



(86) Date de dépôt PCT/PCT Filing Date: 2012/09/07  
(87) Date publication PCT/PCT Publication Date: 2013/05/10  
(85) Entrée phase nationale/National Entry: 2014/03/06  
(86) N° demande PCT/PCT Application No.: US 2012/054137  
(87) N° publication PCT/PCT Publication No.: 2013/066491  
(30) Priorité/Priority: 2011/09/09 (US61/533,082)

(51) Cl.Int./Int.Cl. *G01N 33/574* (2006.01),  
*G01N 33/74* (2006.01)  
(71) Demandeur/Applicant:  
AMGEN INC., US  
(72) Inventeurs/Inventors:  
WIEZOREK, JEFFREY SCOTT, US;  
BACH, BRUCE ALLEN, US  
(74) Agent: GOWLING LAFLEUR HENDERSON LLP

(54) Titre : UTILISATION DE L'ETAT D'UN PAPILOMAVIRUS HUMAIN POUR ETABLIR L'UTILISATION D'UN AGENT  
QUI FIXE L'EGFR DANS LE TRAITEMENT DU CANCER

(54) Title: USE OF HUMAN PAPILOMAVIRUS STATUS IN THE TREATMENT OF CANCER

(57) **Abrégé/Abstract:**

The present application relates a method of predicting the response of HPV positive and HPV negative cancer patients, including HNSCC patients, to a drug, including agents that specifically bind to an EGFr polypeptide. The present application also relates to methods and kits for predicting the usefulness of agents that specifically bind to an EGFr polypeptide in the treatment of cancer, including HNSCC, in HPV positive and negative patients.



(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property  
Organization  
International Bureau(43) International Publication Date  
10 May 2013 (10.05.2013)(10) International Publication Number  
**WO 2013/066491 A1**

- (51) **International Patent Classification:**  
*G01N 33/574* (2006.01) *G01N 33/74* (2006.01)
- (21) **International Application Number:**  
PCT/US2012/054137
- (22) **International Filing Date:**  
7 September 2012 (07.09.2012)
- (25) **Filing Language:** English
- (26) **Publication Language:** English
- (30) **Priority Data:**  
61/533,082 9 September 2011 (09.09.2011) US
- (71) **Applicant (for all designated States except US):** AMGEN INC. [US/US]; One Amgen Center Drive, Thousand Oaks, CA 91320-1799 (US).
- (72) **Inventors; and**
- (75) **Inventors/Applicants (for US only):** WIEZOREK, Jeffrey, Scott [US/US]; 20749 Cool Oak Way, Malibu, CA 90265 (US). BACH, Bruce, Allen [US/US]; 342 Avenida De Royale, Thousand Oaks, CA 91320 (US).
- (74) **Agent:** BERNSTEIN, Scott, N.; Amgen Inc., One Amgen Center Drive, M/s 28-2-c, Thousand Oaks, CA 91320-1799 (US).
- (81) **Designated States (unless otherwise indicated, for every kind of national protection available):** AE, AG, AL, AM,

AO, AT, AU, AZ, BA, BB, BG, BH, BN, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PA, PE, PG, PH, PL, PT, QA, RO, RS, RU, RW, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

- (84) **Designated States (unless otherwise indicated, for every kind of regional protection available):** ARIPO (BW, GH, GM, KE, LR, LS, MW, MZ, NA, RW, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, RU, TJ, TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

**Declarations under Rule 4.17:**

- as to applicant's entitlement to apply for and be granted a patent (Rule 4.17(ii))

**Published:**

- with international search report (Art. 21(3))

(54) **Title:** USE OF HUMAN PAPILLOMAVIRUS STATUS IN ESTABLISHING USE OF AN AGENT THAT BINDS EGFR IN THE TREATMENT OF CANCER

(57) **Abstract:** The present application relates a method of predicting the response of HPV positive and HPV negative cancer patients, including HNSCC patients, to a drug, including agents that specifically bind to an EGFr polypeptide. The present application also relates to methods and kits for predicting the usefulness of agents that specifically bind to an EGFr polypeptide in the treatment of cancer, including HNSCC, in HPV positive and negative patients.



WO 2013/066491 A1

**USE OF HUMAN PAPILLOMAVIRUS STATUS IN ESTABLISHING USE OF  
AN AGENT THAT BINDS EGFR IN THE TREATMENT OF CANCER**

**CROSS-REFERENCE TO RELATED APPLICATIONS**

5           This application claims the benefit of U.S. Provisional Application No.  
61/533,082 filed September 9, 2011, which is incorporated by reference herein.

**FIELD OF THE INVENTION**

10           The instant disclosure relates to Human Papillomavirus (HPV) and to methods  
of using HPV status as a predictive indicator in patients having at least one type of  
cancer, including cancers characterized by the presence of a tumor, such as a head and  
neck squamous cell carcinoma (HNSCC) tumor, particularly in the context of a  
therapeutic regimen involving an anti-epidermal growth factor receptor (EGFr)  
specific binding agent. The instant disclosure also relates to methods of treating  
15       patients having a tumor, such as a HNSCC tumor, using an anti-EGFr specific binding  
agent, and to methods of stratifying such patients on the basis of HPV status. The  
anti-EGFR specific binding agent can be, *e.g.*, an antibody, such as a monoclonal  
antibody.

20           **BACKGROUND OF THE INVENTION**

          Certain applications of monoclonal antibodies in cancer therapy rely on the  
ability of the antibody to specifically deliver to the cancerous tissues cytotoxic  
effector functions such as immune-enhancing isotypes, toxins or drugs. Another  
alternative approach is to utilize monoclonal antibodies to directly affect the survival  
25       of tumor cells by depriving them of essential extracellular proliferation signals, such  
as those mediated by growth factors through their cell receptors. One attractive target  
in this approach is the epidermal growth factor receptor (EGFr), which binds EGF and  
can also bind transforming growth factor  $\alpha$  (TGF $\alpha$ ) (see, *e.g.*, Ullrich et al., *Cell*

61:203-212, 1990; Baselga et al., *Pharmacol. Ther.* 64: 127-154, 1994; Mendelsohn et al., in Biologic Therapy of Cancer 607-623, Philadelphia: J.B. Lippincott Co., 1995; and Fan et al., *Curr. Opin. Oncol.* 10: 67-73, 1998). Binding of EGF or TGF $\alpha$  to EGFr, a 170 kDa transmembrane cell surface glycoprotein, triggers a  
5 cascade of cellular biochemical events, including EGFr autophosphorylation and internalization, which culminates in cell proliferation (see, e.g., Ullrich et al., Cell 61:203-212, 1990).

Monoclonal antibodies specific to human EGFr, have been generated from mice and rats (see, e.g., Baselga et al., *Pharmacol. Ther.* 64: 127-154, 1994; Mendelsohn et al., in Biologic Therapy of Cancer pp. 607-623, Philadelphia: J.B. Lippincott Co., 1995; Fan et al., *Curr. Opin. Oncol.* 10: 67-73, 1998; Modjtahedi et al., *Intl. J. Oncology* 4: 277-296, 1994). Some of those antibodies, such as the mouse antibodies 108, 225 (see, e.g., Aboud-Pirak et al., *J. Natl. Cancer Inst.* 80: 1605-1611, 1988) and 528 (see, e.g., Baselga et al., *Pharmacol. Ther.* 64: 127-154, 1994; Mendelsohn et al., in Biologic Therapy of Cancer pp. 607-623, Philadelphia: J.B. Lippincott Co., 1995) or the rat ICR16, ICR62 and ICR64 (see, e.g., Modjtahedi et al., *Intl. J. Oncology* 4: 277-296, 1994; Modjtahedi et al., *Br. J. Cancer* 67:247-253, 1993; Modjtahedi et al., *Br. J. Cancer* 67: 254-261, 1993) monoclonal antibodies, were evaluated extensively for their ability to affect tumor growth in xenograft mouse  
20 models. A chimeric version of the 225 monoclonal antibody (C225), in which the mouse antibody variable regions are linked to human constant regions, exhibited an improved *in vivo* anti-tumor activity but only at high doses (see, e.g., Goldstein et al., *Clinical Cancer Res.* 1: 1311-1318, 1995; Prewett et al., *J. Immunother. Emphasis Tumor Immunol.* 19: 419-427, 1996). This antibody ultimately became cetuximab  
25 (Erbix<sup>®</sup>, Eli Lilly).

Certain advances in the biological arts have made it possible to produce a fully human anti-EGFr antibodies. Using mice transgenic for human immunoglobulin genes (Xenomouse<sup>®</sup> technology, Abgenix, Inc.), human antibodies specific for human EGFr were developed (see, e.g., Mendez, *Nature Genetics*, 15: 146-156, 1997; Jakobovits, *Adv. Drug Deliv. Rev.*, 31(1-2): 33-42, 1998; Jakobovits, *Expert Opin. Invest. Drugs*, 7(4): 607-614, 1998; Yang et al., *Crit. Rev. Oncol. Hematol.* 38(1):17-23, 2001; WO98/24893; WO 98/50433). One such antibody, panitumumab

(Vectibix®), Amgen Inc), a human IgG2 monoclonal antibody with an affinity of 5 x 10<sup>-11</sup> M for human EGFR, has been shown to block binding of EGF to the EGFR, to block receptor signaling, and to inhibit tumor cell activation and proliferation *in vitro* (see, *e.g.*, WO98/50433; See, *e.g.*, U.S. Patent No. 6,235,883). Studies in athymic mice have demonstrated that panitumumab also has *in vivo* activity, not only preventing the formation of human epidermoid carcinoma A431 xenografts in athymic mice, but also eradicating already-established large A431 tumor xenografts (see, *e.g.*, Yang et al., *Crit. Rev. Oncol. Hematol.* 38(1):17-23, 2001; Yang et al., *Cancer Res.* 59(6):1236-43, 1999). Panitumumab has been considered for the treatment of renal carcinoma, colorectal adenocarcinoma, prostate cancer, and non small cell squamous lung carcinoma, among other cancers (see, *e.g.*, U.S. Patent Publication No. 2004/0033543). Panitumumab has been approved by the US Food & Drug Administration to treat certain patients with metastatic colorectal cancer.

Papillomaviruses induce benign, dysplastic and malignant hyperproliferations of skin and mucosal epithelium (see, *e.g.*, Mansur and Androphy, (1993) *Biochim Biophys Acta* 1155:323-345; Pfister (1984) *Rev. Physiol. Biochem. Pharmacol.* 99:111-181; and Broker et al. (1986) *Cancer Cells* 4:17-36, for reviews of the molecular, cellular, and clinical aspects of the papillomaviruses). While most HPV-induced epithelial lesions are benign, lesions arising from certain papillomavirus types *e.g.*, HPV-16 and HPV-18, can undergo malignant progression.

The role of HPV in the development and natural history of various cancers, including head and neck cancers, has been studied. See, *e.g.*, Joseph & Pai, (2011) *ASCO*; Lassen et al., (2009) *J Clin Onc.* 27:1992-98; Perrone et al., (2006) *Human Cancer Biol.* 12:6643-6651; Stetlow et al., (2010) *Am J Surg. Path.* 34:e15-e24; Ihloff et al., (2010) *Oral Onc.* 46:705-11; Klussman et al, (2003) *Am J Path.* 162:747-53. More particularly, Lassen et al. demonstrate that p16<sup>INK4A</sup> is correlated with HPV infection and thus exhibits a prognostic effect in HPV positive HNSCC patients. Perrone et al. demonstrated that there are various forms of HNSCC, including HPV-associated HNSCC and environmentally-driven HNSCC; each of these forms of HNSCC show marked differences in terms of p53 status and EGFR gene amplification. Stetlow et al. described the histopathological parameters in HPV positive HNSCC patients. Klussman et al. demonstrated the molecular and

histopathological separation between HPV positive and HPV negative HNSCC patients. Finally, Ilhoff et al. reviewed recent clinical studies supporting the idea that HPV-positive tumor status can serve as a prognostic factor associated in HNSCC patients.

5 HPV positive locally advanced HNSCC patients have been observed to possess a different spectrum of DNA mutations, and a better prognosis than HPV negative HNSCC patients. The referenced studies may or may not collectively point to a prognostic role of HPV status in HNSCC patients but, regardless of whether HPV status is or is not a reliable *prognostic* indicator, until the present disclosure there has  
10 been no discussion of HPV status as a *predictive* indicator in HNSCC patients for a drug, *e.g.*, panitumumab or cetuximab, nor has there been any demonstration of an enhanced effect of a drug, *e.g.*, panitumumab or cetuximab, on the overall survival and/or progression-free survival of HPV negative HNSCC patients.

In some trials EGFr inhibitors have been shown to generate sufficient survival  
15 benefit even in unselected populations, but in others there was no substantial benefit. Even in the case of some approved EGFr inhibitors it has become more and more clear that efficient and reliable tests are of benefit in identifying those patients that might meaningfully benefit from treatment with EGFR inhibitors and those patients that are not likely to meaningfully benefit from such therapy. See, *e.g.*, Ladanyi et al.,  
20 *Mod Pathol.* 2008 May; 21 Suppl 2:S16-22. As described herein, the HPV status of a tumor in HNSCC patients can serve as such an indicator. In accordance with the instant disclosure, it has now been found that EGFr inhibitors (such as panitumumab) improve progression-free survival and overall survival of patients having HPV negative tumors, particularly HNSCC tumors. Consistent with this data, the instant  
25 disclosure also relates to screening a patient to determine whether administering an EGFr inhibitor to the patient will provide a therapeutic benefit.

## SUMMARY

In one aspect a method of predicting whether a patient having a tumor will  
30 benefit from treatment comprising an agent that specifically binds to an EGFr

polypeptide is provided. In one embodiment the method comprises determining whether the patient's tumor is HPV positive or HPV negative, wherein if the patient's tumor is HPV negative, the patient is predicted to benefit from treatment with an agent that specifically binds to an EGFr polypeptide.

