hydroxypropyl cellulose, polyvinyl pyrollidone (povidone), copovidone, polydextrose, carbomer, natural gums such as acacia, or mixtures thereof. In particular, such binders are used in wet granulation formulations. (See, Remington's Pharmaceutical Sciences, 18. Sup.th ed., Mack 35 Publishing Company: Easton, Pa., 1635-1636 (1990)). In a preferred aspect, the binder used in the wet granulation process is hydroxypropyl cellulose.<br>Binders can typically be added to a final contribution by

Binders can typically be added to a final contribution by weight of 1-15%, more specifically 2-10%, e.g. 2-8% of the 40 final formulation.

Further Excipients<br>The solid formulations of the present invention may further comprise excipients widely used in solid formulations, e.g. pharmaceutical Solid formulations. Examples 45 include fillers, flavouring agents, colorants, disintegrants or lubricants.

The disintegrant may be e.g. one of several modified starches or modified cellulose polymers, including croscar mellose sodium such as croscarmellose sodium NF Type A. 50

Lubricants may include magnesium stearate, calcium stearate, stearic acid, surface active agents such as sodium lauryl sulfate, propylene glycol, sodium dodecane sultanate, sodium oleate sultanate, and sodium laurate mixed with stearates and tale, sodium stearyl fumerate, and other known 55 lubricants.

Also known and widely used in the production of solid formulations are barrier materials. Such substances can be applied e.g. as an additional layer onto a coated bead, or a granule. Barrier materials are used e.g. to produce a pH 60 dependent release formulation, or a retarded release formu lation. The skilled person can choose adequate barrier mate rials that are commonly known for performing the present invention.

As with all other substances for use in the methods of the 65 present invention the skilled person will choose such agents which do not interfere with the biological activity of the

active agent, and will not have a negative effect on the process of producing a solid formulation.

Salt Concentration

In any aspect of the invention is it advantageous that the total salt concentration of the liquid comprising the active agent is less than 15% (w/w), preferably less than 10%, e.g. less than 9%, 8%, 7%, 6% or 5%, wherein each value is optionally understood as comprising a range $\pm 20\%$ , i.e. a value of  $10\%$  (w/w) is understood to relate to a range between 8 and  $12\%$ . Salts may be derived from a buffer. excipient, lubricant or any other compound used in the methods of the present invention. When e.g. a buffer and an excipient comprising salt are used, the above concentration relates to the combination of all salts.

It is also desirable that the concentration of total salts in the liquid comprising an immunoglobulin single variable domain used in the context of the present invention, e.g. buffer concentration, is relatively low, e.g. less than 30 mM, less than 20 mM, and preferably less than 10 mM, e.g. 9, 8, 7, 6, or 5 mM, wherein each value is optionally understood to encompass a range of  $\pm 20\%$ , e.g. a value of 10 mM is understood to encompass a range of 8 to 12 RIM. The Methods of the Invention

Granulation or coating processes are amongst the most widely used processes in preparation of solid pharmaceutical or diagnostic formulations. These solid formulations allow physical manipulation of the drugs, the manufacture of dosage forms such as tablets or capsules, and provide stable

powders for storage. For example, granules or coated beads can be filled into capsules, and thus directly be used for the manufacture of this dosage form. Granules, moreover, are a widely used starting material for the manufacture of tablets, which are formed by pressing the granules into tablet form. Again, therefore, granules serve as starting material which can be converted into tablets without complicated and expensive further processing steps. In all these applications, the physical characteristics of the e.g. granules or coated beads are instrumental for the manufacturing processes of e.g. pharmaceutical preparations. The solid formulations must show suitable flow properties, be sufficiently stable to withstand the physical stress encountered during industrial manufacturing processes, and avoid the formation of dust. Importantly, established industrial processes for wet granu lation or coating allow the skilled person to control the parameters of the solid formulations, such as e.g. granule size, flow characteristics, loading, etc.

Unfortunately, processes used for granulation or coating of Small molecule drugs are disadvantageous for immuno globulins. Wet granulation and coating processes require the presence of a liquid, which in turn has to be evaporated off to obtain a dry solid formulation. This requires the application of heat. The carrier/liquid mixture is physically agitated. to prevent clumping and provide an even mixture of the components. This combination of process features leads to an extremely stressful environment for macromolecules such as immunoglobulins. This environment favors all aspects that are detrimental to biological activity of immu noglobulins, i.e. chemical stress (promoted by the exposure to heat, large surface interfaces, high exposure to e.g. oxygen derived from air, and prolonged process times), and various physical stresses in particular intensive shear stress by physical agitation of the mixture of Solid carrier and liquid, as well as physical stress induced by phase interfaces between liquid and gas, combined with oftentimes high velocities of particles and a high frequency of collisions between particles and between particles and vessel walls in a reaction container.

It has now surprisingly been found that immunoglobulin single variable domains, like VHH, camelized VH or humanized VHH domains, can withstand standard process conditions in the production of solid formulations encountered in wet granulation or coating processes. In particular, 5 these molecules can withstand exposure to a combination of heat in a liquid state, large gas/liquid interfaces and shear<br>stress. Thus, surprisingly, solid formulations comprising stress. Thus, surprisingly, solid formulations comprising<br>immunoglobulin single variable domains can be prepared by<br>using standard equipment and commonly used process 10 parameters. The formulations nevertheless retain biological activity as well as physical and chemical integrity and share all further advantages, as further explained above. a) Wet Granulation

Standard methods that combine wet heat and agitation of 15 carrier materials are widely used in the production of pharmaceutical or diagnostic formulations. Specific examples include wet granulation processes, such as a fluid bed granulation processes. Pharmaceutical granulation pro cesses are used for the production of tablets, capsules and spherical granules.

"Granulation process" means any process whereby small particles are gathered into larger permanent masses in which the original particles can still be identified. This process is also described as 'agglomeration by agitation': a particulate 25 feed, e.g. a solid carrier material is introduced to a process vessel and is agglomerated either batch-wise or continuously to form granulated product. The feed is agitated in the process vessel to cause granulation. (Perry's Chemical Engi neer's Handbook, 7th edition 1997).

In wet granulation, the particulate feed is contacted with a liquid, e.g. by spraying the liquid into the process vessel. Typically the liquid will be sprayed onto the particulate feed. Apparatuses are widely known which may spray from the bottom, the top, or any other suitable orientation. The liquid 35 acts as a binder to agglomerate the Solid particulate feed. The amount of liquid has to be properly controlled, as over wetting will cause the granules to be too hard and under wetting will cause them to be too soft and friable. Thus, the amount of liquid and the rate of addition will influence the 40 granulation process, as is widely known.

Thus, a wet granulation process will comprise at least the steps of contacting the particulate feed (the solid carrier) with a liquid comprising the immunoglobulin single variable domains and applying heat to evaporate the liquid. However, 45 the process may optionally also comprise further steps, e.g. a pre-heating phase to bring the particulate feed to an appropriate temperature. Moreover, the contacting of the particulate feed with the liquid can be continuous or dis continuous, and may extend over the entire process, or only 50 a part of the process. For example, the particulate feed will be contacted with the liquid for a predefined period of time, and thus a predefined amount of active agent will be contacted with the particulate feed in an equally predefined amount. Then, a separate phase of drying may ensue, 55 wherein no additional liquid is added to the reaction vessel. Nevertheless, application of heat continues until a desired residual content of liquid is achieved. The drying phase may not be necessary, e.g. in case the liquid content is continu ously kept below the desired level by adjusting the process 60 parameters appropriately.

Process parameters that can be readily adjusted by the skilled person include the rate of particulate feed, the rate of adding the liquid, the form and intensity of applying heat, e.g. the Volume and temperature of a heated gas streamed 65 through the reaction vessel, the intensity and form of physi cal agitation, e.g. mixing or fluidizing by use of a gas stream,

and the overall duration of the process. The skilled person can derive guidance on suitable process parameters from his common knowledge in wet granulation processes, and will find additional guidance in the experimental section of this description.

The feed comprises at least the solid carrier material, and may typically comprise a mixture of Solid ingredients which may include binders, diluents, flow aids, Surfactants, wetting

agents, lubricants and fillers.<br>In the context of the present invention, wet granulation involves adding a liquid comprising the immunoglobulin single variable domains as active agent. The invention also encompasses methods wherein the liquid comprises further agents, e.g. binders such as polymeric binders.

The binders can be either predissolved in the liquid that comprises the immunoglobulin single variable domains. Alternatively, the binders can be included in the particular feed, e.g. by preblending with the other components of the particulate feed. The binders will then achieve the desired effect upon contacting with the liquid containing the active agent.

According to the invention, the Solid carrier material and the liquid comprising the immunoglobulin single variable domains are contacted under agitation. The form of agitation is not limited, and includes one or more of mixing, stirring, shaking, applying a gas stream, or combinations thereof. Such agitation can be applied by using a fluid bed apparatus, pan, drum and mixer granulators. Preferably, agitation is continuous.

In principle the invention also encompasses low shear or, high shear granulation processes. Low shear granulation processes use very simple mixing equipment, and can take shear wet granulation processes use equipment that mixes the particulate solid feed and liquid at a very fast rate, and thus speeds up the manufacturing process. However, the amount of liquid that can be mixed with solid carriers in low or high shear granulation processes, without causing the solid carriers to dissolve or disintegrate, typically is limited. Immunoglobulin single variable domains are added to the solid carrier in a liquid state. The maximum concentration of immunoglobulin single variable domains in liquids is lim ited. The limitation of total liquid volume that can be added per unit carrier, together with the limitation in maximum concentration of immunoglobulin single variable domains results in a limitation in the load of active agent that is achievable in the final granulate by Such wet granulation processes. For important pharmaceutical applications the use of Such processes is therefore severely limited, insofar as the required loads cannot be achieved.

Another preferable standard method of producing solid formulations of small molecule drugs involves the use of fluidized bed apparatus for granulating and/or coating carrier particles. As compared to the above mentioned wet granu lation processes, the active agent can be added, e.g. sprayed onto a carrier material continuously, whilst at the same time liquid is continuously evaporated by exposure to heat. By balancing liquid input and evaporation, disintegration of the carrier material by excess liquid is avoided. The continuous addition of active agent allows control over the load of the ing the process time. The longer the active agent is applied, the higher the loads in the final solid formulation.

This method has been applied to very Small peptides, such as insulin (Hosny et al. 2002; int. J. Pharm. 237(1-2): 71-6). However, in a fluidized bed granulation or coating process, the active agent is exposed to heat in a liquid state over prolonged periods of time, e.g. 30-90 min. Moreover, the continuous agitation of the carrier particles leads to intensive interface areas between liquid and gas phase. This prolonged exposure to heat in a liquid state under shear stress condi-<br>tions has previously been considered unsuitable for producing solid state immunoglobulin formulations. It was expected to lead to loss of biological activity due to chemical and physical instability.

I hus, in a further preferred embodiment of the invention, 10 the wet granulation method is a fluid bed granulation pro cess. Fluid bed granulation is a wet granulation process, wherein the steps of pre-heating, granulation and drying can be performed in one process vessel.

"Fluid bed" and "fluidized bed" are used synonymously. 15 These terms describe a state wherein particulate solid matter is agitated to behave like a liquid. It can be achieved e.g. by a gas stream, which suspends the particulate solid matter. The gas stream is also referred to as "fluidization medium'.

the fluidized bed and good heat transfer between the bed and its container. Fluidized beds promote high levels of contact between gases and solids. They are characterized by a very high interface area between fluidization medium and solid per unit bed volume, a high relative velocity between the 25 fluidization medium and the dispersed solid phase, a high level of intermixing of the particulate phase, and frequent particle-particle and particle-wall collisions.

Thus, in this embodiment of the invention, granules are produced e.g. in a single piece of equipment by spraying a 30 solution onto a fluidised bed of solid carrier, e.g. a powder. In the fluid bed granulation process the particles are sus pended in the air stream, which may be heated to a tem perature suitable for evaporating the liquid, and the atomised liquid is sprayed on it.

It is also noted that the present invention is distinct from spray-freeze drying. In such a process, the protein drug is dissolved. The solution is nebulized in to a cryogenic medium (e.g. liquid nitrogen), which generates a dispersion of shock-frozen droplets. The dispersion is then dried in a 40 lyophilizer. Thus, in embodiments of the invention, pro cesses based on spray-freeze drying in a fluidized bed are excluded, not the least as they operate at very low tempera tures and do not utilize the application of heat. b) Coating Processes

Apart from wet granulation processes, which rely on particle agglomeration under the influence of a liquid com prising the immunoglobulin single variable domains, the present invention also encompasses coating processes.

comprising immunoglobulin single variable domains to form an outer layer, or coat, around the particulate solid carrier. The skilled person can readily select suitable carriers and, if required, further excipients suitable for a coating process. Moreover, the skilled person knows standard equip- 55 ment used for coating processes. Process parameters are equally known to the skilled person from standard coating processes, and further guidance can be found in the experi mental section of the description. A particulate solid carrier is contacted with a liquid, 50

The invention can be performed using commonly known 60 solid carriers that are widely used in coating processes. Typically particulate solid carriers are selected from pow ders and beads. They can in particular be inert nonpareil beads, more in particular beads selected from one or more of microcrystalline cellulose, sucrose, or mixtures thereof. 65

In a preferred embodiment of the invention, the coating process is a fluid bed coating process. A fluid bed is formed as described above, and the liquid comprising the active agent is applied, e.g. sprayed, onto the fluid bed Such that the particulate solid carrier is coated. Again, spraying direction may vary depending on the equipment used, and parameters can readily be adapted by the skilled person.

c) Common Parameters

The present invention combines the active agent in a liquid state with heat and agitation. In general, process parameters commonly used in wet granulation or coating processes can be used, provided they do not lead to inacti vation of the immunoglobulin single variable domains. The skilled person is well acquainted with process parameters such as the feed rate of solid particulate carriers, the spray rate of the liquid comprising immunoglobulin single vari able domains, the necessary intensity of agitation, and the level of heat exposure required for evaporating the liquid. Further guidance can be derived from the experimental section.

In fluidized beds, there is good thermal transport inside 20 a solid formulation comprising immunoglobulin single vari-Heating has the effect of evaporating the liquid, Such that able domains is formed. Heat can be applied by any means available to the skilled person, e.g. by heating the reaction applying a heated gas stream. In a preferred embodiment, the mixture of particulate Solid carrier and liquid comprising immunoglobulin single variable domains is contacted with a heated gas stream, e.g. heated air, to evaporate the liquid.

> 35 embodiment, a fluid bed is formed by a gas stream that is As described above, in those embodiments of the inven tion comprising a fluid bed, a gas stream is typically applied for generating the fluid bed. In these instances the gas stream can also be used to apply heat. This does not exclude additional ways to apply heat, e.g. by additional radiation, heating of the vessel walls or additional gas streams that are not involved in forming the fluid bed. In one exemplary directed in an appropriate way into the reaction vessel. The vessel walls as well as the gas stream are heated.

The gas that is used to form the gas stream, to form the fluid bed and/or apply heat is not limited. The skilled person knows many alternative gases that are compatible with the materials and active agents used in the process, including inert gases such as nitrogen or nobel gases, and air. In one preferred embodiment the gas is air.