5 In one embodiment the determining comprises determining the presence or absence of p16<sup>INK4A</sup> in a tumor sample obtained from the patient, wherein the presence of p16<sup>INK4A</sup> indicates that the patient is HPV positive and the absence of p16<sup>INK4A</sup> indicates that the patient is HPV negative. In one embodiment, the agent that specifically binds to an EGFr polypeptide can be an antibody to EGFr. In one  
10 embodiment the antibody to EGFr is panitumumab. In a further embodiment the determining the presence or absence of p16<sup>INK4A</sup> in a tumor comprises performing an immunohistochemistry (IHC) assay to identify the presence or absence of p16<sup>INK4A</sup> in a sample of the tumor. In a further embodiment the tumor is a locally advanced HNSCC tumor, and in still further embodiments the tumor is an oropharyngeal tumor  
15 or a larynx tumor, or a tumor of the oral cavity, or a tumor of the hypopharynx. In various embodiments the tumor is a locally advanced tumor. In other embodiments the tumor is a recurrent metastatic tumor.

In another aspect a method of prolonging the progression-free and/or overall survival of a patient having a tumor that has been determined to be HPV negative is  
20 provided. In one embodiment the method comprises administering an agent that specifically binds to an EGFr polypeptide to the patient whereby the progression-free and/or overall survival of the patient is prolonged. In one embodiment the determining comprises determining the presence or absence of p16<sup>INK4A</sup> in a tumor sample obtained from the patient, wherein the presence of p16<sup>INK4A</sup> indicates that the  
25 patient is HPV positive and the absence of p16<sup>INK4A</sup> indicates that the patient is HPV negative. In one embodiment, the agent that specifically binds to an EGFr polypeptide can be an antibody to EGFr. In one embodiment the antibody to EGFr is panitumumab. In a further embodiment the determining the presence or absence of p16<sup>INK4A</sup> in a tumor comprises performing an immunohistochemistry (IHC) assay to  
30 identify the presence or absence of p16<sup>INK4A</sup> in a sample of the tumor. In a further embodiment the tumor is a locally advanced HNSCC tumor, and in still further embodiments the tumor is an oropharyngeal tumor or a larynx tumor, or a tumor of

the oral cavity, or a tumor of the hypopharynx. In various embodiments the tumor is a locally advanced tumor. In other embodiments the tumor is a recurrent metastatic tumor. In still other embodiments the method further comprises administering chemotherapy in addition to the agent that specifically binds to an EGFr polypeptide.

5 In another aspect, a method of stratifying a population of patients having a tumor is provided. In one aspect the method comprises (a) determining whether the patient's tumor is HPV positive or HPV negative; and (b) selecting patients whose tumors are HPV negative for treatment with a therapy comprising an agent that specifically binds to an EGFr polypeptide. In one embodiment the determining  
10 comprises determining the presence or absence of p16<sup>INK4A</sup> in a tumor sample obtained from the patient, wherein the presence of p16<sup>INK4A</sup> indicates that the patient is HPV positive and the absence of p16<sup>INK4A</sup> indicates that the patient is HPV negative. In one embodiment, the agent that specifically binds to an EGFr polypeptide can be an antibody to EGFr. In one embodiment the antibody to EGFr is  
15 panitumumab. In a further embodiment the determining the presence or absence of p16<sup>INK4A</sup> in a tumor comprises performing an immunohistochemistry (IHC) assay to identify the presence or absence of p16<sup>INK4A</sup> in a sample of the tumor. In a further embodiment the tumor is a locally advanced HNSCC tumor, and in still further embodiments the tumor is an oropharyngeal tumor or a larynx tumor, or a tumor of  
20 the oral cavity, or a tumor of the hypopharynx. In various embodiments the tumor is a locally advanced tumor. In other embodiments the tumor is a recurrent metastatic tumor.

In a further aspect a method of treating a patient having a tumor is provided. In one embodiment the method comprises (a) determining whether the patient's tumor  
25 is HPV positive or HPV negative; and (b) if the patient's tumor is HPV negative, administering to the patient an agent that specifically binds to an EGFr polypeptide. In one embodiment the determining comprises determining the presence or absence of p16<sup>INK4A</sup> in a tumor sample obtained from the patient, wherein the presence of p16<sup>INK4A</sup> indicates that the patient is HPV positive and the absence of p16<sup>INK4A</sup>  
30 indicates that the patient is HPV negative. In one embodiment, the agent that specifically binds to an EGFr polypeptide can be an antibody to EGFr. In one embodiment the antibody to EGFr is panitumumab. In a further embodiment the



determining the presence or absence of p16<sup>INK4A</sup> in a tumor comprises performing an immunohistochemistry (IHC) assay to identify the presence or absence of p16<sup>INK4A</sup> in a sample of the tumor. In a further embodiment the tumor is a locally advanced HNSCC tumor, and in still further embodiments the tumor is an oropharangeal tumor or a larynx tumor, or a tumor of the oral cavity, or a tumor of the hypopharynx. In various embodiments the tumor is a locally advanced tumor. In other embodiments the tumor is a recurrent metastatic tumor. In another embodiment the method further comprises administering chemotherapy in addition to the agent that specifically binds to an EGFr polypeptide.

10 In a still further aspect a method of treating a patient having a tumor that has been determined to be HPV negative is provided. In one embodiment the method comprises administering to the patient an agent that specifically binds to an EGFr polypeptide. In one embodiment the determining comprises determining the presence or absence of p16<sup>INK4A</sup> in a tumor sample obtained from the patient, wherein the presence of p16<sup>INK4A</sup> indicates that the patient is HPV positive and the absence of p16<sup>INK4A</sup> indicates that the patient is HPV negative. In one embodiment, the agent that specifically binds to an EGFr polypeptide can be an antibody to EGFr. In one embodiment the antibody to EGFr is panitumumab. In a further embodiment the determining the presence or absence of p16<sup>INK4A</sup> in a tumor comprises performing an immunohistochemistry (IHC) assay to identify the presence or absence of p16<sup>INK4A</sup> in a sample of the tumor. In a further embodiment the tumor is a locally advanced HNSCC tumor, and in still further embodiments the tumor is an oropharangeal tumor or a larynx tumor, or a tumor of the oral cavity, or a tumor of the hypopharynx. In various embodiments the tumor is a locally advanced tumor. In other embodiments the tumor is a recurrent metastatic tumor. In another embodiment the method further comprises administering chemotherapy in addition to the agent that specifically binds to an EGFr polypeptide.

30 In yet a further aspect, a method of prolonging the progression-free and/or overall survival of a patient having a tumor is provided. In one embodiment the method comprises (a) determining whether the patient's tumor is HPV positive or HPV negative; and (b) administering an agent that specifically binds to an EGFr polypeptide to the patient if the patient's tumor is HPV negative. In one embodiment



Figure 3 is a Kaplan-Meier survival curve showing the effect on progression-free survival of patients receiving panitumumab and chemotherapy versus chemotherapy alone in HPV negative subjects.

Figure 4 is a Kaplan-Meier survival curve showing the effect on progression-free survival of patients receiving panitumumab and chemotherapy versus chemotherapy alone in HPV positive subjects.

Figure 5 is a plot showing the effect of HPV status on overall survival.

Figure 6 is a plot showing the effect of HPV status on progression-free survival.

10

## DETAILED DESCRIPTION

All references cited herein, including patents, patent applications, papers, textbooks, and the like, and the references cited therein, to the extent that they are not already, are hereby incorporated herein by reference in their entirety for any purpose. In the event that one or more of the documents incorporated by reference defines a term that contradicts that term's definition in the instant disclosure, this disclosure controls. The section headings used herein are for organizational purposes only and are not to be construed as limiting the subject matter described.

20

### Definitions

Unless otherwise defined, scientific and technical terms used in connection with the present invention shall have the meanings that are commonly understood by those of ordinary skill in the art. Further, unless otherwise required by context, singular terms shall include pluralities and plural terms shall include the singular.

25

Generally, nomenclatures utilized in connection with, and techniques of, cell and tissue culture, molecular biology, and protein and oligo- or polynucleotide chemistry and hybridization described herein are those well known and commonly

used in the art. Standard techniques are used for recombinant DNA, oligonucleotide synthesis, and tissue culture and transformation (e.g., electroporation, lipofection). Enzymatic reactions, purification and analytical techniques are performed according to the manufacturer's or service provider's specifications or as commonly accomplished in the art or as described herein. The foregoing techniques and procedures are generally performed according to conventional methods well known in the art and as described in various general and more specific references that are cited and discussed throughout the present specification. See, e.g., Sambrook et al., Molecular Cloning: A Laboratory Manual (2d ed., Cold Spring Harbor Laboratory Press, Cold Spring Harbor, N.Y. (1989)), which is incorporated herein by reference. The nomenclatures utilized in connection with, and the laboratory procedures and techniques of, analytical chemistry, synthetic organic chemistry, and medicinal and pharmaceutical chemistry described herein are those well known and commonly used in the art. Standard techniques are used for chemical syntheses, chemical analyses, pharmaceutical preparation, formulation, and delivery, and treatment of patients.

Following standard convention, as used herein the terms "a" and "an" mean "one or more" unless context or explicit verbiage dictate otherwise.

In the instant disclosure, the term "or" means "and/or" unless stated otherwise. In the context of a multiple dependent claim, the use of "or" refers back to more than one preceding independent or dependent claim in the alternative only. Furthermore, the use of the term "including", as well as other forms, such as "includes" and "included", is not limiting. Also, terms such as "element" or "component" encompass both elements and components comprising one unit and elements and components that comprise more than one subunit unless specifically stated otherwise.

As used herein, the term "specific binding agent" refers to a natural or non-natural molecule that specifically binds to a target. Examples of specific binding agents include, but are not limited to, proteins, peptides, nucleic acids, carbohydrates, lipids, and small molecule compounds. In certain embodiments, a specific binding agent is an antibody, which can be a human antibody, a humanized antibody or another form of antibody, such as a chimeric antibody. In other embodiments a

specific binding agent is a peptibody. In certain embodiments, a specific binding agent is an antigen binding region which can, but need not, be derived from an antibody.

As used herein, the term “agent that specifically binds to an EGFr polypeptide” refers to a specific binding agent that specifically binds any portion of an EGFr polypeptide. In certain embodiments, a specific binding agent to an EGFr polypeptide is an antibody to an EGFr polypeptide, which can be a human antibody, a humanized antibody or another form of a chimeric antibody. In certain embodiments, a specific binding agent to an EGFr polypeptide is an antigen binding region which can, but need not, be derived from an antibody. In certain embodiments, a specific binding agent to an EGFr polypeptide is an antibody to EGFr. In certain embodiments, a specific binding agent to an EGFr polypeptide is Vectibix® (panitumumab) and variants and equivalents thereof. In other examples, a specific binding agent to an EGFr polypeptide is cetuximab (Erbix®), Iressa® (gefitinib), Tarceva® (erlotinib), Tykerb® (lapatinib), Caprelsa® (vandetanib), zalutumumab (GenMab), nimotuzumab (YM Biosciences), and matuzumab (Merck Serono/Takeda), afatinib (Boehringer-Ingelheim), neratinib (Pfizer), canertinib (PD183805, Pfizer), AP26113 (Ariad), AEE788 (Novartis), BMS-599626 (AC480, Bristol-Myers Squibb), XL-647 (Exelixis), natural EGFr inhibitors such as potato carboxypeptidase inhibitor (PCI) and variants and equivalents of any of these molecules. It will be appreciated that an agent that specifically binds to an EGFr polypeptide, may include dual inhibitors (such as recited herein) that possess specificity to EGFr and at least one additional desired target.

As used herein, the term “specifically binds” refers to the ability of a specific binding agent to bind to a target with greater affinity than it binds to a non-target. In certain embodiments, specific binding refers to binding for a target with an affinity that is at least 10, 50, 100, 250, 500, or 1000 times greater than the affinity for a non-target. In certain embodiments, affinity is determined by an affinity ELISA assay. In certain embodiments, affinity is determined by a BIAcore™ assay. In certain embodiments, affinity is determined by a kinetic method. In certain embodiments, affinity is determined by an equilibrium/solution method. In certain embodiments, an

antibody is said to specifically bind an antigen when the dissociation constant between the antibody and one or more of its recognized epitopes is  $\leq 1 \mu\text{M}$ , preferably  $\leq 100 \text{ nM}$  and most preferably  $\leq 10 \text{ nM}$ .

As used herein, the term “antibody” refers to both an intact antibody and an  
5 antigen binding fragment thereof which competes with the intact antibody for specific binding to a target. An “antigen binding fragment thereof,” when used in the context of an antibody, means a portion or fragment of an intact antibody molecule that retains the antigen-binding function. Binding fragments can be produced by recombinant DNA techniques, or by enzymatic or chemical cleavage of intact  
10 antibodies such as by cleavage with papain. Binding fragments include Fab, Fab’, F(ab’)<sub>2</sub>, Fv, single-chain antibodies (“scFv”), Fd’, Fd fragments and fragments comprising a variable region of an antibody. Methods for producing the various fragments from monoclonal antibodies are well known to those skilled in the art (see, *e.g.*, Pluckthun, (1992) *Immunol. Rev.* 130:151-188). In the context of the instant  
15 disclosure, an antibody is designated as “substantially inhibiting adhesion of a receptor to a counterreceptor” (*e.g.*, EGF to EGFr) when an excess of antibody reduces the quantity of receptor bound to counterreceptor by at least about 20%, 40%, 60%, or 80%, and more preferably at least about 85%, 90%, 95%, 96%, 97%, 98%, or 99% as measured in an *in vitro* competitive binding assay.