45 temperature range, however, they have in common that they The methods of the invention can be used over a wide are performed at an elevated temperature, i.e. heat is applied. Temperatures above 30 $\degree$  C., more in particular above 35 $\degree$  $\pm$ 2 $\degree$ C. e.g. 38, 39 or 40° C. can be considered as heat. More specifically, for example, temperatures of the solid carrier material contacted with a liquid comprising an immuno globulin single variable domain as an active agent, i.e. product temperatures range between 40° C. and 80°C., e.g. 50° C., 60° C., 70° C., more specifically between 40° C. and 70° C., preferably between 40° C. and 60° C., more pref erably between 40° C. and 55° C., e.g. between 45 and 55° C., wherein each of the above values is understood to allow for a variation of  $\pm 2^{\circ}$  C. In one embodiment the product temperature is higher than 50° C. (irrespective of moisture content), e.g. higher than 51, 52, 53 or 54° C., and may be in a range with an upper limit as defined above.

Specifically, these temperature values relate to product temperatures which will generally be lower than the tem perature of e.g. a heated gas stream applied to the mixture. The temperature of the heated gas stream is also called "inlet temperature", distinct from the 'product temperature', and the "outlet temperature'. The outlet temperature refers to the temperature of the gas leaving the reaction vessel. Product temperature typically is lower than the inlet temperature, e.g. due to the cooling effect of evaporation of the liquid. In particular embodiments, the inlet temperature will be 5-30° C. higher than the product temperature as specified above, e.g. 5, 10, 15, 20, 25 or 30 $^{\circ}$  C. higher, wherein each value 5 optionally relates to a range $\pm 2^{\circ}$  C. For example, inlet temperature may be higher than 50, 55, 60, 65, 70 or 75° C.

It has surprisingly been found that immunoglobulin single variable domains can withstand high temperatures during the processes of the invention, despite being in liquid state. 10 Previous reports related to proteins that are not immuno globulin single variable domains have instructed the skilled person not to use product temperatures exceeding 35°C. (as exemplified in U.S. Pat. No. 6,596,318). Nevertheless, particularly gentle processes of the invention advantageously 15 use the lowest temperatures compatible with adequate pro cess times. The lower the temperature, the longer the evapo ration of the liquid will take. This in turn may increase the level of physical and chemical stress of the process, e.g. the duration of exposure to shear stress will increase.

The skilled person can derive general guidance on suitable product temperatures from the melting temperature Tm of the immunoglobulin single variable domains and will preferably work at product temperatures that do not exceed Im, e.g. are 1 to 5 or 1 to  $10^{\circ}$  C. below 1m, e.g. 1, 2, 3, 4 25 or 5°C. below Tm. However, alternatively the invention also contemplates embodiments wherein product temperatures exceed Tm, e.g. by e.g. 1, 2, 3, 4 or  $5^{\circ}$  C.<br>The present invention in particular relates to processes

The present invention in particular relates to processes performed at atmospheric pressure, i.e. without reducing the 30 pressure within the reaction vessel to further the evaporation of the liquid, as is described e.g. in DE 44.41167.

It has surprisingly been found that the immunoglobulin single variable domains can withstand the combination of heat in a liquid State, high shear caused by agitation and the 35 concomitant large interface areas between liquid and gas phase for prolonged periods of time. In other words, it is not required to flash-evaporate the liquid comprising immuno globulin single variable domains. Thus, in any of the meth ods of the present invention the solid carrier material is 40 agitated and contacted with a liquid comprising an immu noglobulin single variable domain and concomitantly heat is applied to evaporate the liquid for e.g. at least 15 min, for example at least 20 min, at least 30 min, at least 40 min, at least 50 min. This time-span describes the time between 45 beginning to apply the liquid to the Solid carrier material under the influence of heat, until sufficient liquid is evaporated that heat no longer has to be applied. Process time will typically be governed by the time required to reduce the liquid content in the formulation to an acceptable level, as 50 defined above. This time will also depend on batch size, which determines the time needed for granulation. Hence, this time span may comprise a phase wherein liquid comprising the immunoglobulin single variable domains is applied, and a time span wherein this application is stopped, 55 but exposure to heat is continued until a desired level of liquid is achieved. Liquid content of Solid formulations is typically as defined above, i.e. the formulations are dry or essentially dry.

The liquid comprising the immunoglobulin single vari- 60 able domains is not limited, provided it does not compromise activity of the immunoglobulin single variable domains. Suitable examples include water and standard buffers. Water, in particular demineralised water, is prefer able, as it does not lead to additional particulate matter, Such 65 as salt crystals, when evaporated. As detailed above, the salt concentration of the liquid is preferably e.g. lower than 10%

wfw, and/or lower than 10 mM. In embodiments of the invention the use of a "protein matrix' which is an admix ture of one or more proteins and a salt at a high concentra tion, e.g. a salt concentration between 63.7 to 85.3% based on dry solids is excluded. In embodiments of the invention a protein matrix (i.e. a protein/salt mixture) which contrib utes to about 20-80% of the final granule weight is excluded.

Specifically, the invention relates to methods which do not use a liquid, comprising immunoglobulin single variable domains as a suspension of aggregated protein. Certain enzymes are known to withstand aggregation and can be formulated in very high salt concentrations, e.g. exceeding 60% of total dry weight in salt (U.S. Pat. No. 6,423.517). In contrast, activity of immunoglobulin single variable domains is compromised by aggregation or high salt concentrations. Moreover, aggregates and/or high salt concen trations are unacceptable for pharmaceutical preparations.

The methods according to any aspect of the present invention may also comprise further steps commonly employed in the production of Solid formulations, e.g. solid pharmaceutical formulations. For example, the processes of the present invention can further comprise one or more steps of manufacturing a tablet, capsule or implant. The invention also relates to the production of pharmaceutical preparations comprising the Solid formulation according to the present invention. The pharmaceutical formulation is not limited, and is typically a tablet, capsule or implant, i.e. a solid pharmaceutical formulation.

In view of the above it is one of the advantages of the present invention that Solid formulations comprising immu noglobulin single variable domains can be prepared using standard equipment, standard ingredients and standard pro cess parameters widely used e.g. in the pharmaceutical field. The wealth of know-how and infrastructure available for the manufacture of Solid formulations can thus also be applied to the formulation of immunoglobulin single variable domains, which was not possible prior to the present inven tion.

The Formulations of the Present Invention

The present invention also relates to the solid formula tions, per se. It has not previously been considered possible to prepare solid formulations obtainable by the methods of the present invention. For example, Solid formulations obtainable by wet granulation processes are physically and drying or lyophilisation. The same applies to pharmaceutical preparations which comprise the solid formulations obtain able by the methods of the present invention.

Thus, the present invention makes the manifold advan tages of solid formulations available for immunoglobulin single variable domains as a class of active agents. These advantages include restricted mobility of immunoglobulin the production process per se also imparts advantageous properties, such as control of particle size, loading and wide array of properties of the solid formulations, depending on the substances, such as excipients, used for their production. For example, the present invention can be used to prepare controlled release formulations or taste masking.

Granulation inter alia has the effect of improving powder flow properties and reducing fine dust through size enlargement and densification thus improving capsule filling and tabletting operations. Moreover, an active agent is physi cally adhered to the carrier material, such that carrier and active agent can be manipulated together. This prevents that various components unmix, and also prevents mechanical and physical problems that can be associated with e.g. a sticky protein precipitate as obtained by lyophilisation or spray drying. These advantages are shared by coating processes.

Also, fluidized bed apparatuses offer advantages such as uniform particle mixing, uniform temperature gradients, and 5 flexibility to operate in batch and continuous production process. Additionally, fluidized bed apparatus provides an granulating and coating in a single step.

vide these advantages. For example, freeze-drying (lyophilization) results in a "cake' rather than granules or beads. The cake does not show the flowing properties of granules or coated beads. The solid formulation obtainable by lyophilization is for these reasons not suitable for the 15 preparation of tablets or capsules by standard industrial processes. Complex further operations (such as milling) are required, which increase the costs for the overall process, and may in addition be detrimental for the immunoglobulin single variable domains. As concerns spray drying process 20 parameters are far more difficult to control in order to obtain suitable granules. Conversely, known methods or formulations do not pro- 10

Apart from these advantages, solid formulations may also facilitate new routes of delivery. For example, WO 2005/ Needle-free injections are also being used a route of delivery. (see e.g. WO 2011/098518). WO 2004/041867 describes, amongst others, oral delivery of immunoglobulin single variable domains. 067898 describes inhalation as a new route of delivery. 25

# EXAMPLES

In the following, the present invention is described in more detail by providing specific exemplary embodiments. The scope of the invention however is not limited to these  $35$ examples, and encompasses variations as described in the general part of the description as well as such that the skilled person can readily envisage on the basis of his common general knowledge.

# 1 Example 1

# Fluid Bed Granulation

1.1 Materials and Methods

1.1.1 Immunoglobulin Single Variable Domain

As a specific example of an immunoglobulin single variable domain, the Nanobody having the following sequence was used:

A7.1% (w/w) Nanobody load was targeted. The solution was fed to a two-fluid nozzle (diameter 0.2 mm) by means of a peristaltic pump.

increased during the process. At the end of the granulation process the granulated material was cooled to room tem perature and transferred to an amberglass vial. The vial was stored at 5° C. The process yield was calculated as the amount of granulate collected in the reservoir divided by the theoretical amount of solids used in the formulation.

Process parameters are listed in Table 1. The composition of the granulate is shown in Table 2.

TABLE 1.

Conditions of the fluid bed granulation process						
Process parameter	Target value					
Inlet air volume $(m3/h)$	$0.11 - 0.55$					
Inlet air temperature $(° C.)$	50-65					
Outlet air temperature $(^\circ$ C.)	36-43					
Product temperature (° C.)	42-55					
Spray rate (ml/min)	$3 - 7$					
Spray air pressure (bar)						
Spray air flow (l/min)	4					
Process time (s)						

TABLE 2



\*Does not appear in the final product

1.1.3 Analytical Methods

Sample Preparation

40 Approximately 30 mg of the Nanobody 5F7/mannitol granulate was weighed on an analytical balance and solu bilized in approximately 200 µl MilliQ, water, in order to have a theoretical concentration of 5F7 in solution of about 10 mg/ml. The sample was Vortexed until complete disso lution was obtained.

45 Content Measurements

> To determine the concentration of Nanobody 5F7 in solution, OD280 and OD320 were measured. Blank setting and dilution of the samples were performed in MilliQ water (1/20). Dilutions were prepared in triplicate.

 $(SEQ ID NO: 1)$ 

EVOLVESGGGLVOAGGSLRLSCAASGITFSINTMGWYROAPGKORELVALISSIGDTYYADSVKGRFTISRDNAKNT

WYLWMNSLKPEDTAVYYCKRFRTAAOGTDYWGOGTOVTVSS

## 1.1.2 Wet Granulation Process

A top-spray fluid-bed granulation process was applied using a 4M8-Trix fluid bed with a small upper diameter equipped with a 1 L insert.

Initial batch size was 50 Mannitol with a particle size of approximately 25 um was used (Pearlitol 300 DC). The Nanobody solution was used as the granulation liquid. Specifically, a solution of Nanobody 5F7 (see WO 09/068, 65 625, SEQID No. 2112) at a concentration of 29.3 mg/ml in water was used as granulation liquid.

Purity Assay (Physical Integrity) of the Nanobodies by Size Exclusion High Performance Liquid Chromatography (SE HPLC)

60 G2000SW $_{XZ}$  column (Tosoh Bioscience) equipped with a For the SE-HPLC assay a pre-packed silica gel TSK gel guard column pre-column filter was used. The mobile phase<br>was composed of  $0.3$  M arginine.  $3.25$  mM was composed of  $0.3$  M arginine,  $3.25$ Na2HPO4.7H2O, 6.75 mM NaH2PO4.H2O and 0.005% NaN3 at pH6. UV detection was performed at 280 nm. The relative amount of protein purity was expressed as area %, and was calculated by dividing the peak area by the total integrated area.

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1.2.1 Content

Samples were diluted to 1 mg/ml in MilliQ water before injection on the SEC column and 10 (theoretically corre sponding to 10 ug) was injected.

Purity Assay (Chemical Integrity) and Quantification of the Chromatography (RP-HPLC, or RPC) Nanobodies by Reversed Phase High Performance Liquid 5

In the RP-HPLC assay a Zorbax 300SE-C3 column (Agilent Technologies, Palo Alto, US) was used. The amount of the protein was determined by measuring the light absorbance of the components eluting from the RR-HPLC 10 column and comparison with a reference sample. The iden tity of the Nanobodies was confirmed by comparing the relative elution time from the RP-HPLC column. The rela tive amount of protein purity was expressed as area %, and was calculated by dividing the peak area by the total (main 15 peak+impurities) integrated area.

Samples were diluted to 1 mg/ml in MilliQ water before injection on the RPC column and 10 ul (theoretically cor responding to 10 ug) was injected.

Functionality Testing of 5F7 Via Surface Plasmon Reso nance (Biacore)

The functionality of 5F7 formulated material was deter mined by a functionality assay on rhErbB2Fc (Her2: R&D Systems, Minneapolis, Minn.) to determine the percentage activity in the formulation compared to a reference  $5F/25$ sample as previously described (see e.g. Example 10 of WO 09/068,625).

Briefly, a Biacore 3000 was used. rhErbB2Fc, (Her2) was immobilized on a chip (CMS). The chip was first preconimmobilized on a chip (CMS). The chip was first precon ditioned by 5 injections of 5 nM 5F7. Next the samples were 30 diluted in triplicate (independent dilutions) to 5 nM and analyzed on the chip.

Evaluation was done using BIAevaluation software. Slopes were determined using the 'General fit' method and the linear fit model. To determine the initial binding rate 35 (IBR) the slope from the linear regression line between 5 s and 30s was selected. From this slope the functionality was calculated as the ratio of the slope of the sample versus the slope of the reference material. 40

Water Content Determination Via Karl Fischer Titration Water content was determined by means of a Karl Fischer Titrator V30 (Mettler Toledo, US). Powder was weighed and transferred to the titration vessel, containing a Hydranal $\mathbb R$ Methanol dry (Sigma Aldrich) and stirred for 300 seconds.

Titration was performed with Hydranal  $\&$  Composite 2 45 (Sigma Aldrich).

Water Content Determination Via Loss on Drying (LOD)

Total residual solvent content was determined with a halogen Moisture Analyser HR83P (Mettler Toledo, USA). Approximately 1 g of sample was placed in an aluminum 50 sample pan. The sample was dried for 15 minutes at a constant temperature of 105° C. Sample weight was moni tored and weight loss expressed in % was recorded with 1 min interval,

Determination of Bulk and Tapped Density

A volumeter (J. Engelsmann AG, Ludwigshafen, Ger many) was used. Approximately 40 g of the granulate was gently added to a 100 ml measuring cylinder. The volume was recorded after 0, 10 and 500 taps.

1.2 Results and Discussion

Fluid bed granulation of a Nanobody with mannitol as a carrier resulted in free-flowing powder with a Nanobody load of 4.7%. Functionality as well as physical and chemical integrity were retained after granulation. Material was stable after storage for 3 months at 4°C.

These conclusions are further supported by the following detailed results.

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The theoretical load of 5F7 was 7.1% w/w. The OD measurements indicated an actual load of 4.7% (Table 3).

This result indicates that, using standard process parameters for fluidized bed granulation satisfactory load of the granules with active agent (Nanobody) can be achieved.