20 An “isolated” antibody or agent that specifically binds to an EGFr polypeptide is an antibody or agent that specifically binds to an EGFr polypeptide that has been identified and separated and/or recovered from a component of the environment in which it is synthesized (*e.g.*, a CHO cell). Contaminant components of the environment in which it is synthesized include materials which would interfere with  
25 diagnostic or therapeutic uses for the antibody, and may include enzymes, hormones, and other proteinaceous or nonproteinaceous solutes. In preferred embodiments, the antibody will be purified (1) to greater than 95% by weight of antibody as determined by the Lowry method, and terminal or internal amino acid sequencing by use of a spinning cup sequenator; or (2) to homogeneity by SDS-PAGE under reducing or  
30 nonreducing conditions using Coomassie blue or, preferably, silver stain. An isolated antibody includes the antibody *in situ* within recombinant cells since at least one

component of the antibody's natural environment will not be present. Ordinarily, but not necessarily, an isolated antibody will be prepared by at least one purification step.

An "Fv" or "Fv fragment" of an antibody, including an agent that specifically binds to an EGFr polypeptide, is the minimum fragment of the antibody comprising a complete antigen-recognition and binding site. In a two-chain Fv species, this region comprises a dimer of one heavy- and one light-chain variable domain in tight, non-covalent association. In a single-chain Fv species, one heavy- and one light-chain variable domain can be covalently linked by a flexible peptide linker such that the light and heavy chains can associate in a "dimeric" structure analogous to that in a two-chain Fv species. It is in this configuration that the three CDRs of each variable domain interact to define an antigen-binding site on the surface of the VH-VL dimer. Collectively, the six CDRs confer antigen-binding specificity on the antibody. However, even a single variable domain (or half of an Fv comprising only three CDRs specific for an antigen) has the ability to recognize and bind antigen, although at a lower affinity than the entire binding site.

The term "hypervariable region" of an antibody, including an agent that specifically binds to an EGFr polypeptide, means the amino acid residues of an antibody which are responsible for antigen-binding. The hypervariable region generally comprises amino acid residues from a "complementarity determining region" or "CDR" (*e.g.*, residues 24-34 (L1), 50-62 (L2), and 89-97 (L3) in the light chain variable domain and 31-55 (H1), 50-65 (H2) and 95-102 (H3) in the heavy chain variable domain, as defined by Kabat et al., *Sequences of Proteins of Immunological Interest*, 5th Ed. Public Health Service, National Institutes of Health, Bethesda, MD. (1991)) and/or those residues from a "hypervariable loop" (*e.g.*, residues 26-32 (L1), 50-52 (L2) and 91-96 (L3) in the light chain variable domain and 26-32 ((H1), 53-55 (H2) and 96-101 (H3) in the heavy chain variable domain, as defined by Chothia & Lesk *J. Mol. Biol.* 196:901-917 (1987)). "Framework Region" or "FR" residues are those variable domain residues other than the hypervariable region residues as herein defined. It is noted that the residues recited as defining CDRs and hypervariable loop are provided above using the numbering system of Kabat et al. and Chothia & Lesk, these and other systems for defining CDRs and

various other features of an antibody (e.g., the AHo system, Honegger & Pluckthun, (2001) J. Mol. Biol. 309:657-70) can be employed interchangeably.

5 The term “complementarity determining regions” or “CDRs,” when used herein, refers to those parts of an antibody, including an agent that specifically binds to an EGFr polypeptide, that make contact with a specific ligand and determine its specificity. The CDRs of antibodies are the most variable part of the protein, giving antibodies their diversity, and are carried on six loops at the distal end of the antibody’s variable domains, three loops coming from each of the two variable domains of the antibody.

10 The term “epitope” includes any protein determinant capable of specific binding to an immunoglobulin and/or T-cell receptor. Epitopic determinants usually consist of chemically active surface groupings of molecules such as amino acids or sugar side chains and usually have specific three dimensional structural characteristics, as well as specific charge characteristics.

15 The term “agent” is used herein to denote a chemical compound, a mixture of chemical compounds, a biological macromolecule (such as an antibody or other agent that specifically binds to an EGFr polypeptide), or an extract made from biological materials.

20 As used herein, the terms “label” or “labeled” refers to incorporation of a detectable marker, e.g., by incorporation of a radiolabeled amino acid or by attachment to a polypeptide of biotinyl moieties that can be detected by marked avidin (e.g., streptavidin containing a fluorescent marker or enzymatic activity that can be detected by optical or colorimetric methods) or by attachment to an antibody that is specific for a marker to be studied (e.g., p16<sup>INK4A</sup>). In certain situations, the label or  
25 marker can also be therapeutic. Various methods of labeling polypeptides and glycoproteins are known in the art and may be used. Examples of labels for polypeptides include, but are not limited to, the following: radioisotopes or radionuclides (e.g., <sup>3</sup>H, <sup>14</sup>C, <sup>15</sup>N, <sup>35</sup>S, <sup>90</sup>Y, <sup>99</sup>Tc, <sup>111</sup>In, <sup>125</sup>I, <sup>131</sup>I), fluorescent labels (e.g., FITC, rhodamine, lanthanide phosphors), enzymatic labels (e.g., horseradish  
30 peroxidase, β-galactosidase, luciferase, alkaline phosphatase), chemiluminescent



groups, biotinyl groups, and predetermined polypeptide epitopes recognized by a secondary reporter (*e.g.*, leucine zipper pair sequences, binding sites for secondary antibodies, metal binding domains, epitope tags). In some embodiments, labels are attached by spacer arms of various lengths to reduce potential steric hindrance.

5           The term “pharmaceutical agent or drug” as used herein refers to a chemical compound or composition capable of inducing a therapeutic effect (preferably a desired therapeutic effect) when properly administered to a patient. Other chemistry terms herein are used according to conventional usage in the art, as exemplified by The McGraw-Hill Dictionary of Chemical Terms (Parker, S., Ed., McGraw-Hill, San  
10    Francisco (1985)), incorporated herein by reference).

          The term “antineoplastic agent” is used herein to refer to agents that have the functional property of inhibiting a development or progression of a neoplasm in a human, particularly a malignant (cancerous) lesion, such as a carcinoma, sarcoma, lymphoma, or leukemia. Inhibition of metastasis is frequently a property of  
15    antineoplastic agents. In certain embodiments, an antineoplastic agent is panitumumab.

          As used herein, “substantially pure” means an object species is the predominant species present (*i.e.*, on a molar basis it is more abundant than any other individual species in the composition), and preferably a substantially purified fraction  
20    is a composition wherein the object species comprises at least about 50 percent (on a molar basis) of all macromolecular species present. Generally, a substantially pure composition will comprise more than about 80 percent of all macromolecular species present in the composition, more preferably more than about 85%, 90%, 95%, 96%, 97%, 98%, or 99%. Most preferably, the object species is purified to essential  
25    homogeneity (contaminant species cannot be detected in the composition by conventional detection methods) wherein the composition consists essentially of a single macromolecular species.

          As used herein, the term “patient” includes human and animal subjects.

          The terms “mammal” and “animal” for purposes of treatment refers to any  
30    animal classified as a mammal, including humans, domestic and farm animals, and

zoo, sports, or pet animals, such as dogs, horses, cats, cows, etc. Preferably, the mammal is human.

The term “disease state” refers to a physiological state of a cell or of a whole mammal in which an interruption, cessation, or disorder of cellular or body functions, systems, or organs has occurred.

The terms “treat” or “treatment” refer to both therapeutic treatment and prophylactic or preventative measures, wherein the object is to prevent or slow down (lessen) an undesired physiological change or disorder, such as the development or spread of cancer. For purposes of this invention, beneficial or desired clinical results include, but are not limited to, alleviation of symptoms, diminishment of extent of disease, stabilized (*i.e.*, not worsening) state of disease, delay or slowing of disease progression, amelioration or palliation of the disease state, and remission (whether partial or total), whether detectable or undetectable. “Treatment” can also mean prolonging survival as compared to expected survival if not receiving treatment. Those in need of treatment include those already with the condition or disorder as well as those prone to have the condition or disorder or those in which the condition or disorder is to be prevented.

The term “responsive” as used herein means that a patient or tumor shows a response after administering an agent, according to the RECIST (Response Evaluation Criteria in Solid Tumors) schedule. The response can be a complete response or a partial response. The term “nonresponsive” as used herein means that a patient or tumor shows stable disease or progressive disease after administering an agent, according to RECIST. RECIST is described, *e.g.*, in Therasse et al., (2000) *J. Natl. Cancer Inst.* 92(3): 205-216, which is incorporated by reference herein in its entirety. Exemplary agents include specific binding agents to an EGFr polypeptide, including but not limited to, antibodies that specifically bind EGFr.

A “disorder” is any condition that would benefit from one or more treatments. This includes chronic and acute disorders or disease including those pathological conditions which predispose the mammal to the disorder in question. Non-limiting examples of disorders to be treated herein include benign and malignant tumors, leukemias, and lymphoid malignancies. A preferred disorder to be treated in

accordance with the present invention is a malignant tumor, including tumors of the oral cavity, pharynx, larynx or hypopharynx.

A “disease or condition related to an EGFr polypeptide” includes one or more of the following: a disease or condition caused by an EGFr polypeptide; a disease or condition contributed to by an EGFr polypeptide; and a disease or condition that is associated with the presence of an EGFr polypeptide. In certain embodiments, a disease or condition related to an EGFr polypeptide is a cancer. Exemplary cancers include, but are not limited to, tumors of the oral cavity, pharynx, larynx or hypopharynx.

In “combined therapy,” patients are treated with a specific binding agent for a target antigen in combination with a chemotherapeutic or antineoplastic agent and/or radiation therapy. In certain embodiments, the specific binding agent is panitumumab. Protocol designs will address effectiveness as assessed by reduction in tumor mass as well as the ability to reduce usual doses of standard chemotherapy. These dosage reductions will allow additional and/or prolonged therapy by reducing dose-related toxicity of the chemotherapeutic agent.

“Monotherapy” refers to the treatment of a disorder by administering immunotherapy to patients without an accompanying chemotherapeutic or antineoplastic agent. In certain embodiments, monotherapy comprises administering panitumumab in the absence of a chemotherapeutic or antineoplastic agent and/or radiation therapy.

As used herein, the term “HPV positive” means uniform staining of 10% or more tumor cells in a sample obtained from a subject having a HNSCC tumor in an IHC assay comprising a monoclonal antibody reagent that specifically binds p16<sup>INK4A</sup>. See, *e.g.*, Klussmann et al. (2003) and Belgum et al. (2005). In a specific embodiment context, the term denotes a score of .10 percent positive uniform staining in a formalin fixed paraffin embedded (FFPE) sample obtained from a patient as determined by the CINtec™ Histology kit manufactured and sold by mtm Laboratories of Heidelberg, Germany. A variety of other HPV detection kits are commercially available, as will be appreciated by those of skill in the art.

As used herein, the term “HPV negative” means rare focal staining or diffuse staining of less than 10% of the HNSCC tumor cells in a sample obtained from a subject having a HNSCC tumor in an IHC assay comprising a reagent that specifically binds p16<sup>INK4A</sup>. In a specific embodiment, the term denotes staining of less than 10% in a sample obtained from a patient as determined by the CINtec™ Histology kit manufactured and sold by mtm Laboratories of Heidelberg, Germany.

As used herein, the term “HNSCC tumor” means a squamous cell or basaloid tumor that arises in the head or neck region and includes tumors of the nasal cavity, sinuses, lips, mouth and oral cavity, salivary glands, pharynx, or larynx.

As used herein, the term “EGFr polypeptide” means a polypeptide, or variant thereof, comprising a 170 kDa transmembrane cell surface glycoprotein, triggers a cascade of cellular biochemical events, including EGFr autophosphorylation and internalization, which culminates in cell proliferation (Ullrich et al., Cell 61:203-212, 1990).

As used herein, the term “p16<sup>INK4A</sup>” means a polypeptide, or variant thereof, comprising the amino acid sequence of Genbank Accession Number GI:4502749 .

As used herein, the term “cancer” means an disorder attributable to undesired cell growth, and is characterized by the presence of an undesired tumor. It is noted that the term “cancer” encompasses conditions in which the undesried cell growth has formed a tumor, as well as conditions of undesired cell growth in which a tumor is absent or has not yet developed. The term specifically includes benign and malignant tumors, leukemias, and lymphoid malignancies, in particular breast, rectal, ovarian, stomach, endometrial, salivary gland, kidney, colon, thyroid, pancreatic, prostate or bladder cancer. In certain embodiments a cancer is a disease or condition caused by an EGFr polypeptide, a disease or condition contributed to by an EGFr polypeptide and/or a disease or condition that is associated with the presence of an EGFr polypeptide. In certain embodiments, a disease or condition related to an EGFr polypeptide is a cancer, such as non small cell lung carcinoma, breast, colon, rectum,

gastric, brain, bladder, head and neck, ovarian, prostate carcinomas, or a HNSCC tumor, such as a tumor of the larynx, oropharynx, pharynx, or oral cavity.

5

### Initial Considerations

Provided herewith are methods directed to a patient having a patient having a tumor, and in a particular aspect, a patient having an HNSCC tumor, notably a locally advanced HNSCC tumor. Such tumors include oropharangeal tumors, tumors of the larynx, tumors of the oral cavity and tumors of the hypopharynx. Such tumors are routinely identified by practitioners in the field of oncology, such as physicians, medical oncologists, histopathologists and oncologic clinicians. Although incidence of these types of tumors is often correlated with tobacco use, particularly cigarette smoking, there is no requirement in the disclosed methods that a patient have used tobacco and therefore any patient having a tumor (such as a HNSCC tumor) that is HPV negative may benefit from the disclosed methods. There is no requirement as to the stage of the patient's tumor; the tumor can be at any stage of growth, for example T2, T3 or T4. The tumor can also exist at any stage of the nodal stage, for example N0, N1, N2a, N2b, N2c or N3. Further, the tumor can be staged as such by any system, for example the AJCC system or the TNM staging system.