TABLE 3

Quantification results for granulate at $t = 0$ and after 3 months of storage at $4^{\circ}$ C.								
Time point	Average conc (mg/ml, $n = 3$ ) 5F7 load in granulate (w/w)							
$T = 0$	$7.25 \pm 0.07$	4.7%						
$T = 3$ months	$6.70 \pm 0.01$	4.5%						

After 3 months storage at 4° C. of the 5F7/mannitol granulated sample, still about 96% of the initial content was

This result indicates that a granulate comprising Nanobody Snows satisfactory storage stability over several months, e.g. 3 months, at a suitable storage temperature, e.g.  $4^{\circ}$  C.

### 1.21 SEC Data

SEC chromatograms of the 5F7 reference and the 5F7 granulated material were analyzed, comparing the charac kept in solution and the granulated Nanobody. SEC data showed a small increase from 0.1 to 0.7% of the second pre peak after granulation ( $t=0$ , Table 4).

TABLE 4

SEC results for granulate at $t = 0$ and after 3 months of storage at $4^{\circ}$ C., compared to reference Nanobody at $t = 0$							
	% area 5F7 Ref batch	% area granulate $t = 0$	% area granulate $t = 3$ m				
Pre-peak 1	0.1	0.1	0.2				
Pre-peak 2	0.1	0.7	1.2				
Pre-peak 3	0.2	0.2	0.2				
Main peak	99.7	99.0	98.4				

After 3 months storage at 4° C. of the 5F7/mannitol granulated sample, SEC data showed a further increase of % pre-peak up to 1.6% (Table 4).

These data provide evidence that the granulation process does not negatively influence the physical integrity of Nano bodies, in particular as regards the formation of agglomer ates or any other forms of high molecular weight derivatives. 12.3 RPC Data

The 5F7 reference solution and 5F7 granulated material showed comparable RPC chromatograms at the reference time point t=0. Moreover, after 3 months of storage at  $4^{\circ}$  C., no significant changes were observed on RPC compared to the reference at t=0.

60 cally modified Nanobody species as compared to a reference These data provide evidence that Nanobodies are not negatively affected in terms of chemical stability by the granulation process. In particular, the RPC data allow the conclusion that there is no increased occurrence of chemi solution.

1.2.4 Functionality Data

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An 80% (4 nM) and 120% (6 nM) test sample were prepared with the reference 5F7 material. Functionality was determined and compared to the 100% 5F7 sample (5 nM). As shown in Table 5, the calculated activities were 75.9% and 116.2% respectively.

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The 5 nM 5F7 solution reference that was injected in the beginning of the experiment was re-analyzed at the end of the experiment and 99.6% functionality was observed (Table  $5$ ), indicating that the chip remained stable during the  $_{15}$ experiment.

### TABLE 6



The functionality results of the granulate samples are shown in Table 6, calculated against the reference preparation (Nanobody solution, no granulation).

The activity of the 5F7/mannitol granulated sample (96%) was comparable to the reference sample. No significant changes in functionality were detected after wet granulation and after storage of granulate for 3 months at 4° C.

granulated in a standard fluidized bed process without suffering any significant loss of function.

1.2.5 Granulate Characterization

Water content and density results are reported in Table 7.

TABLE 7

	Water content and densities of granulated 5F7					
Water content $(\% w/w)$	0.69					
Bulk density (g/ml)	0.67					
Tapped density $(500 \text{ taps})$ $(g/ml)$	0.72					

Density of the granulate was comparable to the density of the starting material that was used as a carrier (Pearlitol,  $_{50}$ ) 0.70-0.76 g/ml).

# 2 Example 2

# Fluid Bed Granulation Making Use of Different Carriers and Binders

Because of the unexpected positive results of the first granulation experiment, the granulation experiment was  $_{60}$ expanded using two different carriers: mannitol (MAN) (Pearlitol 300 DC: Roquette, Lestrern, France) and lactose (LAC) (SuperTab 11SD; MV-Fonterra).

The granulation experiment was further expanded using two different binders: polyvinylpyrrolidone (PVP) (Kollidon 65 K30, BASF) and hydroxypropylcellulose (HPC) (Klucel EF Pharm, Ashland Aqualon).

# 26

2.1 Materials and Methods

2.1.1 Immunoglobulin Single Variable Domain

The Nanobody used in Example 1 was again selected for formulation development by granulation.

2.1.2 Wet Granulation Process

A solution of Nanobody at a concentration of 29.3 mg/ml in water was used as granulation liquid. For the preparation of the binder solution, the Nanobody solution was added to a glass beaker. The binder was added while stirring using a magnetic stirrer until completely dissolved. A binder con centration of 2.2% w/w was applied for concepts with HPC and of 6.2% w/w for concepts with PVP. Qualitative and quantitative composition of the granule concepts is given in Table 15. A Nanobody load of 8.0% was applied.

TABLE 15

20				Composition of granule concepts					
					Concept				
			LAC/HPC LAC/PVP MAN/HPC					<b>MAN/PVP</b>	
25	Units	g	$\frac{0}{0}$	g	$\%$	g	$\frac{0}{0}$	g	$\frac{0}{0}$
	Mannitol					50.04	85.5	50.01	72.4
	Lactose	49.98	85.5	50.01	72.4				
	<b>PVP</b>			13.50	19.60			13.51	19.6
	<b>HPC</b>	3.81	6.5			3.80	6.5		
	Nanobody	4.69	8.0	5.53	8.0	4.68	8.0	5.52	8.0
30									

Inese data provide evidence that Nanobodies can be 35 and with bottom spray configuration was used. The solution This solution was sprayed on the carrier powder (mannitol or lactose) in a fluid bed granulation process. A Mycrolab (Hittlin GmbH. Schopfheim, Germany) with a 3.8 L insert was fed to a two-fluid nozzle (diameter: 0.6 mm) by means of a peristaltic pump, type 323 (Watson Marlow, Cornwall, UK).

> Process parameters are listed in Table 16. The spray rate was slightly increased during the process. After granulation, the pump and the air heater were switched off and the granules were dried for a short time.

TABLE 16

Process conditions fluid-bed granulation				
Process parameter	LAC/ HPC	LAC/ PVP	MAN/ HPC	MAN/ PVP
Inlet air volume $(m3/h)$	13	13	17	17
Inlet air temperature $(^\circ$ C $)$	59-60	56-60	54-56	55
Product temperature $(^\circ$ C.)	36-47	$35 - 47$	$36 - 45$	37-44
Spray rate (ml/mm)	2.4	2.5	2.4	2.5
Spray air pressure (bar)	0.4	0.4	0.4	0.4
Microclimate pressure (bar)	0.5	0.5	0.5	0.5
Spraying time (min)	75	91	74	90
Product filter blow-out pressure (bar)	0.8	0.8	0.8	0.8
Product filter blow-out interval (sec)	9	9	9	9

After the process, the powder was cooled down to room temperature and transferred to amber glass vials. The pro cess yield was calculated as the amount of powder collected material dosed per preparation. The vials were stored at 5° C. After granulation the powder was post-dried in a vacuum oven to remove residual moisture.

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2.1.3 Analytical Methods

Sample Preparation and Content Measurement

Sample preparation and content measurements were car ried out as described in Example 1. For the determination of the protein concentration, also absorbance at  $500 \text{ nm}$  (A $500$ )  $=$  5 was determined. High absorbance at 500 nm is an indication for the formation of high molecular weight variants.

Purity Assay (Physical Integrity) of the Nanobodies by Size Exclusion High Performance Liquid Chromatography (SE HPLC)

SE-HPLC was performed on an H-Class Bio (Waters) with DAD-detector. Samples were diluted to 1 mg/ml in MilliO water before injection on the RPC column.

Samples of the feed solution, of the granules before post-drying and of the granules after post-drying were analyzed. The relative amount of protein purity was expressed as area %, and was calculated by dividing the peak area by the total (main peak+impurities) integrated aca. 20

Purity Assay (Chemical Integrity) and Quantification of the Chromatography (RP-HPLC, or RPC)

RP-HPLC was performed on an H-Class (Waters) with TUV-detector. Samples were diluted to 1 mg/ml in MilliQ  $_{25}$ water before injection on the RPC column.

Samples of the feed solution, of the granules before post-drying and of the granules after post-drying were analyzed. The relative amount of protein purity was expressed as area  $\%$ , and was calculated by dividing the  $_{30}$ peak area by the total (main peak+impurities) integrated area.

2.2 Results

2.2.1 Yield and Content

A free flowing powder was obtained for all concepts. 35 Results of process yield and water content before and after the post-drying process are listed in Table 17. As listed in Table 17, the process yield was 88% w/w or higher.

After granulation, concepts with PVP as a binder had a higher water content compared to concepts with HPC. This  $_{40}$ difference was undone by post-drying of the powder in a successive vacuum drying process. The water content of concepts with mannitol was lower (<1%) than concepts with lactose (5%).

28 TABLE 17

Process yields and water content of different lots of granules								
Concept	LAC/	LAC/	MAN/	MAN/				
	HPC.	<b>PVP</b>	<b>HPC</b>	PVP				
Process yield $(\% w/w)$	88	92	93	94				
Water content (% $w/w$ ) BD*	3.27	5.13	0.82	2.57				
Water content (% $w/w$ ) $AD^*$	4.76	4.73	0.56	0.68				

\*BD: before post-drying; AD: after post-drying

### 2.2.2 SEC Data

In order to evaluate the effect of the granulation process on the purity of 5F7, SEC analysis was performed on the feed solution, the granules before post-drying and the granules after post-drying. Pure Nanobody 5F7 was monitored in parallel. The results are shown in Table 18.

TABLE 18

SEC results of granulation of Nanobody 5F7 with mannitol or lactose as carrier and HPC or PVP as binder									
		Nanobody 5F7							
		Average area % main peak Average area % pre peak							
	Feed	Gran BD*	Gran $AD*$	Feed	Gran BD*	Gran $AD*$			
Ref solution		99.90			0.10				
Lactose/PVP	99.94	99.50	99.52	0.06	0.50	0.49			
Mannitol/HPC	ND.	98.66	98.51	HD	1.32	1.49			
Lactose/HPC	99.91	99.98	99.52	0.09	1.02	0.48			
Mannitol/PVP	99.94	99.23	99.32	0.06	0.74	0.68			

\*Gran BD: granulate before drying; Gran AD; granulate after drying

The SEC results showed little influence of the granulation process on aggregation. There was a slight increase in pre-peak formation (RRT0.91).

The peak pattern was stable at storage, and did not differ significantly even after e.g. 3 months storage at 4° C. 2.2.3 RPC Data

In order to evaluate the effect of the granulation process on the purity of 5F7, RPC analysis was performed on the feed solution, the granules before post-drying and the gran ules after post-drying. Pure Nanobody 5F7 was monitored in parallel. The results are shown in Table 19.

TABLE 19

RPC results of granulation of Nanobody 5F7 with mannitol										
		and lactose as carrier and HPC and PVP as binder								
		Nanobody 5F7								
	Average area % main peak Average area % post peak Average area % pre peak									
	Feed	Gran $BD^*$	Gran $AD^*$	Feed	Gran $BD^*$	Gran $AD^*$	Feed	Gran $BD^*$	Gran $AD*$	
Ref solution		95.17			4.82			0		
Lactose/PVP	94.81	93.53	92.43	4.34	4.82	5.70	0.84	1.65	1.87	
Mannitol/HPC	ND	94.76	94.30	ND.	4.34	4.70	ND	0.9	0.98	
Lactose/HPC	95.23	94.42	94.10	4.27	4.56	4.90	0.50	1.02	0.90	
Mannitol/PVP	94.93	94.73	92.60	4.34	4.28	5.50	0.73	0.99	1.90	

\*Gran BD: granulate before drying; Gran AD; granulate after drying

Concepts with HPC as binder showed an increase in total pre- and post-peak area 96 of not more than 1% throughout the process. Concepts using PVP as a binder showed an increase of one of the post-peaks (RRT1.09) upon postdrying. For these concepts an increase in total pre- and 5 post-peak area 96 of about 2.5% was detected throughout the process.

The peak pattern was stable at storage, and did not differ significantly even after e.g. 3 months storage at 4°C. 2.2.4 UV Measurement

UV measurement was carried out on the feed solution, the granules before post-drying and the granules after post drying. No turbidity was observed in any of the samples. The carrier or binder used did not impact Nanobody contents.

## 3 Example 3

# Fluid Bed Granulation of Different Nanobodies

Because of the unexpected positive results of the first 20 granulation experiment, the granulation experiment was expanded using three different Nanobodies. Based on the results in Example 2, mannitol was selected as carrier and HPC as binder.

3.1 Materials and Methods 25

SEQ

3.1.1 Immunoglobulin Single Variable Domain

A monovalent, bivalent and trivalent Nanobody was evaluated in this study. The Nanobodies had the following sequence:



# TABLE 20



This solution was sprayed on the carrier powder (manni tol) in a fluid bed granulation process. A Mycrolab (Hüttlin GmbH. Schopfheim, Germany) with a 3.8 L insert and with bottom spray configuration was used. The solution was fed to a two-fluid nozzle (diameter: 0.6 mm) by means of a peristaltic pump, type 323 (Watson Marlow, Cornwall, UK).

Process parameters are listed in Table 21. The spray rate was slightly increased during the process. After granulation, the pump and the air heater were switched off and the granules were dried for a short time.



3.1.2 Wet Granulation Process

# TABLE 21

55 A solution of Nanobody at a concentration of 29.3 mg/ml (5F7), 32.88 mg/ml (NB2) and 51.27 mg/ml (NB3) in water was used as granulation liquid. For the preparation of the binder solution, the Nanobody solution was added to a glass  $_{60}$ beaker. The binder (HPC) was added while stirring using a magnetic stirrer until completely dissolved. A binder con centration of 2.2% w/w was applied. Qualitative and quan titative composition of the granule concepts is given in Table 20. A Nanobody load of 8.0% w/w with 5F7, 9.5% w/w with NB2 and of 14.1% w/w with NB3 was applied. 65



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 $\mathcal{L}_{\mathcal{L}}$ 

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After the process, the powder was cooled down to room temperature and transferred to amber glass vials. The pro cess yield was calculated as the amount of powder collected material dosed per preparation. The vials were stored at 5° C. After granulation the powder was post-dried in a vacuum oven to remove residual moisture.

3.13 Analytical Methods

Sample Preparation and Content Measurement

Sample preparation and content measurements were car ried out as described in Example 1. For the determination of the protein concentration, also absorbance at 500 nm (A500) was determined. High absorbance at 500 nm is an indication for the formation of high molecular weight variants. Purity Assay (Physical Integrity) of the Nanobodies by Size Exclusion High Performance Liquid Chromatography (SE HPLC)

SE-HPLC was performed on an H-Class Bio (Waters) with DAD-detector. For Nanobody 5F7 and NB2, samples were diluted to 1 mg/ml in MilliQ water before injection on<br>the BBC schump. Eqn. NB2 segminary manifold to 2 mg/ml  $^{20}$ the RPC column. For NB3, samples were diluted to 2 mg/ml in MilliQ water and further used 1:1 (vol) with MilliQ water.

Samples of the feed solution, of the granules before post-drying and of the granules after post-drying were analyzed. The relative amount of protein purity was expressed as area %, and was calculated by dividing the  $25$ peak area by the total (main peak+impurities) integrated aca.