20

As noted herein, the term "patient" refers to any organism having a patient having a tumor, and in a particular aspect, a patient having a locally advanced HNSCC tumor. Preferably the patient is a mammal and more preferably the patient is a human. There is no age restriction on the term "patient," and thus a patient can be an adult male or female, or a male or female child of any age, including infants.

25

Further, a patient can be of any race.

The disclosed methods also relate to therapeutic treatment of such a patient with an agent that specifically binds to an EGFreceptor polypeptide. Any type of agent can be employed, with the proviso that the agent specifically binds to an EGFr polypeptide. Such agents can be biologics, many of which are commonly used in treatment regimens directed to such tumors. A non-limiting list of such agents includes panitumumab (Vectibix®), cetuximab (Erbix®), Iressa® (gefitinib),

30

Tarceva® (erlotinib), Tykerb® (lapatinib), Caprelsa® (vandetanib), zalutumumab (GenMab), nimotuzumab (YM Biosciences), and matuzumab (Merck Serono/Takeda), afatinib (Boehringer-Ingelheim), neratinib (Pfizer), canertinib (PD183805, Pfizer), AP26113 (Ariad), AEE788 (Novartis), BMS-599626 (AC480, Bristol-Myers Squibb), XL-647 (Exelixis), and natural EGFr inhibitors such as potato carboxypeptidase inhibitor (PCI).

Responsiveness or nonresponsiveness to treatment with a specific binding agent to an EGFr polypeptide can be determined using any established criteria. In a specific example, responsiveness or nonresponsiveness can be determined using the widely adopted RECIST (Response Evaluation Criteria in Solid Tumors) criteria. See, *e.g.*, Therasse et al., *supra*, which is incorporated by reference herein for any purpose. Complete response and partial response according to RECIST are both considered to be responsive to treatment with a specific binding agent to an EGFr polypeptide. Stable disease and progressive disease are both considered to be nonresponsive to treatment with a specific binding agent to an EGFr polypeptide.

All of the disclosed methods can be supplemented as desired. For example, the disclosed methods can optionally additionally comprise making a determination of the responsiveness of a patient having a tumor, and in a particular aspect, a patient having a locally advanced HNSCC tumor to therapy comprising an agent that specifically binds to an EGFr polypeptide. Such a determination can be made using the RECIST criteria, as described herein.

In another aspect, the disclosed methods can be supplemented by adjusting the therapy of a patient having a tumor, and in a particular aspect, a patient having a locally advanced HNSCC tumor based on an evaluation of the results of the method. In one embodiment, a patient not receiving therapy comprising an agent that specifically binds to an EGFr polypeptide can be placed on such a regimen, based on the establishment that the patient's tumor is HPV negative. In one embodiment the therapy can comprise administering an agent that specifically binds to an EGFr polypeptide (such as Vectibix®) at a dosage of 6 mg/kg every 14 days as an intravenous infusion over 60 minutes or 90 minutes, depending on the amount of the agent provided.

In still another aspect, it may be determined that, based on an assessment of the HPV status of the tumor, a patient having a patient having a tumor, and in a particular aspect, a patient having a locally advanced HNSCC tumor receiving therapy comprising an agent that specifically binds to an EGFr polypeptide would benefit  
5 from a regimen of therapeutics comprising therapeutics in addition to the patient's current regimen of an agent that specifically binds to an EGFr polypeptide.

It is noted that there are different forms of HNSCC tumors, which are often categorized by the site within the upper aerodigestive tract that these tumors arise including oropharyngeal tumors, larynx tumors, tumors of the oral cavity, and tumors  
10 of the hypopharynx. As used herein, the term "HNSCC tumor" encompasses all of these types of tumors. This includes keratinizing, mixed and nonkeratinizing morphologic variants. Thus, when determining if a locally advanced or recurrent metastatic HNSCC tumor is positive or negative, the determination can be performed on any type of locally advanced or recurrent metastatic HNSCC tumor.

15

**Method Of Predicting Whether A Patient Having a Tumor, for Example, a  
HNSCC Tumor, Will Benefit From Treatment Comprising an Agent That  
Specifically Binds to an EGFr Polypeptide**

HPV infection of HNSCC marked by the upregulated expression of the protein  
20 p16<sup>ink4A</sup> has been identified as a potential prognostic marker in locally advanced HNSCC (see, e.g., Ang et al., (2010) *N. Engl. J. Med.* 363:24-35). Until the instant disclosure, however, HPV has not been ascribed a treatment response predictive role, particularly in the area of EGFr inhibitor-based therapies. Accordingly, in one aspect of the instant disclosure a method of predicting whether a patient having a tumor, and  
25 in a particular aspect, a patient having a HNSCC tumor, will benefit from treatment comprising an agent that specifically binds to an EGFr polypeptide is provided. In one embodiment the method comprises determining whether the patient's tumor is HPV positive or HPV negative, wherein if the patient's tumor is HPV negative the patient is predicted to benefit from treatment with an agent that specifically binds to an EGFr  
30 polypeptide.

Initially the patient's tumor is determined to be HPV positive or HPV negative. In order to make the determination, any convenient method can be employed. For example, techniques as varied as IHC, FISH, qPCR or a mass spectrometry-based approach can be employed. Most often, it will be necessary to  
5 obtain a sample of the patient's tumor and perform the determination in an *in vitro* setting, for example after preparing the sample for testing using a formalin fixed paraffin embedded (FFPE) sample.

In various embodiments of the disclosed method, the determination of whether a patient's tumor is HPV positive or HPV negative can be made on the basis of an  
10 evaluation of any one or combination of HPV markers associated with the tumor. One particularly useful marker is p16<sup>INK4A</sup>. This marker is indicative of the presence of HPV, and is readily detectable using a variety of approaches. Other markers that can be used to indicate the presence or absence of HPV include HPV E7.

In one specific embodiment, the presence or absence of p16<sup>INK4A</sup> can be used  
15 to determine whether a patient's tumor is HPV positive or negative. If the patient expresses p16<sup>INK4A</sup>, the patient is designated as HPV positive; if the patient does not express p16<sup>INK4A</sup>, the patient is designated as HPV negative. The presence or absence of p16<sup>INK4A</sup> can be readily determined using a commercially available kit or monoclonal or polyclonal antibody of the requisite specificity. For example, the  
20 CINtec® Histology Kit (mtm Laboratories AG, Heidelberg, Germany) can be employed to identify p16<sup>INK4A</sup>. The CINtec® Histology Kit is an IHC kit designed and labeled for the detection of p16<sup>INK4A</sup> in the context of cervical cancer. When such a kit is used, it can be validated for use on HNSCC tumors by an independent laboratory. Alternatively, a sample of the patient's tumor can be supplied to a  
25 provider which can perform an IHC assay and report the results. In yet another example, an anti-p16<sup>INK4A</sup> antibody can be generated and used as a component of an IHC procedure.

The determination of whether a patient's tumor is HPV positive or negative based on the pattern and distribution of p16<sup>ink4A</sup> protein can be made on the basis of  
30 scoring guidelines. The guidelines can be quantitative, semi-quantitative or qualitative. In one example, when p16<sup>INK4A</sup> is used as a marker and the CINtec®





art can be employed. For example, techniques as varied as IHC, FISH, qPCR or a mass spectrometry-based approach can be employed. Most often, it will be desirable to obtain a sample of the patient's tumor and perform the determination in an in vitro setting.

5 In various embodiments of the disclosed method, the determination of whether a patient's tumor is HPV positive or HPV negative can be made on the basis of an evaluation of any one or combination of HPV markers associated with the tumor. One particularly useful marker is p16<sup>INK4A</sup>. This marker is indicative of the presence of HPV, and the expression of two described viral oncogenes E6 and E7 and is readily  
10 detectable using a variety of approaches. Other markers that can be used to indicate the presence or absence of HPV include HPV E7.

In one specific embodiment, the presence or absence of p16<sup>INK4A</sup> can be used to determine whether a patient's tumor is HPV positive or negative. If the patient expresses p16<sup>INK4A</sup>, with the requisite degree and distribution of staining of identifiable  
15 tumor cells the patient is designated as HPV positive; if the patient does not express p16<sup>INK4A</sup>, the patient is designated as HPV negative. The presence or absence of p16<sup>INK4A</sup> can be readily determined using a commercially available kit or a service provider. For example, the CINtec® Histology Kit (mtm Laboratories AG, Heidelberg, Germany) can be employed to identify p16<sup>INK4A</sup>. The CINtec®  
20 Histology Kit is an IHC kit designed for the detection of p16<sup>INK4A</sup> in the context of cervical cancer. When such a kit is used, it can be validated by an independent laboratory. Alternatively, a sample of the patient's tumor can be supplied to a provider which can perform an IHC assay and report the results. In yet another example, an anti-p16<sup>INK4A</sup> antibody can be generated and used as a component of an  
25 IHC procedure.

The determination of whether a patient's tumor is HPV positive or negative can be made on the basis of scoring guidelines. The guidelines can be quantitative, semi-quantitative or qualitative. In one example, when p16<sup>INK4A</sup> is used as a marker and the CINtec® Histology Kit is employed to determine the presence of the marker,  
30 the CINtec® Staining Atlas (CINtec® p16<sup>INK4A</sup> Staining Atlas, Trunk et al., mtm Laboratories AG, Heidelberg, Germany) can be used to identify HPV positive and

HPV negative tumors. Alternatively, a set of scoring guidelines can be established using traditional histological methodologies.

Continuing, if the tumor is HPV negative, an agent that specifically binds to an EGFr polypeptide is administered to the patient. As noted herein, the data presented in the Examples indicates that when a patient's tumor is HPV negative and an agent that specifically binds to an EGFr polypeptide is administered, the overall and/or progression-free survival of the patient is prolonged.

### **Method of Stratifying a Population of Patients Having a**

#### **10 Tumor, for Example a HNSCC Tumor**

As demonstrated by the data presented in the Examples, patients having a tumor, and in a particular aspect, a patient having locally advanced or recurrent metastatic HNSCC tumor that is HPV negative will benefit from a therapy comprising an agent that specifically binds to EGFr. Accordingly, it can be desirable to identify or stratify such patients for treatment with an agent that specifically binds to an EGFr polypeptide, using HPV status as an indicator. Thus, in another aspect of the current disclosure a method of stratifying a population of patients having a locally advanced HNSCC tumor into groups that will benefit and those that will benefit more from therapy comprising an agent that specifically binds to an EGFr polypeptide is provided.

When performing the method, the patient's tumor status is determined to be HPV positive or HPV negative. As is the case will all of the disclosed methods, in order to make the determination, any convenient method can be employed. For example, techniques as varied as IHC, FISH, qPCR or a mass spectrometry-based approach can be employed. Most often, it will be desirable to obtain a sample of the patient's tumor and perform the determination in an *in vitro* setting.

In various embodiments, the determination of whether a patient's tumor is HPV positive or HPV negative can be made on the basis of an evaluation of any one or combination of HPV markers associated with the tumor. One particularly useful

marker is p16<sup>INK4A</sup>. This marker is indicative of the presence of HPV, and is readily detectable using a variety of approaches. Other markers that can be used to indicate the presence or absence of HPV include HPV E7.

In one specific embodiment, the presence or absence of p16<sup>INK4A</sup> can be used to determine whether a patient's tumor is HPV positive or negative. If the patient expresses p16<sup>INK4A</sup>, the patient is designated as HPV positive; if the patient does not express p16<sup>INK4A</sup>, the patient is designated as HPV negative. The presence or absence of p16<sup>INK4A</sup> can be readily determined using a commercially available kit or a service provider. For example, the CINtec® Histology Kit (mtm Laboratories AG, Heidelberg, Germany) can be employed to identify p16<sup>INK4A</sup>. The CINtec® Histology Kit is an IHC kit designed for the detection of p16<sup>INK4A</sup> in the context of cervical cancer. When such a kit is used, it can be validated by an independent laboratory. Alternatively, a sample of the patient's tumor can be supplied to a provider which can perform an IHC assay and report the results. In yet another example, an anti-p16<sup>INK4A</sup> antibody can be generated and used as a component of an IHC procedure.

The determination of whether a patient's tumor is HPV positive or negative can be made on the basis of scoring guidelines. The guidelines can be quantitative, semi-quantitative or qualitative. In one example, when p16<sup>INK4A</sup> is used as a marker and the CINtec® Histology Kit is employed to determine the presence of the marker, the CINtec® Staining Atlas (CINtec p16<sup>INK4A</sup> Staining Atlas, Trunk et al., mtm Laboratories AG, Heidleberg, Germany) can be used to identify HPV positive and HPV negative tumors. Alternatively, a set of scoring guidelines can be established using traditional histological methodologies.

Continuing, patients whose tumors are HPV negative are selected for treatment with a therapy comprising an agent that specifically binds to an EGFr polypeptide. These patients are expected to benefit more than patients who are HPV positive in a therapy comprising an agent that specifically binds to an EGFr polypeptide. By stratifying a group of patients having a a tumor, and in a particular aspect, a patient having locally advanced HNSCC tumor, a medical professional will be able to tailor a therapy to the patient's particular needs and enhances the likelihood that the patient will respond positively.

### Method of Treating a Patient Having a Tumor, for Example a Locally Advanced HNSCC Tumor

5 As described herein and in the Examples, it has been determined that patients having a a tumor, and in a particular aspect, a patient having locally advanced HNSCC tumor that is HPV negative exhibit an enhancement in overall and/or progression-free survival when treated with an agent that specifically binds to an EGFr polypeptide. Accordingly, a method of treating such patients is provided. In  
10 one embodiment of a method of treating a patient having a locally advanced HNSCC tumor comprises determining the patient's tumor status to be HPV positive or HPV negative. As is the case will all of the disclosed methods, in order to make the determination, any convenient method can be employed. For example, techniques as varied as IHC, FISH, qPCR or a mass spectrometry-based approach can be employed.  
15 Most often, it will be desirable to obtain a sample of the patient's tumor and perform the determination in an *in vitro* setting.