Purity Assay (Chemical Integrity) and Quantification of the Chromatography (RP-HPLC, or RPC)

For Nanobody 5F7 and NB3, RP-HPLC was performed on an H-Class (Waters) with TUV-detector. For N82, RP HPLC was performed on both a H-Class (Waters) with TUV-detector and a H-Class bio (Waters) with DAD-detec tor. For Nanobody 5F7 and N82, samples were diluted to 1 mg/ml in MilliO water before injection on the RPC column. For NB3, samples were diluted to 2 mg/ml in MilliQ water and further used 1:1 (Vol) with 36% isopropanol.

Samples of the feed solution, of the granules before post-drying and of the granules after post-drying were analyzed. The relative amount of protein purity was expressed as area %, and was calculated by dividing the peak area by the total (main peak+impurities) integrated area

### 3.2 Results

3.2.1 Yield and Content

A free flowing powder was obtained for all concepts. Results of process yield and water content before and after the post-drying process are listed in Table 22.

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As listed in Table 22, the process yield was 92% w/w or higher.

TABLE 22

Process yields and water content of different lots of granules							
Concept	5F7	NR2	NR3				
Process yield $(\% w/w)$ Water content (% $w/w$ ) BD* Water content (% $w/w$ ) $AD^*$	93 0.82 0.56	92 107 0.60	97 1.40 0.54				

\*BD: before post-drying; AD: after post-drying

### 3.22 SEC Data

In order to evaluate the effect of the granulation process on the purity of the tested Nanobodies, SEC analysis was drying and the granules after post-drying. Pure Nanobody was monitored in parallel. The results are shown in Table 23

TABLE 23

		SEC results of granulation of Nanobody 5F7, NB2 and NB3						
25		Average area % main peak Average area % pre peak						
		Feed	Gran $BD*$	Gran $AD*$	Feed	Gran BD*	Gran $AD*$	
	Ref solution 5F7		99.90		0.10			
	5F7	ND.	98.66	-98.51	ND	1.32	1.49	
30	Ref solution NB2		99.87			0.13		
	NB <sub>2</sub>	100	98.96	98.83	0.00	1.04	1.17	
	Ref solution NB3		99.75			0.25		
	NB3: 15% load	99.79	98.80	98.61	0.21	1.20	1.39	
	NB3: 8% load	ND	ND	98.98	ND	ND	1.06	

35 \*Gran BD: granulate before drying; Gran AD; granulate after drying

The SEC results show little influence of the granulation process on aggregation of NB2. There was a slight increase in pre-peak formation (RRTO.91). For NB3, a pre-peak at RRT0.87 increases from 0.25 to about 1.10 area %.

The peak pattern was stable at storage, and did not differ significantly even after e.g. 3 months storage at  $4^{\circ}$  C.

# 3.23 RPC Data

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In order to evaluate the effect of the granulation process on the purity of the tested Nanbodies, RPC analysis was drying and the granules after post-drying. Pure Nanobody was monitored in parallel. The results are shown in Table 24.

TABLE 24

Feed	Gran $BD*$	Gran $AD^*$	Feed	Gran $BD*$	Gran AD	Feed	Gran $BD*$	Gran $AD*$	
	95.17			4.82			$\Omega$		
ND	94.76	94.30	ND	4.34	4.70	ND	0.9	0.98	
	85.24			12.29			2.45		
86.22	84.60	84.0	11.34	12.57	13.00	2.45	2.82	3.01	
	95.16			3.67			1.18		
95.16	88.53	90.62	3.66	9.86	7.99	1.18	1.62	1.38	
ND.	ND	95.71	ND	ND	3.33	ND.	ND.	0.96	
							RPC results of granulation of Nanobody 5F7, NB2 and NB3	Average area % main peak Average area % post peak Average area % pre peak	

\*Gran BD: granulate before drying; Gran AD; granulate after drying

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The RPC results showed no significant influence of the granulation process on the purity of the NB2. RPC results showed an influence of the duration of the granulation process on degradation of NB3. The pre- and/or post-peak formation was not increased for an 8% granule sample taken during the granulation process, while for samples taken after the entire process cycle (14% load), post-peak levels increased with 2.5% for RRT1.07 (pyroglutamate) and 1% for RRT1.11, and a new post-peak of about 3 area % forms at RRT12O.

The peak pattern was stable at storage, and did not differ significantly even after e.g. 3 months storage at 4°C. 3.2.4 LTV Measurement

UV measurement was carried out on the feed solution, the <sub>15</sub> granules before post-drying and the granules after post drying. The granulation process did not impact Nanobody contents.

# 4 Example 2

## Bead Coating

4.1 Materials and Methods

4.1.1 Immunoglobulin Single Variable Domain

The same immunoglobulin single variable domain as in example 1 was used.

4.1.2 Bead Coating Process

Capsules filled with coated beads with a total dose of 30 mg of Nanobody were developed.

For the preparation of Nanobody coated beads, a bottom spray fluid bed coating process was applied. A Mycrolab (Hüttlin GmbH. Schopfheirh, Germany) with a 3.8 L insert was used.

The coating solution was fed to a two-fluid nozzle (diameter, 0.6 mm) by means of a peristaltic pump, type 323 (Watson Marlow, Cornwall, UK), Inert microcrystalline cellulose (MCC) spheres with a particle size of 700-1000 um were used as a carrier. Initial batch size was approximately  $_{40}$ 60 g. The coating Solution was prepared as follows. Demin eralized water was filled in a glass beaker. The Nanobody solution was added. Specifically, a solution of Nanobody 5F7 in water at a concentration of 29.3 mg/ml was used. Hydroxypropylmethylcellulose 5 mPa's (HPMC E5), a film 45 forming polymer, was added while stirring with a magnetic stirrer until dissolved. The theoretical solids concentration of the spraying solution was 6.4% (w/w). The composition of the coating is shown in Table 8.

TABLE 8

Composition of the coating solution for the preparation of Nanobody loaded beads	
Material	Quantity (g/batch)
Nanobody 5F7 solution	138.606
HPMC E5	6.401
Demineralised water	15.028

The spray rate was slightly increased during the process. After coating the pump and the air heater were switched off and beads were dried for a short time (approx. min.). After the process the beads were cooled to room temperature and transferred to an amber glass vial. The vial was stored at 5° C. The process yield was calculated as the amount of beads collected in the reservoir divided by the theoretical amount

of solids used in the formulation. Process parameters are listed in Table 9. The composition of the beads is shown in Table 10.

TABLE 8

	Process parameter	Target value
	Inlet air volume $(m3/h)$	17
	Inlet air temperature $(° C.)$	70
	Outlet air temperature $(^\circ$ C.)	39-46
	Product temperature $(^\circ$ C.)	46-56
	Spray rate $(g/min)$	$2.2 - 2.8$
	Spray air pressure (bar)	0.8
	Microclimate air pressure (bar)	0.3
	Coating time (min)	59
	Drying time (min)	7

TABLE 9



30 does not appear in the final product

The coated beads were filled in a size 0 hard gelatin capsule at a dose of 30 mg Nanobody.

4.13 Analytical Methods and Characterisation

The coated beads were analyzed using the same methods as described in the context of example 1.

Sample preparation was performed as follows: 200 mg of 5F7 coated MCC spheres were weighed and put in a 50 ml falcon tube with 3 ml of D-PBS. They were extracted in a rotating shaker for at least 4 hours. A sample of supernatant was taken, put in a 1.5 ml Eppendorf tube and centrifuged at high speed (20000 g).