In various embodiments, the determination of whether a patient's tumor is HPV positive or HPV negative can be made on the basis of an evaluation of any one or combination of HPV markers associated with the tumor. One particularly useful  
20 marker is p16<sup>INK4A</sup>. This marker is indicative of the presence of HPV, and is readily detectable using a variety of approaches. Other markers that can be used to indicate the presence or absence of HPV include HPV E7.

In one specific embodiment, the presence or absence of p16<sup>INK4A</sup> can be used to determine whether a patient's tumor is HPV positive or negative. If the patient  
25 expresses p16<sup>INK4A</sup>, the patient is designated as HPV positive; if the patient does not express p16<sup>INK4A</sup>, the patient is designated as HPV negative. The presence or absence of p16<sup>INK4A</sup> can be readily determined using a commercially available kit or a service provider. For example, the CINtec® Histology Kit (mtm Laboratories AG, Heidelberg, Germany) can be employed to identify p16<sup>INK4A</sup>. The CINtec®  
30 Histology Kit is an IHC kit designed for the detection of p16<sup>INK4A</sup> in the context of

cervical cancer. Alternatively, a sample of the patient's tumor can be supplied to a provider which can perform an IHC assay and report the results. In yet another example, an anti-p16<sup>INK4A</sup> antibody can be generated and used as a component of an IHC procedure.

5           The determination of whether a patient's tumor is HPV positive or negative can be made on the basis of scoring guidelines. The guidelines can be quantitative, semi-quantitative or qualitative. In one example, when p16<sup>INK4A</sup> is used as a marker and the CINtec® Histology Kit is employed to determine the presence of the marker, the CINtec® Staining Atlas (CINtec® p16<sup>INK4A</sup> Staining Atlas, Trunk et al., mtm  
10 Laboratories AG, Heidleberg, Germany) can be used to identify HPV positive and HPV negative tumors. Alternatively, a set of scoring guidelines can be established using traditional histological methodologies.

Continuing with the method, if the patient's tumor is HPV negative, the patient is administered an agent that specifically binds to an EGFr polypeptide. As  
15 shown in the data presented herein, patients having tumors that are HPV negative show enhancements in overall survival and/or in progression-free survival when administered an agent that specifically binds to an EGFr polypeptide. By performing the disclosed method, the medical professional can provide a more efficacious treatment regimen to patients suffering from this condition.

20           The method can further comprise a treatment regimen known to be effective for the particular agent that specifically binds to an EGFr polypeptide. For example, in the case of panitumumab, such a regimen can comprise.....

## EXAMPLES

25           The following examples, including the experiments conducted and results achieved are provided for illustrative purpose only and are not to be construed as limiting upon the claims. Additional embodiments of the disclosed methods will be apparent to those skilled in the art after considering the instant disclosure and the following Examples. Accordingly, it is intended that the instant disclosure, including

the following Examples, be considered as providing particular, but non-limiting, embodiments of the disclosed methods.

### Example 1

#### 5 Identification of HPV Positive/Negative Status

In order to identify HPV status of the subjects taking part in the trial, HNSCC tumor samples were acquired from subjects participating in a HNSCC clinical trial involving systemic cytotoxic chemotherapy with and without panitumumab. Of the 657 subjects in the trial, 67% or 411 subjects provided evaluable archival FFPE tumor samples containing at least 10 percent tumor cells samples. .

The demographics and disease characteristics of patients that participated in the trial is summarized in Table 1:

**Table 1**

#### 15 **Demographics and Disease Characteristics of Patients**

	ITT		HPV+		HPV-	
	Pmab + CT (n = 327)	CT (n = 330)	Pmab + CT (n = 56)	CT (n = 37)	Pmab + CT (n = 165)	CT (n = 153)
<b>Sex, male - %</b>	<b>87</b>	<b>87</b>	<b>84</b>	<b>81</b>	<b>87</b>	<b>89</b>
<b>Race, white - %</b>	<b>82</b>	<b>82</b>	<b>80</b>	<b>86</b>	<b>85</b>	<b>84</b>
<b>Age, median- yrs</b>	<b>58</b>	<b>59</b>	<b>58</b>	<b>60</b>	<b>57</b>	<b>59</b>
<b>ECOG 0 - %</b>	<b>30</b>	<b>30</b>	<b>38</b>	<b>38</b>	<b>33</b>	<b>26</b>
<b>£ 10 pack-yrs - %</b>	<b>26</b>	<b>22</b>	<b>43</b>	<b>24</b>	<b>19</b>	<b>15</b>
<b>&gt;10 pack-yrs - %</b>	<b>61</b>	<b>65</b>	<b>52</b>	<b>57</b>	<b>64</b>	<b>71</b>
<b>Prior platinum - %</b>	<b>39</b>	<b>34</b>	<b>38</b>	<b>54</b>	<b>40</b>	<b>31</b>

	ITT		HPV+		HPV-	
	Pmab + CT (n = 327)	CT (n = 330)	Pmab + CT (n = 56)	CT (n = 37)	Pmab + CT (n = 165)	CT (n = 153)
<b>Wt loss <math>\leq</math> 5% - %</b>	<b>81</b>	<b>79</b>	<b>80</b>	<b>81</b>	<b>82</b>	<b>80</b>
<b>Wt loss &gt; 5% - %</b>	<b>18</b>	<b>21</b>	<b>18</b>	<b>19</b>	<b>18</b>	<b>20</b>
<b>Region - %</b>						
<b>N/S America</b>	<b>15</b>	<b>17</b>	<b>23</b>	<b>32</b>	<b>15</b>	<b>18</b>
<b>W Europe</b>	<b>31</b>	<b>35</b>	<b>32</b>	<b>41</b>	<b>34</b>	<b>38</b>
<b>Asia Pacific</b>	<b>17</b>	<b>13</b>	<b>16</b>	<b>5</b>	<b>16</b>	<b>10</b>
<b>E Europe</b>	<b>38</b>	<b>35</b>	<b>29</b>	<b>22</b>	<b>35</b>	<b>33</b>

Tumor specimens were sent to an independent laboratory for pathology evaluation and tumor microarray construction. A single pathologist, with significant experience reading squamous cell carcinoma of the head and neck, examined a standard a hemotoxylin and eosin stained 5 micron tissue section of every submitted sample. The pathologic review included: Diagnosis (presence or absence of HNSCC); Specimen type (tumor resection/lymph node/metastases (*e.g.*, lung, liver)); Histological type (HNSCC (NOS) versus Papillary versus Spindle cell (sarcomatoid) versus Basaloid versus other, including adenosquamous; Differentiation status (well, moderate, poorly, undifferentiated or unable to determine); Tumor Borders (infiltrating versus pushing); Inflammatory response; Necrosis, including comedo necrosis; and other observations, as applicable. As a result of this analysis, it was determined that of the of the 657 subjects in the trial, 67% had samples with greater than 10% viable tumor. A tumor microarray set containing 1083 individual 1 mm cores was created to facilitate the standardization of the IHC assay and to provide multiple replicates of samples where tumor tissue permitted

HPV status was measured using the commercially available CINtec™ Histology kit (mtm Laboratories, Heidelberg, Germany). The CINtec™ Histology kit is a semi-quantitative, immunocytochemical assay for the evaluation of overexpressed cyclin-dependent kinase inhibitor, p16<sup>INK4A</sup> protein, in formalin fixed, paraffin-



embedded tissue sections. The presence or absence of p16<sup>INK4A</sup> protein is indicative that the sample is HPV positive or HPV negative. The antibody clone is E6H4. The commercially available kit is FDA cleared and labeled for use in cervical cancer tissue.

5 More specifically, subject tumor specimens were scored positive, negative or failed according to a prespecified IHC scoring guideline. Essentially, a subject was determined to have a HPV positive tumor (HPV+) when uniform p16<sup>INK4A</sup> protein expression was detected in at least 10% of tumor cells. A subject was determined to have a HPV negative tumor (HPV-) when p16<sup>INK4A</sup> protein was not present or was  
10 observed in less than 10% of tumor cells.

Prior to using the assay on samples acquired from subjects in the clinical trial, a verification of the CINtec™ Histology kit's performance in formalin fixed paraffin embedded (FFPE) HNSCC tumor specimens was performed according to Clinical  
15 Laboratory Improvement Amendments (CLIA) regulations. An independent testing laboratory performed an analytical validation of the CINtec™ Histology kit for use on HNSCC and provided a validation package. In addition an immunohistochemical scoring guideline was developed and adhered to during sample testing. Samples were dichotomously scored as positive or negative based on the results of the validated  
20 assay.

Analysis of the samples for the presence or absence of HPV provided the data presented in Table 2:

**Table 2**  
**Results of HPV Analysis**

Tissue Site	All Tumors N=657	HPV Evaluable N=377 (57%)	HPV Positive N=83	HPV Negative N=294	HPV Unevaluable N=280 (43%)
Hypopharynx	13%	14%	8%	16%	13%
Larynx	30%	32%	28%	33%	27%

Oral Cavity	29%	27%	19%	29%	32%
Oropharynx	27%	28%	45%	23%	28%

### Example 2

#### Effect of HPV Status on Panitumumab Therapy on Overall Survival

5 The overall survival of HPV positive and HPV negative subjects in the trial was examined. Figures 1 and 2 are Kaplan-Meier survival curves summarizing the results of the study. A comparison of Figures 1 and 2 shows that subjects whose HNSCC tumors were HPV negative that were treated with panitumumab and chemotherapy showed an enhancement in overall survival over those subjects whose  
10 HNSCC tumors were HPV positive.

More particularly, those subjects whose tumors were HPV positive and were treated with panitumumab and chemotherapy showed a median overall survival of 10.9 months, while those subjects whose tumors were HPV negative and were treated with panitumumab and chemotherapy showed a median overall survival of 11.8  
15 months. It is also noted that subjects whose tumors were HPV negative and were treated with panitumumab and chemotherapy showed an enhancement in median overall survival of 3.1 months over those subjects who received chemotherapy alone.

Independent prognostic factors for overall survival are summarized in Table 3:

20

**Table 3**

#### **Independent Prognostic Factors for Overall Survival**

	<b>Factor</b>	<b>HR</b>	<b>P-value</b>
<b>HPV Negative</b>	<b>ECOG (0 vs 1/2)</b>	<b>0.66</b>	<b>0.004</b>
	<b>Previously CT or RT (yes vs no)</b>	<b>1.345</b>	<b>0.078</b>

	<b>Prior platin (yes vs no)</b>	<b>1.246</b>	<b>0.097</b>
<b>HPV Positive</b>	<b>ECOG (0 vs 1/2)</b>	<b>0.567</b>	<b>0.03</b>
	<b>Pack-years (&gt;10 vs &lt;=10)</b>	<b>1.963</b>	<b>0.011</b>
	<b>&gt;5% invol weight loss last 6 mos (yes vs no)</b>	<b>2.542</b>	<b>0.002</b>
	<b>Prior platin (yes vs no)</b>	<b>1.498</b>	<b>0.096</b>

### Example 3

#### Effect of HPV Status on Panitumumab Therapy on Progression-free Survival

5           The overall survival of HPV positive and HPV negative subjects in the trial was examined. Figures 3 and 4 are Kaplan-Meier survival curves summarizing the results of the study. A comparison of Figures 3 and 4 shows that subjects whose HNSCC tumors were HPV negative that were treated with panitumumab and chemotherapy showed an enhancement in progression-free survival over those  
10 subjects whose HNSCC tumors were HPV positive.

          More particularly, those subjects whose tumors were HPV positive and were treated with panitumumab and chemotherapy showed a median progression-free survival of 5.5 months, while those subjects whose tumors were HPV negative and were treated with panitumumab and chemotherapy showed a median overall survival  
15 of 6.3 months. It is also noted that subjects whose tumors were HPV negative and were treated with panitumumab and chemotherapy showed an enhancement in median overall survival of 1.2 months over those subjects who received chemotherapy alone.

#### Summary of Examples 1-3

20           HPV status was determined in 57% of the 657 subjects enrolled in the trial. It was determined that 21% of the HNSCC subjects for which HPV status was

determined were found to be HPV positive. The data shows that treatment with panitumumab and chemotherapy improved overall survival in subjects whose tumors were determined to be HPV negative over those subjects whose tumors were HPV positive by a median of 0.9 months. The data shows that treatment with panitumumab and chemotherapy improved progression-free survival in subjects whose tumors were determined to be HPV negative over those subjects whose tumors were HPV positive by a median of 0.8 months.

## CLAIMS

1. A method of predicting whether a patient having a tumor will benefit from treatment comprising an agent that specifically binds to an EGFr polypeptide, comprising determining whether the patient's tumor is HPV positive or HPV  
5 negative, wherein if the patient's tumor is HPV negative, the patient is predicted to benefit from treatment with an agent that specifically binds to an EGFr polypeptide.2.
2. The method of claim 1, wherein the determining comprises determining the presence or absence of p16<sup>INK4A</sup> in a tumor sample obtained from the patient, wherein the presence of p16<sup>INK4A</sup> indicates that the patient is HPV positive  
10 and the absence of p16<sup>INK4A</sup> indicates that the patient is HPV negative.
3. The method of claim 1, wherein the agent that specifically binds to an EGFr polypeptide is an antibody to EGFr.
4. The method of claim 3, wherein the antibody to EGFr is panitumumab.
5. The method of claim 2, wherein the determining the presence or  
15 absence of p16<sup>INK4A</sup> in a tumor comprises performing an immunohistochemistry (IHC) assay to identify the presence or absence of p16<sup>INK4A</sup> in a sample of the tumor.
6. The method of claim 1, wherein the tumor is a locally advanced HNSCC tumor.
7. The method of claim 6, wherein the tumor is an oropharangeal tumor.
- 20 8. The method of claim 6, wherein the tumor is a larynx tumor.
9. The method of claim 6, wherein the tumor is a tumor of the oral cavity.
10. The method of claim 6, wherein the tumor is a tumor of the hypopharynx.
11. The method of claims 1 and 6, wherein the tumor is a locally advanced  
25 tumor.

12. The method of claims 1 and 6, wherein the tumor is a recurrent metastatic tumor.

13. A method of prolonging the progression-free and/or overall survival of a patient having a tumor that has been determined to be HPV negative comprising  
5 administering an agent that specifically binds to an EGFr polypeptide to the patient whereby the progression-free and/or overall survival of the patient is prolonged.

14. The method of claim 13, wherein the tumor is determined to be HPV negative by determining the presence or absence of p16<sup>INK4A</sup> in a tumor obtained from  
10 the patient, wherein the absence of p16<sup>INK4A</sup> indicates that the patient is HPV negative.

15. The method of claim 13, wherein the agent that specifically binds to an EGFr polypeptide is an antibody to EGFr.

16. The method of claim 15, wherein the antibody to EGFr is  
15 panitumumab.

17. The method of claim 13, wherein the determining the presence or absence of p16<sup>INK4A</sup> in a tumor comprises performing an immunohistochemistry (IHC) assay to identify the presence or absence of p16<sup>INK4A</sup> in a sample of the tumor.

18. The method of claim 13, wherein the tumor is a locally advanced  
20 HNSCC tumor.

19. The method of claim 13, wherein the tumor is an oropharyngeal tumor.

20. The method of claim 13, wherein the tumor is a larynx tumor.

21. The method of claim 13, wherein the tumor is a tumor of the oral cavity.

22. The method of claim 13, wherein the tumor is a tumor of the  
25 hypopharynx.

23. The method of claims 13 and 18, wherein the tumor is a locally advanced tumor.

24. The method of claims 13 and 18, wherein the tumor is a recurrent metastatic tumor.

5 25. The method of claim 13, wherein the method further comprises administering chemotherapy in addition to the agent that specifically binds to an EGFr polypeptide.

26. A method of stratifying a population of patients having a tumor comprising:

10 (a) determining whether the patient's tumor is HPV positive or HPV negative; and

(b) selecting patients whose tumors are HPV negative for treatment with a therapy comprising an agent that specifically binds to an EGFr polypeptide.

15 27. The method of claim 26, wherein the determining comprises determining the presence or absence of p16<sup>INK4A</sup> in a tumor obtained from the patient, wherein the presence of p16<sup>INK4A</sup> indicates that the patient is HPV positive and the absence of p16<sup>INK4A</sup> indicates that the patient is HPV negative.

20 28. The method of claim 26, wherein the agent that specifically binds to an EGFr polypeptide is an antibody to EGFr.

29. The method of claim 28, wherein the antibody to EGFr is panitumumab.

25 30. The method of claim 26, wherein the determining the presence or absence of p16<sup>INK4A</sup> in a tumor comprises performing an immunohistochemistry (IHC) assay to identify the presence or absence of p16<sup>INK4A</sup> in a sample of the tumor.

31. The method of claim 26, wherein the tumor is a locally advanced HNSCC tumor.
32. The method of claim 31, wherein the tumor is an oropharangeal tumor.
33. The method of claim 31, wherein the tumor is a larynx tumor.
- 5 34. The method of claim 31, wherein the tumor is a tumor of the oral cavity.
35. The method of claim 31, wherein the tumor is a tumor of the hypopharynx.
36. The method of claims 26 and 31, wherein the tumor is a locally  
10 advanced tumor.
37. The method of claims 26 and 31, wherein the tumor is a recurrent metastatic tumor.
38. A method of treating a patient having a tumor comprising:
- (a) determining whether the patient's tumor is HPV positive or HPV  
15 negative; and
- (b) if the patient's tumor is HPV negative, administering to the patient an agent that specifically binds to an EGFr polypeptide.
39. The method of claim 38, wherein the determining comprises determining the presence or absence of p16<sup>INK4A</sup> in a tumor obtained from the patient,  
20 wherein the presence of p16<sup>INK4A</sup> indicates that the patient is HPV positive and the absence of p16<sup>INK4A</sup> indicates that the patient is HPV negative.
40. The method of claim 38, wherein the agent that specifically binds to an EGFr polypeptide is an antibody to EGFr.
41. The method of claim 40, wherein the antibody to EGFr is  
25 panitumumab.



42. The method of claim 38, wherein the determining the presence or absence of p16<sup>INK4A</sup> in a tumor comprises performing an immunohistochemistry (IHC) assay to identify the presence or absence of p16<sup>INK4A</sup> in a sample of the tumor.

43. The method of claim 38, wherein the tumor is a locally advanced  
5 HNSCC tumor.

44. The method of claim 43, wherein the tumor is an oropharangeal tumor.

45. The method of claim 43, wherein the tumor is a larynx tumor.

46. The method of claim 43, wherein the tumor is a tumor of the oral  
cavity.

10 47. The method of claim 43, wherein the tumor is a tumor of the  
hypopharynx.

48. The method of claims 38 and 43, wherein the tumor is a locally  
advanced tumor.

49. The method of claims 38 and 43, wherein the tumor is a recurrent  
15 metastatic tumor.

50. The method of claim 38, wherein the method further comprises  
administering chemotherapy in addition to the agent that specifically binds to an EGFr  
polypeptide.

51. A method of treating a patient having a tumor that has been determined  
20 to be HPV negative, comprising administering to the patient an agent that specifically  
binds to an EGFr polypeptide.

52. The method of claim 51, wherein the determining comprises  
determining the presence or absence of p16<sup>INK4A</sup> in a tumor obtained from the patient,  
wherein the presence of p16<sup>INK4A</sup> indicates that the patient is HPV positive and the  
25 absence of p16<sup>INK4A</sup> indicates that the patient is HPV negative.

53. The method of claim 51, wherein the agent that specifically binds to an EGFr polypeptide is an antibody to EGFr.

54. The method of claim 53, wherein the antibody to EGFr is panitumumab or cetuximab.

5 55. The method of claim 51, wherein the determining the presence or absence of p16<sup>INK4A</sup> in a tumor comprises performing an immunohistochemistry (IHC) assay to identify the presence or absence of p16<sup>INK4A</sup> in a sample of the tumor.

56. The method of claim 51, wherein the tumor is a locally advanced HNSCC tumor.

10 57. The method of claim 56, wherein the tumor is an oropharangeal tumor.

58. The method of claim 56, wherein the tumor is a larynx tumor.

59. The method of claim 56, wherein the tumor is a tumor of the oral cavity.

15 60. The method of claim 56, wherein the tumor is a tumor of the hypopharynx.

61. The method of claims 51 and 56, wherein the tumor is a locally advanced tumor.

62. The method of claims 51 and 56, wherein the tumor is a recurrent metastatic tumor.

20 63. The method of claim 51, wherein the method further comprises administering chemotherapy in addition to the agent that specifically binds to an EGFr polypeptide.

64. A method of prolonging the progression-free and/or overall survival of a patient having a tumor comprising:

25 (a) determining whether the patient's tumor is HPV positive or HPV negative; and

- (b) administering an agent that specifically binds to an EGFr polypeptide to the patient if the patient's tumor is HPV negative.

65. The method of claim 64, wherein the determining comprises determining the presence or absence of p16<sup>INK4A</sup> in a tumor obtained from the patient, wherein the presence of p16<sup>INK4A</sup> indicates that the patient is HPV positive and the absence of p16<sup>INK4A</sup> indicates that the patient is HPV negative.

66. The method of claim 64, wherein the agent that specifically binds to an EGFr polypeptide is an antibody to EGFr.

67. The method of claim 66, wherein the antibody to EGFr is panitumumab.

68. The method of claim 64, wherein the determining the presence or absence of p16<sup>INK4A</sup> in a tumor comprises performing an immunohistochemistry (IHC) assay to identify the presence or absence of p16<sup>INK4A</sup> in a sample of the tumor.

69. The method of claim 64, wherein the tumor is a locally advanced HNSCC tumor.

70. The method of claim 69, wherein the tumor is an oropharyngeal tumor.

71. The method of claim 69, wherein the tumor is a larynx tumor.

72. The method of claim 69, wherein the tumor is a tumor of the oral cavity.

73. The method of claim 69, wherein the tumor is a tumor of the hypopharynx.

74. The method of claims 64 and 69, wherein the tumor is a locally advanced tumor.

75. The method of claims 64 and 69, wherein the tumor is a recurrent metastatic tumor.

76. The method of claim 64, wherein the method further comprises administering chemotherapy in addition to the agent that specifically binds to an EGFr polypeptide.

77. A method of predicting whether a patient having a tumor will benefit  
5 from treatment with an EGFr inhibitor comprising determining whether the patient's tumor HPV is negative.

78. A method of predicting whether a patient having a tumor will benefit from treatment with an EGFr inhibitor comprising determining whether the patient's tumor is HPV positive.

10 79. A use of an agent that specifically binds to an EGFr polypeptide in a patient, for prolonging the progression-free and/or overall survival of a patient having a tumor that has been determined to be HPV negative.

80. A use of an agent that specifically binds to an EGFr polypeptide in a patient, for the preparation of a medicament for prolonging the progression-free  
15 and/or overall survival of a patient having a tumor that has been determined to be HPV negative.

81. A use of an agent that specifically binds to an EGFr polypeptide, for treating a patient having a tumor, wherein it has been determined that the patient's tumor is HPV negative.

20 82. A use of an agent that specifically binds to an EGFr polypeptide, for the preparation of a medicament for treating a patient having a tumor, wherein it has been determined that the patient's tumor is HPV negative.

83. A use of an agent that specifically binds to an EGFr polypeptide, for prolonging the progression-free and/or overall survival of a patient having a tumor,  
25 wherein it has been determined that the patient's tumor is HPV negative.

84. A use of an agent that specifically binds to an EGFr polypeptide, for the preparation of a medicament for prolonging the progression-free and/or overall

survival of a patient having a tumor, wherein it has been determined that the patient's tumor is HPV negative.