4.2 Results and Discussion

Fluid bed coating of inert beads resulted in spherical load of 7.1%. An acceptable loss of functionality was detected. 4.2.1 Content

TABLE 10

OD results for Nanobody loaded beads $(n = 3)$				
Average conc $(mg/ml)$	5F7 load in beads			
4.76	7.1%			

The theoretical load of 5F7 for the beads was 6.4%; OD measurements indicated an actual 5F7 load of 7.1% (Table 11).

This result demonstrates that Nanobodies can be success fully coated in a standard coating process and satisfactory Nanobody loads can be achieved. 4.2.2 SEC Data

SE-HPLC analysis showed an increase of pre-peaks com pared to reference (total pre peaks from 0.41 to 2.63%) (Table 12). The presence of HPMC did not interfere with the measurements (Table 12).

This result indicates only a slight (and acceptable) increase in higher molecular weight species, and confirms formation of higher molecular weight species of Nanobodies.

SEC results for HPMC + 5F7 solution, 5F7 coated beads compared to 5F7 reference					
	% area 5F7 Ref batch	$%$ area $HMPC + 5F7$	% area 5F7 coated beads		
Pre-peak 1	0.00	0.1	0.1		
Pre-peak 2	0.09	0.2	0.8		
Pre-peak 3	0.09	0.2	1.5		
Pre-peak 4	0.23	0.3	0.2		
Main peak	99.58	99.2	97.4		

4.2.3 RPC Data

No significant increase of the pre-peaks and post-peaks  $_{20}$ was detected on RPC as compared to a reference preparation (Nanobody starting solution).

This result indicates that there was no formation of chemically modified derivatives of the Nanobody caused by the coating process.

SEQUENCE LISTING

<160> NUMBER OF SEQ ID NOS: 3 <21 Os SEQ ID NO 1 &211s LENGTH: 118 212s. TYPE: PRT <213> ORGANISM: Artificial Sequence<br><220> FEATURE: <223> OTHER INFORMATION: Nanobody sequence <4 OOs SEQUENCE: 1 Glu Val Glin Lieu Val Glu Ser Gly Gly Gly Lieu Val Glin 1. 5 1O Ala Gly 15 Gly Ser Lieu. Arg Lieu. Ser Cys Ala Ala Ser Gly Ile Thr Phe 2O 25 Ser Ile Asn 3 O Thr Met Gly Trp Tyr Arg Gln Ala Pro Gly Lys Gln Arg Glu Leu Val<br>35 40 45 Ala Lieu. Ile Ser Ser Ile Gly Asp Thr Tyr Tyr Ala Asp SO 55 60 Ser Wall Gly Arg Phe Thr Ile Ser Arg Asp Asn Ala Lys Asn Thr Val<br>65 70 70 75 75 75 75 75 8 Gln Met Asn Ser Leu Lys Pro Glu Asp Thr Ala Val Tyr 85 90 Cys Arg Phe Arg Thr Ala Ala Gln Gly Thr Asp Tyr Trp Gly Gln Gly Thr<br>100 105 105 100 Gln Val Thr Val Ser Ser 115 <21 Os SEQ ID NO 2 &211s LENGTH: 259 212s. TYPE: PRT <213> ORGANISM: Artificial Sequence<br><220> FEATURE: <223> OTHER INFORMATION: Nanobody sequence <4 OOs SEQUENCE: 2 95 110

Asp Val Gln Leu Val Glu Ser Gly Gly Gly Leu Val Gln Pro Gly Gly

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After bead coating with 5F7 solution a minor loss of functionality was detected (Table 13).

TABLE 12

4.2.4 Functionality Data



These results indicate that Nanobodies can be applied to  $15$  inert carriers in a standard coating process and will retain acceptable levels of activity.

4.2.5 Characteristics of Coated Beads

TABLE 13

Water content of 5F7 coated beads via LOD measurement	
Water content $(\% w/w)$ (LOD)	2.63

# - Continued



- Continued



The invention claimed is:

1. Method of producing a solid formulation of an immu noglobulin single variable domain, wherein a solid carrier material is agitated and contacted with a liquid comprising an immunoglobulin single variable domain as an active agent and concomitantly heat is applied to evaporate the liquid, wherein the method is a wet granulation or a coating process, and wherein the use of a protein matrix with a salt concentration between 63.7 and 85.3% based on dry solids is excluded. 60

2. The method according to claim 1, which is a wet granulation process, such as a fluid bed granulation process.

3. The method according to claim 1, wherein the solid carrier material is one or more selected from disaccharides 65 like lactose, maltitol, sucrose, maltose; polyols or sugar alcohols like mannitol, sorbitol, isomalt; calcium phosphate;

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polysaccharides such as maltodextrin, starch and starch derivatives, pregelatinised starch, inulin; cellulose; or mix tures thereof.

55 a binder is used, such as one or more selected from starch, 4. The method according to claim 1, wherein in addition starch paste, partially pregelatinised starch, gelatine and cellulose derivatives such as hydroxypropylmethyl cellu vinyl pyrrolidone, copovidone, polydextrose, carbomer or mixtures thereof.

5. The method according to claim 1, which is a coating process, in particular a fluid bed coating process.

6. The method according to claim 5, wherein the solid carrier is selected from powders and beads, in particular inert nonpareil beads, more in particular beads selected from one or more of microcrystalline cellulose, sucrose, or mixtures thereof.

7. The method according to claim 1, wherein the immu noglobulin single variable domain comprises one or more selected from a VHH immunoglobulin single variable domain, a humanized VHH immunoglobulin single variable domain or a camelized VH immunoglobulin single variable domain or any suitable fragment or combination thereof.

8. The method according to claim 1, wherein the immu noglobulin single variable domain is a monovalent or a bivalent construct.

9. The method according to claim 1, wherein the residual liquid content of the solid formulation is less than 10% (w/w), preferably less than 5%, less than 2.5% or less than 1% of the final solid formulation.

10. The method according to claim 1, wherein the solid  $_{15}$ carrier is agitated by one or more of mixing, stirring, shaking, by applying a gas stream, or by combinations thereof.

11. The method according to claim 1, wherein heat is applied in the form of a heated gas stream, preferably a  $_{20}$ heated air stream, which is directed at the solid carrier material such that a fluid bed is formed.

12. The method according to claim 1, wherein the temperature of the solid carrier material contacted with a liquid perature of the solid carrier material contacted with a liquid comprising an immunoglobulin single variable domain as an 25 active agent ranges between  $40^{\circ}$  C. and  $80^{\circ}$  C., more specifically between 40° C. and 70° C., preferably between  $40^{\circ}$  C. and  $60^{\circ}$  C., more preferably between  $40^{\circ}$  C. and  $55^{\circ}$ C., wherein each of the values is understood to allow for a variation of  $\pm 2^{\circ}$  C.

13. The method according to claim 1, wherein the solid carrier material is contacted with the liquid comprising the active agent by spraying, in particular by spraying the liquid onto a fluid bed of the solid carrier material.

14. The method according to claim 1, wherein the solid carrier material is agitated and contacted with a liquid comprising an immunoglobulin single variable domain and concomitantly heat is applied to evaporate the liquid for at least 15 min, for example at least 20 min, at least 30 min, at

least 40 min, at least 50 min.<br>15. The method according to claim 1, wherein the liquid comprising the active agent is selected from water or an aqueous buffer.

16. The method according to claim 15, wherein the liquid further comprises excipients.

17. The method according to claim 1, wherein the liquid comprising the active agent has a salt concentration of less than 15% (w/w), preferably less than 10%, e.g. less than 9%, 8%, 7%, 6% or 5%.

18. The method according to claim 1, which further comprises a step of manufacturing a tablet, capsule or implant.

19. Solid formulation obtainable by a method according to claim 1.

20. Method for preparing a pharmaceutical preparation such as a capsule or a tablet using a solid formulation obtainable by the method according to claim 1.

21. Pharmaceutical preparation obtainable according to the method of claim 20.

 $*$   $*$