1/6

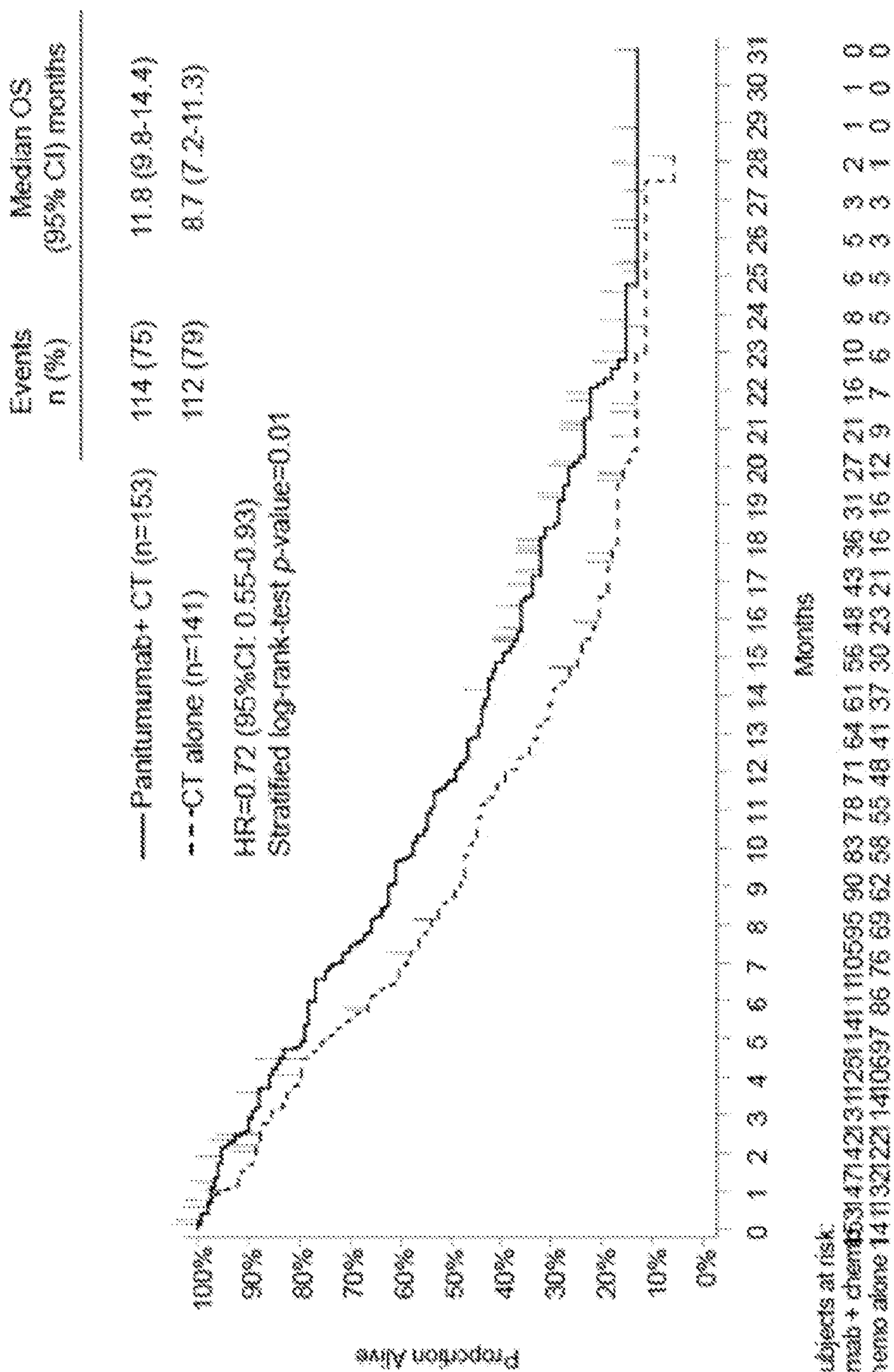


Figure 1

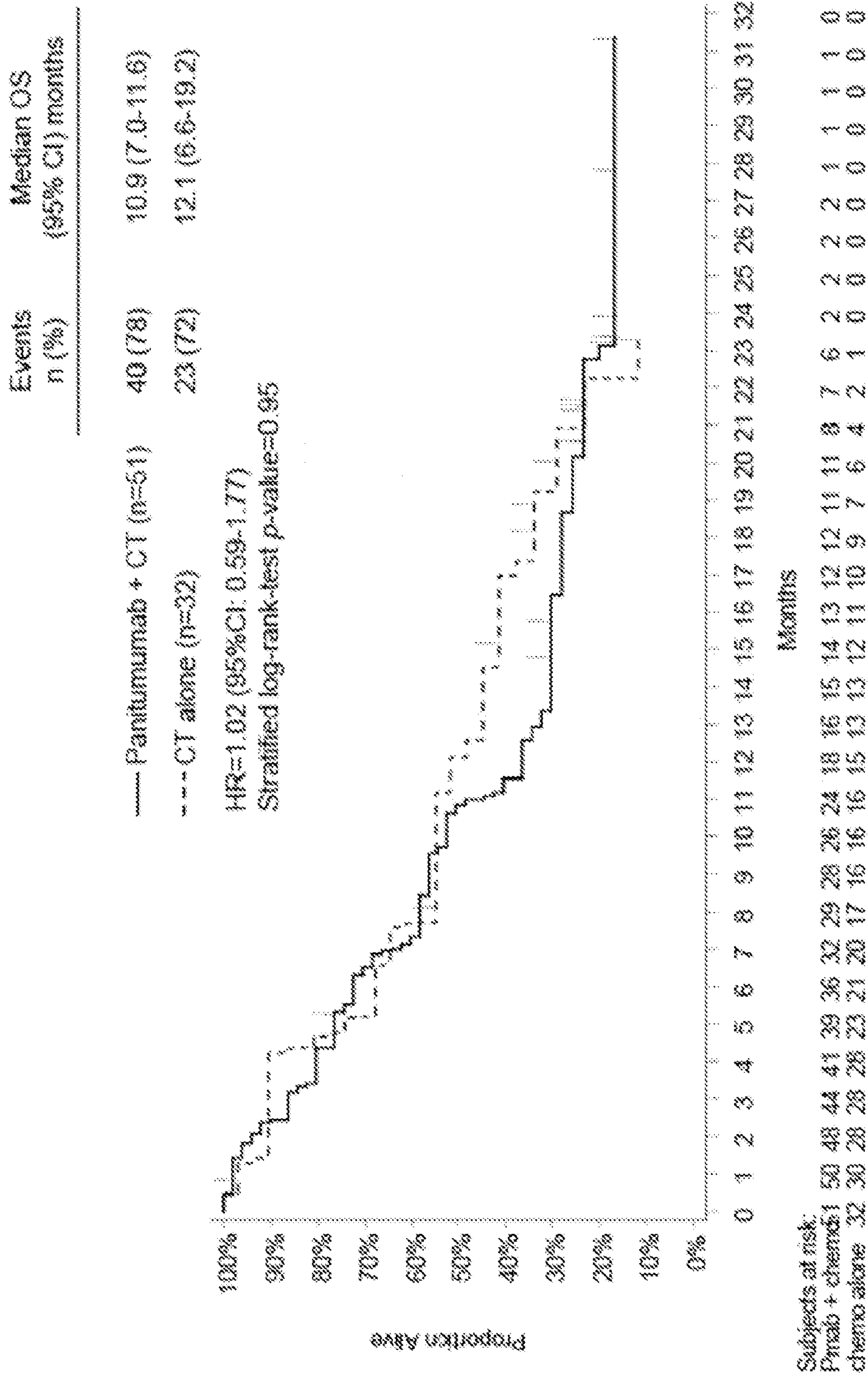


Figure 2

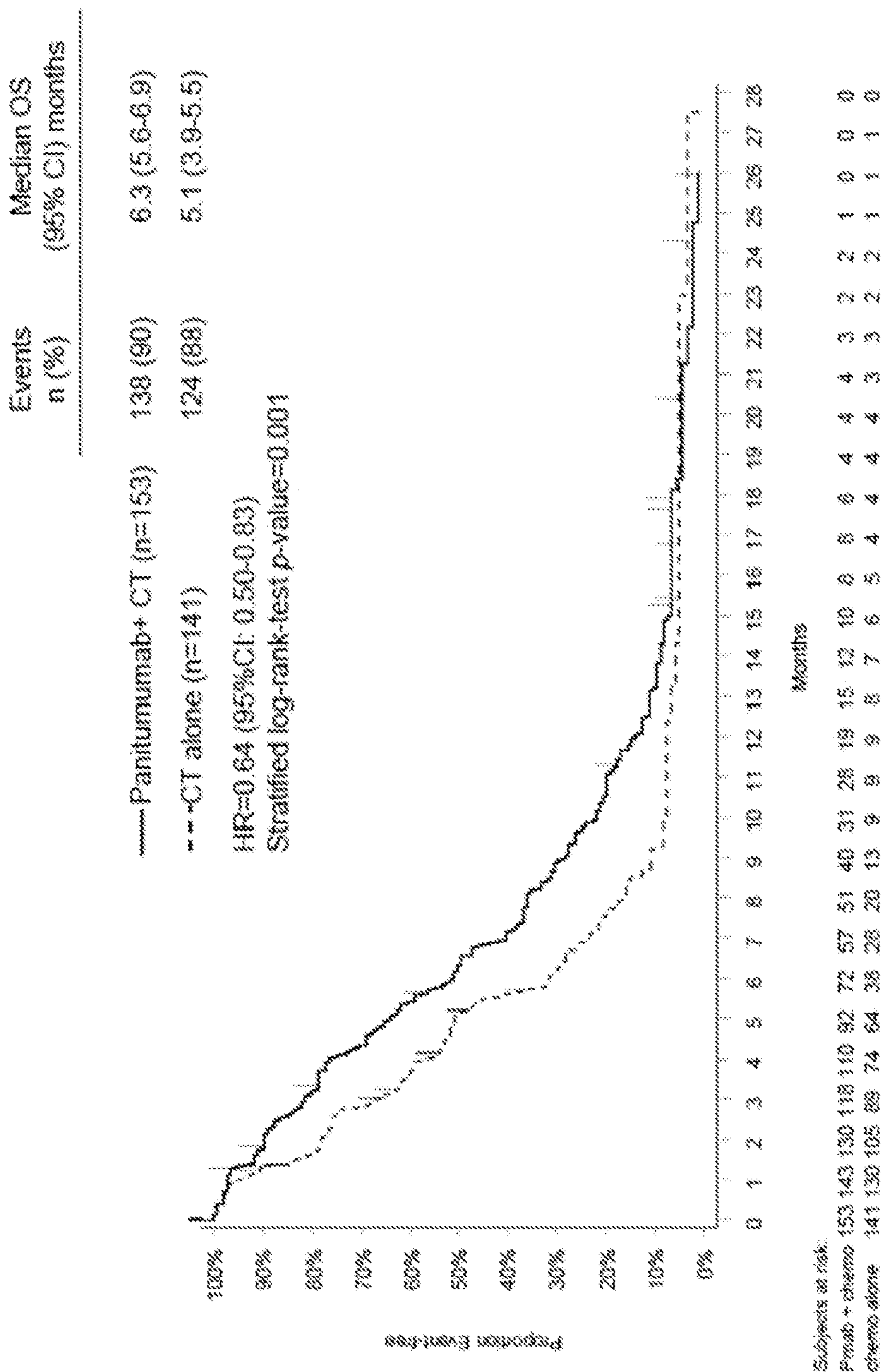


Figure 3



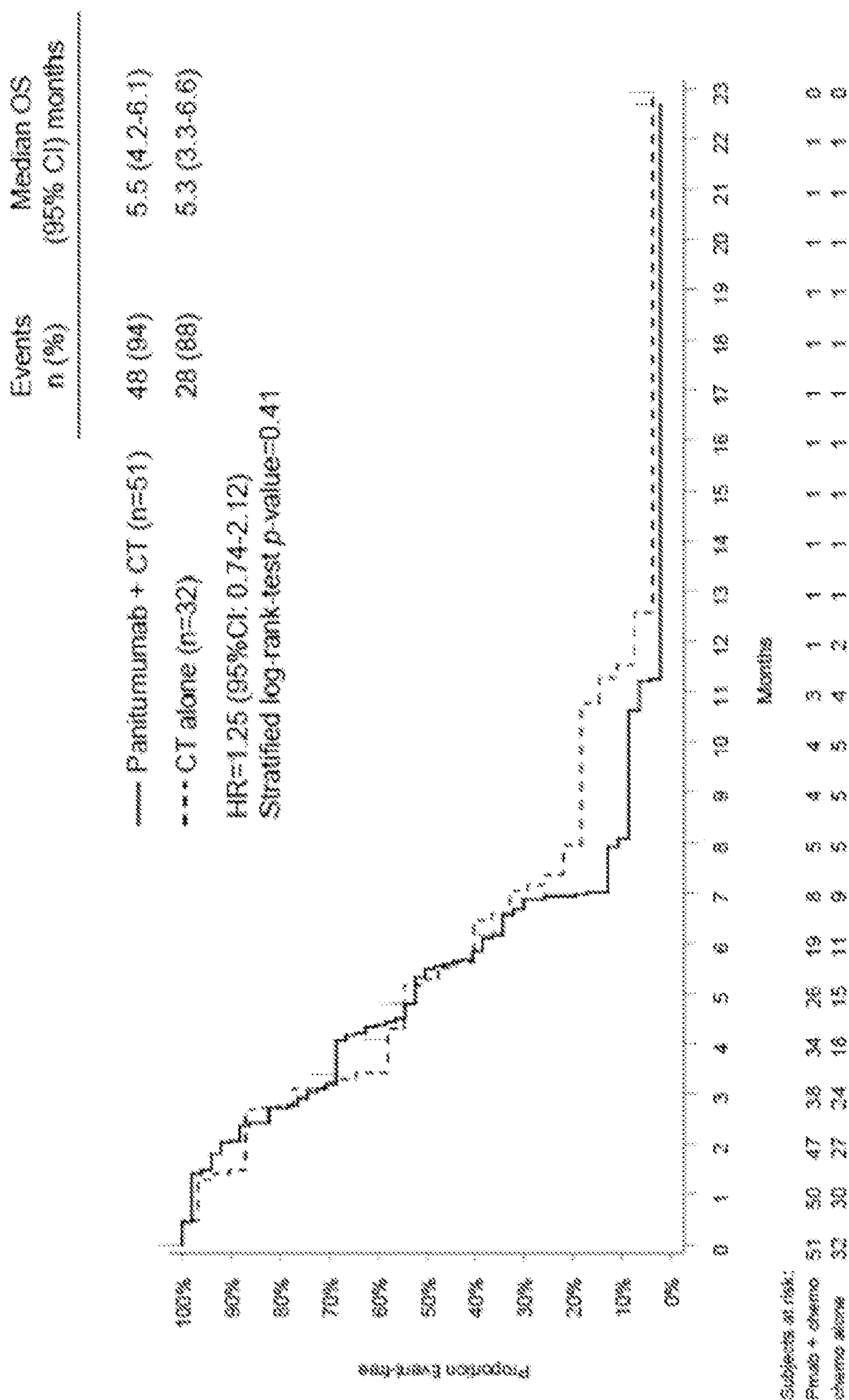
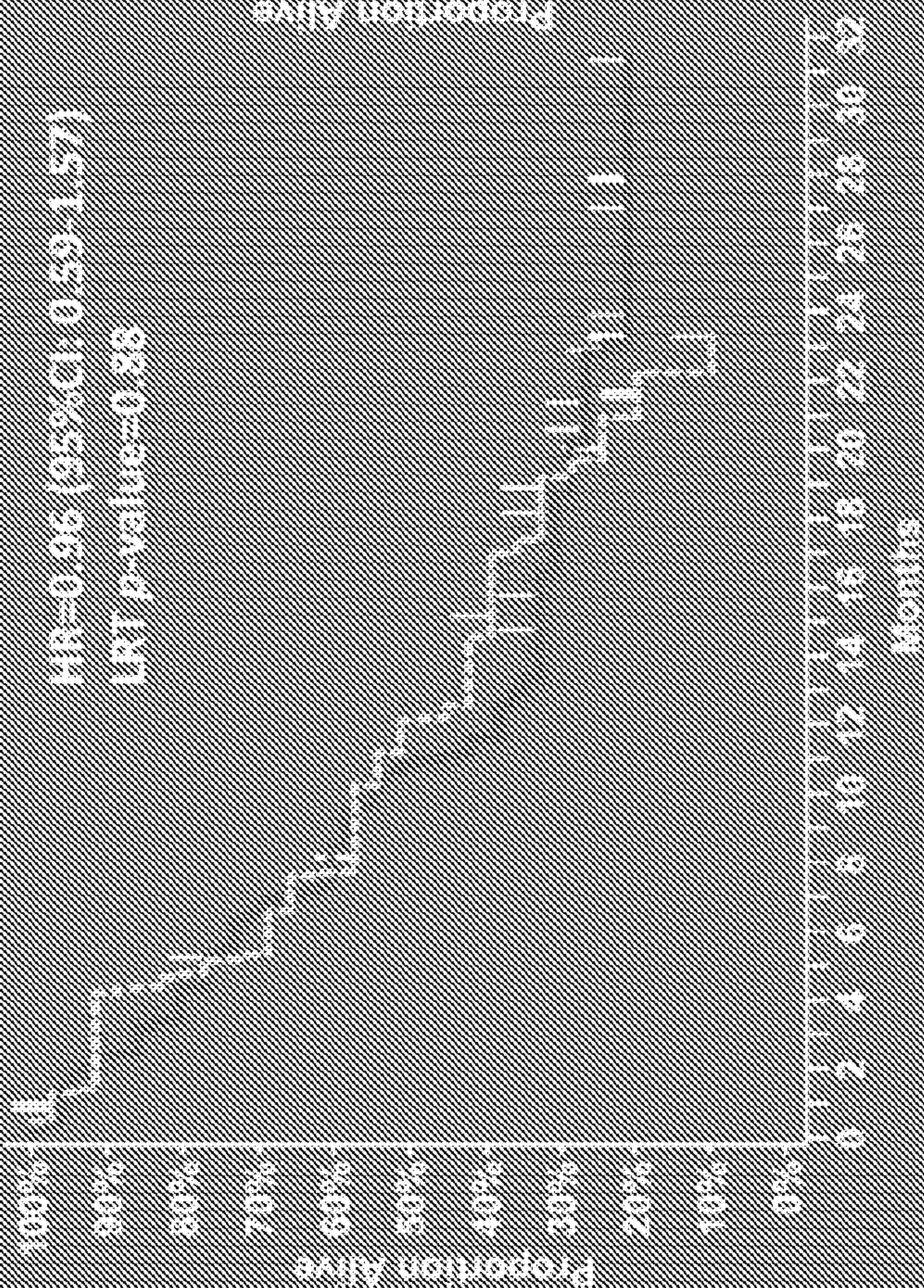


Figure 4

# OS by HPV Status

## HPV Positive



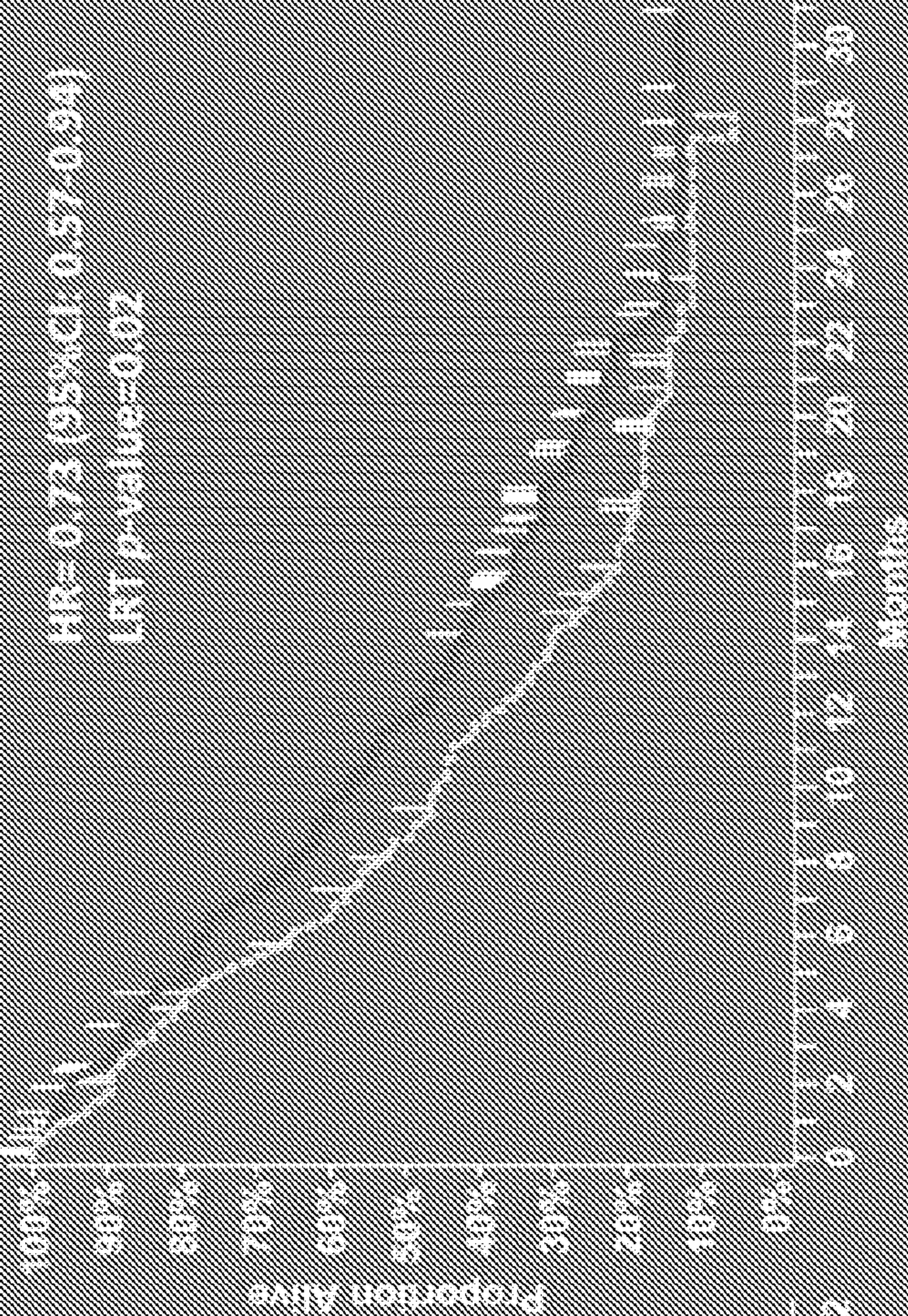
Median OS  
(95% CI) mos

Pmab + CT (N=36) 10.9 (7.1-12.6)

CT alone (N=37) 12.1 (7.6-17.4)

Covariate-adjusted HR = 1.031 (95%CI: 0.60 - 1.77)

## HPV Negative



Median OS  
(95% CI) mos

Pmab + CT (N=165) 11.8 (9.8-14.9)

CT alone (N=153) 8.6 (6.9-11.3)

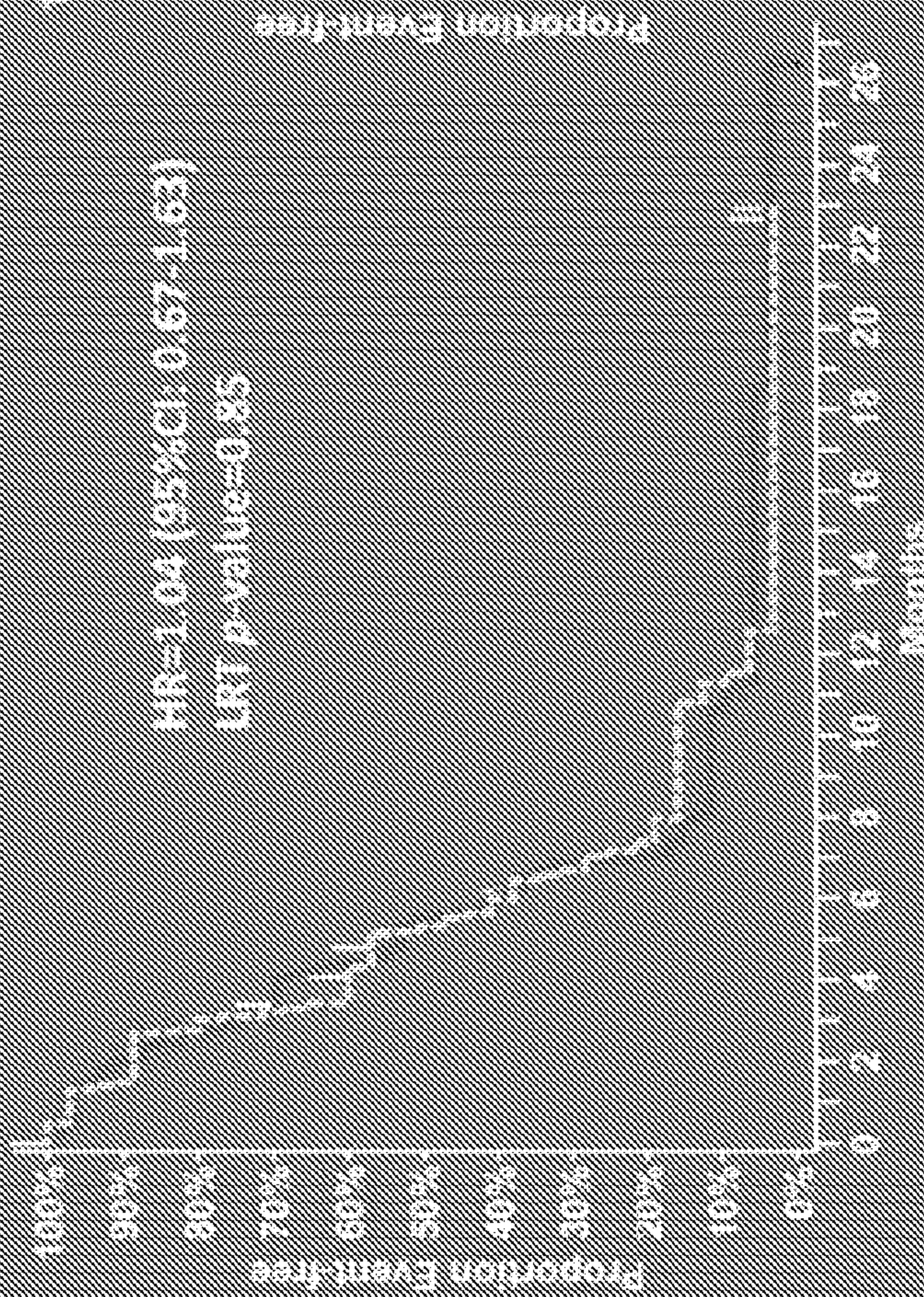
Covariate-adjusted HR = 0.725 (95% CI: 0.56, 0.94)

Figure 5

Quantitative interaction test p-value = 0.332

# PFS by HPV Status

## HPV Positive



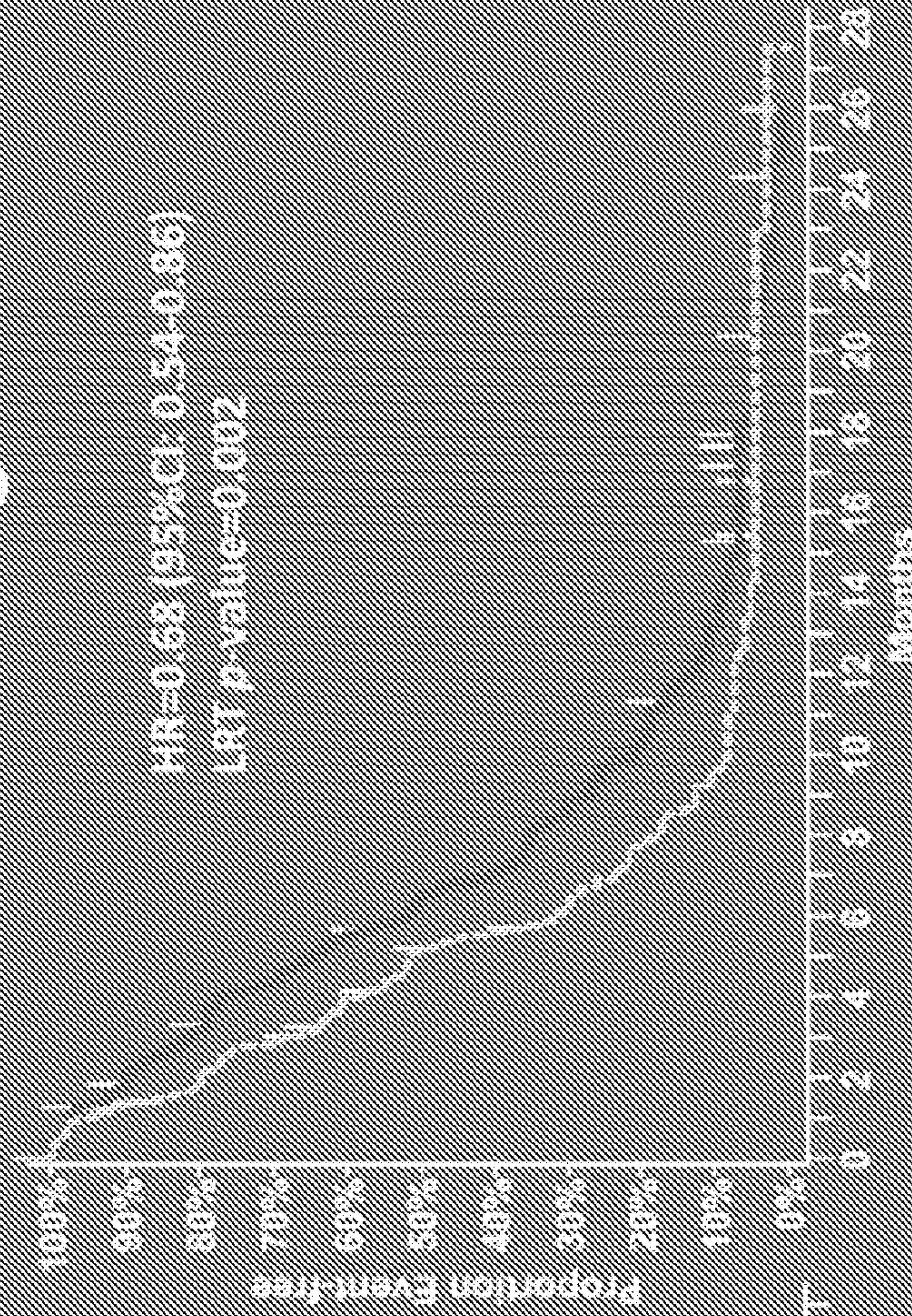
Median PFS  
(95% CI) months

Pimeb + CT (N=56) 5.5 (4.3-6.2)

CT alone (N=37) 5.3 (3.3-6.6)

Covariate-adjusted HR = 1.04 (95% CI: 0.67 - 1.63)

## HPV Negative



Median PFS  
(95% CI) months

Pimeb + CT (N=165) 6.5 (5.6-6.9)

CT alone (N=153) 5.1 (4.0-5.5)

Covariate-adjusted HR = 0.70 (95% CI: 0.55 - 0.88)

Figure 6

Quantitative interaction test p-value = 0.097